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# Amorphous rigidification and cooperativity drop in semi-crystalline plasticized polylactide

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## ABSTRACT

### Keywords:

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Poly (lactic acid)

Glass transition

Plasticization of amorphous polylactide shifts the glass transition and extends its temperature range of crystallization to lower temperatures. In this work, we focus on how low-temperature crystallization impacts the mobility of the amorphous phase. Plasticizer accumulates in the amorphous phase because it is excluded from the growing crystal. The formation of rigid amorphous fraction is favored by the low crystallization temperature. It reaches values up to 50% in plasticized polylactide. The increase in the content of rigid amorphous fraction coincides with both the increase of free volume quantified by positron annihilation lifetime spectroscopy, and the decrease in the cooperativity length obtained from the temperature fluctuation approach. The drop of cooperativity is interpreted in terms of mobility gradient due to the amorphous rigidification.

## 1. Introduction

The thermal resistance of polylactide (PLA) can be improved by processing semi-crystalline polylactide (sc-PLA) [1–3], but it often requires adding plasticizer in the formulation to mitigate its brittleness [2–8]. Recent works evaluated capabilities of biodegradable and bio-based molecules as plasticizers [9–15]. These molecules are often compared to citrate esters [16–21], which possess good miscibility with PLA [16–19]. Acetyl tributyl citrate (ATBC) has shown good thermal stability during repeated heating/cooling cycles around the glass transition [21]. Despite the high applicative importance of polymer/diluent systems, reports on the physical consequences of plasticizers on the local microstructure on semicrystalline (sc) polymers are rare.

Plasticization of PLA can be explained by several theories based on physical observations [22]. In previous works on fully amorphous PLAs [21,23–26], we investigated the influence of ATBC on the glass transition dynamics by thermal analysis techniques. Polymer glass-formers usually exhibit a deviation from the Arrhenius-type temperature dependence of relaxation time, when approaching glass transition on cooling, this deviation being described by fragility index [27]. Its

molecular representation can be provided within cooperative rearranging regions (CRR) concept as proposed by Adam and Gibbs [28]. The CRR concept suggests that the relaxation time and the activation energy brutally increase during cooling towards the glass transition because a higher number of structural units must be mobilized to achieve the relaxation process. It was observed [24,25] by modulated temperature differential scanning calorimetry (MT-DSC) and dielectric relaxation spectroscopy (DRS) that the ATBC molecules decrease the kinetic fragility index, and that this result coincides with the decrease of the size of CRR [25]. Recently [26], we have shown that the variations of the CRR size in plasticized PLA are consistent with the variations of the free volume obtained experimentally from positron annihilation lifetime spectroscopy (PALS).

Establishing the mechanism of the influence of plasticizer on the amorphous fraction dynamics of sc-PLA is challenging due to the interplay existing between the processing conditions, the obtained microstructure, and the resulting properties of the amorphous phase. But these microstructure features have a significant impact on transport [29] and mechanical [30] properties. Therefore, new results regarding these characteristics will be of interest for enlarging the use properties of

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When PLA undergoes thermal crystallization, the amorphous region is progressively reduced, leading to constrained chains mobility. Due to the incomplete decoupling between the crystalline and amorphous phases, PLA like many polymers is described, by a three phase model, which considers crystalline phase, mobile amorphous fraction (MAF) and rigid amorphous fraction (RAF) [31–35]. The RAF behaves as an interphase with nanometric dimensions [36]. Contrary to MAF, the RAF does not relax at glass transition and devitrifies in a temperature domain between the glass transition and the fusion [37,38]. RAF can be easily formed in the imperfect crystallization conditions [37], and it is detrimental to PLA barrier properties [39].

In neat PLA, the constraining effect of crystals and RAF on the MAF mobility has been investigated in several studies [40–43]. The increase of the fragility [40,41] and the decrease of cooperativity [42,43] have been reported. Besides, two distinct thermal signatures at the glass transition have been observed for the samples with intermediate crystallinity [42–45]. It has been assumed that the low temperature process is the signature of relaxation in the amorphous matrix, which does not undergo geometrical restrictions. On the other hand, the high temperature process has been attributed to the signature of relaxation in the MAF confined into the spherulites with constrained dynamics. Righetti et al. [46] clearly identified the signatures of both constrained and unconstrained MAFs by MT–DSC and dielectric relaxation spectroscopy (DRS) when crystallizing at 85 °C. In contrast, after crystallizing at 145 °C the MAF remains practically unconstrained [46].

In this work we provide new results regarding the respective roles of crystallization and plasticizing molecules on the amorphous phase behavior in PLA. The temperature regime slightly above the glass transition region has been chosen as crystallization conditions to impact the MAF mobility and to promote RAF formation. MT–DSC has been used to calculate the content of MAF and RAF in plasticized sc–PLA for various crystallization times. The MAF dynamics have been investigated using Cooperative Rearranging Region (CRR) concept. The free volume variations with both plasticization and crystallization have been investigated with temperature–dependent PALS.

## 2. Experimental

### 2.1. Materials

PLA grade was 4042D with a D content of 4% as provided by NatureWorks®. ATBC (CAS Number 77–90–7) was purchased from Sigma Aldrich® (France) and used as plasticizer. Hildebrand solubility parameters  $\delta$  are close between both constituents:  $\delta_{\text{PLA}} = [21.9 \text{ (MJ m}^{-3})^{0.5}]$  and  $\delta_{\text{ATBC}} = [18.4 \text{ (MJ m}^{-3})^{0.5}]$  [21].  $x$  % ATBC was added to PLA,  $x$  being equal to 0 (neat PLA), 2.5, 5, 10 and 15% of PLA initial weight (samples named PLA<sub>x</sub>). The procedure for blends processing was similar to those reported in previous studies [24,25]. PLA and ATBC were both dried at 80 °C under vacuum for 12 h, and then blended in the internal mixer (Haake Rheocord 9000®) at 160 °C and 60 rpm for 15 min. The obtained blends were additionally dried for 4 h at 80 °C under vacuum and then thermo–molded between two hot plates. Pressure was increased progressively to remove air bubbles: 10 bars for 30 s, 50 bars for 30 s and 150 bars for 1 min. After quenching to room temperature, homogenous amorphous films of about 100  $\mu\text{m}$  thickness were obtained. The average molecular weight of neat PLA after processing was determined as 90500  $\text{g mol}^{-1}$  (polydispersity index = 2.75) using polystyrene standards for calibration. To induce cold crystallization, the as–prepared amorphous samples were heated from the glassy state in the DSC Q100 (TA® instruments) apparatus at 20 °C  $\text{min}^{-1}$  rate from 0 °C to  $T_g + 20$  °C, then annealed for different time  $t_c$  ranging between 1 and 1000 min.

### 2.2. Polarized optical microscopy

Optical micrographs of sc–PLA were taken using a Nikon Optiphot–2 Polarized Optical Microscope (POM) equipped with the Nikon® Nis Elements D software. The samples were heated in a hot stage Mettler® FP 82 HT reproducing the same thermal cycle than DSC Q100 (TA® instruments) to observe the appearance and growth of spherulites during isothermal crystallization. The pictures were taken for various annealing times at  $T_g + 20$ , 110, and 130 °C, i.e., at temperatures between the glass transition and melting point. Pictures are presented in Supporting Information (Fig. S1).

### 2.3. Positron annihilation lifetime spectroscopy

Temperature–dependent PALS was performed to provide the information regarding the content of free volume available in the material [26]. The instrument was calibrated by measuring Co60 isotope, pure Ni and Au metals, as well as PTFE (Teflon) standards. The spectra were recorded with the fast coincidence system (ORTEC) of 230 ps resolution from 5 to 80 °C (0.007 K  $\text{min}^{-1}$  ramp) at relative humidity 35%. Each spectrum contained 8–10<sup>5</sup> coincidences in total. The source of positrons, isotope <sup>22</sup>Na (activity ~2 MBq), was sandwiched between two identical samples. The spectra revealed three components from the fitting procedure (performed using standard LT 9.0 program [47]). The fraction of free volume ( $f_v$ ) was quantified from the third component (lifetime  $\tau_3$ , intensity  $I_3$ ) which was associated with the formation of ortho–positronium (o–Ps) [48]:

$$f_v (\%) = A \cdot I_3 (\%) \left( \frac{4}{3} \pi R^3 \right), \quad (1)$$

Where coefficient  $A = 0.0018$  in polymers [48] and  $R$  is the radius of pores calculated according to Tao–Eldrup model [49,50]:

$$\left( \frac{1}{\tau_{o-Ps}} \right)_{TE} = \lambda_{TE}(R) \cong \lambda_A \left[ 1 - \frac{R}{R + \Delta R} + \frac{1}{2\pi} \sin \left( \frac{2\pi R}{R + \Delta R} \right) + 0.007 \right] \quad (2)$$

Where  $\lambda_A = \frac{1}{4}\lambda_s + \frac{3}{4}\lambda_t \cong 2\frac{1}{ns}$  is the average weighted annihilation rate of positrons in vacuum;  $\Delta R = 0.1656$  nm for spherical–like, and  $\Delta R = 0.18$  nm for cylindrical–like pores [51,52].

### 2.4. Modulated temperature differential scanning calorimetry

MT–DSC analyses were carried out by DSC Q100 (TA® instruments) under 50 mL flow nitrogen atmosphere. The samples of about 10 mg were sealed in hermetic aluminum pans (T–Zero, TA® instruments). Prior to any set of experiments, an empty furnace run in the investigated temperature domain was performed. The calibration protocol included the cell resistance and capacitance following the calibration procedure of TA® instruments. The calibration in temperature was done by referring to the melting of standards of benzophenone and indium. Indium was also used for the energy calibration. A sapphire disc was used to ensure a proper calibration in heat capacity. The analyses were performed at 2 °C  $\text{min}^{-1}$  in heat–only conditions (oscillation amplitude of 0.318 °C and period of 60s). These modulation parameters are suitable if one wants to characterize simultaneously the amorphous phase behavior at the glass transition, cold–crystallization and the melting. To obtain the signals needed for the cooperativity length calculation, the complete deconvolution procedure was done as proposed by Reading [53]. The phase lag  $\phi$  between the calorimeter response function and the time derivative of the modulated temperature program was corrected as proposed by Weyer et al. [54]. The part of the phase lag  $\phi_{ht}$  due to the heat transfer in the sample was corrected using homemade software. The apparent complex heat capacity  $C^*$  was separated into in–phase  $C'$  and out–of–phase  $C''$  components determined as  $C' = C^* \cos \phi_s$  and  $C'' = C^* \sin \phi_s$ , where  $\phi_s$  is the part of the phase lag caused by the relaxation of the sample in the glass transition domain.

## 2.5. Calculation of the cooperativity length

The characteristic length of cooperativity, i.e., the size of the CRR was calculated according to the temperature fluctuation approach [55]. In the equation proposed by Donth [56], the average length of a CRR at the dynamic glass transition temperature  $T_\alpha$ , denoted as  $\xi_{T_\alpha}$ , is:

$$\xi_{T_\alpha}^3 = \frac{\Delta(1/C_p)}{\rho(\delta T)^2} k_B T_\alpha^2 \quad (3)$$

Where  $\delta T$  is the mean temperature fluctuation related to the dynamic glass transition of one CRR [55],  $k_B$  is the Boltzmann constant,  $\rho$  is the density ( $\rho = 1.25 \text{ g/cm}^3$  for each sample [21]) and  $C_p$  is the heat capacity at constant pressure.  $\delta T$  is equal to the standard deviation in the glass transition domain of  $C'$  Gaussian fit, while  $T_\alpha$  corresponds to its maximum. Alternatively,  $\delta T$  can be obtained from the  $dC'/dT$  signal as described in Ref. [57]. The  $\Delta(1/C_p)$  value, which is equal to  $(1/C_p)_{\text{glass}} - (1/C_p)_{\text{liquid}}$  at  $T_\alpha$ , was obtained from  $C'$  signal normalized to MAF content, which participates only in the relaxation at glass transition [37,38]. The uncertainty on the heat capacity, estimated from reproducibility, is  $\pm 0.2 \text{ J g}^{-1} \text{ K}^{-1}$ . According to Pyda et al. [58], the heat capacity of solid PLA is theoretically linked to its group vibrational spectrum and the skeletal vibrations. So the calculation of  $C_p$  (vibrational) can be used to check the consistency of experimental data obtained from calorimetric techniques [58]. In the present study, the theoretical heat capacity of PLA plasticized by various amounts of ATBC was not known. Instead, a calculated  $C_p$  was proposed, which corresponds to the weighted sum between the recommended experimental heat capacity of PLA given by Pyda et al. [58], and the heat capacity of ATBC that was obtained experimentally from MT-DSC (shown in Supporting Information Fig. S2). Then the  $C'$  signal vs. temperature, obtained experimentally, was shifted in order to maximize the coincidence with the calculated  $C_p$ . The shift was performed in the temperature domain slightly below the glass transition. The heat capacity value was adjusted but the slope of the glass line remained unchanged.

## 3. Results and Discussion

### 3.1. Impact of the annealing on the amorphous fractions characteristics

The intrinsic effect of plasticization on the MT-DSC response of amorphous PLA was investigated in previous works [21,23–26] and is briefly summarized in Supporting Information (Fig. S3). Fig. 1a shows MT-DSC average heat flow curves for amorphous and sc-PLA\_2.5

samples after the annealing at  $T_g + 20^\circ \text{C}$  for  $t_c = 1\text{--}1000 \text{ min}$ . The heat capacity step gradually decreases with  $t_c$  and seems to disappear for the longest annealing time. The cold crystallization peak progressively shifts to the lower temperatures and its area decreases. All these variations are explained by the development of a crystalline phase at the expense of the amorphous phase during the annealing. The melting peak also progressively transforms when the annealing time increases. A double peak (see Supporting Information Fig. S3 for details) is recorded for  $t_c < 60 \text{ min}$ , as highlighted by the solid blue curve. For  $t_c > 60 \text{ min}$  the exothermic signal of the reorganization of  $\alpha'$  – to  $\alpha$  – crystals is detected before melting [59–62]. This shows, as expected, that the crystallization at  $T_g + 20^\circ \text{C}$  induces  $\alpha'$  – crystals. For  $t_c > 120 \text{ min}$ , the exothermic signal is clearly visible and the double peak of melting has totally disappeared, meaning that the crystallization is performed in totality during the annealing.

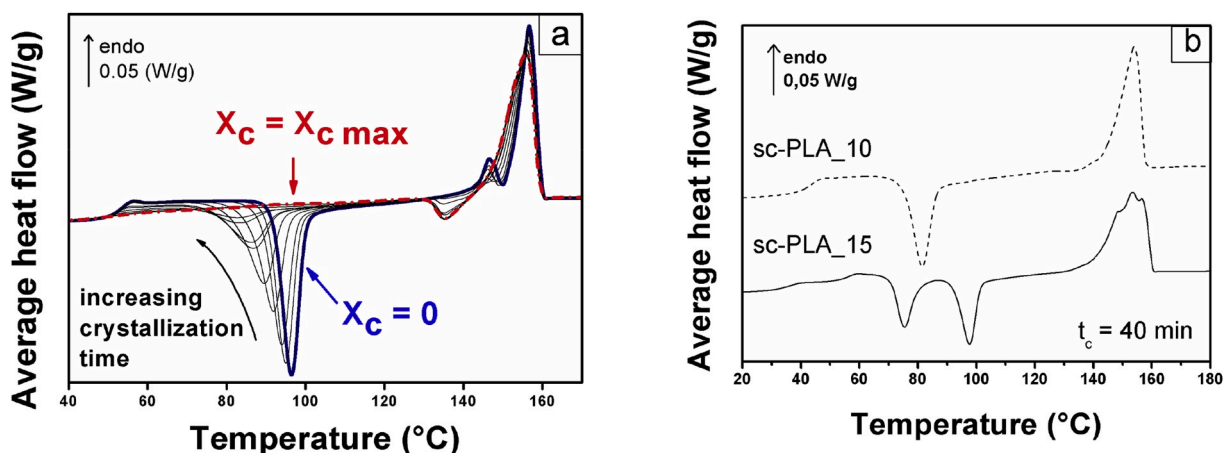
The evolution of the DSC profile with crystallization is sensibly identical for sc-PLA\_5 and sc-PLA\_10 but when  $x$  increases up to 15%, the used crystallization protocol differently impacts the calorimetric behavior of PLA. In the DSC signal presented in Fig. 1b for sc-PLA\_15 after annealing for  $t_c = 40 \text{ min}$  at  $T_c = T_g + 20^\circ \text{C}$ , one can readily observe the signatures of phase separation, although the degree of crystallinity is less than 10%. Two heat flow steps and two peaks of cold-crystallization are recorded and the melting peak becomes more complex, which is the result of multiple contributions. For comparison, the curve corresponding to sc-PLA\_10 annealed in the same conditions has been added. It does not show the signatures of phase separation.

Most likely, the distribution of plasticizer in the amorphous phase of sc-PLA\_15 is heterogeneous. It aggregates in some parts of the material whereas other areas seem poorly plasticized, owing to the heat flow step observed in a temperature domain close to the glass transition of neat PLA. Sc-PLA\_15 has a singular behavior, so the analysis of the impact of annealing on the glass transition has been limited to the PLAs with  $x$  equal to 10% or less.

The degree of crystallinity  $X_c$  in the sample is calculated from:

$$X_c = \frac{\Delta H_f - \Sigma \Delta H_c}{\Delta H_f^0} \quad (4)$$

Where  $\Delta H_c$  is the enthalpy of cold-crystallization and  $\Delta H_f$  is the enthalpy of melting. Very different theoretical values for the enthalpy of melting  $\Delta H_f^0$  of 100% crystalline PLA can be found in the literature [63]. Within the present experimental conditions, where crystalline reorganization and melting are related, the value of 93 J/g proposed by Fischer et al. [64] previously shows consistency with X-Ray analyses [39]. The



**Fig. 1.** a Average heat flow vs. temperature for PLA\_2.5 samples with different degree of crystallinity  $X_c$ . The solid blue curve corresponds to  $X_c = 0$ , while the dash dotted red curve corresponds to  $X_c = X_{c \text{ max}}$ . Fig. 1b: Average heat flow vs. temperature for sc-PLA\_15 (solid curve) and sc-PLA\_10 (dashed curve) annealed for  $t_c = 40 \text{ min}$ . The curves have been shifted for the sake of clarity. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

dependence of  $X_c$  on  $t_c$  is a sigmoid (Fig. 2a), showing maximum value  $X_{c \max}$  close to  $35 \pm 3\%$  for all samples. Crystallization of  $\alpha'$  phase takes place for  $t_c > 60$  min. The glass transition signature changes in a similar way with  $t_c$  for every plasticized sample (Fig. 2b): the glass transition midpoint shifts towards lower temperature, the average heat flow step progressively decreases and the temperature fluctuation increases. For sc-PLA\_5 and sc-PLA\_10, a high  $t_c$  value makes the glass transition almost indiscernible. The decrease in heat flow step of glass transition with crystallization as well as broadening of glass transition interval are common phenomena, while a decrease in the glass transition temperature is unusual and should be attributed to the presence of plasticizer, as shown in Fig. 3a. Neat sc-PLA exhibits an increase of  $T_g$  when increasing  $t_c$ , whereas plasticized sc-PLAs show the opposite tendency. Apparently, plasticizer segregation occurs because ATBC molecules are expelled out of the developing crystalline phase [4], which increases the concentration of plasticizer in the amorphous phase and therefore decreases  $T_g$ .

Fig. 3b shows the evolution of the glass transition temperature with the ATBC weight percentage in sc-PLA annealed for  $t_c = 1000$  min in comparison with amorphous PLA. One can observe that data obtained for sc-PLA diverge from the ideal mixture law, which correctly describes the behavior of amorphous plasticized PLA. Instead, the decrease in the glass transition temperature caused by plasticization is observed and the data follow Fox law. Using these two model curves, the ATBC content in the amorphous phase of sc-PLA was estimated by projecting  $T_g$  values for sc-PLA on the ideal mixture line. For example,  $T_g = 35^\circ\text{C}$  in amorphous PLA\_15 and sc-PLA\_10. The plasticizer concentration in sc-PLA\_10 is thus most probably equal to the one in amorphous PLA\_15.

The behavior of the amorphous phase of the maximum crystallized PLAs is investigated in Fig. 4a, where the average heat flow is presented as a function of temperature for neat and plasticized sc-PLAs crystallized for  $t_c = 1000$  min. One can distinguish two endothermic events, highlighted by dark yellow arrows and dashed lines, which precede the exothermic peak associated with the reorganization of  $\alpha'$  - in  $\alpha$ -crystals. All thermal events shift towards lower temperatures with the increase of  $x$ .

The low-temperature endothermic event corresponds to the glass transition. Although all samples exhibit the same degree of crystallinity:  $X_c = X_{c \max} = 35\%$ , the step of the glass transition decreases with the increase of  $x$ . It is possible to calculate the degree of mobile amorphous fraction as  $X_{MAF} = \Delta C_p / \Delta C_p^\circ$ , where  $\Delta C_p^\circ$  is the heat capacity step for 100% amorphous sample (see Table S1 of Supporting Information for the values of  $X_{MAF}$ ). The RAF content  $X_{RAF}$ , which is presented in Fig. 4b as a function of  $X_c$ , is estimated from  $X_{RAF} = 100\% - (X_{MAF} + X_c)$  relation.  $X_{RAF}$  increases from 0 to 20% independently on  $x$  when  $X_c$  goes from  $X_c$

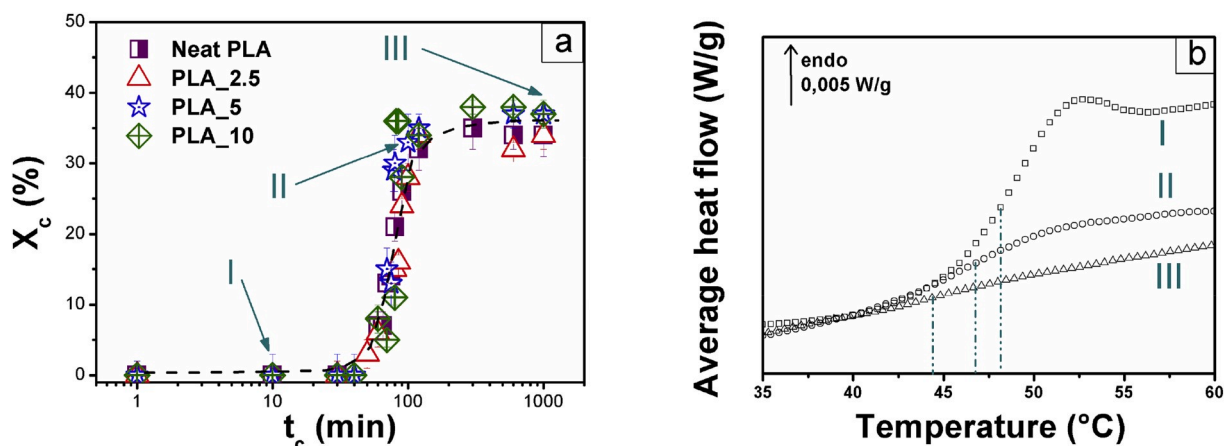
$= 0$  to  $X_c = X_{c \max}$ . However, when  $X_c$  is set to  $X_{c \max}$ , the further prolong annealing induces a faster increase of  $X_{RAF}$  in plasticized PLA, e.g. up to 50% in sc-PLA\_10 for the duration of  $t_c = 1000$  min, whereas  $X_{RAF}$  does not exceed 20% in neat PLA. Picciochi et al. [65] initially reported for a constant dimension of amorphous phase in PLLA measured by SAXS that an increase in RAF dimension at the expense of the MAF dimension leads to an increase in the glass transition width, which is consistent with our observations.

The degree of RAF development depends on chain mobility, i.e. the lower the mobility, the higher the probability that RAF develops in parallel with the crystal growth [37]. If assumed that plasticizer increases chain mobility, the increase of  $X_{RAF}$  with  $x$  seems counterintuitive. However, when  $x$  increases,  $T_g$  decreases towards the ambient temperature. Therefore,  $T_c = T_g + 20^\circ\text{C}$  decreases to the temperatures unusually low for PLA crystallization ( $65^\circ\text{C}$  for PLA\_10, for example), down to the glass transition domain of neat PLA. With the decrease of crystallization temperature, the growth of RAF at the expense of MAF is apparently favored.

Since  $X_{RAF}$  reaches high values in sc-PLAs, and more particularly in plasticized sc-PLAs, it is interesting to investigate whether a calorimetric signature of the RAF devitrification could be observed in these systems. In past studies, several authors [66–68] have followed the temperature dependence of the RAF using three phase model by plotting two baselines for the solid and the liquid heat capacity. Alternatively, RAF devitrification has been determined by a comparison between the measured  $C_p$  above the glass transition, and the expected  $C_p$  calculated using the known liquid and solid lines [69]. More rarely a direct calorimetric signature of RAF has been identified, as reported by Martin et al. [70] in Poly(3-hexylthiophene), and Beckingham et al. [71] in Poly(3-(2'-ethyl)hexylthiophene).

As previously mentioned, one can observe a second endothermic thermal event in Fig. 4a, about  $30^\circ\text{C}$  above the glass transition. This could be the signature of the melting of very small defective crystals formed during the annealing, exhibiting smaller stability when formed at lower  $T_c$ , but one may as well attribute this event to the RAF devitrification. In PLA different approaches have been proposed to follow the evolution of the RAF by MT-DSC, from isothermal and non-isothermal crystallization conditions [72], but none has referred to this event. However, in several studies regarding oriented PLA [73,74], it has been suggested that the organization of RAF could lead to mesophase, which is observable from calorimetric techniques. Although the macromolecules are not oriented in the sc-PLAs studied there, the endothermic thermal event might be the signature of a fraction exhibiting intermediate degree of ordering between RAF and mesophase.

As shown in Fig. 5a, where the heat capacity of sc-PLA\_5 at  $X_c = X_c$



**Fig. 2.** a Degree of crystallinity  $X_c$  versus crystallization time  $t_c$  in neat and plasticized PLAs. The arrows, which correspond to  $t_c = 10$  (I), 80 (II) and 1000 min (III), respectively characterize the amorphous state ( $X_c = 0$ ), the increase of  $X_c$  up to  $X_{c \max}$ , and the plateau ( $X_c = X_{c \max} = 35\%$ ). Fig. 2b: DSC average heat flow curves focused on the glass transition area of sc-PLA\_5 crystallized during 10 min, 80 min and 1000 min at  $T_c = T_g + 20 = 70^\circ\text{C}$ .



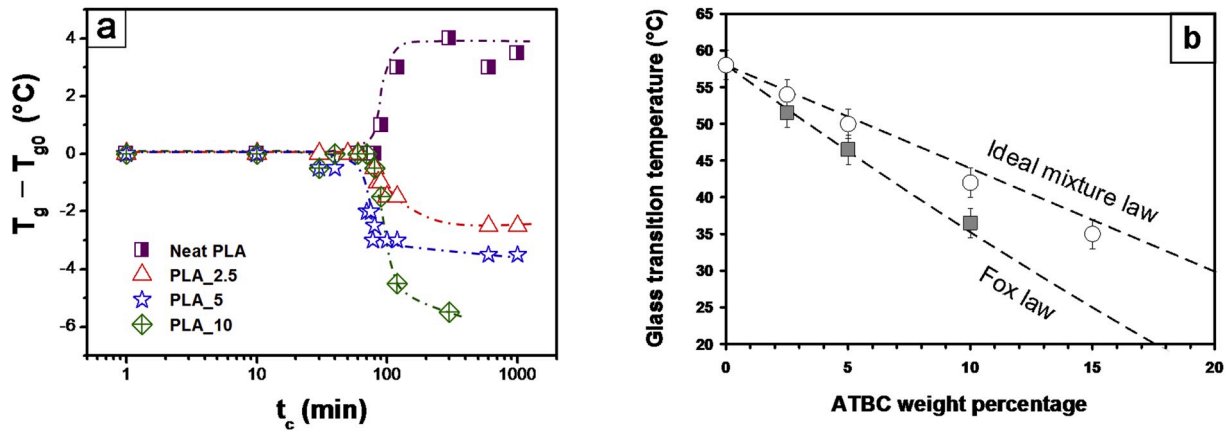


Fig. 3. a Variation of the glass transition temperature as a function of crystallization time for neat and plasticized PLAs. The dash dotted lines are guides for the eyes. Fig. 3b: Glass transition temperature of neat and plasticized PLAs for  $X_c = 0$  (empty circles) and  $X_c = X_{c \max}$  (filled squares). The dashed lines correspond to the ideal mixture ( $T_g = w_{\text{PLA}} * T_{g \text{ neat PLA}} + w_{\text{ATBC}} * T_{g \text{ ATBC}}$ ) and Fox ( $1/T_g = w_{\text{PLA}}/T_{g \text{ neat PLA}} + w_{\text{ATBC}}/T_{g \text{ ATBC}}$ ) laws with  $w$  being the weight fraction of the constituent.

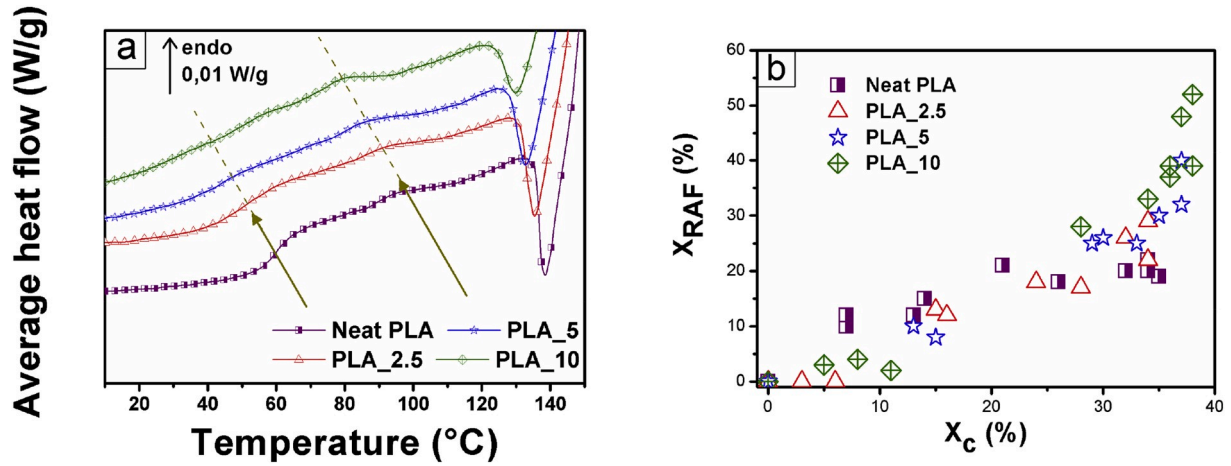


Fig. 4. a Average heat flow of neat and plasticized sc-PLAs crystallized for  $t_c = 1000$  min. The curves have been shifted for the sake of clarity. The dark yellow arrows and dashed lines have been added to highlight the endothermic events recorded in this temperature range. Fig. 4b: RAF content  $X_{\text{RAF}}$  as a function of the degree of crystallinity  $X_c$ . (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

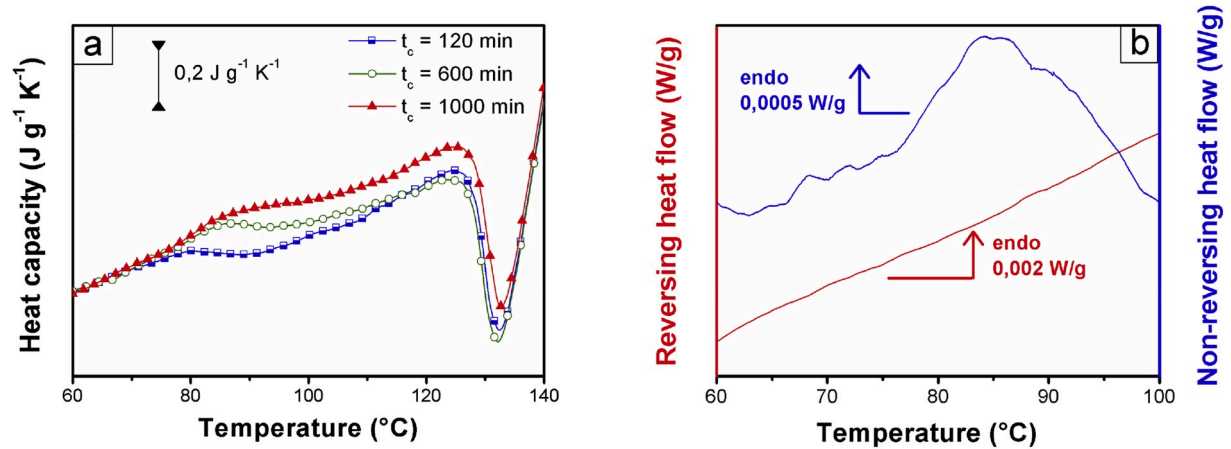


Fig. 5. a Heat capacity of sc-PLA\_5 for  $t_c = 120$ , 600, and 1000 min. Fig. 5b: Reversing and non-reversing heat flow in sc-PLA\_5 for  $t_c = 1000$  min. In both figures, the curves have been shifted for the sake of clarity.

$_{\max}$  is presented for various  $t_c$ , the endotherm grows with  $t_c$ . According to Ma et al. [75], the regions of RAF devitrify by layers at different temperatures depending on their distance to the crystal, i.e., the farther the

layer, the lower the temperature of devitrification. When RAF expands, the temperature range for subsequent devitrification broadens, in consistence with our results. In the study of Beckingham et al. [71], RAF

is revealed consistently about 20 °C above the glass transition temperature by an endothermic peak in the non-reversing heat flow, and a step increase in the reversing heat flow. Reversing and non-reversing heat flows of sc-PLA\_5 crystallized for  $t_c = 1000$  min are presented in Fig. 5b. An endothermic peak is identified 30 °C above the glass transition temperature in the non-reversing heat flow signal. However, instead of a clear step, a continuous increase of the reversing heat flow is superimposed on the endothermic peak in the non-reversing heat flow. Further investigations are required to elucidate whether or not the thermal event recorded slightly above  $T_g$  is characteristic of RAF devitrification.

### 3.2. Free volume and glass transition cooperative dynamics

An appealing explanation for the decrease of  $T_g$  in plasticized sc-PLAs is based on a vicious increase of free volume induced by plasticizer segregation. Fig. 6 shows PALS results as a function of temperature that have been obtained during glass transition and cold crystallization of initially amorphous PLAs [26]. In the glassy state,  $f_v$  is nearly constant and increases from 1.6 for neat PLA to 1.8% for PLA\_10. An increase of  $f_v$  characteristic of the glass transition is recorded, which is likely to be caused by an increase in the interchain space as the segments easily move away from each other in the liquid [26]. When cold-crystallization starts, another increase of  $f_v$  is recorded, being significantly steeper than during the glass transition. This may look counterintuitive as one would expect crystallization to induce densification of the material, but this may be compensated by the increase in chain mobility with temperature and by the plasticizer segregation. As shown previously, the cold crystallization at low temperature is beneficial for the RAF development, which is, consequently, more efficient when increasing the plasticizer content. According to Lin et al. [76], the vitrification of RAF creates an additional excess-hole free volume as it occurs at high temperature. Thus, as shown by Del Rio et al. [34], RAF is dedensified in PLA. This is related to the formation of a high number of new holes of smaller free volume during crystallization [34,72]. One may attribute the increase of  $f_v$ , being the most spectacular in sc-PLA\_10, to the ability of the amorphous phase to accommodate plasticizer. Because of the phase separation occurring in sc-PLA\_15, the PALS results regarding this material are presented separately in Supporting Information (Fig. S4). Nevertheless,  $f_v$  variations do not singularize in comparison to other plasticized sc-PLAs. As expected,  $f_v$  is the

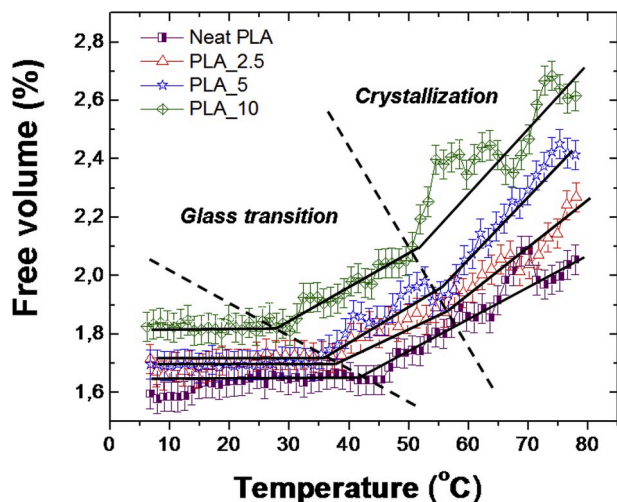


Fig. 6. Free volume as a function of temperature in initially amorphous neat and plasticized PLAs assessed from PALS. Solid lines indicate the slopes of the free volume vs. temperature variations in the glass, the glass transition, and the cold-crystallization temperature domains. These domains are delimited by dashed lines for clarity.

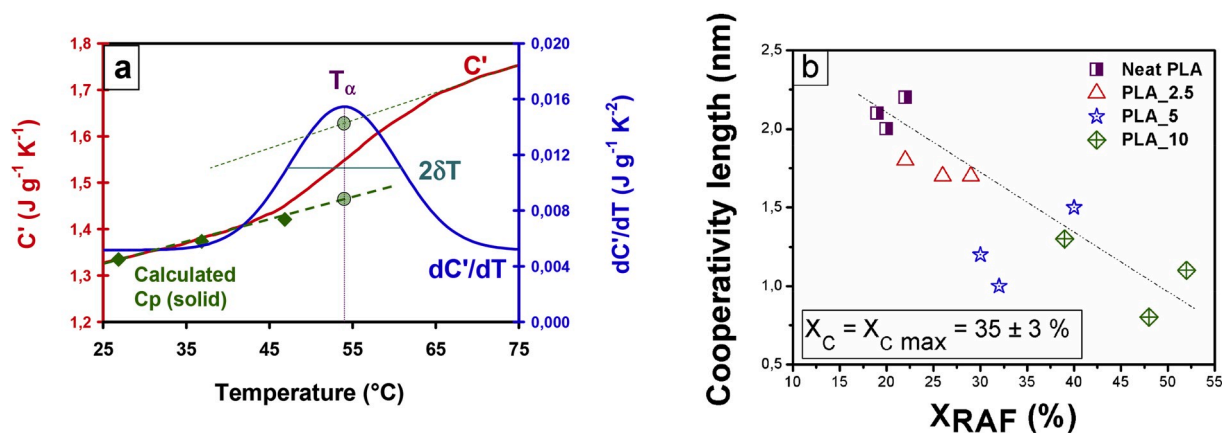
highest in the glassy state in sc-PLA\_15, close to 1.9%, and its increase during both glass transition and cold-crystallization is the most spectacular.

To estimate the combined influence of crystallization and plasticization on MAF dynamics, the CRR concept has been applied to sc-PLAs. The temperature fluctuation approach has been recently used to describe the behavior of blends [77,78], providing information regarding the structural heterogeneity. The calculation procedure is presented in Fig. 7a.  $T_a$  and  $\delta T$  are obtained from the Gaussian fit of  $dC'/dT$ , and the calculated  $C_p$  results from a weighted sum between recommended  $C_p$  values for PLA by Pyda et al. [58], and recorded  $C_p$  values of ATBC, as explained in the Experimental part. It should be noted that after shifting  $C'$  vs. temperature curve is well aligned with the calculated  $C_p$ .

According to Fig. 7b, the cooperativity length  $\xi_{Ta}$  in neat PLA is close to 2.0 nm for  $X_c = X_{c \max}$ . This represents a significant decrease in comparison to the value of 3.8 nm obtained for amorphous neat PLA (see Fig. S3 of the Supporting Information). Many authors observed changes in the glass transition dynamics of semi-crystalline polymers, from which a decrease in the average size of the CRR can be deduced [42, 79–81]. However when it comes to explain these variations, there is no consensus yet among the authors to distinguish between the effect of confinement by crystals, and the effect of interfacial interactions between both crystalline and amorphous phases. Schick and Donth [79] proposed that the level of disturbance in the amorphous phase dynamics should be correlated to the distance between amorphous segments and rigid walls. Delpouve et al. [42] found a similar correlation between the dimension of the mobile amorphous fraction in PLLA and the size on the CRR. They also reported that MAF dimensions are significantly higher than the characteristic length of the CRR [42], suggesting that the geometrical confinement is not the only cause for the decrease of the CRR size. Nassar et al. [82] showed that crystallization of PLLA confined against polystyrene did not induce any variation in the cooperativity length when no RAF was formed, evidencing that the coupling between amorphous and crystal plays a major role in the cooperativity variations.

For this reason, the cooperativity length values for plasticized sc-PLAs with  $X_c = X_{c \max}$  are presented in Fig. 7b as a function of  $X_{RAF}$ . As expected,  $\xi_{Ta}$  decreases with  $x$  since plasticized sc-PLAs undergo both effects of crystallization and plasticization. However, it is also shown that  $\xi_{Ta}$  decreases quasi linearly with  $X_{RAF}$ . To explain this result, several hypotheses can be proposed. 1) RAF devitrifies above the glass transition, so it behaves as walls for the MAF. As a consequence, the relaxation process occurs in a confined environment. 2) RAF and MAF are not perfectly distinct in terms of molecular mobility, and a continuum of mobility exists through the whole amorphous phase [83]. This broadens the distribution of the relaxation temperature associated with the glass transition. As a result, the cooperativity decreases. 3) The decrease of  $\xi_{Ta}$  with  $X_{RAF}$  can be correlated with the strong increase of  $f_v$  during crystallization. It has been shown that  $\xi_{Ta}$  is correlated to  $f_v$  in plasticized PLA [26]. This assumption implies that the plasticizer migrates to the MAF, resulting in the breaking of interchain bonds. 4) Fluctuations of plasticizer concentration [84] may exist into the amorphous phase. Roland and Ngai [85] postulated that the cooperative motions are dependent on the nature of the relaxing species as well as the local environment, which varies with concentration fluctuations. Therefore, the calorimetric signature of the glass transition could be considered as an assembly of domains exhibiting their own relaxation processes [86], growing with the concentration fluctuation.

According to Fig. 3b,  $T_g$  of sc-PLA\_10, which is the most plasticized sc-PLA, is equal to  $T_g$  of PLA\_15. We assume that the concentration of ATBC is equal in the MAF of these two systems. In a previous work [26], we concluded from dielectric relaxation spectroscopy measurements that there are no concentration fluctuations for these low concentrations of ATBC. Furthermore, the cooperativity length of PLA\_15, calculated by using our MT-DSC protocol is about 2.0 nm (experimental data are given in Supporting Information Fig. S3), which is significantly above



**Fig. 7.** **a** Protocol for CRR calculation using the temperature fluctuation approach. The experimental curves have been obtained by MT–DSC analysis of sc–PLA 2.5 crystallized for 1000 min.  $C'$ , appearing as a step, is the in phase component of the complex heat capacity, and  $dC'/dT$ , its derivative versus temperature.  $T_\alpha$  is the maximum of the  $dC'/dT$  peak, while  $\delta T$  is its standard deviation. Calculated  $C_p$  (solid) corresponds to the weighted sum between  $C_p$  values of PLA [58], and  $C_p$  of ATBC determined by DSC.  $C'$  curve is vertically shifted to align with calculated  $C_p$ . Fig. 7b: Cooperativity length variations as a function of the content of RAF in neat and plasticized PLAs with  $X_c = X_{c \max}$ .

the value recorded for sc–PLA<sub>10</sub>, i.e., close to 1.0 nm. Therefore one cannot fully attribute the decrease of the CRR to the plasticizer segregation.

The assumption of a confinement effect induced by the crystalline phase seems not satisfying either. Indeed, in the study of Nassar et al. [82], the effects of crystallization and coupling have been separated. It has been shown that the crystallization does not systematically impact the cooperativity, whereas the rigidification of the amorphous phase strongly decreases  $\xi_{T\alpha}$ . To explain this result, we refer to a previous work [83] where it has been shown that MAF and RAF are not necessarily behaving as two distinct fractions, forming instead a continuum of mobility with highly heterogeneous dynamics.

The variations of free volume with temperature which are presented in Fig. 6 seem consistent with the assumption  $f_v$  increases with  $X_{RAF}$ , so the progressive rigidification of the amorphous phase should translate in the material structure by heterogeneities in free volume. Thus, it is worth investigating whether the plasticizer accommodation is also heterogeneous, meaning that the amorphous rigidification forces concentration fluctuations. It is expected that such fluctuations will enlarge the glass transition signature, thus decreasing the cooperativity length at constant  $X_{RAF}$ . However the results (Fig. 7b) do not validate this assumption, even if the amount of data might not be sufficient to definitely exclude it. Therefore we interpret the cooperativity drop as the signature of structural heterogeneity, essentially associated with the increase of  $X_{RAF}$ .

The development of a continuum of mobility under annealing is an assumption that satisfactory depicts the MAF relaxation. It is consistent with the progressive disappearance of the glass transition as the dynamics become more and more localized until MAF and RAF could not be discerned anymore. On the other hand, the second calorimetric signature detected 30 °C above the glass transition (Fig. 5a) should be attributed to a domain which is more constrained in terms of mobility. Separated glass transitions can be explained by the existence of segregated domains as evidenced in poly (alkyl methacrylates), for which self-assembled pendant group response is distinct from the main chain dynamics [87,88]. In the present study, it seems logical to attribute this signature to the domain adjacent to crystals. This domain might be in contact with the continuum of mobility. Alternatively its strongly constrained dynamics could reflect that it is totally trapped between crystalline lamellae.

#### 4. Conclusion

The amorphous phase characteristics of plasticized sc–PLA

investigated through the three-phase model, the cooperativity concept, and the quantification of free volume exhibit significant changes in comparison to neat sc–PLA. Our results show that the glass transition temperature of plasticized PLA decreases with crystallization, as the probable consequence of the enrichment of amorphous phase by plasticizer expelled out of the crystalline phase. Besides, a complex interplay between parameters describing both amorphous phase structure and dynamics has been evidenced. Although our results suggest that the segregation of plasticizer locally increases the free volume, the variations are not sufficient to explain the cooperativity drop recorded for the highest annealing times. Moreover, no evidence of concentration fluctuation has been found. Instead, we found that the cooperativity length relates to  $X_{RAF}$  for  $X_c = X_{c \max}$ . The nature of the RAF is also controversial. The calorimetric signature observed significantly above the glass transition, if associated with the RAF devitrification, could prove the RAF behaves as rigid walls for the MAF. However the decrease of the cooperativity length is better explained by considering a structure and dynamics continuum, meaning that the RAF might be a continuation of the MAF, simply extending the distribution of relaxation temperatures. These results, seemingly contradictory, could actually reveal that the RAF which is immobilized by the crystals differs from the RAF which results from the redistribution of relaxation temperatures in the amorphous phase when  $X_{c \max}$  is reached. This redistribution has for consequence that the amorphous state shows high dependence on the annealing time, so a strong control of processing conditions may significantly influence the macroscopic properties.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### CRedit authorship contribution statement

**N. Varol:** Investigation. **N. Delpouve:** Writing - original draft, Writing - review & editing, Conceptualization, Methodology, Validation. **S. Araujo:** Formal analysis. **S. Domenek:** Supervision. **A. Guinault:** Investigation. **R. Golovchak:** Investigation, Formal analysis, Supervision. **A. Ingram:** Investigation. **L. Delbreilh:** Validation, Methodology, Supervision. **E. Dargent:** Project administration, Conceptualization, Supervision.



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