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**ASSESSMENT OF THE MECHANICAL AND TRIBOLOGICAL
PERFORMANCE OF A ZrN PVD COATING**

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Abstract

The present work has been conducted in order to assess the mechanical and tribological performance of a ZrN coating deposited onto a H13 steel substrate by means of a closed field unbalanced magnetron-sputtering ion-plating (CFUMSIP) process. The hardness and elastic modulus of the coated system have been determined by means of nanoindentation techniques. Dry and wet sliding wear tests, employing a tribometer under a ball-on-disc configuration, were carried out making use of an alumina ball as counterpart, with an applied normal load of 2 N at a constant speed of 5 cm/s. For the wet wear tests, a 3.5 wt% NaCl solution was used. The resulting wear scars were analyzed by means of both SEM and optical profilometry techniques. It has been determined that, during testing under the corrosive solution, the coating experiences a severe abrasive wear mechanism, due to the combined action of the alumina ball, the hard “debris” and the phenomenon of crevice corrosion. On the other hand, it has also been shown that the coated system is able to increase the wear resistance of the substrate by more than one order of magnitude, if the wear tests are carried out in air under the same conditions.

Keywords: sliding wear; corrosive solution; nanoindentation; ZrN coatings; PVD

1. Introduction

ZrN belongs to the nitride coatings family that has demonstrated the possibility of being used in many applications. Among the interesting properties exhibited by zirconium nitrides are their high hardness and wear resistance, low friction coefficient and high corrosion resistance that are of great interest to different industries in applications such as diffusion barriers in integrated circuits, decorative coatings for jewelry, production of cryogenic thermometers, for power reactors in the aerospace industry and in the cutting tools industry, among others. The main properties of these coatings, their use, as well as the processes employed for their production have been recently reviewed by Ningshen et al. (2013).

ZrN coatings have also been considered as important candidates for the semiconductor IC packaging molding dies protection. It has been shown that CrN, ZrN, and TiAlCrN produced by closed field unbalanced magnetron sputter ion plating (CFUBMSIP) could substitute the hard chromium coating, considering its excellent anti-adhesion properties (Sun et al., 2006). However, additional important issues that arise between the epoxy molding compound and the encapsulation molds are those related to the mold releasing problems and the tribological performance of these coatings (Lina et al., 2006).

Different wear studies at room temperature of ZrN coatings deposited by reactive unbalanced magnetron sputtering against different counterparts such as steel (Fragiel et al., 2008; Valerini et al., 2013), WC-Co (Auger et al., 2007), alumina (Lopez and Staia, 2008) and silicon nitride balls (Singh et al., 2012) have been reported in the literature. Nevertheless, the behavior against the steel ball as counterpart, indicates an adequate performance as long as the ZrN coating has a good adhesion to the substrate, achieved either by optimizing the deposition parameters or by using buffer thin films such as Zr between the coating and the substrate in order to improve it (Chou et al., 2003, Jianxin et al., 2008). It is well known that mass transfer from the steel ball to the coating and back will take place as a consequence of the differences between the hardness values of the mating surfaces. In the case of counterparts exhibiting similar or higher hardness than ZrN coatings, an important abrasion process will occur and the wear process will be enhanced due to the presence of debris.

Few tribocorrosion studies have also been carried out on ZrN coated systems. Ferreira et al., (2006) investigated the behavior of ZrO_xN_y and ZrN coatings, of 3.2 to 6.2 μm thickness deposited on an AISI M2 steel

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by magnetron sputtering. Wear testing was carried out under potentiostatic control in an artificial sweat solution. The authors reported that both the amount of material removed and the time needed for the film to be removed from the contact zone depend on the coating thickness. Moreover, it was determined that the dissolution of the substrate governed the degradation of the coated system.

In the present communication, the main results concerning the mechanical and morphological characterization of a ZrN coating produced by a CFUBMSIP process are reported. Buffer films, such as Mo and MoN, were used to improve the coating adhesion to the steel substrate. Wear tests were performed both in air and under the simultaneous action of mechanical wear and a chemical aggressive environment. A correlation of the wear performance of the ZrN coating in both environments with the mechanical, morphological and coated system architecture characteristics is also presented.

2. Experimental

2.1 Materials and coatings deposition

The CFUBMSIP deposition process has been used to produce a ZrN coating of approximately 1.3 μm in thickness. The deposition process was carried out industrially at Teer Coatings Ltd., Worcestershire, England. H13 steel substrate samples, of 3 cm diameter, were prepared by using standard metallographic techniques and then cleaned ultrasonically in methanol. Subsequently, they were cleaned *in situ* prior to the deposition process by means of argon sputter etching. Two metallic targets of Mo and Zr, respectively were employed. The Mo target was used first and allowed the deposition of a very thin metallic Mo layer, aimed at ensuring a proper adhesion between the ZrN coating and the H13 steel substrate. By allowing an Ar-N₂ gas mixture inside the reactor, a thin film of 0.2 μm of a molybdenum nitride phase was subsequently formed, before ramping in Zr to form the main ZrN layer of 1.1 μm .

2.2 Coating characterization.

Figs. 1a and 1b illustrate the SEM micrographs of the coating surface and its cross section, respectively after being fractured by impact. Fig. 1b shows the cross section of the fractured sample with a morphology that corresponds to the boundary between zone 1 and the T zone of Thornton's structure zone classification. It is characterized by the presence of dense and not very well defined fibrous crystals, with a small amount of voids between them, typical of PVD processes of relatively low adatom mobilities (Thornton, 1986).

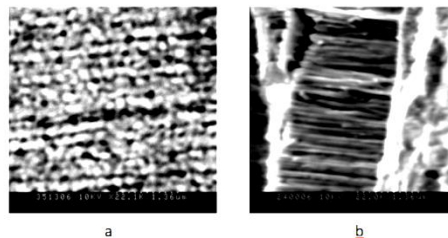


Fig.1. ZrN coating SEM micrographs: a. coating surface morphology; b. coating fractured cross section (22000X)

The coating structural characterization was carried out by performing X-ray analysis with a Siemens D5000 diffractometer employing Co-K α radiation ($\lambda=1.78897$), a 0.02° scanning step size, 4 second of count time per step and a 2 θ angle range between 30° and 90°. The XRD pattern is presented in Fig. 2, where the diffraction lines correspond to ZrN (cubic structure, ICDD PDF#35-0753). It could be observed that the ZrN film has a (111) preferred orientation. The small peaks could be attributed to a Mo₂N compound, since its thickness is very small (200 nm). In this pattern, both tetragonal and cubic structures were indicated (ICDD PDF#24-0768 and ICDD PDF#25-1366, respectively). However, Jauberteau et al. (2006) have already pointed out that it is not easy to differentiate between γ -Mo₂N and β -Mo₂N by conventional X-ray diffraction techniques. Therefore, the precise structure of molybdenum nitrides cannot be unambiguously determined. Additionally, characteristics peaks of Fe (γ) and Fe (α) are also indicated in the pattern.

The surface roughness of the coating was measured by means of optical profilometry, using a Veeco Wyko NT9300 profilometer. The results indicated a smooth appearance with a roughness of 0.03 μm . The hardness and elastic modulus of the ZrN coating were determined by means of nanoindentation tests using a MTS XP Nano Indenter under a continuous stiffness measurement mode and equipped with a Berkovich indenter. Prior to indentation, the indenter tip calibration was carried out on fused silica. 25 indentations were

Particularly, if the model advanced by Puchi-Cabrera [2002, 2004] for monolayer coatings is extended to the analysis of this bi-layer system, the description of the experimental data would encompass the determination of the intrinsic hardness of both the ZrN and Mo₂N layers (H_{ZrN} and H_{Mo_2N} , respectively), as well as the coating thickness fractions from which the Mo₂N film or substrate would start to contribute to the composite hardness. The different equations that describe the computational instrumentation of this kind of analysis have been reported elsewhere [Puchi-Cabrera et al., 2015a; Staia et al., 2016]. Accordingly, $H_{ZrN} = 24.2$ GPa and $H_{Mo_2N} = 18.2$, whereas $H_{H13} = 6.1$ GPa. It is important to point out that in this indentation analysis, no indentation size effect (ISE) either of the films or steel substrate was considered.

Similar hardness values, varying between 23 to 25.1 GPa, for ZrN coatings deposited by ion plating on 316 stainless steel have been reported by Huang et al., (2005), when studying the variation of thickness and its effect on their structure and properties. However, changes in the applied bias, in the range of 0 to -120, during the reactively DC magnetron sputtering process employed, had shown a major influence on the hardness, whose value could change from ~22 to 33 GPa, as determined by Pilloud et al. (2003). On the other hand, the relatively lower hardness value determined for the Mo₂N, $H_{Mo_2N} = 18.2$, points out the presence of γ -Mo₂N in our system rather than the β -Mo₂N, as indicated by the hardness value of 12 GPa reported by Jauberteau et al., (2015) for a γ -Mo₂N film. Figure 3(a) illustrates the description provided by the model, together with the mean curve corresponding to the experimental data. It can be clearly observed that the model is able to describe quite accurately the change in hardness with indentation depth by considering the above indicated hardness values, as well as the correct sequence in which both films and substrate contribute to the composite hardness.

The computed values of the corresponding volume fractions of the different layers, which encompass the coated system, indicate that the ZrN film determines entirely the hardness of the coated system up to indentation depths in the range of 315 nm, which explains the constant hardness value observed at the beginning of the curve. Subsequently, from indentation depths greater than 315 nm both the Mo₂N and substrate start to contribute almost simultaneously to the composite hardness.

At an indentation depth of approximately 480 nm, the volume fraction of the Mo₂N film, which contributes to the composite hardness, overcomes that of the ZrN film, and reaches its maximum, of about 0.53, at an indentation depth of 630 nm. From an indentation depth of approximately 760 nm, the substrate becomes the predominant constituent of the coated system, contributing to the composite hardness. At indentation depths in the range of approximately 2000 nm, the composite hardness is basically determined by the substrate ($f_{Subst.} = 0.95$) and to a much lesser extent by the Mo₂N film ($f_{ZrN} = 0.05$).

Concerning the change in the elastic modulus of the coated system with indentation depth, Figure 3(b) also illustrates that the experimental results are also encompassed in a wide scatter band. Therefore, together with the experimental data points, the mean experimental curve has also been included in the Figure. For analyzing the change in this elastic property with indentation depth, only the experimental data points determined at indentation depths greater than 30 nm were considered. The points determined at smaller depths were disregarded in view of the results of the calibration procedure conducted with the fused silica standard for this property. Such a procedure indicated that the elastic modulus of the silica standard could be reproduced above indentation depths greater than approximately 7-10 nm.

As shown in Figure 3(b), the mean elastic modulus decreases continuously with indentation depth from an initial value of ~420 GPa, with a clear trend of achieving the elastic modulus of the substrate, of ~210 GPa, at large indentation depths. By assuming as before that the coated system is composed of two layers, it is possible to model the change in the elastic modulus of the system with indentation depth by means of the extended version of the approach earlier proposed by Doerner and Nix (1986), as it has been suggested by Puchi-Cabrera et al., (2015b). Details of the computational procedure employed for the description of the changes in the elastic modulus of a multilayer coated system with indentation depth and the identification of the individual elastic modulus of each film can be found elsewhere (Puchi-Cabrera et al., 2015b; Staia et al., 2016).

The results of this analysis indicate that the ZrN film has an elastic modulus of ~444 GPa, which represents the only contribution to the global modulus of the system in the indentation range of about 30-46 nm. At higher indentation depths, the Mo₂N with an elastic modulus of ~354 GPa and the substrate start their contribution to the composite modulus. The maximum contribution of the Mo₂N occurs at indentation depths in the range of 53 nm, at which $f_{Mo_2N} = 0.74$. At indentation depths somewhat greater than the latter value and up to the maximum indentation depths achieved, the global modulus is determined mainly by the steel substrate. Figure 3(b) clearly illustrates that the modified Doerner and Nix model is able to reproduce quite accurately the trend shown by the mean experimental curve. Therefore, it represents a valuable tool for determining accurate and reliable values of the elastic modulus of the different films, which compose the coated system. It is interesting to point out that a similar value of 460 GPa has been determined by Török et al. (1987), for the elastic modulus of sputtered ZrN coatings, by means of flexural resonance frequency techniques, which was subsequently corroborated by Perry (1990), by means of X-ray residual stress measurements.

It is well known that in order to predict the behavior of the coated system under spherical indentation loading, the change in the von Mises stress from the surface of the coating system, under such loading condition, should also be accurately predicted. However, this is only possible if the change in the global elastic modulus with indentation depth is properly described by means of a sound computational procedure such as that employed in the present work.

3.1.1. Computation of the elastic contact stresses during spherical indentation

Figure 4 illustrates the predicted change in the von Mises stress with distance measured from the coating surface. In this case, it has been assumed that the coated system is subjected to spherical indentation with an applied load, $F = 2$ N. The determination of this plot is based on the results presented in Figure 3(b), which describes the change in the elastic modulus of the coated system as a function of indentation depth, as derived from the extended form of the Doerner and Nix model. However, the information concerning the value of the Poisson ratio for the different materials, which encompass the coated system, is also required. Thus, Table 1 summarizes the numerical data employed for conducting the required computations.

Table 1. Mechanical properties of the different materials, which constitute the coated system, employed in the computation of elastic stresses under spherical indentation loading.

	ZrN	Mo ₂ N	AISI H13 steel	Al ₂ O ₃ ball
ν	0.186 ⁽¹⁾	0.3 ⁽²⁾	0.33	0.231
E , GPa	-----	-----	210	416
σ , MPa	8067 ^(*)	4833 ^(*)	1650 ⁽³⁾	-----

(*) It has been assumed that the tensile strength of the coating is equal to 1/3 of its absolute hardness.

⁽¹⁾ Perry, A.J., (1990)

⁽²⁾ Pappacena et al., (2012)

⁽³⁾ [www.matweb.com]

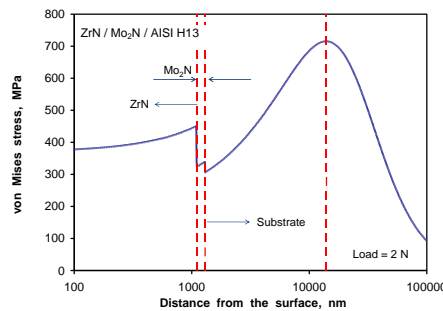


Fig. 4. Predicted change in the von Mises stress with the distance measured from the coating surface.

Thus, for a given depth, δ , measured from the surface of the coated system and taking into account the relationship between such a depth and the contact radius, a , the elastic modulus of the coating is interpolated from the predicted curve determined by means of the extended Doerner and Nix model. Subsequently, taking into consideration the elastic properties of the Al₂O₃ ball, the value of the reduced elastic modulus, $E_r(a, E_{ball}, \nu_{ball})$, can be determined. In this way, it is possible to solve the non-linear equation which relates a , $E_r(a, E_{ball}, \nu_{ball})$, F and the ball diameter, d , in order to compute the correct value of a . Concerning the computation of the Poisson's ratio for a given contact radius, which is required in order to determine both the principal stresses and the von Mises stress, a Heaviside step function has been employed.

The change in the von Mises stress with distance from the surface is carried out by employing the Hertzian equations, which correspond to the elastic contact between a spherical indenter and a multi-layer coating. Accordingly, the principal stresses at a given depth are determined as a function of a , the maximum pressure, P_{max} , and the corresponding Poisson ratio value. In turn, P_{max} depends on the load applied, F , and a .

However, in order to carry out this computation it is of utmost importance to take into consideration the strain compatibility at the ZrN/Mo₂N and Mo₂N/Substrate interfaces. For this purpose, as a first approximation, it can be assumed that the principal strains at each interface, computed as a function of the von Mises stress and the elastic constants of the corresponding layer material, remain constant across each interface. Details of the equations employed and the computational procedure that has been followed have already been reported elsewhere (Staia et al., 2016).

Thus, when a normal load of 2 N is applied to this coated system, the contact radius is found to be of approximately 28.5 μ m and a maximum pressure of approximately 1180 MPa is attained at a depth of about 270 nm. However, the maximum von Mises stress is of approximately 715 MPa and it is located at a depth of 14000

nm, that is to say, well into the substrate. Since the yield strength of the H13 substrate is of approximately 1650 MPa, it will not undergo plastic deformation. Thus, the system is expected to behave elastically and therefore, the integrity of the bi-layer coating will be preserved.

3.2 Tribological performance

Fig. 5 shows the evolution of the friction coefficient for the coated system and for the steel substrate, respectively. This variation was represented only for 100 m of sliding distance although the test was run for 200 m, since after 80 m of the sliding distance, the value of the friction coefficient of the ZrN coated system reached the same value corresponding to the steel substrate.

It is observed that for the steel substrate, an increase in the friction coefficient value to 0.3 at the beginning of the test takes place, typical for the running-in period corresponding to the contact between the alumina ball and the sample. A continuous decrease in its value, due to the wash away process of the wear debris from the contact, takes place until the end of the test, reaching a steady state value of 0.14.

However, for the ZrN coated system it is observed that the friction coefficient increases at the beginning of the test to a value of 0.17 and, subsequently, drops to a constant value of 0.12, which is maintained until 30 m of sliding distance. After this value, the friction coefficient starts to increase as a consequence of the film mechanical damage produced due to the presence of the corrosive solution. It is worth mentioning that, due to the coating morphological characteristics and its small thickness, the penetration of the corrosive solution takes place, which contributes to undermining the coating itself due to a crevice corrosion phenomenon. The latter promotes the substrate dissolution that further weakens the coating under the normal load used during the wear test, due to the reduced load-bearing capacity of the substrate.

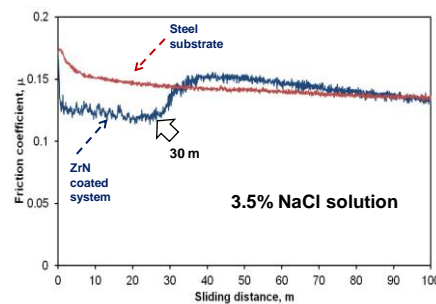


Fig. 5. Friction coefficient variation with the sliding distance for the coated and uncoated H13 steel substrate tested in 3.5 wt% NaCl solution

Fig. 6a and b illustrate the 3D profiles of the wear track after testing in the 3.5 wt% NaCl solution, whereas Fig. 6c shows the 3D image profile of the alumina counterpart. The SEM micrograph corresponding to the morphology of the worn ZrN coating is presented in Fig. 6d, indicating that the coating experienced a severe abrasive wear mechanism, due of the combined action of the ball and the hard “debris” products resulting from the coating damage. In some places, however, the existence of the coating it is still observed, a fact that is detected with greater clarity from the EDS line concentration profile performed on this wear track (see Fig. 6 d).

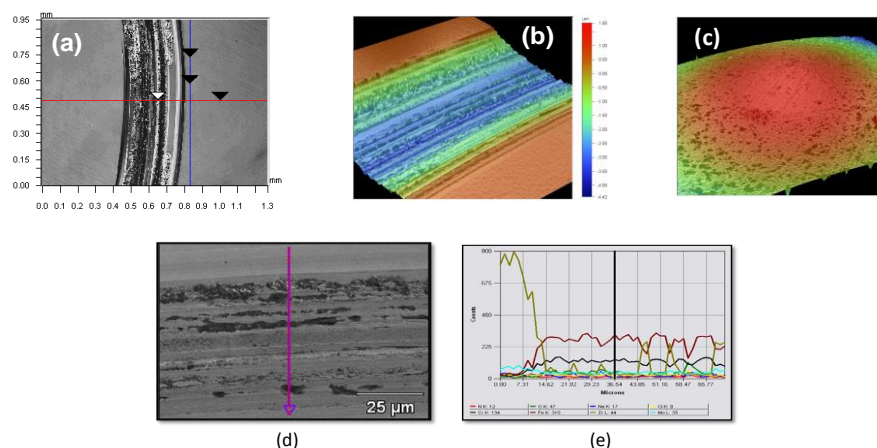


Fig. 6. (a) 3D profile of the wear track after testing in 3.5 wt% NaCl solution; (b) detailed 3D profile of the track; (c) 3D image profile of the alumina counterpart; (d) detailed SEM micrograph of the wear track; (e) EDS line concentration profile of the wear track from (d).

In order to put in evidence the synergistic effect of wear and corrosion, additional wear tests were carried out in air for shorter sliding distances, in order to check the integrity of the bi-layer coating architecture under the contact between the coating and the ball for the same loading conditions, but without the presence of the corrosive solution. These sliding distances were chosen in such a way as to allow the comparison with those distances that corresponded to the coating failure during the wear tests in the corrosive environment.

The results are presented in Fig. 7 and indicate an excellent behavior for the coating at 30 m sliding distance (test A), which corroborated that the integrity of the bi-layer coating was preserved, as predicted in section 3.1.1. As the sliding distance is increased, the debris produced as a consequence of the wear experienced by both counterparts will be present in the contact, contributing to a considerable increase in the friction coefficient value, from 0.2 (test A) to approximately 0.65 (test B). Nevertheless, under these conditions, the coated system still maintains its integrity, since the depth achieved through the coating thickness is not higher than 0.6 μm , which is nearly half of its initial thickness. These latter experiments performed only in air, constituted a clear evidence of the deleterious effect of the presence of the corrosive solution, which promotes the substrate dissolution that further weakens the coating under the normal load used during the wear tests.

The SEM micrographs of the wear tracks for the 30 m and 63 m sliding distances, respectively, as well as the 3D profiles of both sample and counterpart for each condition are presented in Fig.8. As can be observed, a small amount of abrasive wear occurred for the sample and counterpart tested for 30 m (see Fig. 8(e)). However, after 63 m, considerable amount of wear debris were deposited on top of the alumina ball (Fig (f)).

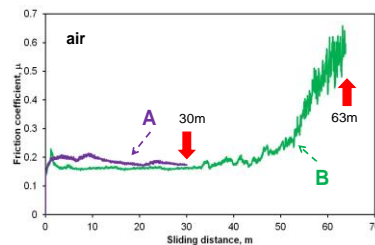


Fig.7. Friction coefficient variation with the sliding distance for the coated system tested in air. The wear test was stopped for curve: test A- at 30m; test B- at 63 m

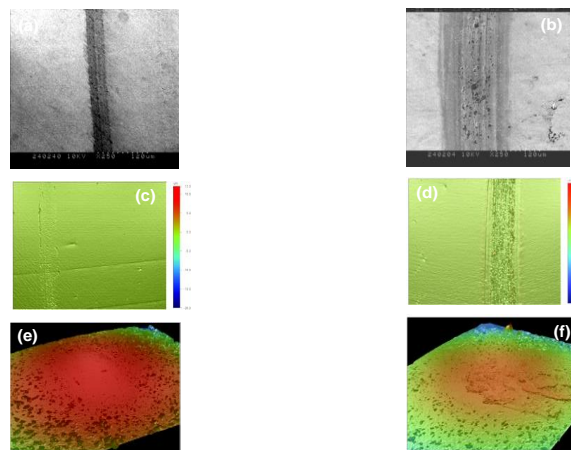


Fig.8. (a) SEM micrograph of the wear track of the coated system after the dry test A for 30 m sliding distance; (b) SEM micrograph of the wear track of the coated system after the dry test B for 63 m sliding distance, (c) 3D profile of the wear track corresponding to (a); (d) 3D profile of the wear track corresponding to (b); (e) 3D profile corresponding to the alumina ball surface after 30 m sliding distance; (f) 3D profile corresponding to the alumina ball surface after 63 m sliding distance.

2D surface profiles are shown in Fig. 9 for all the tests, showing in each case a typical example of the cross section profile of the wear track, where both the experimental and computational description of the profile are represented. In order to determine the mean cross-sectional track area, six individual wear profiles were taken into account. The method of calculation is described elsewhere (Staia et al., 2016). The wear volume was obtained by multiplying the cross-sectional wear track area by the length of the wear track.

The mean worn volume for the immersed coated sample was of $1.5 \times 10^{-2} \text{ mm}^3 \pm 0.04 \times 10^{-2} \text{ mm}^3$. Correspondingly, the wear volume for test A was of $0.25 \times 10^{-4} \text{ mm}^3 \pm 0.01 \times 10^{-2} \text{ mm}^3$ and $6 \times 10^{-4} \text{ mm}^3 \pm 0.05 \times 10^{-2} \text{ mm}^3$ for test B, both carried out without the presence of the corrosive solution. A specific wear rate, k , could only be computed for the samples tested in air from the ratio between wear volume and the applied load

per unit of sliding distance. Values of k of $0.4 \times 10^{-15} \text{ m}^3/\text{N m}$ and $5 \times 10^{-15} \text{ m}^3/\text{N m}$, respectively, were obtained indicating an increase of one order of magnitude when the sliding distance is doubled, which shows the effect of the presence of the hard debris in the contact. For comparison, the cross sectional area of the steel substrate tested in the same conditions as test B is also presented, indicating a value of nearly $418 \mu\text{m}^2$ that corresponds to $0.85 \times 10^{-14} \text{ m}^3/\text{N m}$, that is to say 17 times greater than that corresponding to the coated system.

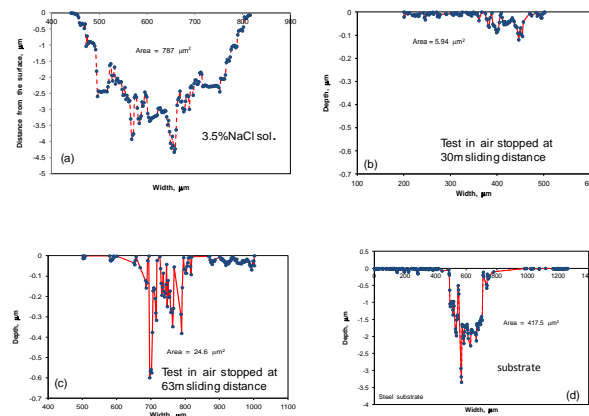


Fig.9. Typical cross section profiles of the wear track corresponding to: (a) wear track of the coated system after test in 3.5 wt% NaCl solution for 200 m; (b) wear track of the coated system after the test A carried out in air for 30 m; (c) wear track of the coated after the test B carried out in air for 60 m; (d) wear track of the substrate after the test carried in air for 60 m

As indicated above, in the present research, the wear tests were carried out by immersing the samples in a corrosive solution. However, Ferreira et al. (2006) have also reported a similar mechanism during wear testing of sputtered ZrO_xN_y coatings deposited on a M2 steel substrate, carried out under potentiostatic control in an aggressive solution, such as artificial sweat. As in the present study (see Fig. 5), the value of the friction coefficient of the tested system, measured at the end of the tests, was controlled by the friction coefficient between alumina ball and M2 steel, due to coating damage. These results clearly indicate that, in order to predict the synergistic effect between the two phenomena, simple tests such as those employed in the present work could be conducted, avoiding the need of using more complex experimental setups.

4. Conclusions

In the present paper, the sliding wear performance of a ZrN coating deposited by means of CFUBMSIP has been evaluated in a 3.5 wt% NaCl solution. It has been determined that under the test conditions employed, the coating experienced a severe abrasive wear mechanism, due of the combined action between the alumina ball, the hard “debris” formed as a product of the mechanical damage of the film and to a phenomenon of crevice corrosion. The latter gave rise to the substrate dissolution that further weakened the coating under the normal load used during the wear test, due to the reduced load-bearing capacity of the substrate. An important aspect, which can adversely affect the coating wear performance in the corrosive medium, was that related to its low thickness, which allowed the rapid penetration of the corrosive medium through the defects up to the coating-substrate interface. Nevertheless, it has been shown that the coated system is able to increase the wear resistance of the substrate by more than one order of magnitude if the wear tests are carried out in air under the same conditions. The hardness and elastic modulus of the bi-layer $\text{ZrN}/\text{Mo}_2\text{N}$ coated system were determined by means of nanoindentation techniques and modeled following an analytical approach previously proposed for multilayers coatings. The results of this analysis indicate that for the ZrN coating, $H_{\text{ZrN}} = 24.2 \text{ GPa}$ and $E_{\text{ZrN}} = 444 \text{ GPa}$, whereas for the Mo_2N coating, $H_{\text{Mo}_2\text{N}} = 18.2 \text{ E}$ and $E_{\text{Mo}_2\text{N}} = 354 \text{ GPa}$, respectively. The analysis of the elastic stresses under the spherical contact between the ball and the coated system indicates that it is expected to behave elastically and, therefore, that the integrity of the bi-layer coating will be preserved.

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