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# 3D Laser Shock Peening – A new method for the 3D control of residual stresses in Selective Laser Melting

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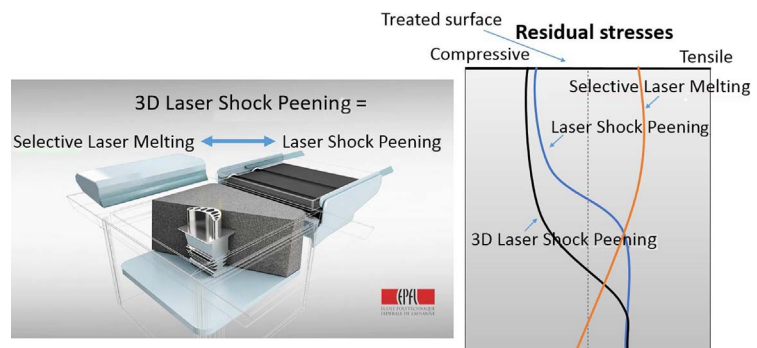
## A B S T R A C T

### Keywords:

3D Laser Shock Peening  
Selective laser melting  
Laser Shock Peening  
Residual stress profile  
Fatigue life  
316L stainless steel

This paper describes a hybrid additive manufacturing process – 3D Laser Shock Peening (3D LSP), based on the integration of Laser Shock Peening (LSP) with selective laser melting (SLM). The well-known tensile residual stresses (TRS) in the as – built (AB) state of SLM parts in the subsurface region have a detrimental effect on their fatigue life. LSP is a relatively expensive surface post treatment method, known to generate deep CRS into the subsurface of the part, and used for high end applications (e.g. aerospace, nuclear) where fatigue life is crucial. The novel proposed 3D LSP process takes advantage of the possibility to repeatedly interrupt the part manufacturing, with cycles of a few SLM layers. This approach leads to higher and deeper CRS in the subsurface of the produced part, with expected improved fatigue properties. In this paper, 316L stainless steel samples were 3D LSP processed using a decoupled approach, i.e. by moving back and forth the baseplate from an SLM machine to an LSP station. A clear and significant increase in the magnitude and depth of CRS was observed, for all investigated process parameters, when compared to the AB SLM parts, or those traditionally LSP (surface) treated.

## G R A P H I C A L A B S T R A C T



## 1. Introduction

Selective laser melting (SLM) is a part of a large family of Additive Manufacturing (also known as 3D printing) processes [1–3], and also

the most studied over the past years. In the SLM process the part is built layer by layer out of a metallic, ceramic, polymer or composite powder. At each step, a powder bed is deposited on a substrate and selectively melted by a laser beam. Using a laser beam deflection system, each

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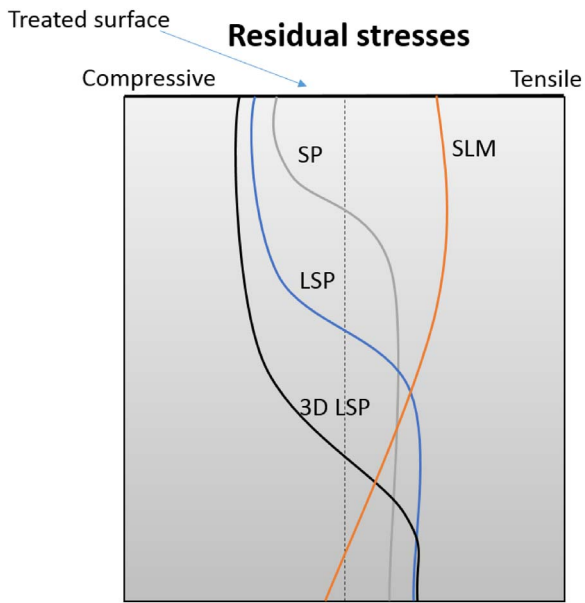


Fig. 1. Schematic representation of residual stresses in SLM parts, showing the influence of Shot Peening (SP), Laser Shock Peening (LSP), and 3D LSP.

layer is scanned according to the corresponding part cross section, as calculated from the CAD (computer-aided design) model. After selective consolidation, a new powder layer is deposited, and the operation sequence is repeated until completion of the part. At the end, the unused powder is removed and can be reused in another building process. This manufacturing method leads to the ability to produce parts with high added value and very complex geometries, which would otherwise be difficult or impossible to produce. Typical examples concern lattice structures used for aerospace and medical applications, bionic design for weight reduction, conformal cooling channels in molds, etc.

Although the mechanical properties of parts made by SLM have become close to those produced by conventional processes [3–13], SLM still has several inherent limitations, one of them being the accumulation of detrimental tensile residual stresses (TRS), illustrated in Fig. 1. During the SLM process, the top layer which was melted last has shrunk upon cooling, with however a magnitude which is limited by the continuity with the underlying (already solidified) material [14,15]. From one layer to another, large TRS accumulate inside the manufactured component, resulting either in reduced fatigue life or in distortion of the final part [6,14–16,18–20]. High stresses can even lead to process failure (cracking) during the building phase [21].

Different methods have been used to control and reduce residual stresses. In situ heating (e.g. by substrate preheating or laser remelting) is commonly used [15,22–24]. Adapting scanning strategies has also been shown to strongly impact residual stresses [15,19]. As a post treatment, annealing is widely used and has demonstrated in some cases a 70% reduction of residual stresses [24,25]. Although these

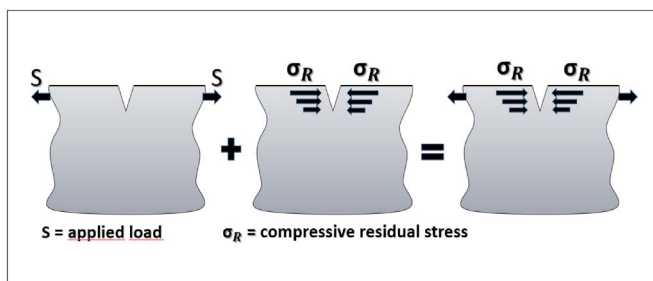


Fig. 2. Effect of tensile and compressive stresses on the crack growth propagation and fatigue life.

methods do bring improvements in the final residual stress state, they have shown to be unable to completely remove TRS, and are unable to introduce Compressive Residual Stresses (CRS) which improve fatigue life. Furthermore, process failure cannot be avoided with post-processing treatments, which means that materials for which in situ heating or optimized scanning strategies are not successful simply cannot be processed by SLM.

Laser Shock Peening (LSP) is a high strain rate ( $\sim 10^6 \text{ s}^{-1}$ ) [26] surface treatment method, similar to Shot Peening (SP) and Ultrasonic Shot Peening (USP), used to introduce CRS in the near surface region of the material. LSP is well-known to result in an increased fatigue life, resistance to stress corrosion cracking, and fretting fatigue, for a variety of metallic materials [27–29]. Introduced CRS can reach a depth of up to 1 mm (depending on the treated material), counteract some or all of the tensile stress in the near surface region, decrease the crack propagation rate, effectively reduce the stress intensity factors, enhance fatigue crack closure effects and increase the critical stress for crack propagation, therefore improving the fatigue performance of metallic materials (Fig. 2) [27–30].

Initial investigation on the application of LSP as a conventional surface treatment method on parts made by SLM has shown that LSP is able to convert the TRS into more beneficial CRS in the subsurface region [31]. The residual stresses were successfully transformed for all considered LSP parameters. However, conventional LSP remains a surface post treatment, and cannot address the bulk accumulation of high TRS during the SLM building phase.

In the present paper, a novel hybrid additive manufacturing process – 3D Laser Shock Peening (3D LSP) is described. 3D LSP is a process patented [32] by the Laboratory of Thermomechanical Metallurgy (LMTM) at the Ecole Polytechnique Fédérale de Lausanne (EPFL). It is shown to successfully allow the 3D control of residual stresses in SLM parts. In particular, the detrimental TRS state inherited from SLM is converted into beneficial CRS in the surface region, over a depth larger than that obtained with conventional surface LSP (Fig. 1). The 3D LSP process is actually able to accumulate CRS in any critical zone in the bulk of the part. The idea consists in combining SLM and LSP processes, by applying the LSP treatment every few SLM layers. For such an approach to be fully functional and able to produce large parts, the LSP laser with a corresponding scanning head must be integrated into the SLM machine.

The effects of residual stresses on the fatigue life have been extensively investigated [27–29,33] and the beneficial role of compressive stresses in the near surface region has been demonstrated without any ambiguity. It was also observed that the depth of CRS plays a significant influence on fatigue life. The larger the depth (for a given magnitude), the more near surface cracks will be mitigated, and the longer the fatigue life. Although the LSP setting is more complex than the more conventional SP (or even Ultrasonic SP), it is still irreplaceable as a surface treatment of parts with tight specifications such as those encountered for nuclear or aerospace applications, due to the larger CRS depth (Fig. 1) [27,34–39]. By repeating the LSP treatment on a number of SLM layers in the subsurface region, 3D LSP aims at increasing both the CRS magnitude and depth compared to a conventional surface LSP process, with therefore an expected further improvement in fatigue life.

Table 1  
Chemical composition of 316L stainless steel, wt%.

	Cr	Ni	Si	Mo	C	Fe
316L	17	12	2.3	2.5	0.03	Balance

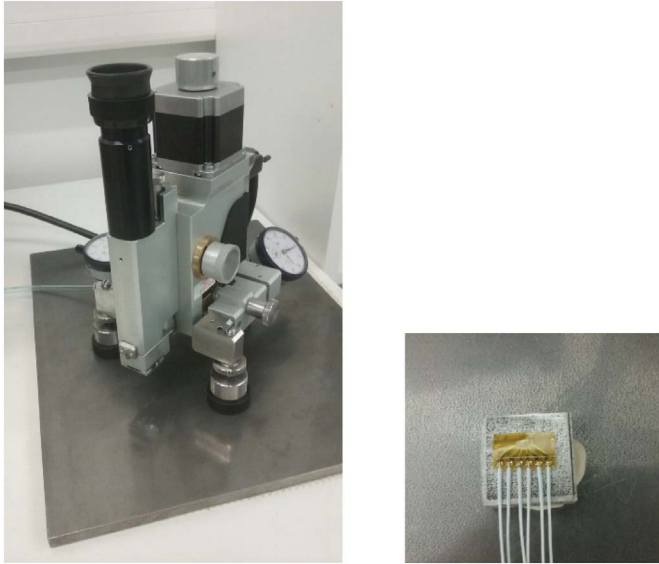


Fig. 3. a) Hole drilling device RESTAN-MTS 3000 (SINT Technology), b) sample with attached strain gauge rosette.

## 2. Experimental setup

### 2.1. Material and SLM parameters

The reference material used here is the widely used 316L austenitic stainless steel, with an ultimate tensile strength (UTS) of 760 MPa [17]. The powder was DIAMALLOY 1003, obtained from Sulzer Metco, Switzerland. The chemical composition is shown in Table 1. Selective laser melting was performed with a Concept M2 (Concept Laser GmbH, Germany) equipped with a fiber laser operated in continuous mode at a wavelength of 1070 nm and a spot size of 90  $\mu\text{m}$ . The specimen geometry was a  $20 \times 20 \times 7 \text{ mm}^3$  cuboid on a 3 mm thick support structure. The chosen SLM processing parameters were: laser power 125 W, scanning speed 600 mm/s, hatch distance 0.105 mm, and layer thickness 0.03 mm. A bi-directional scanning strategy parallel to the part edges was used without a change in scanning direction between layers to deliberately create large residual stresses. Processing was performed under  $\text{N}_2$  atmosphere, and the  $\text{O}_2$  content was controlled to be below 1% throughout the process.

### 2.2. Laser Shock Peening

Laser Shock Peening (LSP) experiments were done using the facility described in [40]. The laser source was a Nd:YAG GAIA - class laser from Thales Laser company with a pulse duration of 7.1 ns, operating at 532 nm. The beam spatial energy distribution is “top-hat” and the pulse shape is near - Gaussian. Round laser spots of 1 and 5 mm diameter were used with a laser energy per pulse of either 0.4 J or 10 J. The ratio of spot size and energy per pulse was chosen such as to keep a constant power density of 7.2 GW/cm<sup>2</sup>. The advantage in using lower energies per pulse (for a given power density) is to open the use of more readily accessible lasers, often functioning at higher repetition rates and therefore possibly increasing productivity. Furthermore, with the current state of the art, lower pulse energies could be delivered via a bundle of optical fibers [41], which is another advantage for the compactness of the machine.

The pressure created at the surface of the part was estimated to 4.7 GPa using the empirical equation  $P \text{ (GPa)} = 1.75 \sqrt{I_0 \left( \frac{\text{GW}}{\text{cm}^2} \right)}$  [42]. Pulse frequency was 1 Hz, and the overlap of 40% and 80% was used for both spot sizes without a protective ablative layer.

### 2.3. Residual stress determination using the hole drilling method

Residual stresses measurements were done with the hole drilling method (HDM). This technique is widely used for determination of in depth residual stress profiles, especially after surface treatments such as LSP, USP, or SP [43–48]. The measuring device was the RESTAN-MTS 3000 from SINT Technology (Fig. 3.a), and the measurements were done according to the ASTM standard E837 [14,43]. The HDM measurement is done by positioning a strain gauge rosette (Fig. 3.b) on the measured surface, and drilling a 1,8 mm diameter hole through it into the surface. As the hole is drilled, residual stresses relax at the hole location causing strains to change. Residual stresses are given by the theory of Kirsch [49]. A variable depth increment of the drill was applied. In the region from the surface up to 100  $\mu\text{m}$  depth, measurements were made every 10  $\mu\text{m}$ . From 0.1 mm to 0.5 mm, the step increased to 25  $\mu\text{m}$ , and from 0.5 mm to 1 mm, it increased further to 50  $\mu\text{m}$ . This procedure resulted in a total of 36 points measured over a total depth of 1 mm.

Fig. 4 shows the most relevant parameters of a typical residual stress profile. These are (i) the maximum amount of CRS - *Max CRS*, (ii) the depth at which the maximum CRS is observed - *Depth of max CRS*, and (iii) the depth at which a transition from CRS to TRS occurs - *Depth of CRS*.

## 3. Results and discussion

### 3.1. As-built state

Residual stress measurement of the 316L SLM samples in the AB state are shown in Table 2. The high tensile value of 342 MPa at 131  $\mu\text{m}$  depth represents 45% of the material UTS (760 MPa). Stresses are tensile from the surface up to the depth of > 1 mm (Fig. 5), which is typical for parts made by SLM.

### 3.2. LSP treated state

SLM samples attached to the baseplate were removed from the SLM machine and treated with LSP. LSP treatments operated with 1 mm and 5 mm spot size, and 40% or 80% overlap were done. A total of four samples were treated for each LSP processing condition. After LSP treatment, one of the four samples of each LSP processing condition was removed from the baseplate and analyzed, while the remaining three samples were sent back to the SLM machine for a rebuilding step of 1, 3 and 10 new layers. Results of residual stress measurements done on samples in the AB and LSP treated states are given in Table 2. The corresponding stress profiles are shown in Fig. 5.

From Table 2 it can be observed that an increase in the overlap rate from 40% to 80% leads to an overall increase in CRS for both the 1 mm and 5 mm spot size. This is in agreement with the previous investigation done on PH1 stainless steel, where it was also observed that (i) a larger spot size leads to deeper CRS, and (ii) a smaller spot size leads to higher max RS [31]. As already discussed in [31], result (i) comes from a geometrical effect associated to the use of a too small spot size, which results in a strong 2D attenuation of shockwaves, and therefore to a decreased plastically affected depth of the LSP treatment [27,35,50]. Result (ii) is in agreement with [51], and this effect can be explained from the increased number of impacts by a smaller spot size on a given surface area.

The maximum value of CRS occurred when using a 1 mm spot size with 80% overlap: the stress value represents 96% of the material UTS. This indicates cyclic hardening of the 316L due to the high number of LSP shots to which the surface is subjected in the 80% overlap LSP condition [52]. Regardless of the chosen LSP parameters, TRS of the AB state are systematically converted to CRS. Smaller spot sizes lead to larger maximum CRS which is in agreement with previous results obtained on a different material [31]. This is especially evident for the

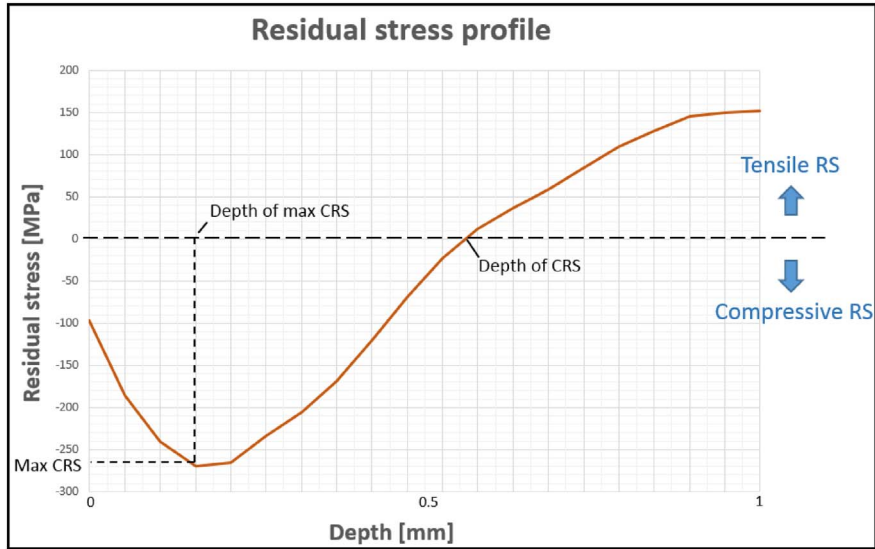


Fig. 4. Residual stress profile displaying the most relevant parameters: *Max CRS* – maximum amount of CRS; *Depth of max CRS* - depth at which the maximum CRS is observed; *Depth of CRS* - depth at which a transition from CRS to TRS occurs.

Table 2

Results of RS measurements: maximum RS/normalized by UTS; depth of maximum RS; depth of CRS. Measurements are made in the as-built state (AB), or with LSP treatments of 1 mm and 5 mm, 40 and 80% overlap, without an ablative coating.

LSP treatment	Max RS[MPa]/percentage of the UTS [%]	Depth of max RS [μm]	Depth of CRS [μm]
AB	342/45	131	/
1 mm 40%	- 266/35	128	416
1 mm 80%	- 730/96	94	804
5 mm 40%	- 246/32	207	696
5 mm 80%	- 390/51	241	963

80% overlap case where reducing the spot size from 5 to 1 mm led to an increase of 45% of UTS. A larger spot size tends however to increase the LSP affected zone depth: an increase from 416 μm to 686 μm is

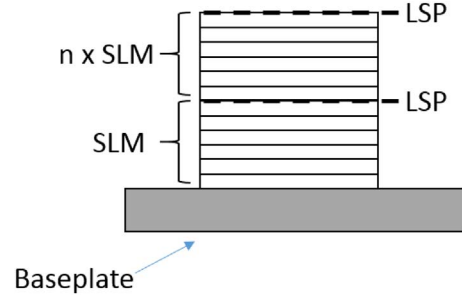


Fig. 6. Schematic description of the 3D LSP process.

observed, for the 40% overlap case. The effect is less pronounced for the 80% overlap case, but still present. The relationship between the spot size and the LSP affected zone depth is due to the 2D attenuation of

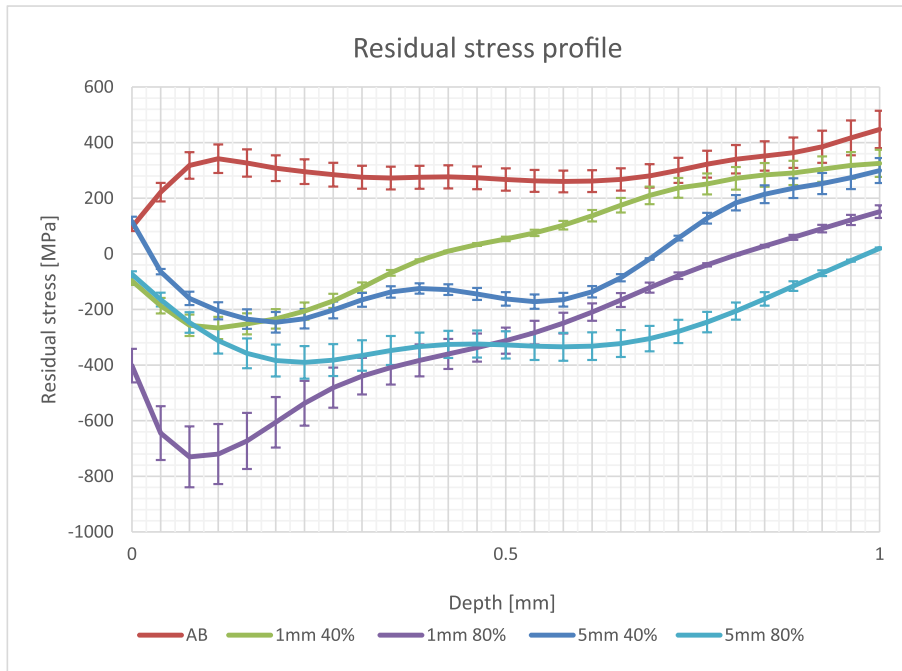


Fig. 5. Residual stress curves measured for samples in the AB and LSP treated states. Spot size was 1 mm and 5 mm with an overlap of 40% and 80%.



**Table 3**

Results of RS measurements: maximum RS/normalized by UTS; depth of maximum RS; depth of CRS. Measurements are made in the as-built state (AB); LSP treatments of 1 mm, 40% overlap; 3D LSP 1 mm 40% with 1,3 and 10 rebuilt layers.

LSP treatment, 40% overlap	Max RS[MPa]/percentage of the UTS [%]	Depth of max RS [μm]	Depth of CRS [μm]
AB	342/45	131	/
LSP	-266/35	128	416
3D LSP $n = 1$	-345/45	170	652
3D LSP $n = 3$	-368/48	202	686
3D LSP $n = 10$	-358/47	131	767

shock waves [27,31,53]. Higher overlap expectedly led to both higher maximum CRS and deeper CRS, but at the cost of an increased LSP treatment time.

Since the LSP laser is meant to be integrated with an SLM machine, laser related questions such as repetition rate, laser size, laser beam delivery and guiding methods should be addressed. The effect of laser spot size requires attention, since laser features differ significantly for the proposed two sets of LSP processing parameters. To reach the desired power density, the energy per pulse jumps from 400 mJ with a 1 mm spot, to 10 J with a 5 mm spot. Since the reported results with the two spot sizes do not vary too much from each other, especially for the 80% overlap case, lower energy lasers (around 400 mJ per pulse) are likely to be beneficial, due to their smaller size, reduced cost and higher repetition rate. Taking into consideration both spot size and available repetition rates, the LSP treatment time is potentially reduced by a factor 4 when using smaller spot sizes. Furthermore, lower energies in the ns range can be coupled into an optical fiber delivery system, and make use of a scanning head (similar to those used in SLM). These considerations explain why a spot size of 1 mm was chosen for all further investigations related to 3D LSP.

### 3.3. 3D LSP

After the initial LSP treatment, for each group of LSP processing parameters, three treated samples were left attached to the baseplate. The baseplate with these samples was returned to the SLM machine for

a rebuilding phase. After careful re-alignment, powder was refilled and  $n$  additional new layers were rebuilt (Fig. 6). The number  $n$  of new layers was 1, 3 or 10. The SLM parameters and scanning strategy were kept the same, including the layer thickness of 30 μm. After the rebuilding phase, the samples were removed from the SLM machine, and the LSP treatment was repeated, using a 1 mm spot size and overlap rates of 40% and 80%.

#### 3.3.1. 3D LSP, 40% overlap

Residual stress measurements for the AB, LSP treated and 3D LSP treated samples are shown in Table 3, and a graphical representation of the stress profiles is given in Fig. 7. 3D LSP samples have a very similar max RS of -345 MPa (45% of UTS), -368 MPa (48%) and -358 MPa (47%) for  $n = 1, 3$  and 10 SLM layers, respectively. This represents a significant increase of Max RS when compared to a conventional surface LSP treatment, leading to an improvement of 30%, 38% and 35%, respectively. This result was not obvious, due to the possible relaxation of stresses from thermal effects induced by the SLM rebuilding step, and the associated generation of tensile stresses. However, an accumulation of CRS was observed for all 3D LSP processing parameters (Figs. 7 and 8). This indicates that the stress relaxation caused by the subsequent laser melting of even multiple  $n$  SLM layers during the rebuilding step is not the dominant effect, and that the 3D LSP does lead to a clear increase in magnitude and depth of CRS compared to a conventional LSP treatment.

The depth of CRS varied from 416 μm for the conventional LSP treatment, to 652 μm, 686 μm and 767 μm for the 3D LSP cases ( $n = 1, 3$  and 10), showing an increase of 57%, 65% and 84%. The general trend which can be extracted from these results is that an increase in  $n$  leads to an increased depth of CRS. As mentioned above, this result was not straightforward. Since the melting and solidification of an SLM layer is very fast, it introduces a limited amount of heat and does not lead to full stress relaxation. CRS can therefore accumulate. The details of these mechanisms will however require further investigation. It is expected that there will be a critical value  $n_c$  beyond which the cumulative effects on the magnitude and depth of CRS will start decreasing. The value of  $n_c$  itself should be a function of SLM processing parameters and scanning strategy. In the present case, as mentioned in Section 2.1, the least favorable SLM parameters and scanning strategy

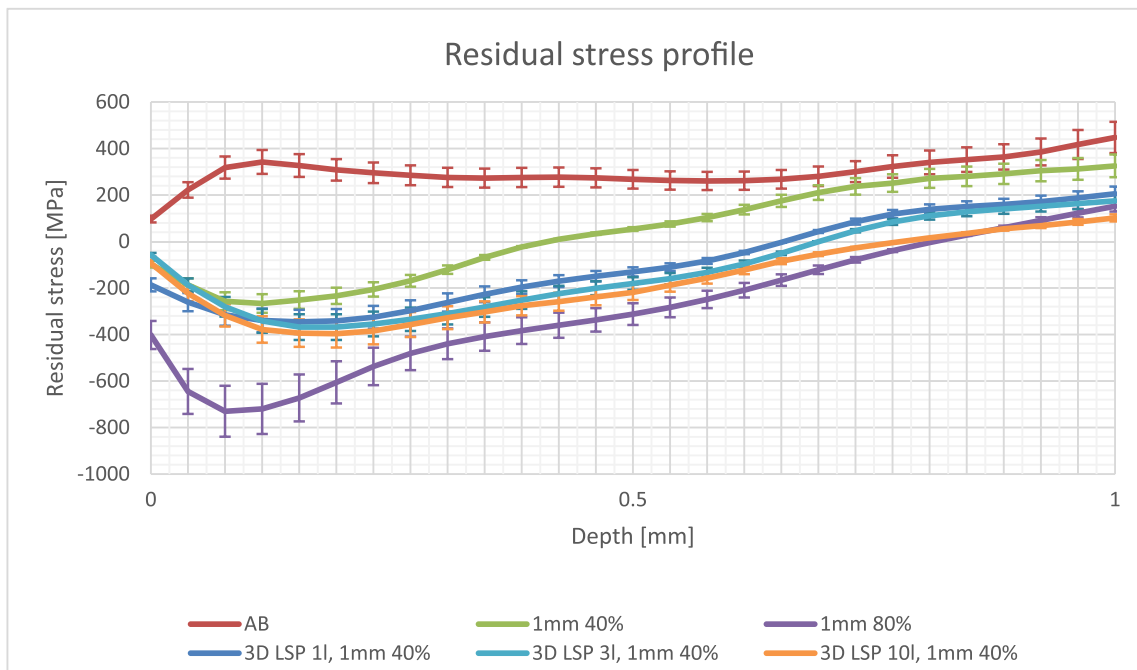


Fig. 7. Residual stress curves measured for samples in the AB, LSP 1 mm 40% and 3D LSP 1 mm 40% with 1, 3 and 10 rebuilt layers.

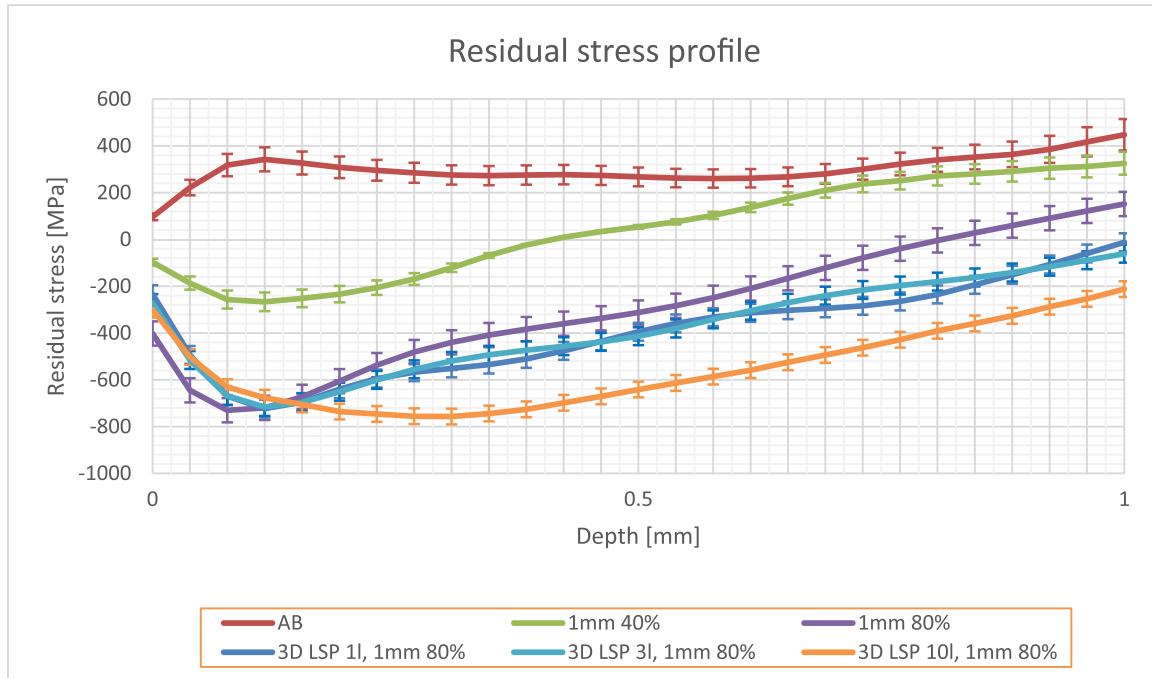


Fig. 8. Residual stress curves measured for samples in the AB, LSP 1 mm 80% and 3D LSP 1 mm 80% with 1, 3 and 10 rebuilt layers.

Table 4

Results of RS measurements: maximum RS/normalized by UTS; depth of maximum RS; depth of CRS. Measurements are made in the as-built state (AB); LSP treatments of 1 mm, 80% overlap; 3D LSP 1 mm 80% with 1, 3 and 10 rebuilt layers.

LSP treatment, 80% overlap	Max RS[MPa]/percentage of the UTS [%]	Depth of max RS [ $\mu$ m]	Depth of CRS [ $\mu$ m]
AB	342/45	131	/
LSP	-730/96	94	804
3D LSP $n = 1$	-667/88	165	> 1 mm (-38 MPa at 1 mm)
3D LSP $n = 3$	-707/93	126	> 1 mm (-52 MPa at 1 mm)
3D LSP $n = 10$	-756/99	243	> 1 mm (-254 MPa at 1 mm)

were selected on purpose, to show the potential of the 3D LSP process, hence leaving space for further improvement.

### 3.3.2. 3D LSP, 80% overlap

Residual stress measurements after treatments with 80% overlap are shown in Table 4 and Fig. 8. 3D LSP samples had a max RS of -667 MPa (88% of UTS), -707 MPa (93%) and -756 MPa (99%) for  $n = 1, 3$  and 10 SLM layers, respectively. These values are very similar to those produced by a conventional LSP treatment (-730 MPa or 94% of UTS), which already indicate a high strain hardening level due to a high density of shots when working with a 80% overlap.

The depth of CRS was increased from 804  $\mu$ m for the conventional LSP treatment to over 1 mm, beyond the maximum depth investigated with the current hole drilling experimental setup. At the 1 mm depth, remaining compressive stresses were 38 MPa, 52 MPa and 254 MPa for  $n = 1, 3$  and 10, respectively. This is not only a significant increase compared to the conventional LSP treatment, but also compared to the LSP treatment with 5 mm spot size (see Table 2 and Fig. 5). These results illustrate the relevance of choosing a small spot size in 3D LSP, as the LSP affected zone depth can be even higher than the one produced by larger spot sizes in conventional LSP treatments. Similarly to the 40% overlap case, an increase in  $n$  leads to a significant increase in depth of CRS.

## 4. Conclusions and future work

In this paper, we have demonstrated the capability of an LSP treatment to change the residual stress state of SLM parts. Tests were performed on an austenitic 316L stainless steel, for which a highly tensile state of the AB sample was converted into a CRS state. It was also shown that if SLM building phase alternates with LSP treatments, both the magnitude and depth of maximum CRS can be significantly increased. Various LSP processing parameters were tested, and it can be concluded that:

- A conventional LSP treatment easily converts TRS into a CRS state
- A smaller spot size leads to a larger maximum CRS
- A larger spot size leads to increased depth of CRS.
- Higher overlap rates (80%) lead to higher CRS and deeper CRS profiles due to a larger density of impacts on the treated surface. Although this LSP processing condition leads to better results, it increases the LSP treatment time.
- 3D LSP increases both the magnitude and depth of CRS. This was observed for all processing conditions
- 3D LSP with a reduced spot size and pulse energy can produce deeper CRS than those induced by a conventional LSP treatment with a larger spot size and pulse energy. This was observed for both 40% and 80% overlap, and proves the interest of using lower energy pulsed lasers with higher repetition rates and reduced processing time. Such lasers are also better suited for implementation into a single SLM-LSP hybrid machine, being smaller in size, cheaper, and more easily adaptable in terms of beam delivery and positioning.
- Increasing the number of SLM layers between LSP treatments leads to an increase of CRS depth.

Further work will focus on (i) more accurate investigation of the effects of the number of SLM layers between two subsequent LSP treatments, (ii) the development of a prototype machine for the building of larger samples with optimized spatial distribution of tensile and compressive stresses, (iii) the assessment of fatigue life of 3D LSP treated samples, and the comparison with samples subjected to a conventional surface LSP treatment.

Another research direction will relate to the manufacturing of

materials which are known to fail in SLM conditions due to the accumulation of high TRS, and for which the combination with 3D LSP is anticipated to be beneficial.

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