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# Direct AC voltage control for grid-forming inverters

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## Abstract

Grid-forming inverters usually use inner cascaded controllers to regulate output AC voltage and converter output current. However, at the power transmission system level where the power inverter bandwidth is limited, i.e., low switching frequency, it is difficult to tune controller parameters to achieve the desired performances because of control loop interactions. In this paper, a direct AC voltage control-based state-feedback control is applied. Its control gains are tuned using a linear quadratic regulator. In addition, a sensitivity analysis is proposed to choose the right cost factors that allow the system to achieve the imposed specifications. Conventionally, a system based on direct AC voltage control has no restriction on the inverter current. Hence, in this paper, a threshold virtual impedance has been added to the state-feedback control in order to protect the inverter against overcurrent. The robustness of the proposed control is assessed for different short-circuit ratios using small-signal stability analysis. Then, it is checked in different grid topologies using time domain simulations. An experimental test bench is developed in order to validate the proposed control.

**Keywords** Power transmission system · 2-Level voltage source inverter · Grid-forming control · State-feedback control · Small-signal stability analysis · Current limitation · Transient power coupling

## 1 Introduction

An ever-increasing number of renewable energy production systems and HVDC systems are being connected to the grid. This has led to an increase in power electronic devices in the power system. Nowadays, synchronous generators (SGs) are dominating the electrical grid, establishing a stable voltage and frequency that allow for voltage source inverters (VSIs) to be synchronized at the point of common coupling (PCC) through a phase-locked loop (PLL) and injecting the power to the grid. These inverters are characterized as “grid-following” VSIs and behave as current sources. However, since the installation of these generating units is rapidly increasing, some synchronous areas might occasionally operate without synchronous machines. In such conditions, and since the power inverter-based grid-following concept is not able to form an instantaneous AC voltage [1], the system may lose synchronism, which can lead to unstable

operation. As a result, electrical power can no longer be provided to loads. Therefore, the present operation mode is dramatically changed, while the grid stability still has to be ensured with the same level of reliability as today, or better. To operate autonomously, the control law should be changed. Power inverters need to change from following the grid to leading the grid behavior [1–4]. This capability is known as the “grid-forming” concept, where power inverters are able to generate an AC voltage with a given amplitude and frequency at the PCC.

The inner control is usually ensured by cascaded PI controllers. Conventionally, PI controllers are independently tuned by considering a sufficient frequency separation between the control loops, i.e., to avoid interactions, where the current loop dynamics is limited by the inverter bandwidth. This control structure is favored due to the ease of its implementation and its design. In addition to the conventional PI controllers, many other control strategies have been used for grid-following and grid-forming. Predictive control [5], table-based control [6], fuzzy logic control [7], repetitive control [8] and neural network-based control [9] have been proposed for grid-following. For the grid-forming concept, some control techniques have been proposed such as sliding mode control for the inner current loop and

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a mixed  $H_2/H_\infty$  for the AC voltage loop [10]. This control technique aims to design a robust controller to achieve high performances. However, this technique is complex and requires very high computations. In a recent paper, a fractional order controller was proposed for grid-forming inverters. It was motivated by its flexibility and its high number of degrees of freedom. Among the control strategies proposed for grid-forming inverters, a few studies have discussed the control design in high-power applications [10, 11] where the switching frequency is lower than 5 kHz. Such condition can result in a slow dynamics [11], restrained stability regions and interactions between control loops, which can lead to an unstable system [12]. Therefore, controller parameter tuning in such conditions is still a challenge.

This paper focuses mainly on VSI grid-forming control tuning for high-power application. It is an extension of the work done in [13] and refers to work done in [11]. In the latter, the authors proposed an algorithm that deduces the cascaded PI controller gains based on eigenvalues location. This method improves the system stability. However, the AC voltage response time is still very large; the system is poorly damped and presents a strong transient coupling between the AC voltage and the active power. Moreover, because of the control loop interactions, it is not possible to achieve desired performances. In this paper, the cascaded control structure is replaced with a direct AC voltage regulator based on state-feedback control, which aims to enhance the AC voltage dynamics and allows for achieving desired performances. Employing a system state-space model, the controller gains are designed based on a linear quadratic regulator (LQR). Usually, LQR cost factors are designed manually [13–15]. However, in this paper, a sensitivity analysis is proposed to choose the LQR cost factors. The controller tuning considers both the AC voltage response time and the transient coupling between the AC voltage and the active power. To assess the robustness of the developed control, an AC grid impedance variation is performed.

The major disadvantage of the direct AC voltage control is its inability to handle the VSI output current, which can lead to system damage in case of overcurrent. Indeed, when compared to synchronous machines that can support up to seven times over its rated current, power inverters can only cope with few percent of overcurrent (20–40%). Therefore, inverters have to be protected against extreme events such as short circuits and other events that can induce a small overcurrent such as phase shift, connection of large loads and tripping of a line protect power converter against overcurrent [16]. Since the proposed control does not have a servo-current control to limit current transients, a parallel threshold virtual impedance (TVI) [2] is adopted and combined with the proposed AC voltage control.

Several test cases are performed based on single and multi-inverter systems. The aim is to check the effectiveness

of the developed control to operate stably under normal and abnormal scenarios that can occur in power transmission systems. Finally, the developed control is validated on an experimental test bench.

This paper is organized as follows. Section II presents the system modeling of a 2-level VSI and recalls the conventional structure of inner cascaded PI controllers. In Section III, the proposed direct AC voltage control embedding the current limitation algorithm is presented, analyzed and validated using time domain simulations. Section IV performs some tests in different grid topologies in order to demonstrate the effectiveness of the developed control. In Section V, the developed control is validated in an experimental test bench. Finally, some conclusions are drawn in Section VI.

## 2 Grid-forming based on the conventional cascaded control structure

### 2.1 System modeling

The system illustrated in Fig. 1 consists of a three-phase 2-level voltage source inverter represented by a switching model. It is supplied by a DC voltage source that is assumed to be a DC storage and/or primary source, i.e., PV, wind turbine, etc., and connected to an AC system through an  $L_f C_f L_c$  filter. The AC system is model by an equivalent AC voltage source in series with its equivalent impedance  $Z_g = R_g + jX_g$ , i.e., the AC system is assumed to be a stiff symmetrical AC system.

Following the notations in Fig. 1, the state variables are the VSI output current  $i_s$  through the filter inductor  $L_f$ , the AC voltage  $e_g$  across the filter capacitor  $C_f$ , and the grid current  $i_g$  through the equivalent transformer inductance  $L_c$ .

For the system analysis, only the average modeling of the system is used. Then, a switching model is used to validate the proposed control by time domain simulations.

The state variables are represented in the  $d-q$  frame using a Park transform. The following is a state-space model of a three-phase system at the device level:

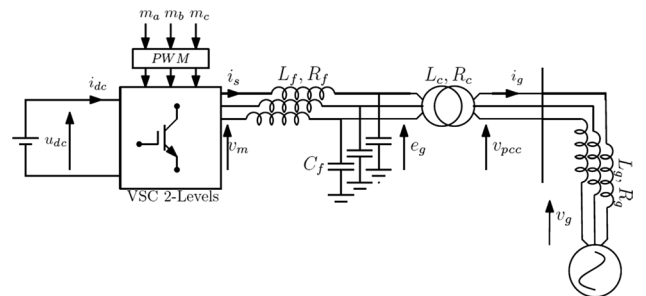


Fig. 1 Power electronic converter connected to an AC system via an LCL filter

$$\begin{cases} \dot{x} = [A]x + [B]u + [F]w \\ y = [C]x \end{cases} \quad (1)$$

where

$$\begin{aligned} x^T &= [i_{sd} \ i_{sq} \ e_{gd} \ e_{gq} \ i_{gd} \ i_{gq}] \\ u^T &= [v_{md} \ v_{mq}]^T, \quad w^T = [v_{pccd} \ v_{pccq}]^T, \quad y^T = [e_{gd} \ e_{gq}] \\ B^T &= \begin{bmatrix} \frac{\omega_b}{L_f} & 0 & 0 & 0 & 0 & 0 \\ 0 & \frac{\omega_b}{L_f} & 0 & 0 & 0 & 0 \end{bmatrix}, \quad C = \begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix} \\ A &= \begin{bmatrix} -\frac{R_f \omega_b}{L_f} & \omega \omega_b & -\frac{\omega_b}{L_f} & 0 & 0 & 0 \\ -\omega \omega_b & -\frac{R_f \omega_b}{L_f} & 0 & -\frac{\omega_b}{L_f} & 0 & 0 \\ \frac{\omega_b}{C_f} & 0 & 0 & \omega \omega_b & -\frac{\omega_b}{C_f} & 0 \\ 0 & \frac{\omega_b}{C_f} & -\omega \omega_b & 0 & 0 & -\frac{\omega_b}{C_f} \\ 0 & 0 & \frac{\omega_b}{L_c} & 0 & -\frac{R_c \omega_b}{L_c} & \omega \omega_b \\ 0 & 0 & 0 & \frac{\omega_b}{L_c} & -\omega \omega_b & -\frac{R_c \omega_b}{L_c} \end{bmatrix} \\ F^T &= \begin{bmatrix} 0 & 0 & 0 & 0 & -\frac{\omega_b}{L_c} & 0 \\ 0 & 0 & 0 & 0 & 0 & -\frac{\omega_b}{L_c} \end{bmatrix} \end{aligned} \quad (2)$$

All of the variables are expressed in per unit. The vectors  $x$ ,  $u$  and  $w$  are the system state variables, the system inputs supposed to be the modulated voltage  $v_{md}$  and the system disturbances supposed to be the AC voltage at the PCC  $v_{pccdq}$ .

The output  $y$  is the AC voltage  $e_{gdq}$ . In addition,  $\omega_b$  and  $\omega$  are the base value for the angular frequency in rad/s and the nominal frequency in per unit, respectively.

## 2.2 Conventional control structure

Figure 2 presents the grid-forming cascaded control structure. It consists of an inner cascaded AC voltage and a VSI output current control represented in the synchronous reference frame (SRF). The inner voltage control is ensured by two proportional–integral (PI) controllers considering the

feedforward decoupling  $C_f \omega e_{gdq}$  and compensation  $i_{gdq}$ . The inner current control is also ensured by two proportional–integral (PI) controllers considering the feedforward decoupling  $L_f \omega i_{sdq}$  and compensation  $e_{gdq}$ .

$k_{pv}$  and  $k_{iv}$  are the proportional gain and integral gain for the AC voltage control, respectively. Meanwhile,  $k_{pc}$  and  $k_{ic}$  are the proportional gain and integral gain for the VSI current control, respectively.

The current control loop generates the modulated voltage to the linearization stage that delivers the modulation signals to the switching stage of the inverter. The control angle  $\theta_{VSC}$  and the AC voltage reference  $e_{gdq}^*$  are provided by the primary control based on the droop control  $P - \omega$  and  $E_g - Q$  droop.

The primary control is expressed by the following equations:

$$\omega_{VSC} - \omega_{set} = \frac{m_p \omega_c}{\omega_c + s} (p_{mes} - p^*) \quad (3)$$

$$e_{gd}^* - E_{set} = n_q \left[ q_{mes} \left( \frac{1}{1 + T_Q s} \right) - q^* \right] \quad (4)$$

where  $\omega_{VSC}$ ,  $m_p$  and  $n_q$  are the VSI output frequency, active droop gain and reactive droop gain, respectively. The low-pass filter in the reactive power droop aims to filter the measurement noises. Meanwhile, the low-pass filter used in the active power droop aims to simultaneously filter the measurement noises and emulate the inertia effect of the synchronous machine [17–19].

Conventionally, the controllers in the cascaded structure are independently tuned by setting a lower response time for the inner current loop, i.e., fastest eigenvalues, which is limited by the first-order transfer function approximating the PWM effect [20] in (5), and a higher response time for the outer loops:

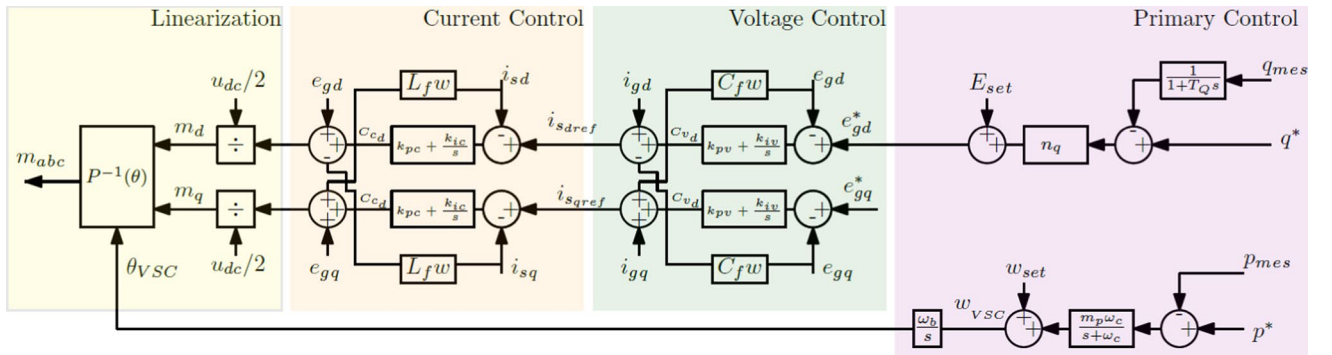


Fig. 2 Conventional control structure of a grid-forming VSI [12]

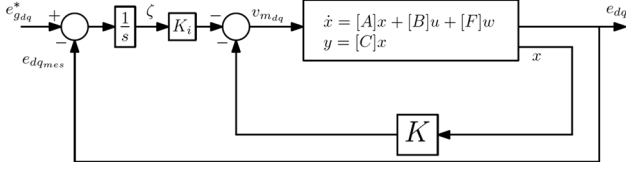


Fig. 3 Block diagram of direct AC voltage control

$$T_{\text{PWM}} = \frac{1}{1 + \frac{1}{2f_{\text{sw}}}} \quad (5)$$

where  $f_{\text{sw}}$  denotes the switching frequency.

The conventional controller tuning for grid-forming inverter-based cascaded structure shows its effectiveness in terms of ensuring stable operation in the standalone mode, but suffers from instability issues in the grid-connected mode following the analysis in [12, 21]. The instability is mainly caused by the inner current control loop [12]. Thus, the next section presents an alternative control structure based on direct AC voltage control.

### 3 Direct AC voltage control for grid-forming inverters

The direct AC voltage control depicted in Fig. 3 consists of a state-feedback controller and an integral compensator.

$K$  and  $K_i$  are the pole placement gains ( $2 \times 6$ ) and the servo-controller gains ( $2 \times 2$ ), respectively.

The vector  $\zeta$  denotes the error derivative between the AC voltage references  $r$  and the measured output voltage  $e_{g,dq}$ :

$$r = \begin{bmatrix} e_{gd}^* & e_{gq}^* \end{bmatrix}^T \quad (6)$$

$$\dot{\zeta} = r - y = r - Cx \quad (7)$$

The augmented controlled system matrices can be written as:

$$\begin{bmatrix} \dot{x} \\ \dot{\zeta} \end{bmatrix} = \begin{bmatrix} A & 0 \\ -C & 0 \end{bmatrix} \begin{bmatrix} x \\ \zeta \end{bmatrix} + \begin{bmatrix} B \\ 0 \end{bmatrix} u + \begin{bmatrix} 0 \\ I \end{bmatrix} r + \begin{bmatrix} F \\ 0 \end{bmatrix} w \quad (8)$$

$$y = \begin{bmatrix} C & 0 \end{bmatrix} \begin{bmatrix} x \\ \zeta \end{bmatrix}^T \quad (9)$$

$$u = -Kx + K_i \zeta = -\begin{bmatrix} K & -K_i \end{bmatrix} \begin{bmatrix} x \\ \zeta \end{bmatrix}^T \quad (10)$$

where  $I$  denotes the identity matrix.

The control gains  $\bar{K} = \begin{bmatrix} -K & -K_i \end{bmatrix}$  are tuned based on linear quadratic control. This provides a control gains matrix based on the minimization of the performance index  $J$  in (11):

$$J = \int_0^\infty (\bar{x}^T Q \bar{x} + u^T R u) dt \quad (11)$$

where  $Q$  and  $R$  are positive-definite/positive-semidefinite Hermitian matrices. The first term on the right side of (11)  $\bar{x}^T Q \bar{x}$  is related to the convergence speed of each state variable. The second term  $u^T R u$  accounts for the expenditure of the control signals energy.

The optimum gain matrix  $\bar{K}$  is expressed as follows:

$$\bar{K} = R^{-1} \bar{B}^T P \quad (12)$$

where  $P$  is the solution of the RICCATI function [22]:

$$\bar{A}^T P + P \bar{A} + Q - P \bar{B} R^{-1} \bar{B}^T P = 0 \quad (13)$$

The existence of the matrix  $P$  implies the system stability.

One of the most common (initial) LQR tuning approaches is to consider all of the cost factors equally [23], i.e.,  $R_k = [I]_{2 \times 2}$  and  $Q_i = [I]_{8 \times 8}$ . This initial parametrization is used to check the system stability and the AC voltage dynamics. Thus, the choice of cost factors is improved thanks to the parametric sensitivity analysis.

#### 3.1 LQR cost factors design

Based on the parameters listed in Table 1 and the initial parameterization of the cost factors  $Q_i = [I]_{8 \times 8}$  and  $R_k = [I]_{2 \times 2}$ , the eigenvalues of the linear system (see appendix) listed in Table 2 have a negative real part, which results in stable system operation.

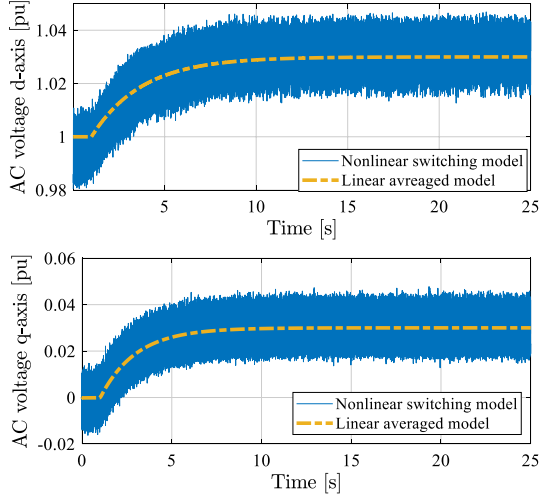
In order to check the inner AC voltage loop dynamics, time domain simulations are shown in Fig. 4. These simulations are performed in MATLAB/SimPowerSystem.

Table 1 System parameters

Parameter	Value
$P_n$	1 GW
$\cos \varphi$	0.95
$f_n$	50 Hz
$U_{ac}$	320 kV
$R_f, R_c$	0.005 p.u
$L_f, L_c$	0.15 p.u
$C_f$	0.066 p.u
$m_p$	2%
$E_{set}$	1 p.u
$R_g$	0.005 p.u
$L_g$	0.05 p.u
$\omega_c$	31.4 rad/s
$n_q$	1e-4 p.u
$f_{sw}$	4 kHz

**Table 2** System eigenvalues

Eigenvalue	Location
$\lambda_{1-2}$	$-758.65 \pm 5507.4i$
$\lambda_{3-4}$	$-758.92 \pm 4879.2i$
$\lambda_{5-6}$	$-12.356 \pm 311.23i$
$\lambda_{7-8}$	$-14.652 \pm 39.50i$
$\lambda_9$	$-31.416 + 0i$
$\lambda_{10}$	$-0.36445 + 0i$
$\lambda_{11}$	$-0.49623 + 0i$

**Fig. 4** AC voltage dynamics for  $Q = [I]_{8 \times 8}$  and  $R = [I]_{2 \times 2}$ 

A voltage step of  $\Delta e_{\text{gdq}}^* = 0.03 \text{ pu}$  is applied at  $t = 1 \text{ s}$  in both the  $d$ -axis and the  $q$ -axis.

The obtained results in Fig. 4 show that both the  $d$ - $q$  AC voltage components have a slow first-order response, where  $T_{\text{r}d\text{-axis}} = 8.1 \text{ s}$  and  $T_{\text{r}q\text{-axis}} = 6 \text{ s}$ :

where  $T_{\text{r}}$  denotes the response time.

The dynamics of the  $d$ -axis AC voltage corresponds exactly to the eigenvalue  $\lambda_{10} = -0.36445 + 0i$ :

$$T_{\text{r}5\%} = 3 / \sqrt{(\Re^2 + \Im^2)} = 8.1682 \text{ s}. \quad (14)$$

The  $q$ -axis AC voltage dynamics corresponds exactly to the eigenvalue  $\lambda_{11} = -0.49623 + 0i$ :

$$T_{\text{r}5\%} = 3 / \sqrt{(\Re^2 + \Im^2)} = 6.0456 \text{ s}. \quad (15)$$

In order to improve the AC voltage dynamics, it is important to find the link between the eigenvalues and the system parameters to target the parameters that impact the AC voltage dynamics. This link is found using a parametric sensitivities tool. The parameter sensitivities of  $\lambda_i$  are defined as the derivative of eigenvalues with respect to the control

parameters. Thus, these sensitivities can identify the parameters that strongly impact specified eigenvalues (i.e.,  $\lambda_{10-11}$ ) [24].

Since the aim is to improve the dynamic of the states  $x_i$ , only the cost factors  $Q_i$  are tuned. The sensitivity  $s_{\Re-\Im}$  of the eigenvalues  $\lambda_i$  with respect to the parameter  $Q_i$  is expressed by the following expressions:

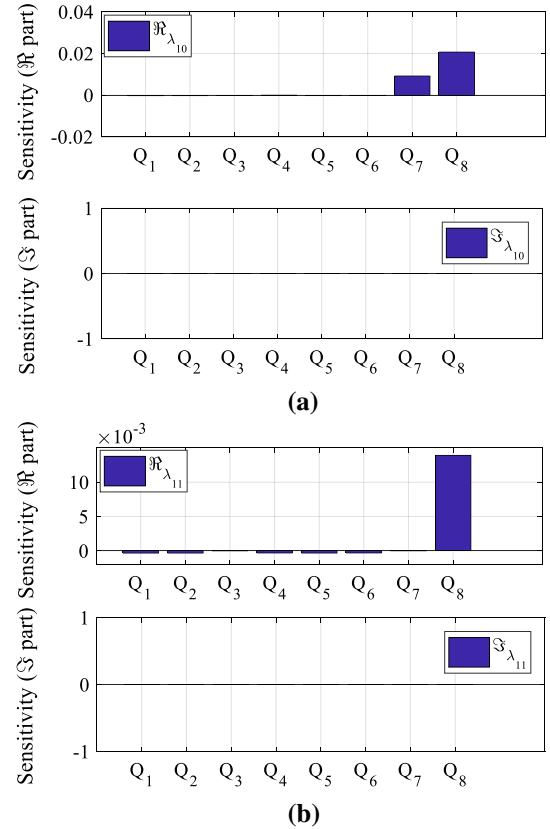
$$s_{\Re} = \frac{\partial \Re \lambda_i}{\partial Q_i}, \quad s_{\Im} = \frac{\partial \Im \lambda_i}{\partial Q_i}. \quad (16)$$

The real and imaginary parts of the sensitivities are associated with the derivatives of the pole location along the  $\Re$  and  $\Im$  axes, respectively.

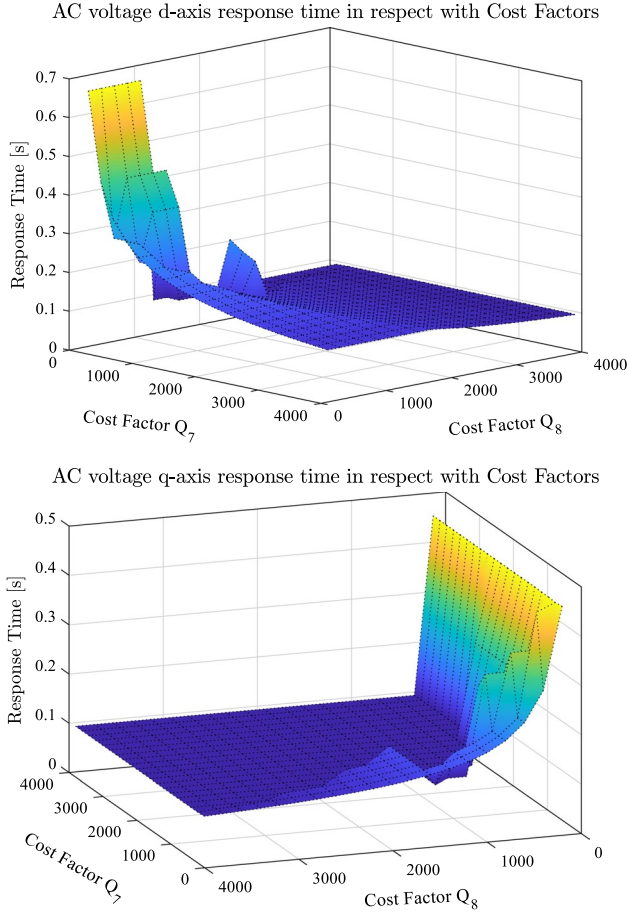
Figure 5 shows that only  $Q_{7-8}$  have a large impact on the eigenvalues  $\lambda_{10-11}$ . Thus, the AC voltage dynamics can be improved by tuning these cost factors.

The choice of the cost factors is made according to the following specifications:

- Ensuring a reasonable voltage response and a low overshoot.

**Fig. 5** Parameter sensitivity analysis, eigenvalues corresponding to the AC voltage: **a**  $d$ -axis; **b**  $q$ -axis



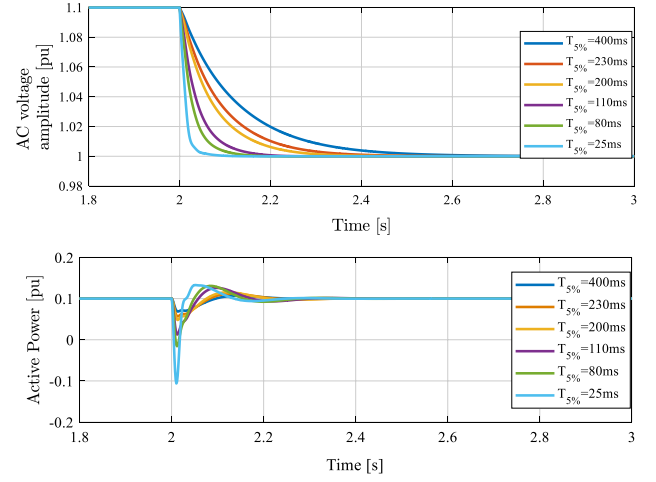


**Fig. 6** Impact of the cost factors  $Q_{7-8}$  on the AC voltage response time

- Ensuring a transient power decoupling. This criterion is not considered in most voltage controller tuning methods [10–12, 21].

A variation of the cost factors  $Q_{7-8}$  results in a variation of  $\lambda_{10-11}$ . This, in turn, leads to a modification of the AC voltage response time as depicted in Fig. 6, where it is ascertainable that the response time of the AC voltage loop decreases when  $Q_{7-8}$  increase. This demonstrates the capability and flexibility of the proposed method to achieve different response times for the AC voltage in comparison with cascaded PI controllers structures [11, 12].

The time domain simulations in Fig. 7 show the impact of the AC voltage dynamics on the active power. It can be seen that the increase in the AC voltage rise time (rise time = response time for the first-order response) results in a high transient coupling with the active power. Therefore, it is important to choose the cost factors in such a way as to ensure both a low-power transient coupling and a reasonable AC voltage convergence dynamics.



**Fig. 7** AC voltage change and its impact on the active power

**Table 3** Controller parameters

Parameter	Value
$K$	$\begin{bmatrix} 0.72 & 0 & 1.02 & 0 & -0.73 & 0 \\ 0 & 0.7197 & 1.2e-3 & 1.014 & -0.0004 & -0.72 \end{bmatrix}$
$KI$	$\begin{bmatrix} -38.62 & -2.88 \\ 0.744 & -9.9722 \end{bmatrix}$

**Table 4** System eigenvalues

Eigenvalue	Location
$\lambda_{1-2}$	$-758.32 \pm 5511i$
$\lambda_{3-4}$	$-758.94 \pm 4882i$
$\lambda_{5-6}$	$-12.385 \pm 312.97i$
$\lambda_{7-8}$	$-14.864 \pm 21.38i$
$\lambda_9$	$-31.41 + 0i$
$\lambda_{10}$	$-13.982 + 0i$
$\lambda_{11}$	$-5.3441 + 0i$

From Figs. 6 and 7, the response time of the AC voltage is set to  $T_{r_{5\%}} = 200\text{ms}$ . This specification is reasonable for power transmission systems applications. This specification corresponds to  $Q_7 = 1500$  and  $Q_8 = 100$ .

Based on the defined response time and cost factors, the control parameters and the new system eigenvalues are listed in Tables 3 and 4, respectively.

Compared to Table 2, Table 5 shows that only  $\lambda_{10-11}$ , which correspond to the voltage loop dynamics, are modified while the rest of eigenvalues have not been impacted.

### 3.2 Comparison with conventional methods

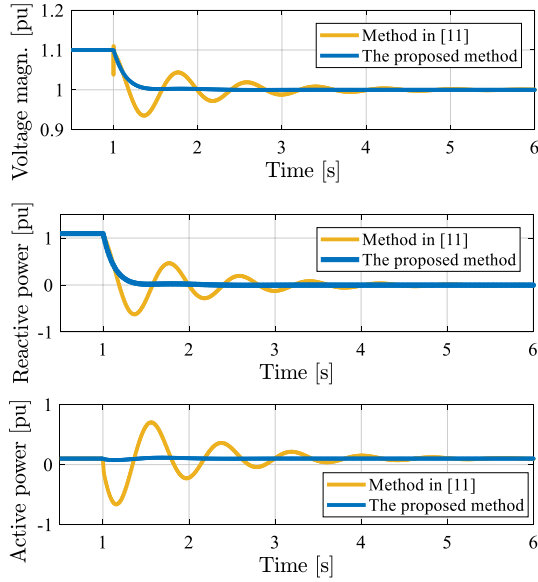
In order to show the relevance of the proposed method, a comparison with the method in [11] has been performed.

**Table 5** System parameters

Parameter	Value
$P_n$	1 MW
$\cos \varphi$	1
$f_n$	50 Hz
$U_{ac}$	690 V
$R_f, R_c$	0.003 p.u
$L_f, L_c$	0.1 p.u
$C_f$	0.2 p.u
$L_g, R_g$	0 p.u

**Table 6** Controller parameters

Parameter	Value
$K$	$\begin{bmatrix} 0.73 & 0 & 0.52 & -0.001 & -0.082 & -0.001 \\ 0 & 0.73 & 0 & 0.53 & 0.014 & -0.073 \end{bmatrix}$
$KI$	$\begin{bmatrix} -20 & -1.829 \\ 45.72 & -0.8091 \end{bmatrix}$

**Fig. 8** Comparison with the conventional method. Simulation results following an AC voltage change

The same analysis done in this paper has been applied to the system parameters used in [11]. These results are listed in the following table and used only for comparison.

Based on the system parameters in Table 5, the controller gains deduced from the developed method in this paper are listed in Table 6.

The performed test case in Fig. 8 consists of applying a voltage change of  $-10\%$  at  $t = 1$  s.

When compared to the conventional method, the obtained results in Fig. 8 clearly show the improvements brought by

the proposed method, where the developed method has a smooth AC voltage change without overshoot. Moreover, the specified AC voltage dynamics result in a negligible coupling with the active power.

### 3.3 Control robustness against grid impedance variation

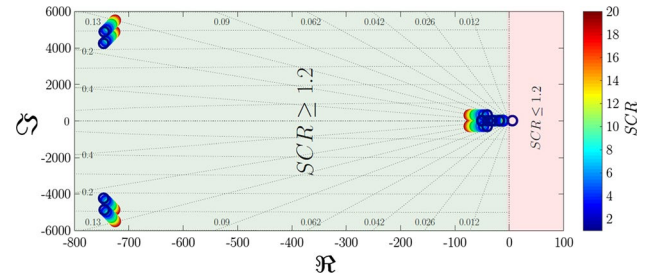
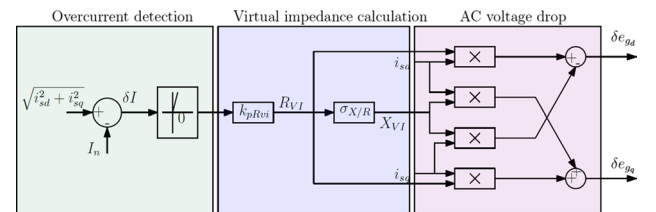
The robustness of the grid-forming inverter against topological changes, which are modeled as a variation of the grid impedance and defined by the short-circuit ratio in (17), is a very important criterion in transmission power systems:

$$SCR = \frac{1}{X_{g_{pu}}}. \quad (17)$$

In Fig. 9, an SCR variation from 20 to 1, i.e., from a strong to a very weak grid, is performed for  $P_0 = 1$  p.u. This shows that the system maintains a stable operation over a wide SCR range for a critical operating point (i.e.,  $SCR \geq 1.2$ ).

### 3.4 Grid-forming inverters protection against overcurrent

Unlike grid-following inverters, which behave as current sources, grid-forming inverters behave as voltage sources. Thus, they are more sensitive to the external disturbances such as short circuits, tripping lines and heavy-load connections. These disturbances may induce overcurrent that can damage semiconductor components. Hence, a current

**Fig. 9** Impact of the SCR on system stability**Fig. 10** Threshold virtual impedance principle



limitation has to be implemented. Since direct AC voltage control does not possess a current servo-controller to limit current transients, the threshold virtual impedance (TVI) depicted in Fig. 10 is adopted.

As illustrated in Fig. 10, the TVI operation principle is divided into three main phases.

- Overcurrent detection and activation, i.e., activation only when the current exceeds  $I_n = 1$  p.u.
- Virtual impedance calculation.
- AC voltage drop calculation.

The expressions of  $X_{VI}$  and  $R_{VI}$  are given in (18a) and (18b), respectively.

$$X_{VI} = \begin{cases} k_{p_{RVI}} \sigma_{X/R} \delta I & \text{if } \delta I > 0 \\ 0 & \text{if } \delta I \leq 0 \end{cases} \quad (18a)$$

$$R_{VI} = X_{VI} / \sigma_{X/R} \quad (18b)$$

where  $\delta I = I_s - I_n \cdot k_{p_{RVI}}$  and  $\sigma_{X/R}$  are defined as the virtual impedance proportional gain and the virtual impedance ratio, respectively.

The parameter  $k_{p_{RVI}}$  is tuned to limit the current magnitude to a suitable level  $I_{\max}$  during overcurrent, while  $\sigma_{X/R}$  ensures a good system dynamics during overcurrent. The tuning method of these parameters is explained in [25].

When the virtual impedance is activated, new AC voltage references are given by (19) and (20):

$$e_{gd}^* = E_{\text{set}} + \left( q_{\text{mes}} \frac{1}{1 + T_{Qs}} - q^* \right) n_p - \delta e_{gd} \quad (19)$$

$$e_{gq}^* = -\delta e_{gq}. \quad (20)$$

The effect of the virtual impedance on the system depends mainly on the voltage loop dynamics. Therefore, a direct feedforward on the modulated voltage  $v_{m_{dq}}$  can be added [2]. A block diagram of the direct AC voltage control embedded in the TVI is depicted in Fig. 11.

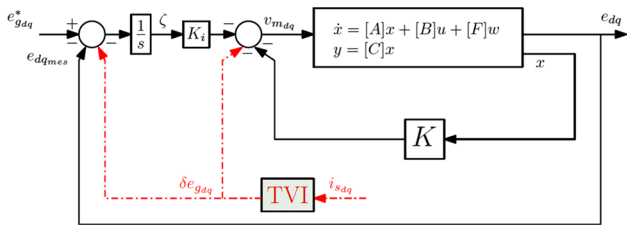


Fig. 11 Block diagram of the direct AC voltage control embedded in the current limitation algorithm

In the following, the TVI parameters are set to  $I_{\max} = 1.2$  p.u.,  $k_{p_{RVI}} = 1.31$  p.u and  $\sigma_{X/R} = 3$ .

## 4 Simulation results

In this section, several case studies are used to evaluate the developed control. The case studies cover several prototypical scenarios based on single-inverter and multi-inverter systems.

### 4.1 Single inverter connected to a grid

In addition to the AC voltage dynamics, which are already demonstrated in Fig. 8, this subsection focuses on the system behavior in the case of a fault.

#### 4.1.1 Symmetrical three-phase short circuit

A symmetrical three-phase bolted fault is applied to the system at the PCC level as depicted in Fig. 12. At  $t = 1$  s, a

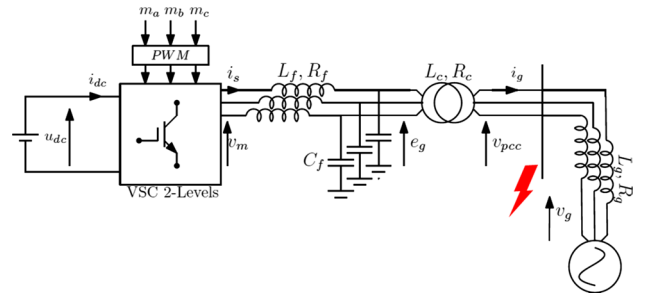


Fig. 12 Single inverter subjected to a three-phase short-circuit

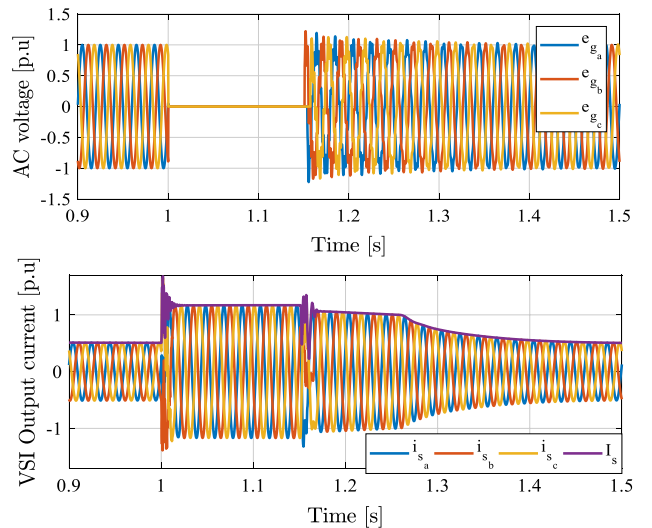


Fig. 13 System behavior subjected to a three-phase short circuit

150ms fault occurred. Simulation results are gathered and presented in Fig. 13.

As can be seen from Fig. 13, the AC voltage drops to zero during the fault, which leads to an increase in the VSI output current. The output current amplitude  $I_s$  reaches 1.65 p.u in the first 10 ms. Then, it is limited to its maximum allowable value  $I_{\max} = 1.2$  p.u during the rest of the fault duration. Once the fault is cleared, the system recovers stably to its operating point within 300 ms.

#### 4.1.2 Phase shift

Continuing with the system shown in Fig. 12, a phase shift of  $30^\circ$  is applied to the system at  $t = 1$ s as shown by the grid voltage  $v_g$  in Fig. 14. During the phase shift, the current increase is limited to  $I_{\max}$ . Then, the system recovers to its operating point in a stable manner.

### 4.2 Three-bus system case study

In future power systems, grid-forming inverters of different ratings will be interconnected via transmission lines with diverse topologies. To study the behavior of a system and the performances of the developed control in such a scenario, the simple meshed grid of 320 kV illustrated in Fig. 15 is used. The studied system consists of overhead lines, i.e.,  $L_1 = 25$  km,  $L_2 = 4L_1$  and  $L_3 = 5L_1$ , a three-phase resistive load, and a three-bus system as shown in Fig. 15. The switching effect of the power inverters is taken into account. The LCL filter design of each inverter depends on its rated power as indicated in the downstairs of each inverter in Fig. 15 and the per-unit values listed in Table 1.

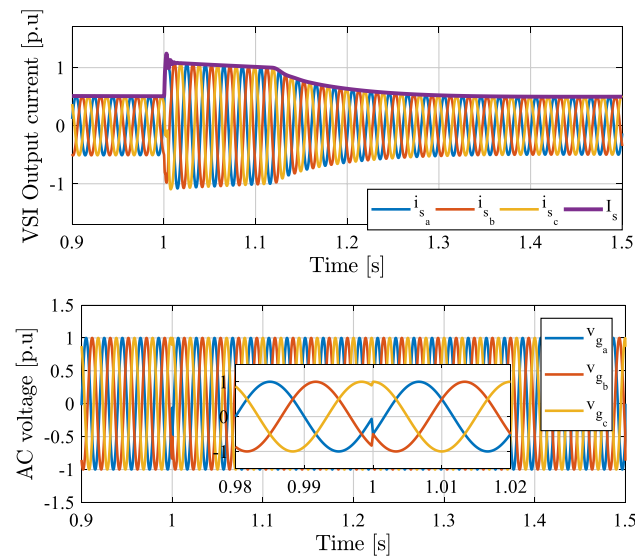


Fig. 14 System behavior subjected to a phase shift of  $30^\circ$

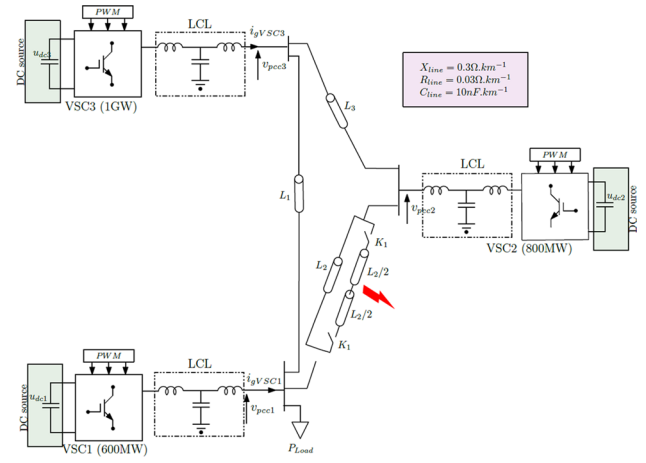


Fig. 15 Three-bus system-based grid-forming inverters

Simulation results for the following test cases show the local AC signals of each power inverter, i.e., AC voltage across the capacitors, output current of the LCL filter, active power and reactive power.

#### 4.2.1 P-Load change

Initially, the system is at no load  $P_{\text{load}} = 0$ W. Then, a load change of  $P_{\text{load}} = 1125$ W is applied at  $t = 0.5$ s.

As shown in Fig. 16, the load change leads to a voltage transient in all of the VSIs. The AC voltage drop during the load connection depends on the electrical distance between the VSIs and the load, i.e., since VSI<sub>2</sub> is far from the load location, the transient AC voltage drop is more important than VSI<sub>1</sub> and VSI<sub>3</sub>. Based on the proposed control, the AC voltage has an overshoot of 0.7% after the load connection and recovers stability to its nominal value within 80ms.

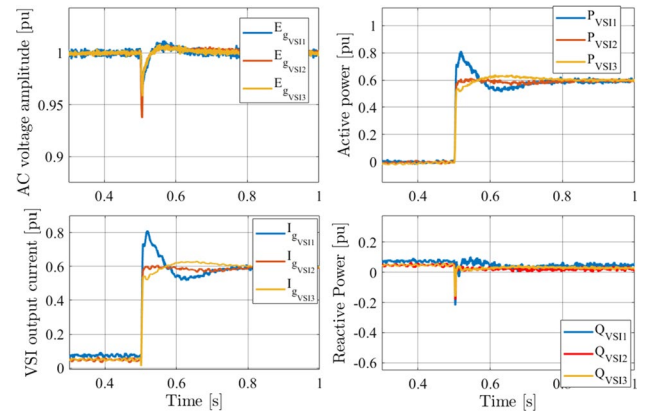


Fig. 16 System dynamics following a load change

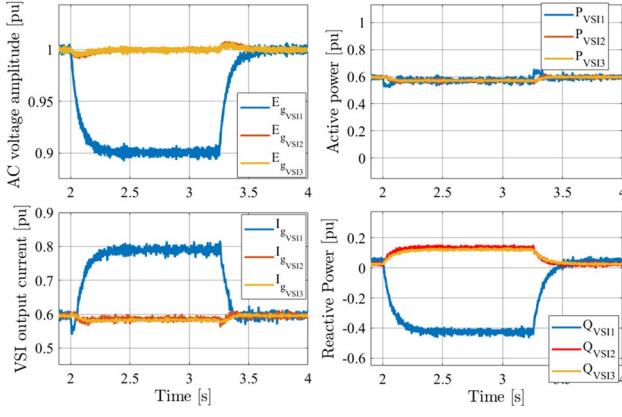


Fig. 17 System dynamics following an AC voltage change

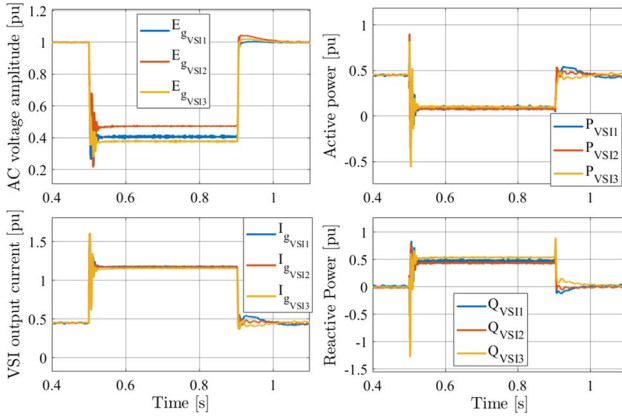


Fig. 18 System behavior during a symmetrical three-phase short circuit followed by a tripping line

#### 4.2.2 AC voltage amplitude change

Initially, a resistive load of  $P_{load} = 1125\text{MW}$  is connected and the power inverters are driven to their nominal AC voltage  $E_{gVSC123} = 1\text{pu}$ . Then, a -10% AC voltage amplitude is applied on VSI<sub>1</sub> at  $t = 2\text{s}$  as shown in Fig. 17.

The AC voltage dynamics of VSI<sub>1</sub> corresponds to the one defined in the specifications, i.e.,  $T_r = 200\text{ms}$ . The AC voltage change results in a reactive power change with the same dynamics, as well as a low transient coupling with the active power as expected. For VSI<sub>2</sub> and VSI<sub>3</sub>, this change is seen as an external disturbance leading to an AC voltage transient due to the reactive power exchange between the VSIs. At  $t = 3.25\text{s}$ , the AC voltage of VSI<sub>1</sub> recovers its nominal value with same dynamics.

#### 4.2.3 Symmetrical three-phase short circuit followed by line tripping

A 400-ms symmetrical three-phase short circuit has occurred between two lines as depicted in Fig. 18. Then, it is cleared by tripping the lines using the switch  $K_1$ . In this test case a  $P_{load}$  of 1125MW is assumed to be connected.

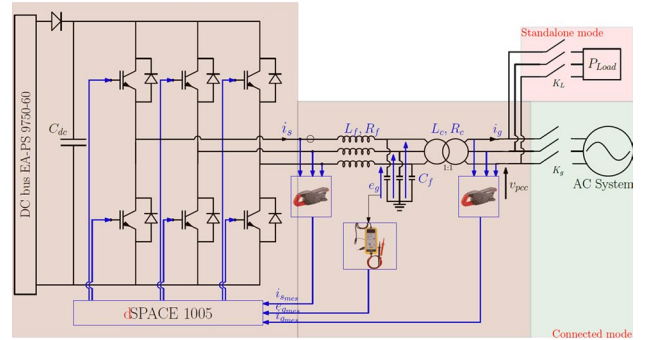
As shown in Fig. 18, when a fault occurs, the AC voltages drop by 50% for VSI<sub>2</sub> and 60% for VSI<sub>1-3</sub>. As a result, it should be noticed that the output current  $I_g$  reaches 1.65 p.u in first 30 ms. Then, the output currents of all of the inverters are limited to 1.2 p.u. Once the fault is cleared, the system recovers stably to its operating point within 50 ms.

## 5 Experimental results

The developed control is validated on a small-scale experimental bench like the one illustrated in Fig. 19(a). The power inverter is supplied by an ideal DC voltage source of 600 V and connected to a 300 V ph-ph AC grid through an LCL filter as presented in this paper and shown in



(a)



(b)

Fig. 19 Experimental bench: **a** mockup presentation; **b** functional schema

**Table 7** System parameters

Parameter	Value
$P_n$	5.62 kW
$\cos \varphi$	0.9
$f_{sw}$	10 kHz
$L_f$	0.15 p.u.=10.91 mH
$C_f$	0.066 p.u.=9.19 $\mu$ F
$L_c$	0.17 p.u.=12.91 mH

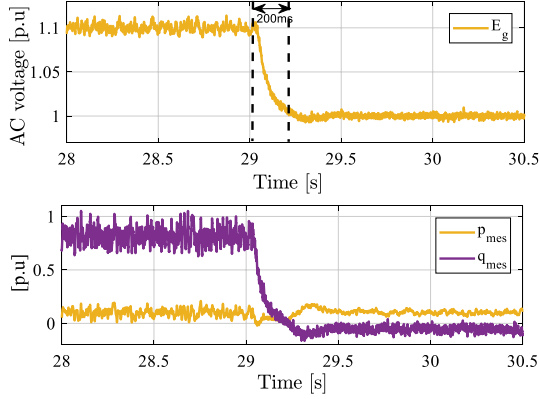
**Fig. 20** AC voltage change and its impact on active power

Fig. 19(b). The switching frequency of the converter is  $f_{sw} = 10\text{kHz}$ , and a DSPACE1005 is used as the controller with a time step of  $40\mu\text{s}$ .

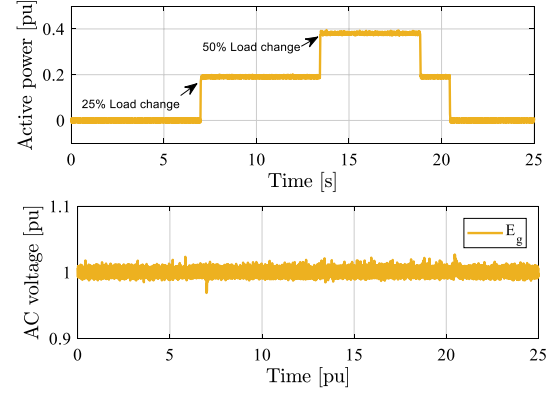
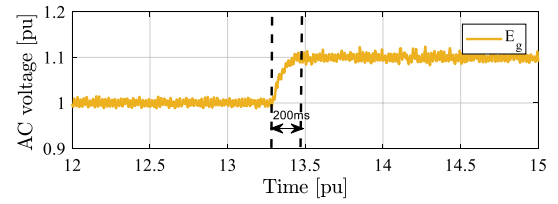
The mockup parameters are listed in Table 7. The parameter of the LCL filter approximatively corresponds to those used in the paper in per unit, i.e., Table 1. The control parameters are those listed in Table 3.

Two possible experimentations have been completed based on the developed control, i.e., the grid-connected mode where the VSI is connected to the laboratory power system ( $K_g$  is closed), and the autonomous mode where the VSI is connected to a three-phase variable resistive load ( $K_L$  is closed).

### 5.1 Grid-connected mode

Similar to the test case shown in Fig. 8, the test case shown in Fig. 20 first brings the system to the steady state with an AC voltage of  $E_g = 1.1$  p.u. before it is stepped back to 1.0 p.u.

The experimental results in Fig. 20 support the analysis done in this paper where the AC voltage dynamics respect the imposed specifications, i.e.,  $T_{r5\%} = 200\text{ms}$  and the transient coupling between the AC voltage and the active power is very small.

**Fig. 21** Resistive load change**Fig. 22** AC voltage change

### 5.2 Standalone mode

Grid-forming inverters should remain stable regardless of the grid topology and based on the same control law. This subsection aims to show the effectiveness of the developed control in operating in the standalone mode.

The power inverter is now connected to a variable resistive load of  $P_{load} = 4\text{kW}$ . In Fig. 21, load changes of 0.2 p.u. and 0.4 p.u. have been applied. The results show that the VSI feeds the load in a stable manner and represents a very weak AC voltage transient.

In Fig. 22, an AC voltage amplitude change of 10% occurs. The obtained results show that the AC voltage dynamics respect the response time specifications in the autonomous mode as in the grid-connected mode.

These simple test cases aim to show the flexibility of the developed control and its ability to operate in various grid topologies, as well as its ability to achieve higher dynamic performances than the conventional cascaded control.

## 6 Conclusions

This paper proposes a direct AC voltage control structure and its controller tuning for grid-forming inverters. Despite the fact that the state-feedback control technique is considered as a conventional one, this paper demonstrates that its

application to the grid-forming inverters in high-power system applications has many advantages when compared to the cascaded control structure, especially in terms of dynamics. The proposed tuning method for controller gains has allowed for achieving the desired AC voltage response time, while taking into account the coupling issues with the active power.

The fundamental issue with using direct AC voltage control is its inability to protect inverters against overcurrent. Thus, this paper combines AC voltage control with threshold virtual impedance. The choice of this solution is motivated by the ease of its implementation. Moreover, it does not have any impact on the system behavior under normal operation. The effectiveness of the proposed control is demonstrated in a number of test cases by time domain simulations and experiments.

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## Appendix

Augmented state-space control matrices:

$$x^T = \begin{bmatrix} \Delta i_{sd} & \Delta i_{sq} & \Delta e_{gd} & \Delta e_{gq} & \Delta i_{gd} & \Delta i_{gq} & \Delta \zeta_d & \Delta \zeta_q & \dots \\ & & \Delta \delta & \Delta p_{mes} & \Delta q_{mes} & & & & \end{bmatrix}$$

$$A_{aug11} = \begin{bmatrix} -\frac{R_f \omega_b}{L_f} + \frac{\omega_b K_{11}}{L_f} & \omega \omega_b + \frac{\omega_b K_{12}}{L_f} & -\frac{\omega_b}{L_f} + \frac{\omega_b K_{13}}{L_f} \\ -\omega \omega_b + \frac{\omega_b K_{21}}{L_f} & -\frac{R_{fu} \omega_b}{L_f} + \frac{\omega_b K_{21}}{L_f} & +\frac{\omega_b K_{21}}{L_f} \\ \frac{\omega_b}{C_f} & 0 & 0 \end{bmatrix}$$

$$A_{aug12} = \begin{bmatrix} \frac{\omega_b K_{14}}{L_f} & \frac{\omega_b K_{15}}{L_f} & \frac{\omega_b K_{16}}{L_f} & -\frac{\omega_b K_{11}}{L_f} & -\frac{\omega_b K_{12}}{L_f} \\ -\frac{\omega_b}{L_f} + \frac{\omega_b K_{21}}{L_f} & \frac{\omega_b K_{21}}{L_f} + \frac{\omega_b K_{21}}{L_f} & -\frac{\omega_b K_{21}}{L_f} & -\frac{\omega_b K_{21}}{L_f} & -\frac{\omega_b K_{21}}{L_f} \\ \omega \omega_b & -\frac{\omega_b}{C_f} & 0 & 0 & 0 \\ 0 & 0 & -\frac{\omega_b}{C_f} & 0 & 0 \\ 0 & -\frac{R_{cu} \omega_b}{L_c} & \omega \omega_b & 0 & 0 \end{bmatrix}$$

$$A_{aug13} = [0]_{3 \times 3}$$

$$A_{aug21}^T = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \frac{\omega_b}{C_f} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ -\omega \omega_b & \frac{\omega_b}{L_c} & 0 & 1 & 0 & 0 & \omega_c I_{gd0} & -\omega_c I_{gq0} \end{bmatrix}$$

$$A_{aug22} = \begin{bmatrix} 0 & 0 & -\frac{\omega_b}{C_f} & 0 & 0 \\ 0 & -\frac{R_{cu} \omega_b}{L_c} & \omega \omega_b & 0 & 0 \\ \frac{\omega_b}{L_c} & -\omega_0 \omega_b & -\frac{R_{cu} \omega_b}{L_c} & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ \omega_c I_{gq0} & \omega_c E_{gd0} & \omega_c E_{gd0} & 0 & 0 \\ \omega_c I_{gd0} & \omega_c E_{gq0} & -\omega_c E_{gd0} & 0 & 0 \end{bmatrix}$$

$$A_{aug23} = \begin{bmatrix} 0 & 0 & 0 \\ \frac{V_{pccd0} \sin(\delta_0) \omega_b}{L_c} & -\omega_b I_{gq0} & 0 \\ \frac{V_{pccd0} \cos(\delta_0) \omega_b}{L_c} & \omega_b I_{gd0} & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & -m_p & 0 \\ 0 & -\omega_c & 0 \\ -\omega_c & 0 & 0 \end{bmatrix}$$

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