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**Flow of Yield Stress and Carreau fluids through Rough-Walled Rock Fractures:
prediction and experiments**

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Abstract

Many natural phenomena in geophysics and hydrogeology involve the flow of non-Newtonian fluids through natural rough-walled fractures. Therefore, there is considerable interest in predicting the pressure drop generated by complex flow in these media under a given set of boundary conditions. However, this task is markedly more challenging than the Newtonian case given the coupling of geometrical and rheological parameters in the flow law. The main contribution of this paper is to propose a simple method to predict the flow of commonly used Carreau and yield stress fluids through fractures. To do so, an expression relating the “*in-situ*” shear viscosity of the fluid to the bulk shear-viscosity parameters is obtained. Then, this “*in-situ*” viscosity is entered in the macroscopic laws to predict the flow rate-pressure gradient relations. Experiments with yield stress and Carreau fluids in two replicas of natural fractures covering a wide range of injection flow rates are presented and compared to the predictions of the proposed method. Our results show that the use of a constant shift parameter to relate “*in-situ*” and bulk shear viscosity is no longer valid in the presence of a yield stress or a plateau viscosity. Consequently, properly representing the dependence of the shift parameter on the flow rate is crucial to obtain accurate predictions. The proposed method predicts the pressure drop in a rough-walled fracture at a given injection flow rate by only using the shear rheology of the fluid, the hydraulic aperture of the fracture and the inertial coefficients as inputs.

1. Introduction

The flow of complex fluids through rough-walled rock fractures is involved in many economically important industrial applications, such as soil remediation, hydrogeology or Enhanced Oil Recovery (EOR) [Radilla *et al.*, 2013; Tosco *et al.*, 2013; Coussot, 2014]. Numerous complex fluids are shear-thinning, showing a decrease in shear viscosity as the applied shear rate is increased. Shear-thinning fluids are extensively used in petroleum engineering and soil remediation to improve the microscopic sweep of the reservoir through stabilization of the injection front [Lake, 1989; Silva *et al.*, 2012; Wever *et al.*, 2011]. For instance, shear-thinning drilling fluids containing the biopolymer xanthan [Zhong *et al.*, 2008; Truex *et al.*, 2015] and other polymers such as polyacrylamide [Ball and Pitts, 1984], carboxymethylcellulose [Zhang *et al.*, 2016] and guar gum [Hernández-Espriú *et al.*, 2013] are widely used in EOR.

In some cases, fluids with shear-rate dependent viscosity also present a yield stress, i.e. a threshold value in terms of shear stress below which they do not flow. Many complex fluids used in industrial applications exhibit yield stress behaviour, e.g. polymer solutions, waxy crude oils, volcanic lavas, emulsions, colloid suspensions, foams, etc. [Coussot, 2005; Dimitriou and McKinley, 2015; Roustaei *et al.*, 2016; Talon *et al.*, 2014; Lavrov, 2013; Coussot, 2014]. Common examples of yield stress shear-thinning fluids are the slurries or cement grouts injected to reinforce soils, the heavy oils or the drilling fluids injected into rocks for the reinforcement of wells [Lavrov, 2013; Coussot, 2014]. Indeed, drilling fluids are often designed so as to have a yield stress in order to prevent cutting from settling when circulation stops [Lavrov, 2013]. Also, a number of fracturing fluids used in hydraulic

fracturing exhibit a yield stress designed to enhance proppant transport [Talon *et al.*, 2014; Roustaei *et al.*, 2016] and present shear-thinning behaviour [Lavrov, 2015; Perkowska *et al.*, 2016].

For these reasons, the flow of shear-thinning fluids in porous media, and in particular that of yield stress fluids, has become a field of great research interest [Chevalier *et al.*, 2013; Chevalier *et al.*, 2014; Coussot, 2014; Talon *et al.*, 2014; Rodríguez de Castro *et al.*, 2016]. However, although recent advances have been made [Chevalier *et al.*, 2013; Chevalier *et al.*, 2014], obtaining a macroscopic law to predict pressure drop as a function of flow rate has proved to be a stumbling-block. Also, despite its broad interest, a serious lack of experimental works involving the flow of yield stress fluids was reported by Lavrov [2013] and Coussot [2014].

Inspired by the growing scope of industrial applications in which shear-thinning and yield stress fluids are injected through rough-walled fractures, the objective of this work is to present a simple method to predict the pressure losses generated during single-phase flow. The accuracy of the resulting predictions is then evaluated through comparison with experimental data. To do so, a series of flow experiments with concentrated aqueous solutions of xanthan biopolymer presenting a yield stress were carried out by measuring the pressure drop as a function of the injection flow rate during the flow through two replicas of rough-walled natural fractures (granite and Vosges sandstone). Furthermore, previously presented experimental data involving the flow of shear-thinning with no yield stress are also compared with the predictions obtained with the proposed method.

89

90 The single-phase flow of incompressible Newtonian fluids through porous media is governed
91 by Darcy's law [*Darcy*, 1856]. In the case of one-directional steady flow through a horizontal
92 porous media, this law is written as:

93

$$\nabla P = \frac{\mu Q}{KA} = \frac{\mu}{K} u \quad (1)$$

94 $\nabla P = \frac{\Delta P}{L}$ being the pressure gradient, ΔP the absolute value of the pressure drop over a
95 distance L , Q the volumetric flow rate, A the cross-sectional area, $u = Q/A$ the average
96 velocity, μ the viscosity of the injected fluid, and K the intrinsic permeability. This model is
97 restricted to creeping flow in which inertial forces are negligible compared to viscous forces
98 [*Schneebeli*, 1955; *Hubbert*, 1956; *Scheidegger*, 1960; *Chauveteau and Thirriot*, 1967].
99 Nonlinearity of fluid flow stems from inertial pressure losses generated by the repeated
100 accelerations and decelerations due to rapid changes in flow velocity and direction along the
101 flow path. Both theoretical and empirical models taking into account the extra pressure losses
102 due to inertial effects were presented in the literature [*Miskimins et al.*, 2005]. The results of
103 these studies confirm the existence of a strong inertial regime and a weak inertial regime. The
104 nonlinear behaviors associated to those regimes can be described respectively by a quadratic
105 and a cubic function of the average velocity. Forchheimer's empirical law [*Forchheimer*,
106 1901] is commonly used to model the strong inertial regime through addition of a quadratic
107 flow rate term to Darcy's law:

108

109

$$\nabla P = \frac{\mu}{K}u + \beta\rho u^2 \quad (2)$$

where ρ is the fluid density and β is the inertial coefficient. Forchheimer's law has been experimentally validated [Dullien and Azzam, 1973; Geertsma, 1974; MacDonald et al., 1979; Rasoloarijaona and Auriault, 1994; Javadi et al., 2014; Rodríguez de Castro and Radilla, 2016a] and has found some theoretical justifications [Cvetkovic, 1986; Giorgi, 1997; Chen et al., 2001]. In the case of the weak inertial regime, which occurs at moderate values of the Reynolds number, deviations from the linear relationship between flow rate and pressure loss were shown to follow a cubic function of the mean velocity in the porous media [Mei and Auriault, 1991; Firdaouss et al., 1997; Fourar et al., 2004; Rocha and Cruz, 2010].

$$\nabla P = \frac{\mu}{K}u + \frac{d\rho^2}{\mu}u^3 \quad (3)$$

where d is a dimensionless inertial coefficient. Reynolds number can be specifically defined for weak inertia cubic law as [Radilla et al., 2013; Rodríguez de Castro and Radilla, 2016a].

$$\text{Re}_c = \sqrt{Kd} \frac{\rho Q}{\mu A} \quad (4)$$

Cubic law was obtained from numerical simulations in a 2D periodic porous medium [Barrère et al., 1990; Fidarous and Guermond, 1995; Amaral Souto and Moyne, 1997] and also by using the homogenization technique for isotropic homogeneous porous media [Mei

and Auriault, 1991; Wodie and Levy, 1991]. This law was shown to be in agreement with experimental data [Firdaous et al., 1997; Rodríguez de Castro and Radilla, 2016a].

Using the asymptotic expansions method in a thin cylindrical channel with oscillating walls and averaging over the channel diameter, Buès et al. [2004] and Panfilov and Fouar [2006] presented a macroscopic flow equation which proved to be in good agreement with numerical simulations in rectangular and cylindrical fractures at high flow rates. This flow equation was expressed in the form of a full cubic law:

$$\nabla P = \frac{\mu}{K} u + \beta \rho u^2 + \frac{d \rho^2}{\mu} u^3 \quad (5)$$

where β and d are the inertial coefficients which may be positive or negative, depending on the channel geometry. β and d were shown to be independent of the shear rheology of the injected fluid in previous numerical [Firdaouss et al., 1997; Yadzchi and Luding, 2012; Tosco et al., 2013] and experimental works [Rodríguez de Castro and Radilla, 2016a; Rodríguez de Castro and Radilla, 2016b]. In this full cubic law, the quadratic term describes the pure inertia effect caused by an irreversible loss of kinetic energy due to flow acceleration and the cubic term corresponds to a cross viscous–inertia effect caused by the streamline deformation due to inertia forces. This macroscopic flow equation is valid not only in the Darcian flow regime but also, to some limited extent, for the non-Darcian flow regimes. β and d can be obtained either through fitting to experimental data [Dukhan et al., 2014; Rodríguez de Castro and Radilla, 2016a, 2016b] or through theoretical predictions obtained from porosity, permeability and roughness of the porous medium [Cornell and Katz, 1953; Geertsma, 1974; Neasham, 1977; Noman and Archer, 1987; López, 2004; Agnaou et al., 2016].

Analogously to the case of cubic law, Reynolds number can be defined for full cubic law as [Radilla et al., 2013; Rodríguez de Castro and Radilla, 2016a]:

$$Re_{fc} = \frac{K\beta\mu}{\mu} \quad (6)$$

Previous experimental works demonstrated that Darcy's law fails to predict pressure drops in fractures when inertial effects are relevant [Zimmerman et al., 2004; Radilla et al., 2013; Javadi et al., 2014; Rodríguez de Castro and Radilla, 2016a, 2016b]. Zimmerman et al. [2004] presented experimental data on non-creeping flow through a rock fracture, showing good agreement with Forchheimer's model. The same authors also proved, via numerical solution of the Navier-Stokes equations, the existence of the weak inertia regime for moderate values of Reynolds numbers. Radilla et al. [2013] modelled single-phase flow experiments by means of the full cubic law and presented an elegant method to compare fractures in terms of hydraulic behaviour versus flow regime using the intrinsic hydrodynamic parameters. Besides, a geometrical model for non-linear fluid flow through rough fractures was proposed and evaluated through numerical simulations by Javadi et al. [2010]. More recently, Roustaei et al. [2016] numerically investigated the 2D-flow of a yield stress fluid along an uneven fracture, showing that important Darcy-type flow law lead to important errors in the case of short fractures due to self-selection of the flowing region and the existence of fouling layers of unyielded fluid.

Several authors showed that the intrinsic permeability K and the cross-sectional area A used in Eqs (1) to (5) can be written as functions of the hydraulic aperture of the fracture h [Witherspoon *et al.*, 1980; Brown, 1987; Zimmerman and Yeo, 2000; Brush and Thomson, 2003]:

$$K = \frac{h^2}{12} \quad (7)$$

$$A = hw \quad (8)$$

The aperture distribution of rough-walled rock fractures always presents a strong heterogeneity, due to the wide range of aperture sizes and the significant number of contact points [Witherspoon *et al.*, 1980; Xiong *et al.*, 2011; Javidi *et al.*, 2014; Wang *et al.*, 2016]. The hydraulic behaviour through a fracture is known to be heavily dependent upon the apertures distribution [Isakov *et al.*, 2001; Javidi *et al.*, 2014; Wang *et al.*, 2016]. This is explained by the tendency of the fluid to flow through the paths with the largest apertures. Moreover, within a given path, the hydraulic behaviour of fracture would be controlled by the small apertures and constrictions [Tsang and Tsang, 1987; Neuzil and Tracy, 1981].

Several attempts have been made to obtain a macroscopic law linking the injection flow rate to the resulting pressure drop during the flow of yield stress fluids in porous media [Pascal, 1983; Al-Fariss and Pinder, 1987; Chase and Dachavijit, 2005; Coussot, 2014]. A major drawback of most available expressions is the existence of experimentally adjustable

parameters with no clear physical meaning as inputs, which impedes direct computational predictions. As an alternative, some pore-network approaches have also been proposed [Chen *et al.*, 2005; Sochi and Blunt, 2008]. The main advantage of pore-network models is that they provide a reasonably realistic description of the reality in which the number of flow paths through the porous media increases with the applied pressure gradient. Nonetheless, these effects need experimental validation. Also, pore-network methods do not lead to analytical ∇P - u expressions, which is particularly aggravating in applications involving a wide range of injection flow rates. An important difference between Newtonian and complex fluids is the coupling of geometrical and rheological parameters in the flow law [Roustaei *et al.*, 2016]. Indeed, a non-toxic method of porosimetry has been proposed, which is based on the injection of yield stress fluids through porous media and takes advantage of the mentioned coupling [Ambari *et al.*, 1990; Malvault, 2013; Oukhlef *et al.* 2014; Rodríguez de Castro, 2014; Rodríguez de Castro *et al.*, 2014; Rodríguez de Castro *et al.*, 2016a].

The literature survey conducted by Lavrov [2013] revealed the severe lack of research on fracture flow of non-Newtonian fluids, especially regarding yield-stress fluids. The earlier works of Di Federico [Di Federico, 1997; Di Federico, 1998; Di Federico, 2001] mainly focused on defining and estimating the equivalent aperture for flow of a non-Newtonian fluid in a variable aperture fracture, without experimental validation. Also, Silliman [1989] provided different aperture estimates for variable aperture fractures. Only a few experimental works exist for the flow of yield stress fluids in porous media [Al-Fariss and Pinder, 1987; Chase and Dachavijit, 2005; Chevalier *et al.*, 2013; Chevalier *et al.*, 2014; Rodríguez de Castro, 2016a], and the ranges of variation of u are usually narrow. These experimental works show that the relationship between ∇P and u is of the same form as the constitutive equation of the fluid, i.e. $\nabla P = \nabla P_0 + Cu^n$ with ∇P_0 being the critical pressure gradient below

which no flow occurs, n being the flow index of the fluid and C being a parameter that depends on the porous medium and the boundary conditions. *Chevalier et al.* [2014] used an NMR imaging technique to show that the velocity density distribution of a yield stress fluid flowing through a packed bed was similar to that of a Newtonian fluid due to the minor role played by the constitutive equation of the fluid in rapidly varying pore geometry. On the basis of these results, the latter authors propose explicit (but complex) expressions with physical meaning to calculate ∇P_0 and C . Concerning multiphase flow, *Boronin et al.*, [2015] developed a model for the displacement of yield-stress fluids in a vertical Hele-Shaw cell and used it to investigate the joint effect of viscous fingering, yielding and gravitational slumping, showing that unyielded fluid zones develop as a result of viscous fingering generated when a yield stress fluid is displaced by a low-viscosity Newtonian one.

Recently, *Rodríguez de Castro and Radilla* [2016a] conducted non-Darcian flow experiments of shear-thinning fluids without yield stress in rough-walled fractures, showing that the inertial pressure losses do not depend on fluid's rheology. These authors proposed a method to predict the pressure losses generated during non-Darcian shear-thinning flow from the values of K , γ and β obtained during creeping Newtonian flow and the shear-viscosity parameters of the fluid. Their predictions showed good agreement with experimental data. However, a major drawback was the use of an experimentally obtained shift parameter to relate the apparent viscosity of the fluid in the porous medium to its bulk viscosity. Indeed, the calculation of the mentioned shift parameter involved carrying out preliminary Darcian shear-thinning flow experiments, so predicting its value is of considerable interest. Another interesting prospect consisted in extending this prediction method to the case of shear-thinning fluids with yield stress. The same authors also proposed a simple method to predict non-Darcian flow of Carreau fluids through packed beads [*Rodríguez de Castro and Radilla*,

2016b]. However, the flow of yield stress fluids was not tackled in these works and no estimate of the shift parameter relating the apparent viscosity of the fluid in the porous medium to its bulk viscosity was provided either.

Many applications require the flow rate in a fracture to be predicted from the applied pressure gradient and known fracture size and fluid rheology. In particular, understanding the flow of drilling fluids with yield stress through a rough-walled fracture is of vital importance in order to design the additives used to stop the fluid loss when a fracture is hit during drilling [Lavrov, 2013]. In this work, a simple approach is proposed to extend Darcy's and full cubic laws to the case of yield stress and Carreau fluids. In order to achieve this goal, flow experiments with concentrated aqueous polymer solutions have been conducted using replicas of natural fractures. Particular attention will be paid to investigating how yield stress affects the relationships between flow rate and pressure losses in rough-walled rock fractures.

2. Predicting the flow of yield stress fluids and Carreau fluids in porous media

The shear-thinning behaviour of semi-dilute polymer solutions widely used in EOR and soil remediation is commonly represented by the empirical Carreau model [Carreau, 1972] based on molecular network theory [Sorbie, 1989; López *et al.*, 2003; Rodríguez de Castro *et al.*, 2016b]. The Carreau equation is often presented as $\frac{\mu - \mu_\infty}{\mu_0 - \mu_\infty} = [1 + (\lambda \dot{\gamma})^2]^{\frac{n-1}{2}}$, where μ is the viscosity at a given shear rate $\dot{\gamma}$, μ_0 and μ_∞ are the zero shear rate and infinite shear rate viscosities, respectively, n is the power-law index, and λ is the time constant. n is inferior to unity for shear-thinning fluids. The values of μ_0 , μ_∞ , n and λ are determined by the polymer

concentration under given pressure and temperature conditions. In the region far from the low shear viscosity plateau, i.e. when $\dot{\gamma} \gg \frac{1}{\lambda}$, Carreau's law leads to the following expression [Rodríguez de Castro and Radilla, 2016a]:

$$\mu \approx \mu_{\infty} + (\mu_0 - \mu_{\infty})\lambda^{n-1}\dot{\gamma}^{n-1} = \mu_{\infty} + c\dot{\gamma}^{n-1} \quad (9)$$

with $c = (\mu_0 - \mu_{\infty})\lambda^{n-1}$. Given that all the shear rates involved in the flow experiments with Carreau fluids analysed in this work are sufficiently high, only the high shear rates version of Carreau's equation (Eq. 9) will be considered subsequently.

Some concentrated polymer solutions present a yield stress, as shown in previous works [Song *et al.*, 2006; Carnali, 1991; Withcomb and Macosko, 1978; Economides and Nolte, 2000; Khodja, 2008; Benmouffok-Benbelkacem *et al.*, 2010]. The steady-state shear flow of concentrated polymer solutions has been proved to be well described by the Herschel–Bulkley law [Herschel and Bulkley, 1926]. This empirical law can be written as follows:

$$\begin{cases} \tau = \tau_0 + a\dot{\gamma}^n & \text{for } \tau > \tau_0 \\ \dot{\gamma} = 0 & \text{for } \tau \leq \tau_0 \end{cases} \quad (10)$$

where τ_0 is the yield stress, a is the consistency and n is the flow index of the fluid. In the case of shear-thinning yield stress fluids, n is inferior to unity. The three parameters are generally obtained by fitting the data obtained by measuring the shear rate $\dot{\gamma}$ as a function of the applied shear stress τ using a rheometer.

A practical approach to study the flow of complex fluids with shear-rate-dependent viscosity through a porous medium consists in defining an equivalent viscosity μ_{eq} as being the

quantity that must replace the viscosity in Darcy's law to result in the same pressure drop actually measured [Tosco *et al.*, 2013]. In the case of a rectangular fracture, μ_{eq} is expressed as:

$$\mu_{eq} = K \frac{\nabla P}{u} = \frac{h^2}{12} \frac{\nabla P}{u} \quad (11)$$

It should be noted that both inertial and viscous effects are encompassed in μ_{eq} . In order to analyse the viscous effects separately, the “*in situ*” shear viscosity μ_{pm} in the porous medium must be calculated. To do such calculation from the constitutive equation of the fluid, an apparent shear rate in the porous medium has to be determined first. The apparent shear rate $\dot{\gamma}_{pm}$ of shear-thinning fluids flowing through a porous medium can be defined by dividing the mean velocity u by a characteristic microscopic length of the porous media [Chauveteau, 1982; Sorbie *et al.*, 1989; Perrin *et al.*, 2006; Tosco *et al.*, 2013; Rodríguez de Castro *et al.*, 2016b]. This microscopic length is usually taken as $\sqrt{K\varepsilon}$ with ε being the porosity of the porous medium. From the definition of cross-sectional area (Eq. 7), it is expected that porosity is close to unity in the particular case of fractures. Therefore, $\dot{\gamma}_{pm}$ can be defined as:

$$\dot{\gamma}_{pm} = \alpha \frac{u}{\sqrt{K}} = \alpha \frac{2\sqrt{3}u}{h} \quad (12)$$

where α is a empirical shift factor known to be a function of both the bulk rheology of the fluid and the porous media [Chauveteau, 1982; Sorbie *et al.*, 1989; López *et al.*, 2003; López, 2004; Comba *et al.*, 2011]. Previous research showed that $\dot{\gamma}_{pm}$ corresponds to the wall shear

rate in the average pore throat diameter [*Chauveteau and Zaitoun*, 1981; *Chauveteau*, 1982; *Sheng*, 2011].

The usual approach to determine the value α consists in overlaying the porous medium μ_{eq} vs. $\dot{\gamma}_{app}$ with the bulk μ_{eq} vs. $\dot{\gamma}$ curves as closely as possible and noting the scale change in shear rate required to obtain the best fit. This criterion to select α was proposed by *Sorbie et al.* [1989] as a pragmatic alternative to the original one previously proposed by *Chauveteau* [1982], and was subsequently used by other authors [*González et al.*, 2005; *Amundarain et al.*, 2009]. It should be noted that a good overlay between both curves is only possible in the low flow rates region where no significant inertial effects occur, assuming no wall slip [*Tosco et al.*, 2013; *Rodríguez de Castro and Radilla*, 2016a]. Keeping in mind the objective to propose a prediction method, expressions for the calculation of α must be provided so as to avoid the need to perform α -determination experiments.

In the case of Carreau fluids flowing at moderate and high shear rates μ_{pm} can be obtained from Eqs. (9) and (12):

$$\mu_{pm,Carreau} = \mu_{\infty} + c \left(\alpha \frac{2\sqrt{3}u}{h} \right)^{n-1} \quad (13)$$

Analogously, in the case of Herschel-Bulkley fluids, μ_{pm} can be obtained from Eqs. (10) and (12):

$$\mu_{pm,ysf} = \frac{\tau_0 h}{\alpha 2\sqrt{3}u} + a \left(\alpha \frac{2\sqrt{3}u}{h} \right)^{n-1} \quad (14)$$

319

320 Although Eqs. (12-14), which are based on the bundle-of-capillaries model, were originally
 321 proposed for the flow of non-Newtonian fluids through packed beads, the apparent viscosity
 322 was found to correlate reasonably well in porous media with complex pre geometry and
 323 topology [*Sorbie et al.*, 1989].

324 Let us focus now on the determination of the wall shear rate in rectangular channels. For the
 325 steady 2D-flow of an incompressible fluid through a rectangular channel, the wall shear stress
 326 τ_w is related to the pressure gradient ∇P as follows [*Pipe et al.*, 2008]:

327

$$\tau_w = \frac{wh}{2(w+h)} \nabla P \quad (15)$$

328

329 For the calculation of ∇P in Eq. (15), the fractures will be modelled as being rectangular
 330 channels of width w and depth h . As explained above, $\dot{\gamma}_{pm}$ corresponds to the wall shear rate
 331 in the average pore throat diameter [*Chauveteau and Zaitoun*, 1981; *Chauveteau*, 1982;
 332 *Sheng*, 2011]. In the case of a rough-walled fracture, the average pore throat diameter can be
 333 assimilated to the hydraulic aperture. Therefore, $\dot{\gamma}_{pm}$ can be interpreted as the wall shear rate
 334 in a section of aperture h . The wall shear stress in a section of aperture h can be calculated
 335 from Eq. (15), by using Eqs. (1) and (13) for the case of Carreau fluids:

336

337

$$\tau_w = \frac{6w}{(w+h)} \frac{u}{h} [\mu_\infty + c \dot{\gamma}_{pm}^{n-1}] = \frac{6w}{(w+h)} \frac{u}{h} \left[\mu_\infty + 2^{n-1} 3^{\frac{n-1}{2}} c \left(\alpha \frac{u}{h} \right)^{n-1} \right] \quad (16)$$

338

339 And using Eqs. (1) and (14) for the case of Herschel-Bulkley fluids, Eq. (15) can be written

340 as:

$$\tau_w = \frac{6w}{(w+h)} \frac{u}{h} \left(\frac{\tau_0}{\dot{\gamma}_{pm}} + a \dot{\gamma}_{pm}^{n-1} \right) = \frac{\sqrt{3}w}{(w+h)\alpha} \left[\tau_0 + 2^n 3^{\frac{n}{2}} a \left(\alpha \frac{u}{h} \right)^n \right] \quad (17)$$

341

342 For a constant viscosity fluid, the wall shear rate is given by $\dot{\gamma}_{w,Newtonian} = \frac{6u}{h}$. However, for

343 incompressible flows of liquids with a shear-rate-dependent viscosity, the calculation of $\dot{\gamma}_w$ is

344 more complex given that the velocity profile is no longer parabolic [*Pipe et al.*, 2008]. An

345 apparent shear rate $\dot{\gamma}_{app}$ can thus be defined as:

346

$$\dot{\gamma}_{app} = \frac{6u}{h} \quad (18)$$

347

348 The true wall shear rate can be found using the Weissenberg–Rabinowitsch–Mooney

349 equation [*Macosko*, 1994; *Pipe et al.*, 2008]:

350

$$\dot{\gamma}_w = \frac{\dot{\gamma}_{app}}{3} \left[2 + \frac{d(\ln \dot{\gamma}_{app})}{d(\ln \tau_w)} \right] \quad (19)$$

351

352 Therefore, the next equation can be obtained from Eqs. (16), (18) and (19) for a Carreau
353 fluid:

$$\dot{\gamma}_{w,Carreau} = \frac{2u}{h} \left(2 + \frac{2\sqrt{3}\alpha h^n \mu_\infty u + 2^n 3^{n/2} a h (\alpha u)^n}{2\sqrt{3}\alpha h^n \mu_\infty u + 2^n 3^{n/2} a h n (\alpha u)^n} \right) \quad (20)$$

354

355 Analogously, the next equation can be obtained from Eqs. (17), (18) and (19) for a Herschel-
356 Bulkley fluid:

$$\dot{\gamma}_{w,ysf} = \frac{2u}{h} \left(2 + \frac{a + 2^{-n} 3^{-n/2} \tau_0 \left(\alpha \frac{u}{h} \right)^{-n}}{a n} \right) \quad (21)$$

357

358 For a Carreau fluid, $\dot{\gamma}_{pm} = \dot{\gamma}_{w,Carreau}$, so Eqs. (12) and (20) lead to the following expression:

359

$$\alpha = \frac{6\sqrt{3}\alpha h^n \mu_\infty u + 2^n 3^{\frac{n}{2}} a h (1 + 2n) (\alpha u)^n}{6\alpha h^n \mu_\infty u + 2^n 3^{\frac{n+1}{2}} a h n (\alpha u)^n} \quad (22)$$

360

361 In the case of a Herschel-Bulkley fluid ($\dot{\gamma}_{pm} = \dot{\gamma}_{w,ysf}$), Eqs. 12 and 21 lead to:

362

$$\alpha = \frac{1}{\sqrt{3}} \left(2 + \frac{a + 2^{-n} 3^{-n/2} \tau_0 \left(\alpha \frac{u}{h} \right)^{-n}}{an} \right) \quad (23)$$

363

364 From Eqs. (22) and (23), it can be deduced that α is not a constant parameter in the case of
 365 Carreau fluids and yield stress fluids, but depends on u . For the simpler case of a power-law
 366 fluid ($\tau_0=0$), Eq. (23) leads to:

367

$$\alpha = \frac{1}{\sqrt{3}} \left(2 + \frac{1}{n} \right) \quad (25)$$

368 which becomes $\alpha = \sqrt{3}$ for a Newtonian fluid. Therefore, α is a constant parameter only if
 369 $\tau_0 = 0$ and $\mu_\infty = 0$.

370

371 Given that α depends on u for both Carreau and yield stress fluids, Eqs. (22) and (23) are
 372 only relevant in the cases $\mu_\infty = 0$ and $\tau_0 = 0$, respectively. For $\mu_\infty \neq 0$ and $\tau_0 \neq 0$, Eq. (19)
 373 becomes:

374

$$\dot{\gamma}_w = \frac{\dot{\gamma}_{app}}{3} \left[2 + \frac{\frac{d(\ln \dot{\gamma}_{app})}{du} du}{\frac{\partial(\ln \tau_w)}{\partial u} du + \frac{\partial(\ln \tau_w)}{\partial \alpha} d\alpha} \right] = \frac{\dot{\gamma}_{app}}{3} \left[2 + \frac{\frac{d(\ln \dot{\gamma}_{app})}{du}}{\frac{\partial(\ln \tau_w)}{\partial u} + \frac{\partial(\ln \tau_w)}{\partial \alpha} \frac{d\alpha}{du}} \right] \quad (26)$$

375 where α is a function of u .

376

377 Therefore, for a Carreau fluid, Eq. (20) becomes:

$$\dot{\gamma}_{w, \text{Carreau}} = \frac{2u}{h} \left[2 + \frac{\alpha \left(2\sqrt{3}\mu_{\infty}u\alpha + 2^n 3^{\frac{n}{2}} ah \left(\frac{u\alpha}{h} \right)^n \right)}{2\sqrt{3}\mu_{\infty}\alpha^2 + 2^n 3^{\frac{n}{2}} ah n \alpha \left(\frac{u\alpha}{h} \right)^n + 2^{n+1} 3^{\frac{n}{2}} ah (n-1) u \left(\frac{u\alpha}{h} \right)^n \frac{\partial \alpha}{\partial u}} \right] \quad (27)$$

378

379 Also, for a Herschel-Bulkley fluid, Eq. (21) becomes:

380

$$\dot{\gamma}_{w, \text{ysf}} = \frac{2u}{h} \left[2 + \frac{\alpha \left(\tau_0 + 2^n 3^{\frac{n}{2}} a \left(\frac{u\alpha}{h} \right)^n \right)}{2^n 3^{\frac{n}{2}} a n \alpha \left(\frac{u\alpha}{h} \right)^n - 2u \left(\tau_0 - 2^n 3^{\frac{n}{2}} a (n-1) \left(\frac{u\alpha}{h} \right)^n \right) \frac{\partial \alpha}{\partial u}} \right] \quad (28)$$

381

382 Consequently, the following differential equation has to be solved in order to determine α as

383 a function of u for a Carreau fluid:

384

$$\alpha = \frac{1}{\sqrt{3}} \left[2 + \frac{\alpha \left(2\sqrt{3}\mu_{\infty}u\alpha + 2^n 3^{\frac{n}{2}} ah \left(\frac{u\alpha}{h} \right)^n \right)}{2\sqrt{3}\mu_{\infty}\alpha^2 + 2^n 3^{\frac{n}{2}} ah n \alpha \left(\frac{u\alpha}{h} \right)^n + 2^{n+1} 3^{\frac{n}{2}} ah (n-1) u \left(\frac{u\alpha}{h} \right)^n \frac{\partial \alpha}{\partial u}} \right] \quad (29)$$

385

386 And for a Herschel-Bulkley fluid:

387

$$\alpha = \frac{1}{\sqrt{3}} \left[2 + \frac{\alpha \left(\tau_0 + 2^n 3^{\frac{n}{2}} a \left(\frac{u\alpha}{h} \right)^n \right)}{2^n 3^{\frac{n}{2}} a n \alpha \left(\frac{u\alpha}{h} \right)^n - 2u \left(\tau_0 - 2^n 3^{\frac{n}{2}} a (n-1) \left(\frac{u\alpha}{h} \right)^n \right) \frac{\partial \alpha}{\partial u}} \right] \quad (30)$$

388

389 Eqs. (29) and (30) can be numerically solved within a given range of u to obtain the relation

390 between α and u . Then, the obtained relation can be used in Eq. (13) for a Carreau fluid and

391 in Eq. (14) for a Herschel-Bulkley fluid to obtain $\mu_{pm, \text{Carreau}}$ and $\mu_{pm, \text{ysf}}$, respectively. Once

$\mu_{pm,Carreau}$ and $\mu_{pm,ysf}$ have been determined, they can be entered in Eq. (1), Eq. (2), Eq. (3) and Eq. (5), leading to the extension of Darcy's law (Eq. 31), Forchheimer's law (Eq. 32) and full cubic law (Eq. 33) to Carreau and yield stress fluids:

$$\nabla P = \frac{\mu_{pm}}{K} u = \frac{12\mu_{pm}}{h^2} u \quad (31)$$

$$\nabla P = \frac{\mu_{pm}}{K} u + \beta \rho u^2 = \frac{12\mu_{pm}}{h^2} u + \beta \rho u^2 \quad (32)$$

$$\nabla P = \frac{\mu_{pm}}{K} u + \beta \rho u^2 + \frac{\gamma \rho^2}{\mu_{pm}} u^3 = \frac{12\mu_{pm}}{h^2} u + \beta \rho u^2 + \frac{\gamma \rho^2}{\mu_{pm}} u^3 \quad (33)$$

It is remarked that $\frac{12\mu_{pm}}{h^2} u = \frac{2\sqrt{3}\tau_0}{h} \frac{1}{\alpha} + \frac{2^{n+1}3^{\frac{n+1}{2}}a}{h^{n+1}} \alpha^{n-1} u^n = C_1 \frac{1}{\alpha} + C_2 \alpha^{n-1} u^n$ in the case of Herschel-Bulkley fluids, with $C_1 = \frac{2\sqrt{3}\tau_0}{h}$ and $C_2 = \frac{2^{n+1}3^{\frac{n+1}{2}}a}{h^{n+1}}$. Therefore, Eqs. (31) to (33) present a limiting pressure gradient [Roustaei *et al.*, 2016] of value $C_1 \frac{1}{\alpha}$. In the preceding expressions, μ_{pm} corresponds to $\mu_{pm,Carreau}$ or $\mu_{pm,ysf}$ depending on the type of fluid being considered.

It should be noted that elongational flows during the injection of solutions of polymers presenting a certain degree of flexibility through porous media are known to induce extra pressure losses with respect to pure shear flow [Rodríguez *et al.*, 1993; Müller and Sáez, 1999; Nguyen and Kausch, 1999; Seright *et al.*, 2011; Amundarain *et al.*, 2009). These extra pressure losses were attributed to the formation of transient entanglements of polymer molecules due to the action of the extensional component of the flow. In the present approach, we first hypothesize that the differences between the total pressure drops measured during the flow of the investigated complex fluids through rough-walled rock fractures and the viscous pressure drop as predicted from the shear viscosity of the fluid can be explained in terms of inertial effects generated in the porous medium flow. This hypothesis is then validated through analysis of the experimental results.

3. Materials and Methods

In this section, we present the experimental procedure and the materials used to carry out the flow experiments with a yield stress fluid specifically performed in the framework of the present study. However, the proposed method to predict ∇P as a function of u in rough-walled fractures is also compared with previously presented experimental data [Rodríguez de Castro and Radilla, 2016a] in order to assess its efficiency in the case of Carreau fluids.

3.1. Experimental setup and procedure

A series of experiments was conducted injecting a concentrated aqueous polymer solution through two transparent epoxy resin replicas of natural rough-walled rock fractures. The original fractures used in this work are a Vosges sandstone sample with dimensions 26 cm long and $w = 14.8$ cm wide, and a granite sample with dimensions 33 cm long and $w = 15.5$ cm wide. Details of the fabrication process of these fracture replicas can be found elsewhere [Isakov *et al.*, 2001; Nowamooz *et al.*, 2013]. The aperture maps of both fractures obtained by Nowamooz *et al.* [2013] have been included as supporting information of the present article (Figures S₁ and S₂), showing the high spatial variability. The latter authors analysed in detail the aperture variability and distribution of the fractures using an image processing procedure based on the attenuation law of Beer-Lambert. They showed that the smallest apertures are located at the centre and the largest apertures are located near the inlet and the outlet of the fractures. Moreover, the apertures of the Vosges sandstone fracture are more variable at lower half than at the upper half, while the spatial variability appears to be relatively high across the entire granite fracture area. This results in a more heterogeneous aperture map for the granite fracture. Moreover, Nowamooz *et al.* [2013] showed that the spatial variability of the fracture aperture field, especially the constricted areas at the centre of the fractures, resulted in the creation preferential paths for the flow of the fluid. These effects are expected to be more important in the case of shear-thinning fluids and yield stress fluids as the pressure loss sensitivity to aperture is higher (shear viscosity depends on the local aperture) [Roustaei *et al.*, 2016].

Two different configurations were used depending on the involved flow rates. For the lowest flow rates, ranging from 0.06 L/h to 6 L/h, the injection circuit was open and the fluid was injected through the fractures at the selected flow rate using a dual piston pump (Prep Digital HPCL pump, A.I.T., France). For the highest flow rates, ranging from 9 L/h to 250 L/h, the

circuit was closed. In this case, the fluid was injected from a tank situated upstream of the fracture using a volumetric pump (EcoMoineau M Series, PCM, France), and its flow rate was measured with a positive displacement flow meter (Model LSM45, Oval, Japan). The injected fluid was continuously recirculated to the upstream tank after passing through the fracture. A differential pressure sensor (DP15 Variable Reluctance Pressure Sensor, Validyne, USA) was used to measure the pressure drop over a distance of $L = 20.5$ cm in the case of the Vosges sandstone fracture and $L = 27$ cm in the case of the granite fracture. A sketch of the experimental setup is shown in Figure 1. The range of the piston pump was from 6×10^{-3} to 6 L/h with an accuracy of $\pm 2\%$ while the volumetric pump was able to provide flow rates ranging from 0 to 300 L/h. The range of the flow meter installed at the outlet of the volumetric pump was from 7 to 500 L/h with an accuracy of $\pm 1\%$ and the range of the pressure sensor was adjusted by installing different membranes from 0-1400 Pa to 0-56000 Pa with an accuracy of $\pm 0.3\%$ of the full scale

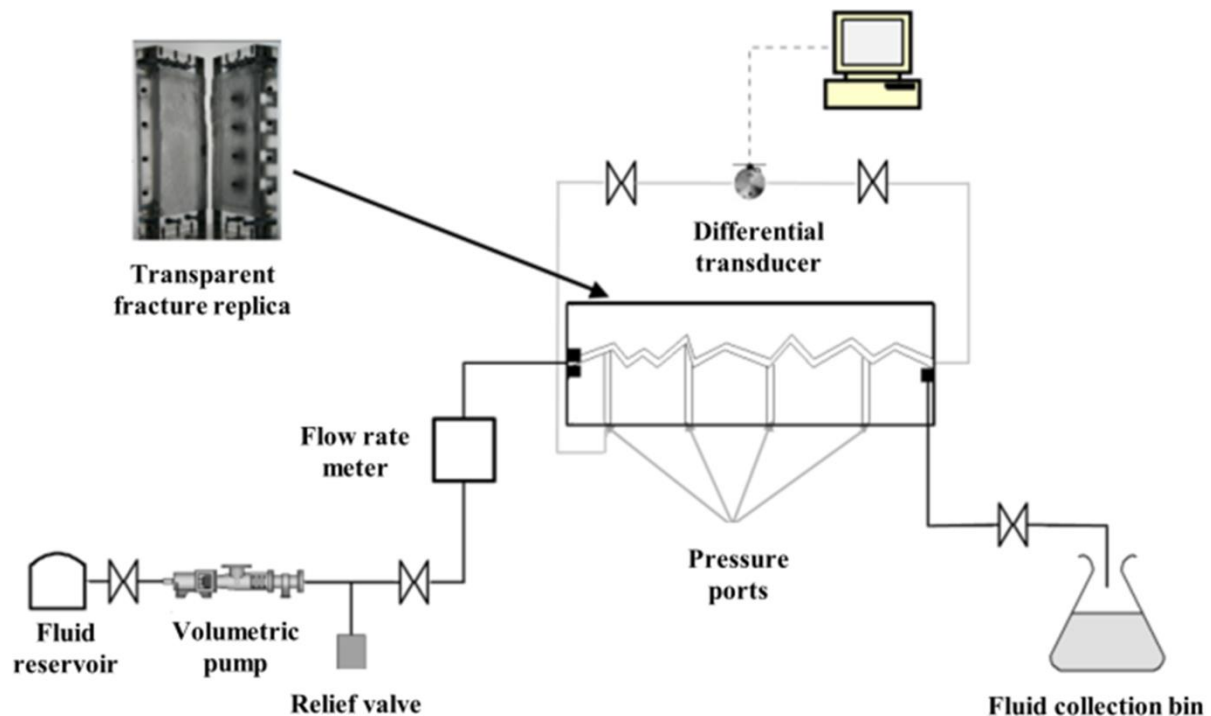


Figure 1. Sketch of the experimental setup used in the present experiments.

The procedure followed in our experiments was similar to the one followed by *Rodríguez de Castro and Radilla* [2016a], but the covered range of injection flow rates was considerably wider. In this procedure, the fractures were saturated with CO₂ (more water-miscible gas than air) prior to saturation with polymer solution in order to avoid air trapping during the experiments. Once saturated with polymer solution, a set of forty-five different flow rates ranging from 0.06 to 250 L/h were imposed for the flow through the fracture and the corresponding pressure drops were measured. It can be observed that the range of u used in this work is significantly wider than those used in some preceding works (Sabiri and Comiti, 1994), which permits a better assessment of the proposed prediction methods (over ~ 3.6 orders of magnitude). Each step was repeated four times and the uncertainty related to the repeatability of the pressure drop and the accuracy of the involved instruments was calculated as $\pm 2\sigma$, with σ being an estimate of the relative standard deviation of the measurements (95% confidence interval). The room temperature during the experiments was $20^{\circ}\text{C} \pm 1$.

3.2. Fluid Properties

Filtered water and a xanthan gum aqueous solution with polymer concentration $C_p = 7000$ ppm were used as injected fluid in the present experiments. Xanthan gum is an important industrial biopolymer commonly obtained through fermentation of *Xanthomonas campestris* bacteria [Garcia-Ochoa *et al.*, 2000; Palarinaj and Javarman, 2011; Wadhai and Dixit, 2011]. This biopolymer is widely used as viscosity-enhancing additive in the food and cosmetics industries, as zerovalent iron for groundwater remediation and as part of the formulation of drilling muds in EOR [Garcia-Ochoa *et al.*, 2000; Amundarain *et al.*, 2009;

Palarinaj and Javarman, 2011; *Wadhai and Dixit*, 2011; *Xin et al.*, 2015]. In solution state, an isolated xanthan macromolecule is more or less rigid and is of typically 1 μm of contour length [*Mongruel and Cloitre*, 2003] and a transverse size of approximately 2 nm. Song [2007] presented additional information about the chemical composition, structure and other physico-chemical properties of this biopolymer. Xanthan gum solutions are one of the main examples of inelastic, shear-thinning fluids in contrast to linear flexible polymers as polyacrylamide [*Jones and Walters* 1989; *Sorbie* 1991a] which are highly viscoelastic. Due to the stiffness of its molecule, xanthan semidilute aqueous solutions develop a high viscosity level and a very pronounced shear-thinning behavior. Therefore, xanthan gum solutions have been reported to present an apparent yield stress [*Song et al.*, 2006; *Carnali*, 1991; *Withcomb and Macosko*, 1978; *Khodja*, 2008; *Benmouffok-Benbelkacem et al.*, 2010] even if strictly speaking, they should be referred to as pseudo-yield stress fluids. The Herschel–Bulkley model [*Herschel and Bulkley*, 1926] has been proved to describe the steady-state shear flow of concentrated xanthan gum solutions [*Song et al.*, 2006; *Rodríguez de Castro et al.*, 2014, 2016a].

Sixty litres of polymer solution were prepared by dissolving xanthan gum in filtered water containing 400 ppm of NaN_3 as a bactericide. The xanthan gum powders were progressively dissolved in water while gently mixing with a custom-made overhead device. Once prepared, the polymer solution was characterized by means of a stress controlled rheometer (ARG2, TA Instruments) equipped with cone-plate geometry at a constant temperature of $19^\circ\text{C} \pm 1$, following a procedure previously presented in the literature [*Rodríguez de Castro et al.*, 2014, 2016a, 2016b]. The obtained rheograms are provided as supporting information (Figure S3). Eq. (10) was used to fit the rheograms following the procedure presented by *Rodríguez de Castro et al.* [2014] and obtaining $\tau_0 = 7.4 \text{ Pa}$, $a = 0.37 \text{ Pa s}^n$ and $n = 0.52$. A viscosity of

0.001 Pa s was measured for the solvent (water) and the densities ρ of both the water and the xanthan gum solution were taken as 1000 kg/m³.

Moreover, a set of effluent fluid samples were collected at the outlet of the fractures after injection at the highest flow rate. The effluent rheograms were determined and compared to that of the inflowing fluid in order to assess polymer degradation and retention of the polymer on the fracture walls. No significant difference was observed between the rheograms, so polymer degradation and significant polymer retention were proved to be negligible. Moreover, no air macro bubbles were observed in the injected fluid. Also, the rheograms of a degassed fluid sample and an undegassed fluid sample were measured and compared in order to evaluate the influence of residual air micro bubbles, showing no significant difference.

The Carreau fluids used in the non-Darcian shear-thinning flow experiments in rough-walled fractures performed by [Rodríguez de Castro and Radilla, 2016a] were three xanthan gum aqueous solutions with polymer concentrations of 200 ppm, 500 ppm and 700 ppm, respectively. The corresponding rheological parameters used in Eq. (9) for these fluids were $[c = 4.8 \times 10^{-3} \text{ Pa s}^n, \mu_{\infty} = 1.1 \times 10^{-3} \text{ Pa s}, n = 6.6 \times 10^{-1}]$ for $C_p = 200 \text{ ppm}$, $[c = 2.4 \times 10^{-3} \text{ Pa s}^n, \mu_{\infty} = 1.1 \times 10^{-3} \text{ Pa s}, n = 5.8 \times 10^{-1}]$ for $C_p = 500 \text{ ppm}$ and $[c = 4.2 \times 10^{-3} \text{ Pa s}^n, \mu_{\infty} = 1.1 \times 10^{-3} \text{ Pa s}, n = 5.2 \times 10^{-1}]$ for $C_p = 700 \text{ ppm}$.

4. Results

The flow experiments were conducted for both fluids (water and yield stress fluid) and were repeated four times. For each fluid, a total of a hundred and eighty (four repetitions for each of the forty-five flow rates) were completed. The hundred and eighty measures for a given fluid-fracture pair were considered to be an experimental set.

4.1. Non-Darcian flow of a Newtonian fluid: obtaining K , γ and β from experiments

The experimental sets of ∇P as a function of u for water injection ($C_p = 0$ ppm) through both fractures are included as supporting information (Figure S4). Higher pressure losses were obtained for the less permeable fracture (Vosges sandstone), as expected, and non-linear relations between u and ∇P were observed in both cases stemming from inertial effects at high flow rates. It is known that directly fitting Eq. (5) to the whole set of data results in overestimation of permeability [Du Plessis and Masliyah, 1988; Dukhan et al., 2014]. Indeed, by fitting the whole set of data to the polynomial law, a part of the pressure drop would be attributed to inertial effects even at the lowest flow rates, which is not realistic. Consequently, the viscous pressure loss would be underestimated leading to permeability overestimation. To avoid this issue, the procedure proposed by Rodríguez de Castro and Radilla [2016a] was followed to determine h and K in the present experiments. This procedure is divided into two-steps:

1) In this step, the hydraulic apertures h_j obtained by only using the first j experimental data (starting with the lowest flow rates) are calculated by minimizing the sum $\sum_{i=1}^j (\nabla P_i -$

563 $\left(\frac{12Q_i\mu}{h_j^3w}\right)^2$ for $j = 1 \dots N$, with N being the number of experimental data and μ being the
 564 measured dynamic viscosity of water at the room temperature (0.001 Pa s).

565

566 2) Then, the quality of the N fits obtained by using the N values of h_j calculated in the

567 preceding step is evaluated by using the merit function $F(j) = \frac{\sum_{i=1}^j \left| \frac{\nabla P_i - \frac{12Q_i\mu}{h_j^3w}}{\nabla P_i} \right|}{j}$ for $j = 1 \dots N$.

568 After that, the value of j minimizing $F(j)$ was determined. The corresponding h_j value was
 569 selected as the hydraulic aperture of the fracture from which K was calculated using Eq. (7).

570

571 The obtained values for the granite fracture were $K = 6.1 \times 10^{-8} \text{ m}^2 (\pm 2\%)$ and $h = 8.5 \times 10^{-4}$
 572 $\text{m} (\pm 2\%)$, while for the Vosges sandstone fracture the computed values were $K = 2.1 \times 10^{-8}$
 573 $\text{m}^2 (\pm 1\%)$ and $h = 5.0 \times 10^{-4} \text{ m} (\pm 1\%)$. Once permeability was determined, the $(Q_i, \nabla P_i,)$ data
 574 were fitted to a full cubic law (Eq. 5) through a standard least squares method using the value
 575 of K calculated in the previous step and obtaining the values of d and β . The computed values
 576 were $d = 2.5 \times 10^{-5} (\pm 5\%)$ and $\beta = 0 \text{ m}^{-1}$ for the granite fracture, and $d = 2.2 \times 10^{-5} (\pm 2\%)$ and
 577 $\beta = 1.5(\pm 2\%) \text{ m}^{-1}$ for the granite fracture. Percentages represent $\pm 2\sigma$, with σ being an
 578 estimate of the relative standard deviation of the measurements (95% confidence interval).

579

580 **4.2. Equivalent and shear viscosity relations**

581

582 Eq. (30) was numerically solved within the involved range of u for both fractures using an
 583 implicit Runge-Kutta method. From (23), it can be deduced that α becomes the constant
 584 value $\frac{1}{\sqrt{3}} \left(2 + \frac{1}{n} \right)$ for Herschel-Bulkley shear-thinning fluids ($0 < n < 1$) flowing at very high

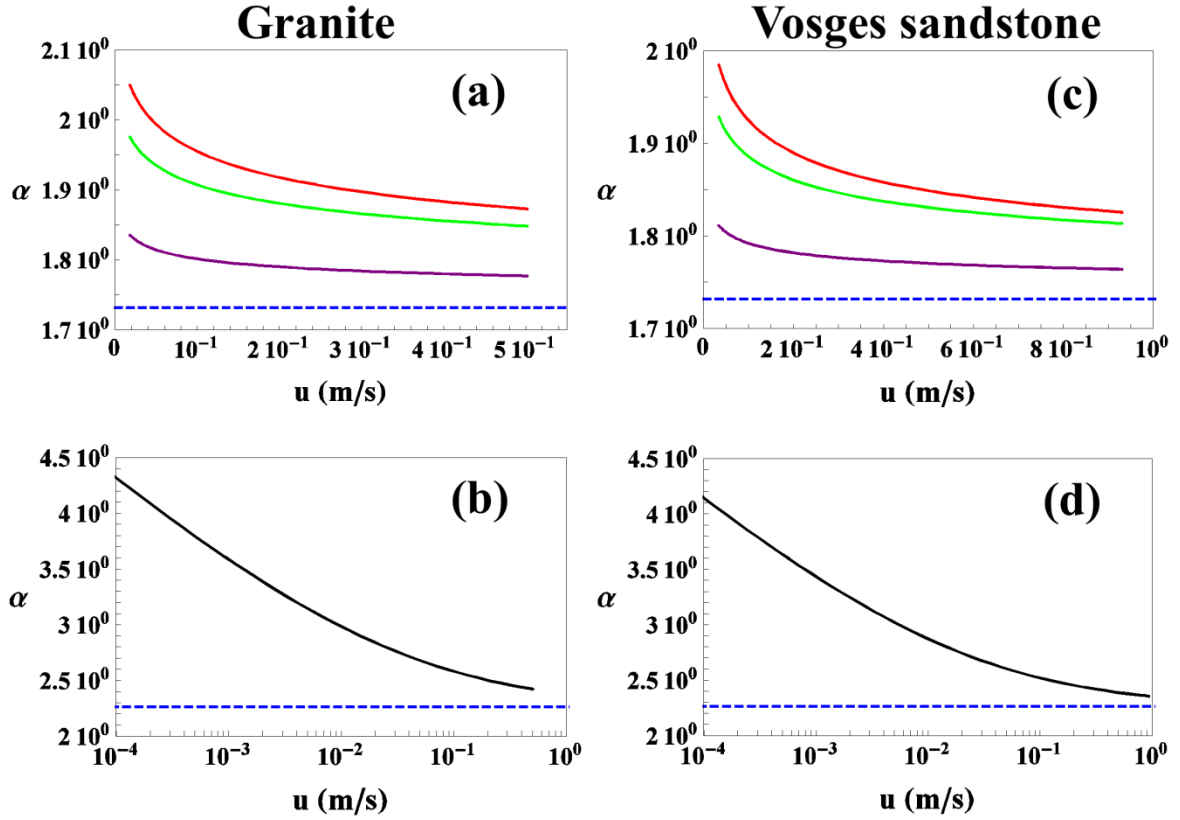
585 values of u , i.e. when $u \gg \frac{\tau_0 h^n}{2^n 3^{n/2} \alpha^n}$. Given that the shift parameter is known to be greater than
586 unity [Chauveteau, 1982; Sorbie *et al.*, 1989; López, 2003; Comba *et al.*, 2011], the
587 preceding condition will be respected if $u \gg u^* = \frac{\tau_0 h^n}{2^n 3^{n/2}}$. Consequently, the boundary
588 condition $\alpha(u = 10^5 u^*) = \frac{1}{\sqrt{3}} \left(2 + \frac{1}{n}\right)$ was used to numerically solve Eq. (30). The resulting α
589 versus u functions are presented in Figure 2(b) and 1(d).

590

591 Analogously, Eq. (29) was numerically solved within the range of u used by Rodríguez de
592 Castro and Radilla [2016a] for both fractures. From (22), it can be deduced that α becomes
593 the constant value $\sqrt{3}$ for Carreau shear-thinning fluids ($0 < n < 1$) flowing at very high
594 values of u , i.e. when $u \gg \left[\frac{2^n \alpha^{n-1} h^{1-n}}{6\mu_\infty} \text{Max} \left(3^{\frac{n-1}{2}}, 3^{\frac{n+1}{2}} c \right) \right]^{\frac{1}{1-n}}$. Since the shift parameter is
595 known to be greater than unity, the preceding condition will be respected if $u \gg$
596 $u^* = \left[\frac{2^n h^{1-n}}{6\mu_\infty} \text{Max} \left(3^{\frac{n-1}{2}}, 3^{\frac{n+1}{2}} c \right) \right]^{\frac{1}{1-n}}$. Therefore, the boundary condition $\alpha(u = 10^5 u^*) = \sqrt{3}$
597 was used to numerically solve Eq. (29). The resulting α versus u functions are presented in
598 Figure 2(a) and 1(c).

599

600 It can be noted that the relation between α and u strongly depends on polymer concentration
601 as shown in Figure 2. Indeed, the dependence of α on u is weaker for the low polymer
602 concentration as expected from their less pronounced shear-thinning behaviour. It is also
603 remarked that this dependence of α on u is less significant as u increases and α approaches
604 the limit value $\lim_{u \rightarrow \infty} \alpha(u)$. This implies that assuming a constant value of α should lead to
605 acceptable levels of accuracy in the prediction of the ∇P - u relations within the high- u region.



607

Figure 2. $\alpha(u)$ functions as numerically obtained from Eqs. (29) and (30). (a,c) correspond to the Carreau fluids used by *Rodríguez de Castro and Radilla* [2016a]. (b,d) correspond to the 7000 ppm solution used in the present experiments. Solid lines represent the computed $\alpha(u)$ functions and dashed lines represent $\lim_{u \rightarrow \infty} \alpha(u)$. Purple lines correspond to the 200 ppm Carreau fluid, green lines to the 500 ppm Carreau fluid, red lines to the 700 ppm Carreau fluid and black lines to the 7000 ppm yield stress fluid.

614

$\mu_{pm,ysf}$ was computed for the flow of the 7000 ppm solution through each fracture using Eq. (13). Two different approaches were followed: 1) the constant value $\alpha = \frac{1}{\sqrt{3}} \left(2 + \frac{1}{n} \right)$ was used in Eq. (13) and 2) the $\alpha(u)$ function obtained as explained above was used in Eq. (13). The results of both approaches are presented in Figure 3, together with μ_{eq} as obtained with Eq.

(11) from the ∇P - u measurements. In this figure, it can be observed that μ_{eq} is close to $\mu_{pm,ysf}$ at high values u for both the constant α and the variable- α methods. However, this is not the case at low and moderate values of u for which μ_{eq} approaches clearly better $\mu_{pm,ysf}$ with the variable- α method. It should be highlighted that xanthan gum may induce a depleted layer close to pore walls with a lesser concentration in that region. This produces an apparent wall slip which leads to a reduced average viscosity in the pores, mainly at low values of u [Chauveteau, 1982; Sorbie, 1991b]. However, in the case of the present fractures, the dimensions of the macromolecules is negligible with respect to the fracture apertures so this effect is not observed and μ_{eq} is very close to $\mu_{pm,ysf}$ even at low values of u . This shows that that the effect of fluid-solid interactions (e.g. polymer mechanical degradation and apparent wall slip) on the relationship between viscosity and shear rate is negligible [González *et al.*, 2005; Amundarain *et al.*, 2009; Rodríguez de Castro *et al.*, 2016b]. Also, it is expected that $\mu_{eq} > \mu_{pm,ysf}$ at high values of u in the presence of important inertial effects [Tosco *et al.*, 2013; Rodríguez de Castro and Radilla, 2016a]. The fact that no important deviation of μ_{eq} with respect to $\mu_{pm,ysf}$ is observed in the present experiments reflects that inertial effects are not significant. Moreover, Figure 3 shows that the shear rates involved in the flow through the Vosges sandstone fracture are higher than those involved in the flow through the granite fracture. This is coherent with the highest values of u and the lowest permeability of the Vosges sandstone fracture.

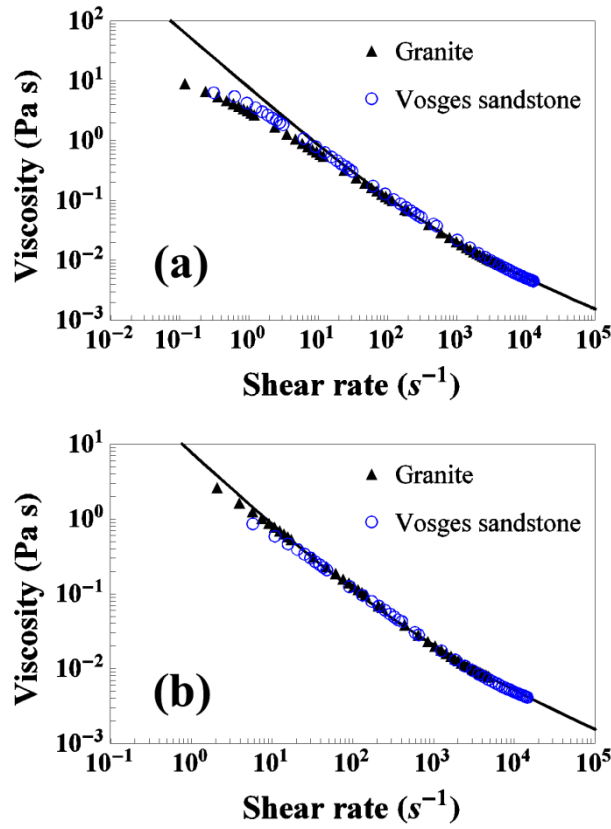


Figure 3. μ_{eq} and $\mu_{pm,ysf}$ for the yield stress fluids used in the present experiments. Symbols represent μ_{eq} and solid lines represent μ_{pm} . (a) Corresponds to $\alpha = \frac{1}{\sqrt{3}} \left(2 + \frac{1}{n} \right)$. (b) Correspond to the $\alpha(u)$ functions presented in Figure 2.

It should be noted that the two-parameter power law model used in most of the preceding works dealing with shear-thinning fluids [Chhabra and Srinivas, 1991; Rao and Chhabra, 1993; Sabiri and Comiti, 1994; Smit and du Plessis, 1997; Tiu et al. 1997; Machac et al., 1998; Chhabra et al., 2001; Broniarz-Press et al., 2007] is not appropriate to study non-Darcian flow as the involved shear rates are high and close to the upper Newtonian plateau of viscosity [Woudberg et al., 2006; Fayed et al., 2016], which is not taken into account by this model. In contrast, the empirical Carreau model [Carreau, 1972] can accurately predict the variation in the viscosity at all shear rates and is known to successfully represent the shear-

thinning behaviour of xanthan gum semi-dilute solutions [Sorbie et al., 1989; López et al., 2003; Rodríguez de Castro et al., 2016b; *Rodríguez de Castro and Radilla*, 2016a]. Although Herschel-Bulkley model does not include an upper Newtonian plateau viscosity, there is less concern in the case of this type of fluids. Indeed, as can be observed in figures 3 and S₃, the high levels of viscosity presented by the concentrated solutions injected in the present experiments are far from the upper plateau in all cases. This is in contrast with the results of *Rodríguez de Castro and Radilla* [2016a] for less concentrated xanthan gum solutions.

4.3. Effects of yield stress on Reynolds number

In previous works, it was shown that Reynolds number is not directly proportional to u for shear-thinning fluids, in contrast to the Newtonian case [*Rodríguez de Castro and Radilla*, 2016a, 2016b]. Indeed, according to Eqs. (13) and (14), an increase in u implies a decrease in viscosity which implies in turn an extra increase in Reynolds number. In this work, the effect of yield stress on the Re - u relationship was also analysed. To do so, the Reynolds numbers obtained for the imposed values of u were calculated through Eq. (4) in the case of the granite fracture and Eq. (6) in the case of the Vosges sandstone fracture. μ_{pm} was used for the calculation of Reynolds number. It is highlighted that μ_{pm} accounts only for viscous effects and is consistent with the definition of Reynolds number as the ratio of inertial to viscous forces, in contrast to μ_{eq} that accounts also for inertial effects. The results are presented in Figure 4. In this figure, it can be observed that Reynolds number is close to zero at low values of u for the flow of the yield stress fluid in both fractures.

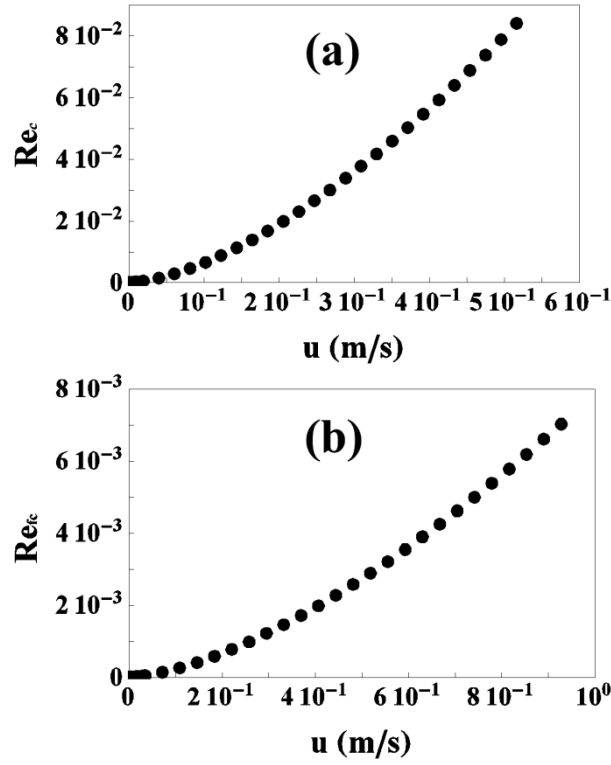


Figure 4. (a) Re_c vs. u for the granite fracture (b) Re_{fc} vs. u for the Vosges sandstone fracture.

From Figure 4, one can also deduce that the non-linear dependence of Re on u previously reported for Carreau fluids is also observed for shear-thinning yield stress fluids. Furthermore, as reflected in the same figure, there is a threshold value in terms of u below which Re is very close to zero for the injection of yield stress fluids. This threshold value arises from the yield stress of the fluid. In fact, for a yield stress fluid, viscosity approaches infinity at low shear rates leading to very low values of Re . Also, the critical value of Re for the transition to non-Darcian regime was reported to be close to $Re_c = 0.3$ for the granite fracture and $Re_{fc} = 0.05$ for the Vosges sandstone fracture [Rodríguez de Castro and Radilla, 2016a]. As can be seen in Figure 4, the Re obtained for the present experiments are lower than these critical values in both fractures, so no important inertial effects are expected. The ratio between inertial and viscous pressure losses was calculated from Eq. (33) as $\frac{\Delta P_{inertial}}{\Delta P_{viscous}} =$

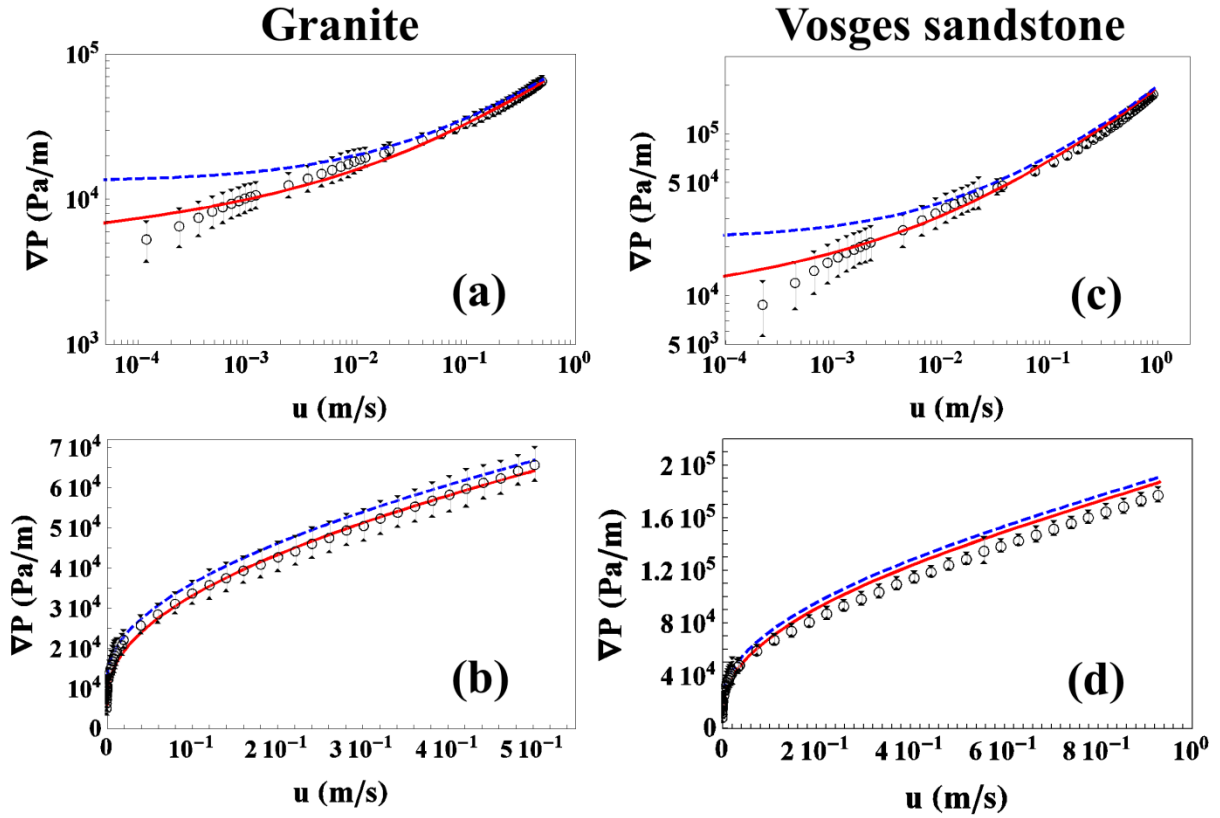
690 $\frac{\beta \rho u + \frac{\gamma \rho^2}{\mu_{pm}} u^2}{\frac{12 \mu_{pm}}{h^2}}$ leading to values of $\frac{\Delta P_{inertial}}{\Delta P_{viscous}} < 7.1 \times 10^{-3}$ for the granite fracture and $\frac{\Delta P_{inertial}}{\Delta P_{viscous}} < 3.1$
691 $\times 10^{-2}$ for the Vosges sandstone fracture. This confirms that inertial pressure losses are not
692 relatively important, in contrast to the experiments with Carreau fluids performed by
693 [Rodríguez de Castro and Radilla, 2016a].

694

695 4.4. Experimental validation of the proposed prediction methods

696

697 Eq. (33) was used to predict the relation between ∇P and u for the injection of the 7000 ppm
698 solution through the fractures. The β and d values in Eqs. (2-5) do not depend on polymer
699 concentration as shown by Rodríguez de Castro and Radilla [2016a, 2016b], so the values
700 obtained from water injection (subsection 4.1) were used. The obtained predictions are
701 presented in Figure 5 together with the experimental results of measurements performed in
702 the present work. In this figure, the errors bars correspond to a 95% confidence interval as
703 explained in subsection 3.1. The results are presented in a log-log scale in order to allow
704 visibility of all the range of measurements and in a linear scale so as to show that the form of
705 the curves is the same as that of the rheogram of a yield stress fluid (Figure S3). From these
706 results, the accuracy of the proposed methods for the prediction of ∇P as a function of u
707 during the flow of yield stress fluids through rough-walled fractures can be assessed. Figure 5
708 shows that the variable- α approach provides more accurate predictions within the low and
709 moderate u regions, which is in agreement with the arguments presented above. However, a
710 less important difference is obtained between both methods for the highest values of u . It is
711 observed that the variable- α method successfully predicts the ∇P - u relationship for the flow
712 of the yield stress fluid through both fractures, even though the obtained predictions are
713 slightly less accurate in the case of the Vosges sandstone.



715

716 **Figure 5.** ∇P as a function of u corresponding to (a,b) Granite and (c,d) Vosges sandstone
 717 fractures. Symbols represent experimental data, red solid lines represent predictions using Eq.
 718 (33) with the $\alpha(u)$ functions presented in Figure 2 and blue dashed lines represent predictions
 719 using Eq. (33) with $\alpha = \frac{1}{\sqrt{3}} \left(2 + \frac{1}{n} \right)$.

720

721 With the objective of assessing the accuracy of the proposed predictions in the case of
 722 Carreau fluids, Eq. (33) was also used to predict the u - ∇P relations for the injection of the
 723 three Carreau fluids used by *Rodríguez de Castro and Radilla* [2016a], and the results were
 724 compared to their experimental data in Figure 6. The average deviations between predictions
 725 and experiments corresponding to the whole range of explored u for all tested fluids and
 726 fractures are included as supporting information of this article (Table S1). As can be seen in

Figure 6, the predictions coming from both methods (constant- α and variable- α) methods are almost identical in all cases. This is explained by the proximity of all the α values to the limit value $\sqrt{3}$ (Figure 2) within the range of imposed u . Furthermore, the low and moderate u regions were not explored by [Rodríguez de Castro and Radilla, 2016a], while it is precisely in these regions where more important differences are expected between both approaches. However, the predictions obtained for the covered range of u is in very good agreement with the experimental data, apart from the 500 ppm – granite pair which will need further study. Moreover, it is also remarked that Eq. (33) successfully takes into account the inertial effects, which are important for the flow of the injected Carreau fluids at the involved values of u .

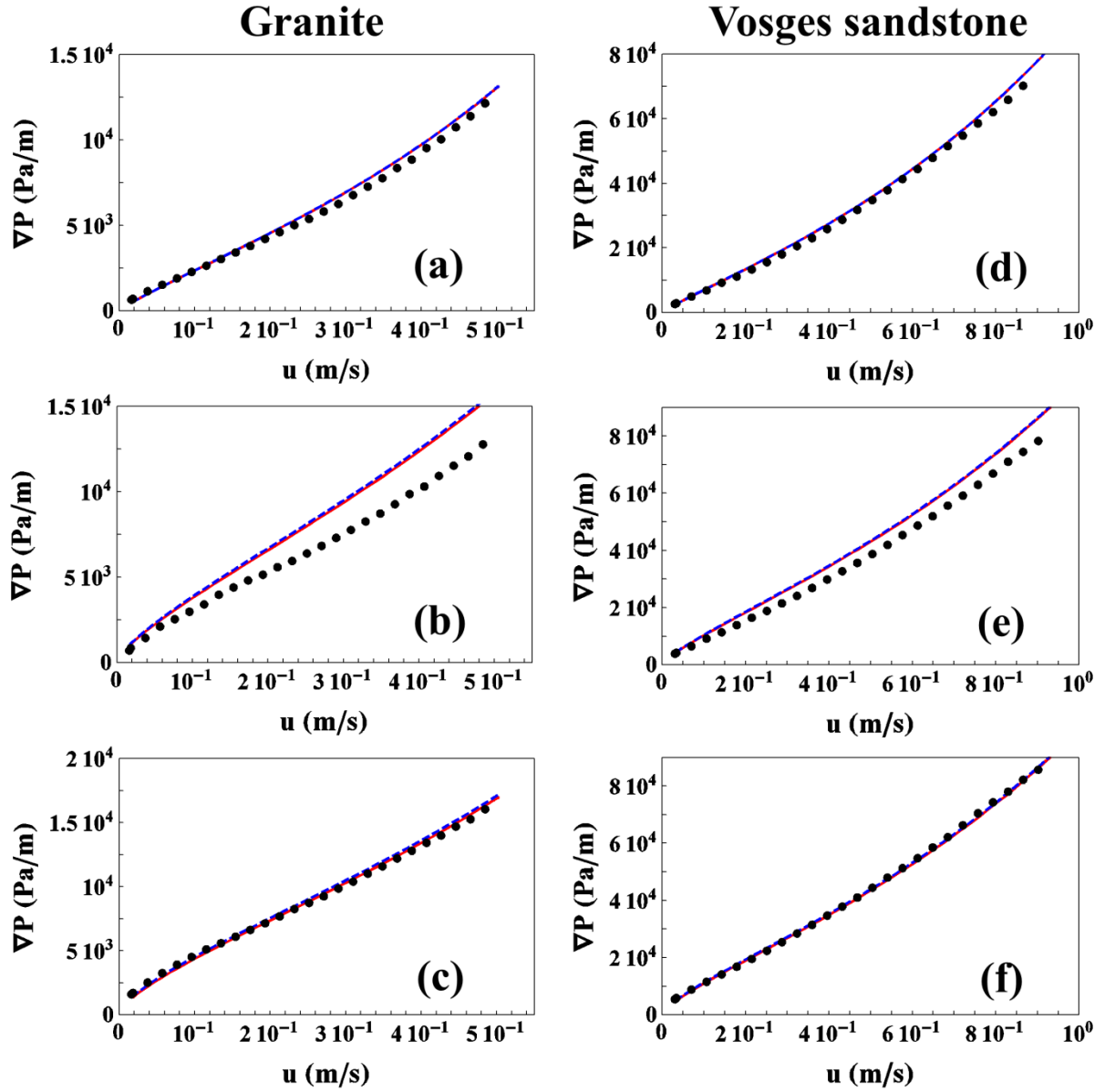


Figure 6. ∇P as a function of u corresponding the injection of the three Carreau fluids used by *Rodríguez de Castro and Radilla* [2016a] through (a,b,c) Granite and (d,e,f) Vosges sandstone fractures. Symbols represent experimental data, red solid lines represent predictions using Eq. (33) with the $\alpha(u)$ functions presented in Figure 2 and blue dashed lines represent predictions using Eq. (33) with $\alpha = \sqrt{3}$.

5. Discussion

As explained in subsection 3.2., the concentrated xanthan gum solutions used in our experiments present an apparent yield stress, so they should be referred to as pseudo-yield stress fluids. In this regard, *Lipscomb and Denn* [1984] showed that the classical lubrication approximation, which essentially assumes that flow is locally fully-developed, can be applied to this type of fluids, while it cannot be successfully applied to ideal yield stress fluids with a real yield stress. These authors argued that rigid plug regions should not exist in complex geometries according to classical lubrication. Indeed, this theory predicts that plug-velocity changes slowly as aperture varies, so the plug region cannot be truly unyielded for continuity reasons. The latter is known as lubrication paradox [*Lipscomb and Denn*, 1984; *Frigaard and Ryan*, 2004; *Lavrov*, 2013]. However, as showed by *Lipscomb and Denn* [1984], both real and pseudo-yield stress fluids may exhibit a near-plug-like region in a complex flow field. For fully developed flows, the depth of the plug as a function of ∇P is given by [*Lipscomb and Denn*, 1984]:

$$h_0 = \frac{2\tau_0}{\nabla P} \quad (34)$$

As a first simple approach similar to the one presented by *Auradou* [2008], we will assume that the fracture space can be modelled as being a bundle of parallel rectangular canals of length L , width w_i and aperture h_i , with $w_i \gg h_i$. h_i is expected to vary along the flow paths. However, given the strong dependence of ∇P on the canal aperture in the case of shear-thinning fluids, we will also assume that the pressure drop along a percolating path is located

exclusively in the section of smallest aperture h_0 . By doing so, it can be deduced that the fluid will flow through a percolating path only if the minimum local aperture is superior to h_0 as given by Eq. (34). Therefore, the number of percolating pathways is expected to increase as ∇P and u increase. h_0 has been presented as a function of u for the present flow experiments with yield stress fluids in Figure 7. This figure shows that h_0 decreases with u , as expected. Indeed, only the pathways with the highest apertures participate in the flow at the lowest values of u , while pathways with smaller values of h_0 are progressively incorporated as u increases. According to this simple approach, the flow pathways including the minimum apertures of the fracture would not participate in the flow, even at the highest u , so unyielded fluid regions would exist (in agreement with *Frigaard and Ryan [2004]*).

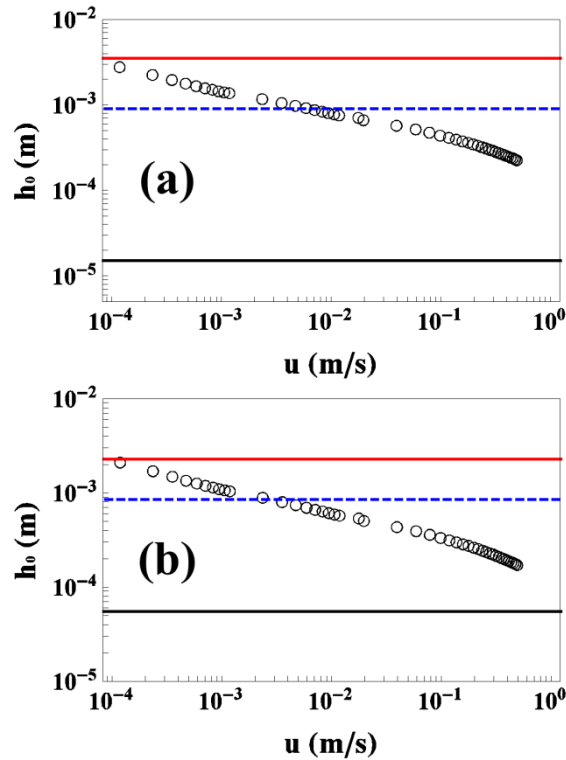


Figure 7. h_0 as a function of u for the flow of the yield stress fluid through the (a) granite fracture and the (b) Vosges sandstone fracture. The experimental data are represented as void

symbols. Red solid lines represent the maximum fracture apertures, black solid lines represent the minimum fracture apertures and blue dashed lines represent the average fracture apertures as measured by *Nowamooz et al.* [2013] (see complementary figures).

If we focus on the Darcian flow of a yield stress fluid ($\mu_{pm} = \mu_{pm,ysf}$), Eq. (31) gives the pressure gradient through a rough-walled fracture of hydraulic aperture h as a function of the Herschel-Bulkley law parameters: τ_0 , a and n . This equation can be re-written as:

$$\nabla P = \frac{C_1}{\alpha} + C_2 \alpha^{n-1} u^n \quad (35)$$

$$\text{with } C_1 = \frac{2\sqrt{3}\tau_0}{h} \text{ and } C_2 = \frac{2^{n+1}3^{\frac{n+1}{2}}a}{h^{n+1}}.$$

In the high flow rates region, i.e. when $u \gg \frac{\tau_0 h^n}{2^n 3^{n/2}}$, α can be considered a constant value $\alpha = \frac{1}{\sqrt{3}} \left(2 + \frac{1}{n} \right)$ and Eq. (31) leads to:

$$\nabla P = \nabla P_0 + C u^n \quad (36)$$

797 with $\nabla P_0 = \frac{6n\tau_0}{h(2n+1)}$ and $C = \frac{6an(\frac{2+4n}{hn})^n}{h+2hn}$. This is in agreement with the results of *Talon et al.*
798 [2014], who stated that u scales linearly as $(\nabla P - \nabla P_0)$ in the case of a Bingham fluid ($n = 1$)
799 flowing at high u through a one-dimensional channel. Also, *Nash and Rees* [2017] showed
800 that the manner in which flow begins once the threshold pressure gradient is exceeded
801 strongly depends on the channel size distribution of the porous media. The same authors
802 [*Talon et al.*, 2014; *Nash and Rees*, 2017] proved that ∇P_0 is higher than the actual threshold
803 pressure, which is consistent with our results given that α increases as u tends to zero (Figure
804 2). *Roustaei et al.* [2016] numerically showed that unyielded plug regions appear close to the
805 fracture wall and in the deeper layers (fouling layers) when injecting yield stress fluids in
806 short fractures, especially at low values of u . These researchers showed that Darcy-type flow
807 laws are limited to $H/L \ll 1$, H being a half of the difference between the maximum and the
808 minimum aperture of the fractures. In the case of the granite sandstone used in the present
809 work $H/L = 6.2 \times 10^{-3}$ while $H/L = 5.5 \times 10^{-3}$ for the granite sandstone as shown in supporting
810 figures, so a Darcy-type approach is expected to be valid.

811

812 Lavrov [2015] developed analytical solutions for the flow of truncated power law fluids
813 through smooth-walled fractures. Truncated power-law fluids, unlike Carreau fluids, enable a
814 closed-form solution for the flow between plane parallel walls while exhibiting more realistic
815 behaviour than simple power-law fluids for commonly used polymer solutions. However,
816 truncated power-law fails to model the real behaviour of these complex fluids at shear rates
817 lying within the transition region between the shear-thinning region and the upper Newtonian
818 plateau. Therefore, this model is not expected to provide accurate predictions in the wide
819 range of shear-rates explored in the present experiments.

820

821 One may wonder whether the proposed procedure is simpler than performing a numerical
822 solution to the actual flow equations, without invoking a bundle-of-capillaries approximation.
823 In this sense, it should be highlighted that performing a numerical solution to the actual flow
824 equations would imply using the size distribution of the flow paths as an input for the model.
825 This information on the size distribution of the flow paths is rarely available in real
826 applications, while the average aperture of the fracture can be more easily estimated or
827 measured from water flow experiments. It is reminded that the objective of this work is to
828 present a simple method to predict the pressure drop for the flow of shear-thinning fluids
829 through tough-walled rock fractures. Therefore, using hardly accessible inputs as needed to
830 perform a numerical solution to the actual flow equations is not a valid approach.

831

832 Also, it is noted that in our experiments with yield stress fluids, the total pressure drop
833 through the fractures was successfully predicted from the values of K , γ and β obtained from
834 water injection without any significant deviation. Therefore, elongational viscosity effects
835 have been shown to be negligible in the case of the present experiments with yield stress
836 fluids as they were with the Carreau fluids used by [Rodríguez de Castro and Radilla,
837 2016a].

6. Summary and conclusions

A simple method to extend Darcy's law, weak inertia cubic law and full cubic law to the flow of yield stress fluids and Carreau fluids in rough-walled natural fractures has been presented in the present work. In this method, the values of the shift parameter α between the μ_{pm} measured in the rheometer and the μ_{eq} observed during the flow in the porous media is predicted through identification of the apparent shear rate with the maximum wall shear rate in a section with aperture h . The inputs of the method are only the shear rheology parameters of the fluid, the hydraulic aperture of the fracture and the inertial coefficients γ and β . On the basis of our results, an efficient protocol to predict ∇P as a function of u is proposed here:

- 1) Determine the shear-rheology parameters of the fluid: (τ_0, a, n) for Herschel-Bulkley fluids or (μ_∞, c, n) for Carreau fluids.
- 2) Measure h , β and γ from Newtonian-flow experiments. Alternatively, h can be deduced from the aperture distribution [Zimmerman *et al.*, 1991], which can be obtained through image analysis [Nowamooz *et al.*, 2013].
- 3) Calculate the values of $\alpha(u)$

3.1) When low and moderate values of u are involved, solve the differential equation (29) or (30) to obtain $\alpha(u)$.

3.2) When only high values of u are involved ($u \gg \frac{\tau_0 h^n}{2n3^{n/2}}$ for yield stress fluids or $u \gg \left[\frac{2^n h^{1-n}}{6\mu_\infty} \text{Max} \left(3^{\frac{n-1}{2}}, 3^{\frac{n+1}{2}} c \right) \right]^{\frac{1}{1-n}}$ for Carreau fluids), use a constant value $\alpha = \frac{1}{\sqrt{3}} \left(2 + \frac{1}{n} \right)$ for Herschel-Bulkley fluids or $\alpha = \sqrt{3}$ for Carreau fluids.

- 4) Use Eq. (13) or (14) to calculate $\mu_{pm, \text{Carreau}}$ or $\mu_{pm, \text{ysf}}$

5) Use Eq. (33) to calculate ∇P as a function of u , with $\gamma = 0$ in the case of Forchheimer's law (strong inertia regime), $\beta = 0$ in the case of a cubic law (weak inertia regime), $\beta = 0$ and $\gamma = 0$ in the case of Darcy's law (creeping flow).

Flow experiments of yield stress fluids covering a wide range of u (~ 3.6 orders of magnitude) have been performed and compared with the predictions of the proposed method, showing good agreement. It has been observed that the existence of a yield stress reduces significantly the value of Reynolds, so the inertial effects are negligible within the explored range of u . Consequently, Darcy's law provide accurate u - ∇P predictions in contrast to the case of less concentrated solutions with no yield stress [Rodríguez de Castro and Radilla, 2016a]. Also, the experimental results obtained in the non-Darcian shear-thinning flow experiments through rough-walled fractures conducted by [Rodríguez de Castro and Radilla, 2016a] have been compared with the predictions of the proposed method, showing good agreement also in the case of Carreau fluids. It should be noted that good predictions of the pressure drop-flow rate relations are obtained by only using the global parameters h , β and γ as inputs. Therefore, no significant effects of the aperture distributions of the fractures have been observed.

The variable- α approach leads to a very good overlap between μ_{pm} and the μ_{eq} over the wide range of u investigated in this work. Our results can be included in computational studies of large-scale nonlinear flow in fractured rocks, as suggested in the works of Javadi *et al.* [2014]. These conclusions must now be extended to other types of rough-walled rock fractures.

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884

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887

888 Supporting data are included as four figures and a table in SI files; any additional data may be
889 obtained from the authors (antonio.rodriquezdecastro@ensam.eu).

890

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