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1 **Effect of the ischial support on muscle force** 2 **estimation during transfemoral walking**

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5

6 **Abstract**

7 Transmission of loads between the prosthetic socket and the residual limb is critical for the comfort
8 and walking ability of people with transfemoral amputation. This transmission is mainly determined by the
9 socket tightening, muscle forces and socket ischial support. However, numerical investigations of the
10 amputated gait, using modelling approaches such as MusculoSkeletal (MSK) modelling, ignore the weight-
11 bearing role of the ischial support. This simplification may lead to errors in the muscle forces estimation.
12 The present study aims to propose a MSK model of the amputated gait that accounts for the interaction
13 between the body and the ischial support for the estimation of the muscle forces of 13 subjects with
14 unilateral transfemoral amputation. Contrary to previous studies on the amputated gait which ignored the
15 interaction with the ischial support, here the contact on the ischial support was included in the external
16 loads acting on the pelvis. Results show that including the ischial support induced an increase in the activity
17 of the main abductor muscles while adductor muscles' activity was reduced. These results suggest that
18 neglecting the interaction with the ischial support leads to erroneous muscle forces distribution considering
19 the gait of people with transfemoral amputation. Although subjects with various bone geometries,
20 particularly femur lengths, were included in the study, similar results were obtained for all subjects.
21 Eventually, the estimation of muscle forces from MSK models could be used in combination with finite
22 element models, to provide quantitative data for the design of prosthetic sockets.

23 *Word count: 244*

24

25 1. Introduction

26 A prosthetic socket must ensure the transmission of mechanical loads from the prosthesis to the
27 skeleton of the person, and vice-versa. For people with transfemoral amputation, this is achieved by
28 tightening the socket and, most of the time, by the inclusion of an ischial support in the socket design ¹. The
29 ischial support is meant to decrease the need for tightening. Consequently, a percentage of the body weight
30 is transmitted through the ischium and the soft tissues in-between and this is confirmed by experimental
31 data ². In the frontal plane, the ischial support of the socket acts as a “pelvic lever”, as called by Radcliffe,
32 and prevents the pelvis from dropping towards the contralateral side. At the same time, the mechanical
33 action of the abductors maintains the pelvis horizontal ³. Thus, the more abductor muscle forces the less the
34 ischial support reaction force. Besides, the support on the ischial tuberosities is known to be harmful to
35 many people with transfemoral amputation so pain and discomfort should be reduced if less weight is
36 applied on the ischial support of the socket ⁴⁻⁶. Finite Element Models (FEM) have been proposed previously
37 as tools that help the prosthetists to design the socket and eventually limit the discomfort in the socket as
38 much as possible ⁷⁻¹⁴. Yet, current FEM are limited due to, among other things, boundary conditions that are
39 poorly representative of the reality ¹⁵. To improve the fidelity of FEM, some authors have proposed to
40 include the muscle forces in FEM ¹⁶.

41 Muscle activation can be assessed experimentally, non-invasively, using surface ElectroMyoGram
42 (EMG). However, EMG may only be used for superficial muscles and the conversion of the EMG signals into
43 muscle forces can be tedious due to measurement artefacts, cross-talks and signal noises ^{17,18}. Furthermore,
44 the positioning of EMG in the socket is challenging. Thus, a popular alternative is MusculoSkeletal (MSK)
45 modelling ¹⁹⁻²¹.

46 Yet, MSK models of the amputated gait are limited ²². In fact, to the authors’ knowledge, previous
47 MSK models have systematically neglected the weight-bearing function of the ischial support of the socket.
48 Some discrepancies have been observed between EMG and predicted muscle forces ²³. These could be
49 attributed to the absence of the ischial support of the socket in the modelling procedure. Likewise,
50 experimental studies concluded that ischial support is a major weight-bearing surface when measuring the
51 pressure at the residual limb interface ²⁴. Neglecting the ischial support, in particular, in the frontal plane,
52 may lead to non-negligible errors.

53 This study aims to design a MSK model of the amputated gait for individuals with unilateral
54 transfemoral amputation that accounts for the contact force with the prosthetic socket on the ischial
55 support contrary to previous studies on the amputated gait which ignored the interaction with the ischial
56 support, here the contact on the ischial support was included in the external loads acting on the pelvis. The
57 model was based on the rationale that people with transfemoral amputation sit in their sockets like on a
58 bike seat ²⁵. By doing so, a portion of the body weight is transmitted by a vertical force applied by the ischial
59 support to the ischium, through soft tissues. The author hypothesised that adding the force applied on the
60 ischial support will mainly lead to a decrease in the abductor muscles' forces ^{26,27}. This model was used to
61 quantify the impact of the load transmitted by the ischial support on the estimated muscle forces of 13
62 subjects.
63

64 2. Materials and methods

65 2.1. Participants

66 Power analysis was used to define the number of subjects with a type I error or 5 % and a type II
67 error of 15 %²⁸. To detect a difference of one standard deviation on the peak net hip abduction moment, at
68 least 12 subjects are thus required. In total, thirteen subjects with unilateral transfemoral or Gritti
69 amputations, with daily usage of their prosthesis, volunteered for this study (Table 1.). The analysis
70 procedure of this study was approved by the *Comité de Protection des Personnes* (CPP NX06036).

71 <i>Table 1 around here</i>

72 2.2. Experimental acquisitions

73 Subjects were equipped with 55 optoelectronic markers on the lower limb ²⁹ for the quantitative gait
74 analysis that was performed according to the ISB recommendations ^{30,31}. Once the calibration in the static
75 standing posture was performed, kinematic data were collected employing an optoelectronic motion
76 analysis system (Vicon Nexus 2, Oxford Metrics Ltd, UK) with 13 cameras set at a frequency of 100 Hz.
77 Ground reaction forces were simultaneously recorded with four force plates (AMTI Advanced Mechanical
78 Technology, Inc, Massachusetts, USA) built-in level with the floor. Subjects walked at a self-selected speed
79 and only complete strides (i.e., two successive foot contacts on the force plates) were saved. Markers
80 trajectories and force plate data were low-pass filtered with a zero-phase 4th order Butterworth filter with
81 a cut-off frequency of 5 Hz. A pair of X-rays was then acquired (EOS, EOS-Imaging, France) without removing
82 the optoelectronic markers in the standard standing posture ³².

83 2.3. MSK modelling

84 2.3.1. *Generic geometries*

85 A generic MSK model including the pelvis and the femur, and the muscles detailed in **Erreur !**
86 **Source du renvoi introuvable.** was defined. Muscles' respective origins, insertions and path points were
87 defined according to the model proposed in the literature ³³ except that all muscles' path points were fixed
88 to either the pelvis ³⁴ or the femur ³⁵.

89 2.3.2. *Personalisation*

90 Bi-planar X-rays were used to reconstruct the subject-specific geometries of the pelvis and the
 91 contralateral femur according to previous studies ^{36,37}. The femur was symmetrised to define the geometry
 92 of the ipsilateral femur before amputation which was then manually positioned to fit the X-rays. A kriging
 93 transformation was defined to compute the subject-specific positions of the muscles' points ³⁸. The surface
 94 mesh nodes of the generic and subject-specific geometries of the bones were the control points of the
 95 transformation in the source and the target domains respectively. The transformation was applied to the
 96 muscles' points to scale the muscles to the subject-specific geometries. The personalisation process was
 97 finalised by manually cutting the ipsilateral femur surface mesh at the level of the amputation computed
 98 from the bi-planar X-rays. All muscle' insertions below the amputation level were fixed at the distal end of
 99 the residual femur. Further details regarding the personalisation of the MSK models and the computation
 100 of the lever arms are provided in Supplementary Materials A.

101 2.3.3. Muscle forces

102 The subject-specific MSK models were computed from the personalised geometries using Matlab
 103 (The MathWorks, Inc., Matlab, California, USA). A ball-and-socket joint was used to model the hip joint. Hip
 104 joint angles during the gait cycle were retrieved from the motion capture data. The intersegmental hip
 105 moments expressed at the femoral head centre in the pelvis reference frame were computed from an
 106 inverse dynamic analysis. A static optimisation was used to estimate the muscle forces ³⁹. The algorithm
 107 was adapted to the amputated gait by decomposing the intersegmental hip moments ($M_{Residual\ limb \rightarrow pelvis}^C$)
 108 of the amputated side into the moment due to the discrete muscle forces ($F_{muscles}$), the moment due to the
 109 soft tissue contact loads at the ischial support level ($F_{soft\ tissues}$), and the moment due to the femur contact
 110 loads (F_{femur}) (Figure 1). Considering the hip joint as a ball-and-socket joint, the moment due to F_{femur} is null.
 111 The contribution of $F_{soft\ tissues}$ to the intersegmental hip moment was expressed as a reduction of the
 112 abduction component of the intersegmental moment ^{3,25}. Three levels of reduction, k , due to the moment of
 113 the soft tissues contact force at the ischial support were considered (0 %, 50 % and 100 % reduction).

114 All these hypotheses led to the following system of equations:

$$115 \quad (1) \quad J(x) = \sum_{i=1}^n \left(\frac{F_i}{F_i^{max}} \right)^2$$

$$116 \quad (2) \quad \begin{cases} \begin{pmatrix} r_{abd1} & \dots & r_{abdn} \\ r_{rot1} & \dots & r_{rotn} \\ r_{flex1} & \dots & r_{flexn} \end{pmatrix} \times x = \begin{bmatrix} (1-k) * M_{abd} \\ M_{rot} \\ M_{flex} \end{bmatrix}, k \in [0; 0.5; 1] \\ 0 \leq x \leq 2F^{max} \end{cases}$$

117 With J , the cost function to minimise, x the vector of all muscle forces, F^i the force of the i^{th} muscle,
118 F_{max}^i the maximal isometric force of the i^{th} muscle assessed from literature data ³³. The maximal isometric
119 force was multiplied by two as proposed by ⁴⁰. F_{max} , a n-by-1 vector, contains the maximal isometric forces
120 of all muscles. The kinematic analysis and the 3D models of the bones were used to compute r_{abd}^i , r_{rot}^i and
121 r_{flex}^i , the lever arms of the i^{th} muscle relative to the hip centre respectively in abduction/adduction,
122 internal/external rotation and flexion/extension ⁴¹. M_{abd} , M_{rot} and M_{flex} , are the intersegmental hip moment
123 components in abduction/adduction, internal/external rotation and flexion/extension respectively and for
124 the total number of muscles. The moment due to the muscle forces will be referred to as the hip muscular
125 moment in the following. When k was equal to 1, 100 % of the intersegmental hip abduction moment was
126 compensated by the moment induced by the contact force at the ischial support level. On the contrary, when
127 k was null, there was no reduction of the intersegmental hip moment in the frontal plane which is thus equal
128 to the hip muscular moment. This meant that no weight was applied to the ischial support. The optimisation
129 was performed using the *fmincon* with initial forces set to zero built-in MATLAB function.

FIGURE 1 around here

130

131 3. Results

132 3.1. Personalised models

133 The personalised MSK models of the 13 volunteers are shown in Figure 2.

134 *FIGURE 2 around here*

135 3.2. Intersegmental hip moment

136 Mean and normality corridor of the intersegmental hip moment of the amputated side at the hip
137 joint centre, are presented in Figure 3. Mean peak values from the current studies are 0.42 N.m.kg⁻¹, 0.16
138 N.m.kg⁻¹ and, 0.71 N.m.kg⁻¹ for the moments in the frontal, transverse and sagittal planes respectively.

139 *FIGURE 3 around here*

140 3.3. Muscle forces

141 Validation of the muscle lever arms is in Supplementary Materials B. Muscle forces were estimated
142 in three scenarios corresponding to the values of factor k (see equation 2). Changing the value of k had a net
143 impact on the estimation of the muscle forces (Figure 4a, Figure 4b). The maximal variation of the mean
144 muscle forces over the gait cycle of all subjects is detailed in Table 2. The force of the gluteus medius muscle,
145 as the main abductor muscle, is the most affected by the change of k , with a mean decrease of 7.6 N.kg⁻¹ from
146 $k = 0$ to $k = 1$. Regarding adductor muscles, the forces of the adductor magnus and the biceps femoris were
147 the two most impacted by the modelling of the prosthesis with a maximal mean increase of 1.8 N.kg⁻¹ and
148 1.4 N.kg⁻¹ of the muscle forces.

149 *FIGURE 4a and 4b around here*

150 *Table 2 around here*

151 4. Discussion

152 The objective of this study was to propose a new optimisation procedure for the gait of people with
153 transfemoral amputation that could account for the force applied by the ischial support to the residual limb.
154 This was achieved by designing a subject-specific musculoskeletal model of the amputated gait. In this
155 study, it was considered that the external force applied by the ischial support compensates for a certain
156 percentage of the net hip intersegmental abduction moment. Consistent with the authors' hypothesis,
157 accounting for the force applied by the ischial support mainly impacted the abductor muscles acting on the
158 hip joint, yet this was not the only conclusion of this study. The increase of the reduction factor also affected
159 the main adductor muscles, adductor magnus and biceps femoris, for which the level of activation increased.

160 This study showed that the reduction of the abductor muscles' forces solely may not be sufficient
161 to maintain the moment equilibrium if an important reduction occurs. On the other hand, these muscles
162 also act on the extension of the hip. Once again, to maintain the moment equilibrium some flexor muscles
163 such as the psoas or the rectus femoris were less used. Therefore, the reduction factor was critical to
164 estimate the muscle forces. The results highlighted that the required muscle forces to walk are modified
165 when subjects apply a different percentage of their body weight on the ischial support. This is directly and
166 indirectly related to the subject's weight but also to the amputation level, the type of socket or other
167 prosthetic devices. In other words, the reduction factor should be chosen carefully when performing MSK
168 modelling of the amputated gait. People with Gritti amputations may have a reduction factor close to zero
169 since they are less likely to use the ischial support that is often missing from their sockets. People with low
170 mobility or weak muscle structures may have a reduction factor close to 1 since they will apply more weight
171 to their ischial support. A possible assessment of this factor may be performed with measurement of the
172 pressure distribution in the socket ⁴².

173 Results were compared to the literature data. Mean peak moment values estimated in the current
174 study were 0.42 N.m.kg⁻¹, 0.16 N.m.kg⁻¹ and, 0.71 N.m.kg⁻¹ for the moments in the frontal, transverse and
175 sagittal planes respectively. Previous studies presented moments comprised between 0.43 and 0.71 N.m.kg⁻¹
176 ¹ in the frontal plane ^{43,44}, 0.14 and 0.18 N.m.kg⁻¹ in the transverse plane ⁴³, and 0.30 and 1.80 N.m.kg⁻¹ in the
177 sagittal plane ⁴³⁻⁴⁶. Considering muscle forces estimation, the complete range of the force applied by the soft
178 tissues on the ischial support, from a reduction of 0 % to 100 % of the intersegmental hip moments in the
179 frontal plane, was investigated. The results with no reduction of the intersegmental hip moments in the

180 frontal plane were compared to the literature data. A mean peak force at 25 % of the gait cycle comprised
181 between 1 and 3 N.kg⁻¹ was reported for the gluteus maximus, between 4 and 7 N.kg⁻¹ for the gluteus medius,
182 0 and 1 N.kg⁻¹ for the hamstrings, 0 and 2 for the iliopsoas, 1 and 3 N.kg⁻¹ for the rectus femoris by Harandi
183 et al. ¹⁹. Mohamed also reported a force comprised between 0 and 2 N.kg⁻¹ for the rectus femoris, 0 and 1
184 for the biceps femoris, and 0 and 1 N.kg⁻¹ for the adductor magnus ⁴⁷. In the current study, the gluteus
185 maximus force was comprised between 0 and 1 N.kg⁻¹, the gluteus medius force between 2 and 15 N.kg⁻¹,
186 the biceps femoris force was below 1 N.kg⁻¹, the iliopsoas between 0 and 6 N.kg⁻¹, the rectus femoris between
187 0 and 3 N.kg⁻¹, and the adductor magnus force was below 1 N.kg⁻¹. The range of values was larger than those
188 presented in literature studies probably due to the larger number of volunteers with various residual limb
189 lengths but also by the saturation of the flexor muscle forces for some subjects. This highlights the need to
190 personalise the muscle parameters towards the study of the amputated gait.

191 Some limitations of the current study may have influenced the results. Residual femur and muscles'
192 insertion points may need a more accurate personalisation since the muscles' distal anchoring performed
193 during the amputation has a significant impact on the muscle activations ²¹. This could be investigated using
194 MRI ⁴⁸ or ultrasound imaging. However, such processes require further data acquisition and image
195 processing which is time-consuming. The simplification of the muscles modelling must have also led to
196 erroneous estimations of the lever arms which may explain some of the discrepancies with other literature
197 studies. However, as shown in supplementary materials, most lever arms were consistent with literature
198 data, except in some cases in the transverse plane ⁴⁹. Other errors in muscle forces may be explained by the
199 optimisation process. For example, the maximal isometric forces were defined according to literature data
200 of subjects without amputation ⁴⁰. Muscle atrophy due to the amputation was thus neglected in this study.
201 Other parameters such as the tension-extension relationships of muscles and tendons were not considered
202 because of the difficulties in identifying such parameters in subjects with amputation. Eventually, the model
203 was not experimentally validated, yet results were compared to literature data of superficial EMG ^{50,51}. For
204 all studies, the gluteus maximus main activation was during the beginning of the stance phase that is to say
205 before 40 % of the gait cycle; the gluteus medius was activated during the entire stance phase; the tensor
206 fascia latae was activated at the end of the stance phase and the beginning of the swing phase. Some
207 discrepancies were noticed for the rectus femoris and the gracile which were activated sooner in the current
208 study compared to the literature. On the contrary, the adductor magnus and the biceps femoris were
209 activated later in the current study compared to the literature. Discrepancies may be explained by the

210 previously detailed inaccuracies in the modelling but also by errors in the EMG measurements. EMG data
211 are tedious to acquire due to the difficulties in positioning the sensors on the muscles in the socket,
212 intramuscular crosstalk and low-intensity signals on deep muscles. As a result, literature data provide a
213 wide range of muscle activations which makes the interpretation difficult ^{50,51}.

214 Future improvements of the model would include adding the impact of the ischial support on the
215 other components of the intersegmental hip moment particularly in the sagittal plane and the experimental
216 validation of the come of the muscle forces using superficial EMG. Experimental measurements with force
217 sensors in the socket could also be performed to assess the external loads applied on the ischial support.
218 Other acquisitions using ultrasound imaging systems could be used to personalise the muscles' parameters
219 such as the maximal isometric force using the physical cross-sectional area of muscles or the muscles'
220 insertions and origins.

221 Thus far the proposed results highlight the fact that the ischial support of the socket should not be
222 neglected to compute muscle forces. By relying too much on ischial support, people with transfemoral
223 amputation may reduce the abductor muscles' activity which may amplify the atrophy phenomenon
224 occurring after the amputation. This could also lead to more pain and discomfort at the ischium level.
225 Therefore, when the socket fit fails to provide comfortable support, one may consider orientating the
226 rehabilitation process towards the strengthening of the abductor muscles. In addition, prosthetists could
227 make the most of such data to design the subject-specific socket using FEM to estimate the pressure at the
228 interface of the residual limb. Indeed, a recent study has shown that the hip joint modelling, i.e., bones and
229 muscles acting on the joint degrees of freedom, was necessary for the estimation of interface pressure ⁵².
230 Considering advances in reduced order models and artificial intelligence based technics, combined MSK and
231 FEM could bring new insights for the prosthetist practice.

232

233 5. Conclusion

234 The use of a prosthesis may be painful for people with transfemoral amputation especially at the
235 level of the ischial support where a non-negligible part of the body weight is applied. One potential avenue
236 to diminish the support on the ischial tuberosities is to increase the contribution of the abductor muscles.
237 Eventually, the socket could be designed considering the force that the abductor muscles, and more
238 particularly, the gluteus medius is able to develop. To analyse this contribution, MSK models can be used.
239 This method allows to also account for the contribution of muscles and other external forces applied to the
240 residual limb such as the one due to the stance on the ischial support. This study showed the important
241 impact of the ischial support on the estimated muscle forces, particularly considering the abductor muscles
242 acting on the hip joint. With further improvement and after experimental validation of these results, such
243 models could be used clinically to assess the muscular activity during gait of people with transfemoral
244 prosthesis and in finite element analysis to compute the pressure distribution applied by the socket on the
245 residual limb.

246

247 6. Conflict of interest

248 The authors certify that no conflict of interest is raised by this work.

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386 8. List of figures

387 *Figure 1: Modelling approach proposed for the static optimisation algorithm. a) The external loads applied to the pelvis*
388 *segment (T) are expressed at the centre of the femoral head (C). b) The external loads included the forces of the muscles*
389 *(FMuscles) applied at the insertions points of each muscle, the force of the femur (FFemur) at the centre of the femoral*
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392 *Figure 2: Subject-specific bones and muscles geometries for the 13 subjects of the database.*

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395 *Figure 4a: Mean muscle forces of the first half of the muscles estimated with MSK modelling during a complete walk cycle*
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397 *100 % (yellow). Normality corridors correspond to the mean values plus/minus one standard deviation. The horizontal*
398 *red line account for the maximal isometric force input for each muscle.*

399 *Figure 4b: Mean muscle forces of the second half of the muscles estimated with MSK modelling during a complete walk*
400 *cycle for all subjects when considering three levels of reduction of the net hip abduction moment: 0% (blue), 50 % (red)*
401 *and 100 % (yellow). Normality corridors correspond to the mean values plus/minus one standard deviation. The*
402 *horizontal red line account for the maximal isometric force input for each muscle.*

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