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HIP

# Methods for three-dimensional characterization of the acetabulum prior to pelvic reorientation osteotomy: a scoping review

Xavier du Cluzel de Remaurin<sup>1,2</sup>, Nejib Khouri<sup>1</sup>, Samuel Georges<sup>1</sup>, Laurent Gajny<sup>2</sup>, Claudio Vergari<sup>2</sup> and Alina Badina<sup>1</sup>

<sup>1</sup>Pediatric Orthopaedic Surgery Department, Paris Descartes University, Assistance Publique Hôpitaux de Paris (AP-HP), Necker Hospital, Paris, France

<sup>2</sup>Arts et Métiers Institute of Technology, Université Sorbonne Paris Nord, IBHGC-Institut de Biomécanique Humaine Georges Charpak, HESAM Université, Paris, France

Correspondence should be addressed to X du Cluzel de Remaurin: [xavier.ducluzel@aphp.fr](mailto:xavier.ducluzel@aphp.fr)

- Periacetabular osteotomy is the gold standard treatment for acetabular dysplasia. The great variability of acetabular dysplasia requires a personalized preoperative planning improved by 3D reconstruction and computer-assisted surgery. To plan the displacement of the acetabular fragment by a pelvic osteotomy, it is necessary to define a reference plane and a method to characterize 3D acetabular orientation.
- A scoping review was performed on PubMed to search for articles with a method to characterize the acetabulum of native hips in a 3D reference frame. Ninety-eight articles out of 3815 reports were included. Three reproducible reference planes were identified: the anterior pelvic plane, the Standardization and Terminology Committee plane used in gait analysis, and the sacral base plane. The different methods for 3D analysis of the acetabulum were divided in four groups: global orientation, triplanar measurements, segmentation, and surface coverage of the femoral head.
- Two methods were found appropriate for reorientation osteotomies: the global orientation by a vector method and the triplanar method. The global orientation method relies on the creation of a vector from the acetabular rim, from the acetabular surface or from successive planes. Normalization of the global acetabular vector would correct acetabular dysplasia by a single alignment maneuver on an ideal vector. The triplanar method, based on angle measurements at the center of the femoral head, would involve correction of anomalies by considering axial, frontal, and sagittal planes. Although not directly fit for reorientation, the two others would help to candidate patients and verify both planning and postoperative result.

Keywords: 3D reconstruction; computer-aided design; patient-specific instrument; hip joint; pelvic osteotomy; reference frame

## Introduction

Hip dysplasia may have different etiologies, including congenital, neuromuscular, teratological, and genetic. Untreated, it can cause pain and deterioration of function, such as loss of locomotion, precarious sitting position. The treatment of hip dysplasia is done in

childhood or in adult life, depending on the time of diagnosis. Mild dysplasia can be asymptomatic for a long time. It is often diagnosed in adolescents or young adults. Complete dislocations causing vicious postures and pain are often discovered in childhood.

Surgical treatment consists of the correction of acetabular dysplasia by pelvic osteotomies and, if necessary, the correction of morphological abnormalities of the proximal femur by a proximal femoral osteotomy (1). The goal of the surgery is to achieve a concentric, well-covered, and stable hip.

Pelvic osteotomies may either correct the overall orientation of the acetabulum (reorientation osteotomy), electively correct a dysplastic area by an acetabuloplasty (2, 3, 4) or enlarge the acetabulum with a neo-acetabulum (5).

Reorientation osteotomies are the most common. They can be used for the correction of acetabular defects in immature hips (Salter osteotomy (6), triple osteotomy (7)) or in adults: juxta-acetabular osteotomy (8, 9), Bernese osteotomy (10), rotational acetabular osteotomy (11).

Preoperative planning of a reorientation osteotomy and assessment of the postoperative result traditionally performed according to standard, well-established 2D radiographic criteria. The purpose of the procedure is to reorient the acetabular fragment in the three planes of space to correct a defect in anterior and/or lateral acetabular coverage (VCE and VCA). However, several comparative studies between X-ray and CT scan demonstrate that radiological measurements can suffer from low reliability, especially for the assessment of the transverse plane (12, 13, 14), which can only be assessed indirectly on the standard radiograph. Plus, 3D CT-scan studies have demonstrated the great variability of acetabular dysplasia in location and extent, and the difficulty to describe it by a standard radiographic assessment (15, 16) which is intrinsically limited to two-dimensional analysis of a projected image of the deformed pelvis. Recent 3D studies have provided a better understanding of acetabular dysplasia and its three patterns as described by Nepple *et al.* (17) or the Ottawa classification (18): anterior, posterior (acetabular retroversion), or global (lateral dysplasia).

The use of 3D planning allows for better visualization of the dysplasia and the axial version to be directly considered rather than estimated from sagittal and frontal projections. The literature of recent years is rich in articles describing the hip in three dimensions, and surgeons are moving towards computer-assisted 3D preoperative patient-specific planning followed by optimal technical realization (19, 20). Yet, acetabular reorientation should be considered in relation to the femoral head: this is even more important as the patient-reported outcomes tend to improve with better radiologic correction of femoral head coverage and acetabular orientation (21). This also reduces intraoperative fluoroscopic-guided procedures, thus decreasing irradiation. However, there is still no consensus on 3D methods, which can vary according to the pathology (acetabular dysplasia,

femoro-acetabular impingement, coxarthrosis) and the treatment choice (pelvic osteotomy, arthroscopy, total hip arthroplasty).

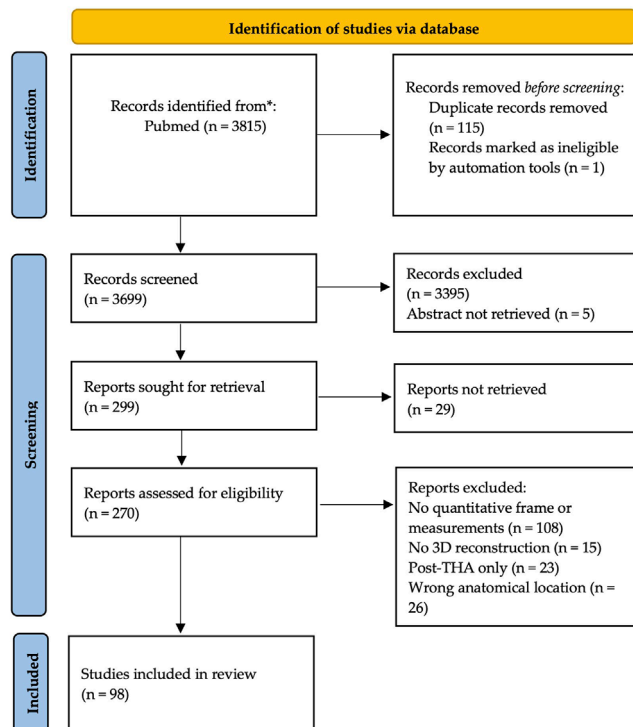
The aim of this systematic scoping review was to analyze the existing literature describing methods for the three-dimensional characterization of the acetabular orientation, be it healthy, dysplastic, or overcovered, which would be relevant for the correction of morphological abnormalities through preoperative planning of a periacetabular osteotomy (PAO) in a 3D reference frame.

## Materials and methods

### Design of the study

This study was designed as a scoping review of PubMed Database, with no start date and until December 22, 2021, on human population only. A combination of MeSH terms extracted from four abstracts (20, 22, 23, 24) ran through the MeSH on Demand tool (U.S. National Library of Medicine, Bethesda, USA) provided a first equation, and the articles citing these articles a second equation. Finally, a combination of the keywords 'acetabulum', 'hip', 'landmark', 'frame', and '3D' was used as a third equation.

The following five exclusion criteria were used: i) absence of quantitative frame or landmark used, ii) non-surgical or non-orthopedic issue (for record screening), iii) lack of 3D reconstruction, iv) 3D imaging performed only after total hip arthroplasty (THA) and not on native hips, v) anatomic mislocation. Studies that were only interested in 3D imaging post-total hip arthroplasty were excluded because the aim of the review was to characterize native acetabulum. Dysplasia and femoro-acetabular impingement (FAI), also distinct conditions with different implications for acetabular orientation, can be interconnected, and addressing one condition may sometimes lead to the development of the other. For example, surgical correction of dysplasia can potentially result in changes to acetabular orientation that contribute to the development of FAI, or FAI can be associated with abnormal acetabular orientation resulting in excessive acetabular coverage (pincer-type impingement). Therefore, no exclusion was applied for methods originally used in FAI. Reports using only 3D reconstruction from biplanar radiography were excluded as they lack the necessary accuracy to characterize dysplastic hips. To avoid omitting any method, no selection was made on the types of studies nor patients (child/adult/cadavers). Using a method described by Mateo (25) based on the bibliography management software Zotero (5.0.96.4) and Microsoft® Excel (16.59) allowed for cross-checking at each stage of the procedure. The process for inclusion is summarized in Fig. 1.



**Figure 1**

Flowchart of inclusion, according to Page *et al.* (26).

## Study reviewing

Two orthopedic surgeons independently reviewed the remaining 98 articles (see Supplementary File, see section on [supplementary materials](#) given at the end of this article). The aim of this review was to identify and compare the relevance of the methods but not to compare their quantitative results, because several existing works did not report quantitative data on reproducibility, and therefore no meta-analysis was planned, and no exclusions were made for articles using a database already in use. Relevance was evaluated in terms of methods allowing to plan the direction of reorientation osteotomies.

For clinical characteristics, the following data were collected (see Supplementary File): age, presence of cadaveric population, and type of population included. The types of population were identified according to three parameters: patients free of hip pathology, presence of developmental dysplasia of the hip (DDH), or presence of femoral acetabular impingement (FAI). For DDH, we also looked for the stage of the Crowe classification (27) at which patients were recruited, if specified, to identify methods used on advanced dysplasia beyond Crowe 1.

For each study, the imaging modality and reference plane were noted, with either the name given to the plane or a non-reproducible plane (NRP) if the authors did not perform computer post-processing to obtain a

reference plane in a reliable and reproducible manner. It was noted whether the method considered the femoral head for acetabular characterization.

The method(s) in each article was then characterized according to its purpose: analysis of the global orientation by a vector, acetabular segmentation, triplanar measurements without segmentation of the acetabulum, or analysis of the femoral head coverage.

## Results

### General data

The 98 included studies were published between 1994 and 2021, but only 18 were published before 2010.

Regarding the type of pathology, 64 studies included a group of patients free of hip pathology, nine included a group with FAI (28, 29, 30, 31, 32, 33, 34, 35, 36). Forty-six articles were interested in DDH, of which only 16 specified the stage of the Crowe classification: of these, only six included patients classified as higher than Crowe 1, i.e. displacement > 50% (20, 37, 38, 39, 40, 41).

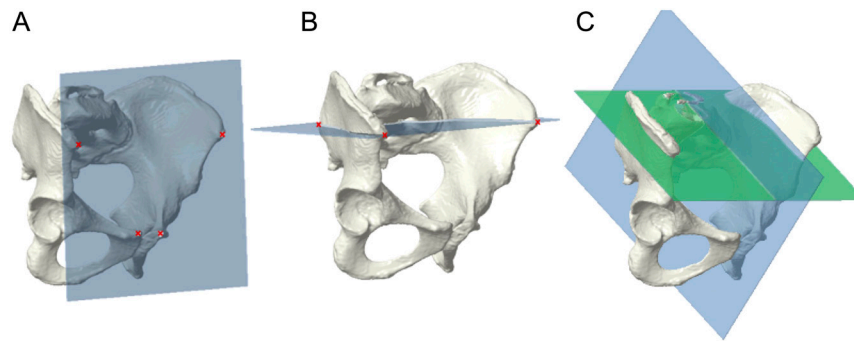
In total, 89 articles used CT scanners as imaging modality. The remaining articles used either MRI or other methods: laser scanner (42, 43) or navigated palpation (44) on cadavers.

### Reference frame

Three orthonormal reference frames have been identified by their reference planes (see Fig. 2):

- The anterior pelvic plane (APP), originally used by Lewinnek (45) for positioning the acetabular cup in prone total hip arthroplasty, was the most mentioned with 59 studies. The most anterior points of the two anterior superior iliac spines (ASIS) and a point midway between the most anterior part of the two pubic tubercles (PT) define the APP as the frontal plane (46, 47).
- The STC (Standardization and Terminology Committee) plane was described by Wu *et al.* in 2002 (48). It is part of the joint coordinate system used in gait analysis and is built on an axial reference plane created by the two ASIS and the two posterior superior iliac spines (PSIS). Eight articles used this reference plane (28, 29, 42, 49, 50, 51, 52).
- The sacral base plane, described by Jóźwiak *et al.* (51), was adopted by himself (38) and his colleague Musielak (53, 54). In this technique, the axial plane was defined from the best-fitting plane over the upper plate of the first sacral vertebra.

Thirty-one studies used NRP planes.



**Figure 2**

Three reproducible reference planes. (A) Anterior pelvic plane (APP): both anterior superior iliac spines and pubic tubercles define a frontal plane. (B) Standardization and Terminology Committee (STC) plane: anterior superior and posterior superior iliac spines define an axial plane. (C) Sacral base plane (SB): sacral base (green) defines an axial plane; an orthogonal plane to the sacral base running through the middle of pubic tubercles defines a sagittal plane.

## Methods for 3D analysis of the acetabulum without consideration for the femoral head

### 1. Global orientation of the acetabulum by a vector/plane: 32 studies

These methods have in common the definition of the global orientation of the acetabulum by associating an axis with it. It was then projected along the three planes of space to obtain the three components of acetabular orientation (51) (see Fig. 3):

- Inclination, in the frontal plane, the angle between the acetabular axis and the sagittal plane.
- Tilt, in the sagittal plane, the angle between the acetabular axis and the axial plane.
- Version, in the axial plane, the angle between the acetabular axis and the frontal plane. Anteversion is scored positively and retroversion negatively.

The global orientation of the acetabulum by a vector was done from the acetabular rim, from the acetabular surface, or from successive planes (see Fig. 4).

#### A. From the acetabular rim

The most common method, with 23 studies, was to create an acetabular opening plane from the acetabular rim. This method involved manual or automated selection of points on the acetabular rim, association of a plane by linear regression, and then association of a normal vector pointing in the caudal direction. The selection of the acetabular rim involved the entire acetabulum (55, 56, 57, 58) with the exception of the acetabular notch (46, 47, 59, 60).

#### B. From the acetabular rim on successive planes

The method proposed by Jóźwiak and Musielak (38, 51, 53, 54) was mostly applied by their own team. They started by creating the acetabular rim plane using the acetabular rim method. Other planes were created parallel to this plane every millimeter toward the acetabular fossa, and on each plane, a best-fit ellipse was created from the intersection between the plane and the acetabulum. By connecting the centers of these ellipses by linear regression, the acetabular axis was obtained. This method, according to its authors, would have the advantage of using the true axis of the acetabulum, rather than its opening plane of it. On the other hand, the authors mention that this method seems less reliable for the description of dysplastic acetabulum, with sometimes cranially oriented acetabulum.

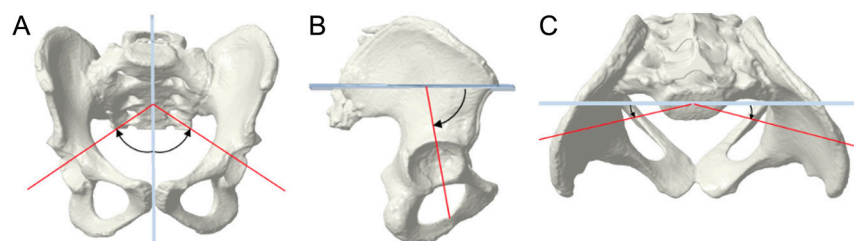
#### C. From the acetabular surface

The method proposed by Peterson (22, 61) involved assigning each of the surfaces from the acetabulum meshing a normal vector and then integrating all these vectors into a single acetabular orientation vector.

### 2. Acetabular segmentation: 35 studies

To describe the large variability of acetabular dysplasia, several authors have performed segmentation of the acetabular cavity (see Fig. 5), either on successive axial, frontal, or sagittal regular parallel sections, or on sections obtained by clockwise rotation of a frontal section to measure external coverage.

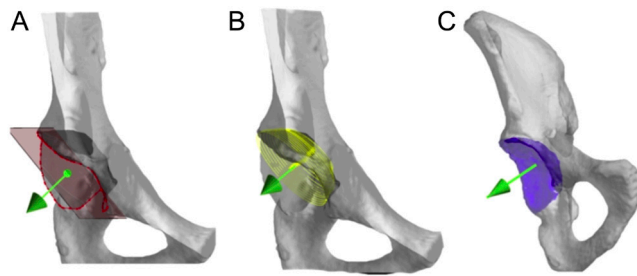
The vast majority of studies using segmentation did so using a clockwise method (17, 22, 28, 37, 39,



**Figure 3**

Global orientation of the acetabulum by a vector. (A) Inclination: in the frontal plane, angle between the acetabular axis and the sagittal plane. (B) Tilt: in the sagittal plane, angle between the acetabular axis and the axial plane. (C) Version: in the axial plane, angle between the acetabular axis and the frontal plane.





**Figure 4**

Global orientation of the acetabulum using a vector, created from: (A) acetabular rim, (B) successive planes, and (C) acetabular surface.

57, 62, 63, 64, 65) by measuring lateral coverage on planes obtained from a frontal plane at different degrees of rotation.

Segmentation in multiple axial slices (30, 33, 66, 67, 68, 69) allowed addressing the variability of the version as a function of cutting height, with a more distally anteverted and cranially retroverted acetabulum (66). The measurement of the anterior coverage (70) is obtained by sagittal segmentation, and the acetabular tilt by frontal plane segmentation (46, 71).

Acetabular segmentation was used for the description of initial dysplasia and analysis of postoperative results, especially for osteotomies that modify the acetabular volume – acetabuloplasty (Dega, Pemberton, and San Diego methods) as studied by Caffrey *et al.* (57). Usually, in these studies, the femoral head was excluded.

Segmentation of the acetabulum, but with consideration of the position of the femoral head, has been more rarely described and is especially useful for the pathology of femoro-acetabular impingement (28, 33).

## Methods for 3D analysis of the acetabulum with consideration for the femoral head

### 1. Triplanar measurements: 44 studies

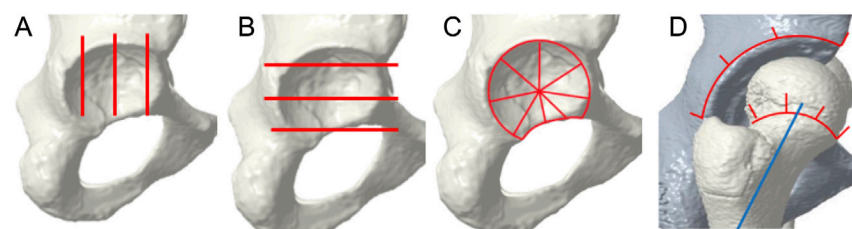
Most papers reported measurements in only one or two anatomical planes (9, 12, 13, 14, 33, 36, 42,

45, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64). In one group of studies (15, 65, 66, 67, 68), the angles are measured in the center of femoral head, in the three planes of space as follows (see Fig. 6):

- Anterior center edge angle ACEA (angle between the vertical and the line through the most anterior point of the acetabular rim and the center of the femoral head on a sagittal section).
  - Lateral center edge angle LCEA (angle between the vertical and the line passing through the most lateral point of the acetabular rim and the center of the femoral head on a frontal section).
  - Acetabular anteversion angle AAVA (angle between the lines passing through the most anterior and most posterior points of the acetabular rim and the sagittal plane).
  - Anterior acetabular sector angle AASA and posterior acetabular sector angle PASA (72) (anterior and posterior angles between the lines passing through the most anterior and most posterior points of the acetabular rim and the frontal plane, respectively).
2. Surface measurement of femoral coverage: 13 studies (see Fig. 7)

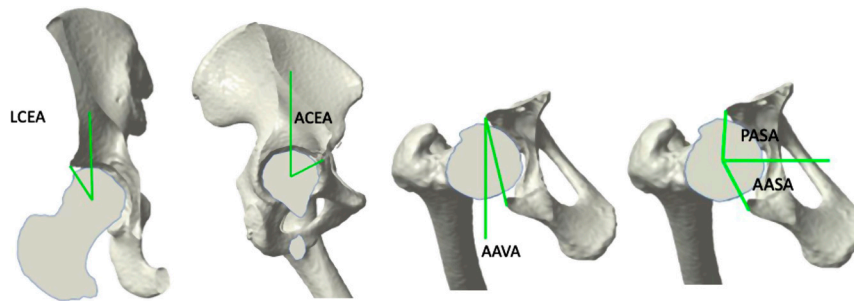
In addition to the methods discussed above, some authors suggested measuring the covered area of the femoral head in relation to the not covered area. This could be done on a simple axial slice (73), but the most common method was that described by Dandachli *et al.* (24). Adopted by other authors (31, 32, 33, 56, 65, 74, 75, 76), the principle was to project the acetabulum onto the femoral head in axial view in order to obtain an area covered by the acetabulum, whose percentage was calculated.

Using motion analysis, Bouma *et al.* (28, 29) introduced the concept of the omega surface representing not the weight-bearing surface of the femoral head, but rather the acetabular surface involved in FAI pathology.



**Figure 5**

Segmentation of the acetabulum: (A) sagittal, (B) axial, (C) using a clockwise method, (D) with consideration to the femoral head.



**Figure 6**

The triplanar method with angles at the center of the femoral head: For Review Only (A) LCEA on a frontal view (B) ACEA on a sagittal view (C) AAVA on an axial view (D) AASA and PASA on an axial view.

## Discussion

The detailed three-dimensional description of hip dysplasia in the literature has highlighted the wide variability of dysplasia in location and extent (17, 18, 22, 66, 77). It seems obvious that surgical treatment should aim at the individualized correction of these morphological anomalies. Computer-aided design for preoperative planning is becoming, for many authors, an indispensable step to achieve optimal surgical correction (19, 78, 79). Subsequently, for the intraoperative reproduction of the planned corrections, cutting, displacement, and osteosynthesis patient-specific guides may be an effective tool (20, 80, 81).

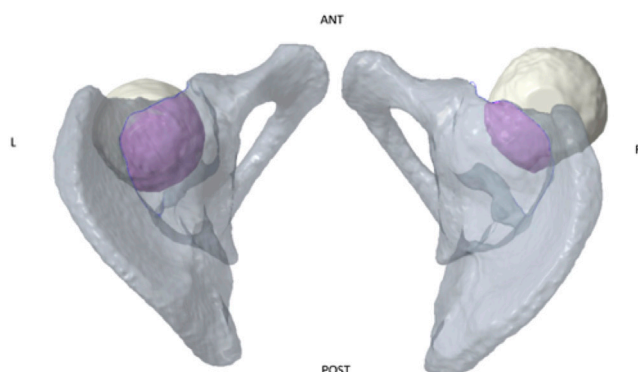
The three reproducible reference planes identified were the APP, the STC, and the sacral base plane, all three having the advantage of being defined using the pelvis and sacrum alone, thus being independent of pelvic tilt. The sacral base plane would better account for spino-pelvic parameters (51) but is dependent on the difficult segmentation of S1 (82). APP and STC are dependent on deformations within the iliac wing that accompany DDH (83). However, the APP, representing the conventional pelvic frontal plane, was chosen by most authors. The easier construction, as well as the possibility for a surgeon to represent it on a supine patient, makes it the better choice.

For the reorientation of the acetabulum in surgical procedures, two methods out of four have emerged to

describe the overall orientation (see Table 1): the global orientation by a vector (acetabular rim, acetabular surface, or successive planes) and the triplanar measurements, all validated for reproducibility by their authors. Normalization of the acetabular vector would correct orientation defects by an alignment maneuver on an ideal vector. However, no study has applied it. It is independent of the position of the femoral head and its shape, thus robust whether the hip is dislocated or not. The triplanar method would involve the correction of anomalies considering the axial, frontal, and sagittal planes. Even though it does not directly represent global orientation, it was still deemed important due to its characteristics of considering the femoral head around which the reorientation osteotomies are performed and was the only one used in our review for preoperative planning of acetabular reorientation osteotomies (19, 20).

Segmentation is useful for electively characterizing an architectural defect on a portion of the acetabulum; it is useful for planning acetabuloplasties as well as sorting better candidates and for studying their results. Conversely, reorientation osteotomies modify the orientation of the acetabulum in space without changing its intrinsic structure. The measurement of static and dynamic contact surfaces is useful in the pathology of FAI. It could be a method of verification in the planning of reorientation osteotomies once the acetabular fragment has been displaced according to mechanical criteria. One limitation of these surface methods of femoral coverage measurement is the assumption that it could be fitted to a perfect sphere, whereas evolved dysplastic hips present with a deformed femoral head, thus creating a systematic error unless the native geometry of the head is used (56, 84).

The method of triplanar measurements, at the center of the femoral head, has long been applied in surgical practice and is easier to use in everyday practice (19, 20). It is indeed a rather simple evolution of 2D radiographic planning, whose main innovation is the inclusion of axial parameters. It is an easily integrated method, whereas the other three methods are less well understood or fail to easily provide a direction for reorientation without sophisticated software. The standards for normal hips in adult and adolescent patients have been established by several



**Figure 7**

Surface coverage of the femoral head by the acetabulum, cranial view.

Table 1 Comparison of four methods for acetabular characterization.

| Method                         | Consideration for femoral head | Affected by hip subluxation | Useful for hip reorientation | Useful for local morphology | Other advantages                                                                                                                                                                                      | Other disadvantages                                                                                                                                                                                                                                                        |
|--------------------------------|--------------------------------|-----------------------------|------------------------------|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Global orientation by a vector | No                             | No                          | Yes                          | No                          | Concise representation<br>Easy comparison between different orientations<br>Identification of overall trends and patterns in acetabular orientation.<br>Identification of deformity in specific plane | Oversimplification<br>Risk of correcting global orientation but decreasing local coverage                                                                                                                                                                                  |
| Triplanar measurements         | Yes                            | Yes                         | Yes                          | No                          |                                                                                                                                                                                                       | Simplification<br>Risk of correcting global orientation but decreasing local coverage<br>Difficulty of correction (1 maneuver per plane)<br>Requires robust landmarks<br>Time-consuming<br>Susceptibility to errors<br>Overcomplexification<br>Neglects global orientation |
| Acetabular segmentation        | Yes/no                         | Yes/no                      | No                           | Yes                         | Detailed analysis of the acetabulum<br>Patient selection                                                                                                                                              |                                                                                                                                                                                                                                                                            |
| Femoral head coverage          | Yes                            | Yes                         | No                           | Yes                         | Concise representation<br>Allows for quantitative comparison between individuals/groups<br>Allows for planning validation                                                                             |                                                                                                                                                                                                                                                                            |

authors (19, 20, 85, 86, 87) taking the APP as the reference plane each time.

The indications for PAO in these articles were most often for Crowe 1 hips (20, 65, 88, 89). The femoral head was associated with a sphere, and the center of the femoral head was considered as the center of rotation. However, the center of the acetabulum and the center of the femoral head did not coincide for dysplastic hips, even for minor subluxations (Crowe 1) (19, 41, 49, 90). In adults, Crowe 3 and 4 hip dysplasia are usually treated by THA. In children and adolescents, subluxations or complete dislocation of the femoral head can be treated by conservative reconstruction surgery with good results (91).

We did not find reliable biomechanical solutions in the literature for the planning of the osteotomy in this kind of severe deformity where the acetabulum could not be associated with a sphere in a reliable manner. What would be the center of rotation for the acetabular fragment? Is it possible to reliably predict the final position of the femoral head in relation to the acetabulum? This problem arises particularly in the pediatric population rather than adults due to major dislocations. In this pathology, all the methods considering the femoral head cannot be used effectively without answering these questions.

Several weaknesses emerge from this study. One of them was the selection of articles in PubMed, which depended on the exhaustiveness of our different algorithms to include studies describing methods, which did not always appear in the title, the abstract, or the keywords, but sometimes only in the raw text or its appendices. A second one is related to the consideration of only static imaging modality in supine patients, without considering standing position or motion analysis. Range of motion as well as gait analysis could prove useful to ensure sufficient coverage without creating overdrive that would result in FAI. Finite element analysis to characterize the contact forces would also be a way to plan reorientation osteotomies (19) but it requires robust orientation methodology. Both paths could be followed for checking and adjusting displacements in a patient-specific preoperative planning.

Only the triplanar method and the acetabular rim have been tested for reliability outside of the team that developed them, and no study has compared the reliability of the four methods. Normal data for the pediatric population were found for surface vectors, but their method was not validated outside. Further work will investigate these points on a single sample of patients, which will include both normal and pathological hips.

Finally, pelvic orientation should be considered in sitting or standing positions, in addition to pelvic morphology, since DDH must be corrected in these functional positions. In DDH patients, the pelvis tends to a



posterior tilt when standing, thus increasing anterior version and decreasing anterosuperior coverage (92). Weber *et al.* (93) conducted a review on the variability of pelvic tilt depending on the position with similar results, as well as high interindividual variability in the standing position ranging from  $-22.5^\circ$  to  $+27^\circ$ . For the placement of the acetabular component of THA, they estimated a change of  $4^\circ$  in cup anteversion and  $1.5^\circ$  in cup inclination following every  $5^\circ$  change in pelvic tilt. Our study has sorted out methods for analysis of the acetabular orientation in relation to the pelvis, but a next step towards patient-specific planning should be the analysis of the acetabular orientation in relation to the functional position, be it during gait or sitting position.

## Conclusion

The high variability of acetabular dysplasia requires patient-specific planning with computer-aided design. To plan the displacement of the acetabular fragment, it is necessary to define a reference plane, establish a reliable method for both normal as well as pathological hips, and criteria of normality for this method.

The APP is a reliable plan and the most used by orthopedic surgeons thanks to its easier visualization on a supine patient than the STC and sacral base planes.

Two paths emerged: the global acetabular vector method and the triplanar method. Normalization of the global acetabular vector would correct acetabular dysplasia by a single alignment maneuver on an ideal vector. The triplanar method, based on the femoral head center, involves correction of anomalies by considering all three axial, frontal, and sagittal planes.

### Supplementary materials

This is linked to the online version of the paper at <https://doi.org/10.1530/EOR-22-0126>.

### ICMJE Conflict of Interest Statement

The authors declare no conflict of interest that could be perceived as prejudicing the impartiality of the study reported.

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### Author contribution statement

Conceptualization, XCR, SG, AB, NK, CV, LG; methodology, XCR, CV, LG; software, CV; validation, XCR, AB, NK; formal analysis, XCR, A.B.; investigation, XCR, A.B.; writing – original draft preparation, XCR, AB; writing – review and editing, XCR, SG, AB, NK, CV, LG; visualization, XCR, CV, AB, NK, supervision, CV, LG.

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