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# A self-affine geometrical model of dynamic RT-PMMA fractures: implications for fracture energy measurements

Jean-Benoit Kopp · Jean Schmittbuhl ·  
Olivier Noel · Christophe Fond

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**Abstract** Profilometric imaging of fracture surfaces of rubber toughened polymer has been performed at two different resolutions (a) at large scales [10  $\mu\text{m}$ –25 mm] using an opto-mechanical profilometer and (b) at small scales [0.195  $\mu\text{m}$ –0.48 mm] using an interferometric optical microscope. We introduced a self-affine geometrical model using two parameters: the Hurst exponent and the topothesy. We showed that for rubber toughened materials the approximation of the created surface by a mean flat plane leads to a poor estimation of the dynamic fracture energy  $G_{Idc}$ . The description of the created rough fracture surface by a self-affine model is shown to provide a significantly better approximation. A new and original geometrical method is introduced to estimate self-affine parameters: the 3D surface scaling method. Hurst exponents are shown to be unique,  $\chi = 0.6 \pm 0.1$  for the differ-

ent fracture zones and measurement scales. Topothesy ratios indicate a significant difference of fracture surface roughness amplitude depending on the observation resolution when the detrending technique is not correctly introduced.

**Keywords** Dynamic fracture · Polymers · Surface roughness · Self-affinity · Hurst exponent · Topothesy · Fracture energy · Rapid crack propagation

## 1 Introduction

In a previous paper (Kopp et al. 2014b), a correlation between dynamic fracture energy measurements and the amount of created fracture surface was reported for rubber toughened polymethylmethacrylate (RT-PMMA). Contrary to pure amorphous polymers (Williams 1972; Kobayashi et al. 1980; Doll 1976), rubber toughened polymers show a decrease in the fracture energy with the crack tip velocity for rapid crack propagation (RCP) in mode I solicitation (Fond and Schirrer 2001). Moreover, for RT-PMMA and semi-crystalline materials, the macroscopic velocity of the crack tip has been observed as not changing even after branching (Fond and Schirrer 2001; Scheibert et al. 2010; Sharon and Fineberg 1999; Kopp et al. 2013, 2014a). These behaviours are clearly explained if we consider that to maintain the same velocity after branching with less available fracture energy, the branch has to create less fracture surface (Kopp et al. 2014a; Fond and Schirrer

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2001). Indeed, for a typical velocity known to be the branching velocity (Yoffe 1951),  $0.6c_r$ , where  $c_r$  is the Rayleigh wave speed, the maximum value of  $G_{Idc}$  is about three times higher than its minimum value. These fluctuations of  $G_{Idc}$  are associated to the surface roughness and, more precisely, to the amount of created surface  $\mathcal{A}_r$  which varies significantly during fracture propagation (Kopp et al. 2014a; Fond and Schirrer 2001; Osovski et al. 2015; Srivastava et al. 2014). Since the estimation of the amount of created fracture surface is typically a scale dependent measurement (Bouchaud 1997; Candela et al. 2012), a multi-scale approach has to be considered (Deumié et al. 1996) and several models exist to characterize this complex geometry (Mandelbrot et al. 1984; Lopez and Schmittbuhl 1998; Ponson et al. 1992; Bouchaud et al. 1995).

The aim of this study is to explore one of these statistical and geometrical models allowing to estimate the roughness of the fracture topographies: a self-affine geometrical model (Mandelbrot 1982). This model introduced by Mandelbrot applies to systems that are statistically invariant under an affine transformation, such as:  $x \rightarrow \lambda_x x$ ;  $y \rightarrow \lambda_y y$ ;  $z \rightarrow \lambda_z z$  where  $\lambda_x = \lambda_y$ ;  $\lambda_z = \lambda_x^\chi$  and  $\chi$  is the roughness exponent, or the Hurst exponent ( $0 < \chi < 1$ ). The parameters associated with the self-affine model [see Eq. (2)] are the Hurst exponent  $\chi$  (i.e. the scaling exponent) and the scaling prefactor  $C$  or the topothesis  $l_r$  (Maloy et al. 1992; Simonsen et al. 2000). Intuitively these two parameters define two different aspects of the surface. The Hurst exponent quantifies the typical size of the asperities. A surface with a large Hurst exponent will show large scale asperities. On the contrary a surface with a small Hurst exponent will have small scale asperities with numerous steep slopes. From this aspect, a surface with a small Hurst exponent will appear rougher. The prefactor (or the topothesis) describes a different property, the relative height amplitude of the roughness. A high prefactor corresponds to a surface with high summits and deep valleys independently of the lateral extension of asperities. Such a surface could also be labelled as rough.

The concept of self-affinity was already applied to many natural surfaces including fracture surfaces. The Hurst exponent value is estimated to be unique and universal in between 0.78 and 0.80 for many materials such as rocks (Schmittbuhl et al. 1993, 1995b; Lopez and Schmittbuhl 1998), wood (Morel et al. 1998; Mourot et al. 2002; Ponson et al. 1992), steel (Mandelbrot

et al. 1984; Bouchaud et al. 1993, 1995) and polymers (Guerrero et al. 2002; Lapique et al. 2002). However, over the past ten years some authors have questioned this universal value, in particular for polymers (Hinojosa et al. 2004). The difference in Hurst exponents with polymers may be due to a specific mechanism that is the fibrillation in crazes which does not exist in materials such as steel or rock. In addition, the used self-affine geometrical model in this study describes the scaling sensitivity of the fracture roughness.

In this study, the fracture surface roughness analysis is based on profilometric measurements that have been carried out with several techniques [opto-mechanical profilometer (OMP) and interferometric optical microscope (IOM)] using different probe sizes (0.195–10  $\mu\text{m}$ ). This multi-scale approach has been performed *post-mortem* on fracture surfaces along the crack propagation direction. Self-affine model has been tested to model fracture surface roughness.

## 2 Roughness measurements

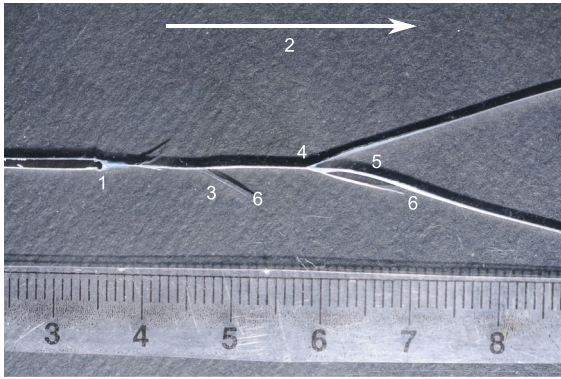
### 2.1 Samples and profilometer

#### 2.1.1 RT-PMMA fracture samples

The industrial grade RT-PMMA used in this study is a blend made of a PMMA matrix containing about twenty percent volume fraction of mono-dispersed spherical elastomer particles of about 100 nm diameter. The matrix glass transition temperature ( $T_g$ ) is 105 °C while that of the elastomer particles is about −30 °C.

Rapid crack propagation (RCP) is initiated in such a polymer sample, following the geometry known as a strip band specimen (SBS) geometry. The SBS geometry allows a relatively simple mechanical analysis of the structure during a quasi-static regime of propagation. SBS was also chosen for its low dynamic correction for the estimation of the fracture energy (Nilsson 1972). The fracture test is performed using a displacement-controlled Instron tensile testing machine to cancel out the work done by external forces during RCP. The experimental procedure consists in pre-stressing the sample as uniformly as possible. Then, the deformation is maintained during a significant time compared to the loading time allowing the relaxation of the sample. The crack is then initiated with a low energy external impact, typically the impact of a razor blade on the



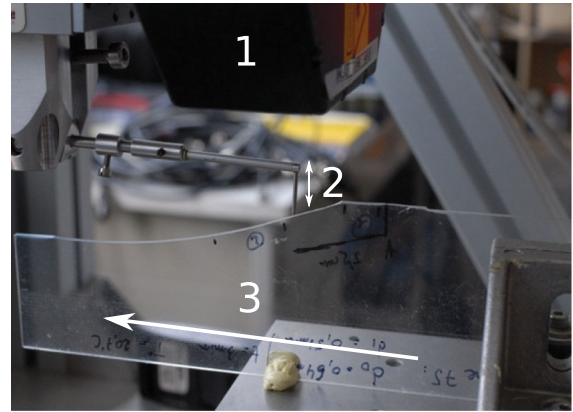


**Fig. 1** Post-mortem fractured RT-PMMA sample: 1 initiation zone where cavitation of rubber particles is visible (whitening of the material around the notch at the initiation of the fracture); 2 fracture propagation direction; 3 micro-branching: development of a limited branch ( $d < 1$  cm); 4 macro-branching: development of a significant branch ( $d \geq 1$  cm); 5 fracture kink; 6 crack arrest. For this sample, no conducting layer was applied

front notch. The entire test is performed at a constant temperature of  $23^\circ\text{C}$ . The macroscopic crack velocity is measured using a conductive layer which is sprayed on the sample surface. We checked that the spray does not affect the fracture mechanism. The evolution of the electric resistance of this layer is recorded as a function of time during fracture propagation (Kopp et al. 2014b). A fractured RT-PMMA sample is presented in Fig. 1. Different branching situations are encountered: a macro-branching or a micro-branching. The size of the secondary crack after branching has been used to calculate the difference between these two types of branching. Macro-branching herein denotes secondary crack extension  $d$  typically larger than 1 cm and micro-branching for  $d \leq 1$  cm. The branching (micro- and macro-) of the principle crack appears because of inertial effects at an approximate crack velocity of  $0.6c_r$  (Yoffe 1951).

### 2.1.2 Opto-mechanical profilometer (OMP)

A prototype of an opto-mechanical stylus profilometer (OMP) developed at EOST was used to characterize the fracture surface at the largest scales. The principle of the OMP consists in probing a fracture surface with a stylus located at the end of a mechanical arm allowing the sensing of the topographic variations (Fig. 2). The stylus is moved horizontally at a constant speed of about  $1 \text{ mm s}^{-1}$  and subjected to a gravity force ensuring



**Fig. 2** Laboratory opto-mechanical stylus profilometer: 1 laser distance meter, 2 stylus (measurement of  $z$  coordinates), 3 sample (translation along  $x$  axis)

that the sapphire tip of diameter  $\phi = 10 \mu\text{m}$ , keeps in contact with the surface. The vertical displacement of the stylus is monitored by a laser sensor based on a triangulation technique with a vertical resolution of  $1 \mu\text{m}$ . Measurements are discretized along a grid ( $N_x, N_y$ ) with a mesh ( $\Delta_x, \Delta_y$ ). The mesh grid is chosen as:  $\Delta_x = \Delta_y = 10 \pm 2 \mu\text{m}$ . This technique can be used for probing surfaces of high transparency with an optical precision for height measurement and with a high accuracy of the mechanical description of the air/RT-PMMA interface (the stylus does not indent the sample during probing).

### 2.1.3 Interferometric optical microscopy (IOM)

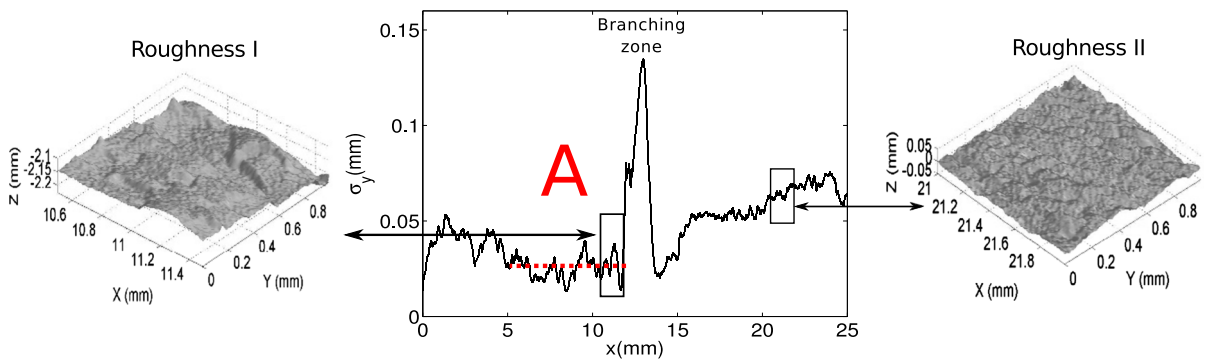
The principle of the technique (Bruker Contour GT-K1 optical microscope) is based on white light confocal interferometry. It allows the non-contact imaging of surfaces with a vertical sub-nanometer resolution, from nanometer-scale roughness through millimeter-scale steps. The lateral resolution depends on the beam size used for the measurement. In our experiment, the beam size is 195 nm.

## 2.2 Roughness evolution during fracture propagation

Roughness data as  $(x, y, h)$  files obtained with either OMP or IOM techniques are used to rebuild the topography of fracture surfaces and to try to estimate self-affine parameters as a function of the probe resolution. Sample characteristics are summarized in Table 1.

**Table 1** Experimental conditions used for the analysis of surface roughness probed by OMP and IOM; *Br.* and *S* refer respectively to the mapping from pre-Branching and Stopping phase zone. The diameter of the probe is denoted *Pdia*

Sample	Technique	Map name	Pdia (μm)	Br.	S	Area (mm <sup>2</sup> )
JBK1	OMP	RT-PMMA1	10	x		25 × 1
JBK1	OMP	RT-PMMA2	10	x		25 × 1
JBK2	OMP	RT-PMMA3	10	x		25 × 1
JBK2	OMP	RT-PMMA4	10	x		30 × 1
JBK2	OMP	RT-PMMA5	10		x	15 × 1
JBK3	OMP	RT-PMMA6	10	x	x	80 × 1
JBK4	OMP	RT-PMMA7	10	x	x	80 × 1
JBK5	OMP	RT-PMMA8	10	x		60 × 1
JBK6	OMP	RT-PMMA9	10	x	x	100 × 1.5
JBK7	OMP	RT-PMMA10	10		x	100 × 1.3
ON1	IOM	RT-PMMA11	0.195	x		0.48 × 0.39
ON1	IOM	RT-PMMA12	0.195		x	0.48 × 0.39



**Fig. 3** Topography of a fracture surface of RT-PMMA probed by OMP (sample JBK1) during a crack branching configuration and standard deviation  $\sigma_y(x)$  of the height along the *y* axis as a

function of the *x* axis which defines stationary regime (A) before a branching zone

Fracture mapping allows the observation of surface roughening during crack propagation. Indeed, it is observed at macroscopic scale that the roughest and smoothest surfaces are respectively just before a macro-branching (roughness I in Fig. 3) and just before a crack arrest (roughness IV in Fig. 4). A crack arrest<sup>1</sup> happens when the fracture energy becomes too low for the crack to continue. Of course, with the sample not being entirely fractured, the crack arrest zone surface is only accessible after cutting into the sample. The self-affine model has been tested to characterize the fracture surface roughness between these two configurations.

To illustrate the fluctuation of the surface roughness during crack propagation, the standard deviation

$\sigma_y$  (Eq. 1) of the height along the *y* axis, perpendicular to the crack propagation direction, is calculated as a function of the crack propagation direction (*x* axis).

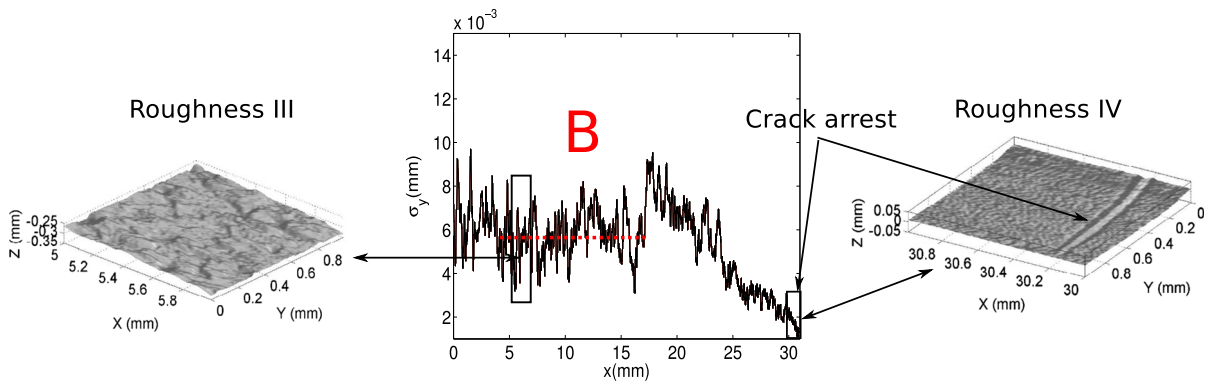
$$\sigma_y^2(x) = \frac{1}{N_y} \sum_y (h(x, y) - \bar{h})^2$$

$$\text{with } \bar{h} = \frac{1}{N_y} \sum_y h(x, y) \quad (1)$$

The amplitude variation of  $\sigma_y$  is used to differentiate between stationary and non-stationary regimes. A stationary regime corresponds to quasi-constant fluctuations of  $\sigma_y$  along the crack propagation direction. Figures 3 and 4 show two stationary regimes before a branching zone (A) and just before a crack arrest zone (B).

The two stationary regimes, (A) and (B), correspond respectively to surface roughness I and III. Roughness

<sup>1</sup> The beginning of a creep crack growth regime is considered herein as a crack arrest.



**Fig. 4** Topography of a fracture surface of RT-PMMA probed by OMP (sample JBK3) just before a crack arrest configuration and standard deviation  $\sigma_y(x)$  of the height along the y axis as a

function of the x axis which defines stationary regime (B) before a crack arrest zone

II and roughness IV are associated to transient regimes as defined by the transient evolution of  $\sigma_y$ , either a significant increase or decrease of  $\sigma_y$ , along the crack propagation direction. Only stationary regimes are described in this article. Non-stationary regimes have to be analysed with other methods (Family and Vicsek 1985; Lopez and Schmittbuhl 1998) not presented here.

### 2.2.1 Branching zone

Figure 3 shows a perspective view of the fracture topography before and after branching for a RT-PMMA dynamic fracture probed with an OMP. It also shows that the crack surface before branching (roughness I) looks rougher (i.e. with steeper slopes in the topography and large scale asperities) than the one after branching (roughness II) despite an increase of the standard deviation related an increase of the global tilt of the surface. It should be noted that the use of the standard deviation might be biased if a global trend of the surface is not removed. This is part of the explanation for the increase of the standard deviation before and after branching in Fig. 3.

### 2.2.2 Crack arrest zone

The RT-PMMA dynamic fracture surface roughness amplitude (roughness III and IV) decreases just before a crack arrest zone (Fig. 4), when the energy release rate becomes too low for the crack to continue, is also of interest. A crack arrest mark can also be observed *post-mortem* (see Fig. 4).

## 3 Results

### 3.1 Self-affine (1 + 1)D methods

The analysis of stationary self-affinity can be realized according to different statistical methods (RMS, MM, FPS, AWC).

#### 3.1.1 Root mean square (RMS) and maximum–minimum (MM) Schmittbuhl et al. (1995b)

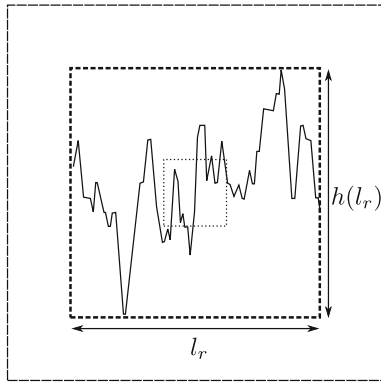
If one considers a 2-D profile of length  $L$  along the fracture topography, let it divide in the propagation direction into bands or windows of width  $\delta_x$  and then indexes it by the position of first point  $x_0$  of the band. The standard deviation  $\sigma(\delta_x)$  of the height on the window  $\delta_x$  and the height difference  $h(\delta_x)$  between the maximum and minimum heights within the window  $\delta_x$  are calculated for each band and then averaged over all of the bands with a fixed width  $\delta_x$  over the entire profile, by varying the origin  $x_0$ . We obtain therefore  $\langle \sigma(\delta_x) \rangle_{x_0}$  and  $\langle h(\delta_x) \rangle_{x_0}$  and where these two quantities follow a power law for self-affine profiles:  $\langle \sigma(\delta_x) \rangle \propto \delta_x^\chi$  and  $\langle h(\delta_x) \rangle \propto \delta_x^\chi$ .

The topothesy (Simonsen et al. 2000; Schmittbuhl et al. 2007, 2008; Candela et al. 2009) can be calculated with the help of both methods as:

$$\sigma(\delta x) = h(\delta x) = C \delta x^\chi \quad \text{with } C = l_r^{(1-\chi)} \quad (2)$$

The topothesy, is defined by:  $\sigma(l_r) = l_r$  or  $h(l_r) = l_r$  and represents the horizontal scale  $\delta x$  for which the ver-





**Fig. 5** Illustration of the definition of the topothesy with the MM method

tical mean standard deviation or the height difference is equal to  $\delta x$  (see Fig. 5).

### 3.1.2 Fourier power spectrum (FPS)

*Barabasi and Stanley (1995), Meakin (1998)*

The Hurst exponent  $\chi$  of the surface can be estimated from the Fourier power spectrum of a 2-D height profile if it follows a power law. For each parallel profile, the power spectrum  $P(k)$ , i.e., the square of the modulus of the Fourier transform, is calculated based on the wave-number  $k$ . When the power spectrum follows a power law:  $P(k) \propto k^{-1-2\chi}$ , and the phases are random, the Hurst exponent is  $\chi$ . The Fourier power spectrum of the roughness is plotted as a linear trend in log–log coordinates as a function of the wave-number.

### 3.1.3 Averaged wavelet coefficient (AWC)

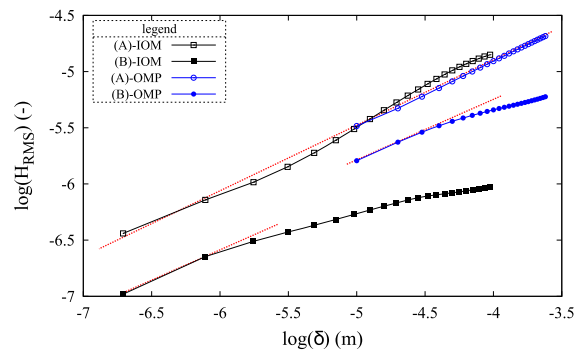
*Simonsen et al. (1998), Candela et al. (2009)*

The AWC method is based on a wavelet transform of the input signal which depends on the position and dilatation. The wavelet transform of each profile 2-D  $L(x)$  is defined by  $W(a, b) = \frac{1}{\sqrt{a}} \int_{-\infty}^{\infty} \psi(\frac{x-b}{a}) L(x) dx$  where  $\psi$  is the wave function (here Daubechies wavelets of order 4). For a self-affine surface, the measurement follows a power law as,  $W_a \propto a^{\chi+0.5}$  where  $W_a = \langle |W(a, b)| \rangle_b$ .

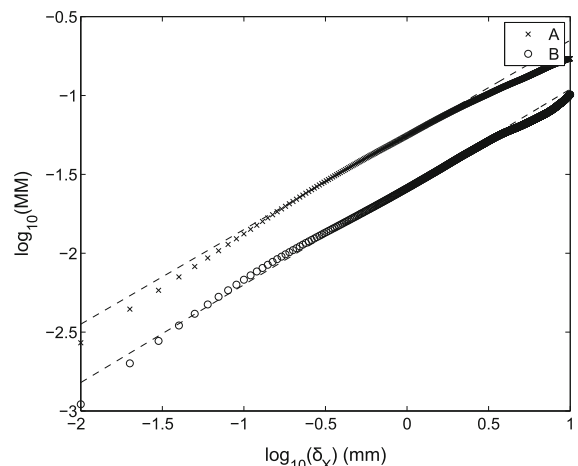
All these different techniques show a power law behaviour which depends on the Hurst exponent and the topothesy. To assess these parameters, each graph is in a log–log plot using a least square method.

## 3.2 Hurst and topothesy measurements

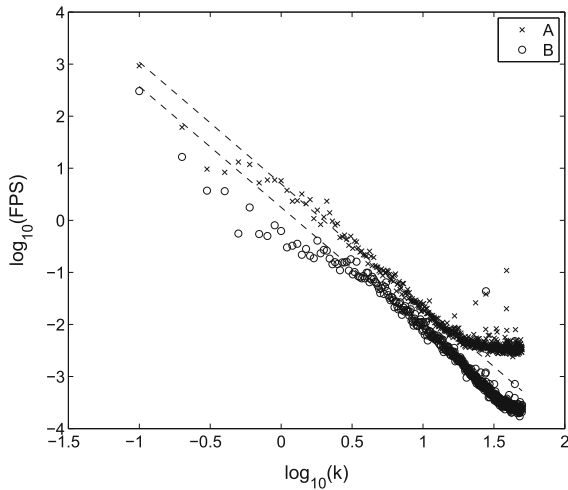
To quantify the differences of magnitudes of fracture surface roughness between stationary regimes (A) and (B), pre-factors (topothesy) and Hurst exponents are compared. Self-affine methods described in Sect. 3.1 have been applied to surface data using (RMS, MM, FPS, AWC) techniques (see Figs. 6, 7, 8 9). However, some precautions must be taken to characterize self-affine surfaces. A first requirement is to use several methods to measure the Hurst exponent (see



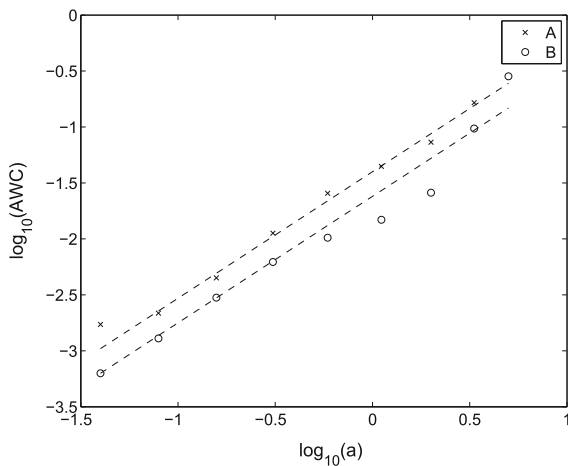
**Fig. 6** Statistical data analysis RMS obtained by the characterization of fracture surfaces of RT-PMMA (A and B) probed by OMP (sample JBK6) and IOM (sample ON1); linear fitting for  $\log(\delta) \in [-5; -3.6]$  for regime (A)-OMP,  $\log(\delta) \in [-5; -4.3]$  for regime (B)-OMP,  $\log(\delta) \in [-6.7; -4]$  for regime (A)-IOM and  $\log(\delta) \in [-6.7; -5.7]$  for regime (B)-IOM with averaged slope  $\langle \beta \rangle = 0.55$



**Fig. 7** Statistical data analysis MM obtained by the characterization of fracture surfaces of RT-PMMA (A and B) probed by OMP (sample JBK6); linear fitting for  $\log(\delta) \in [-2; 1]$  for regimes (A) and (B) with slopes  $\beta_A = 0.60$  and  $\beta_B = 0.62$



**Fig. 8** Statistical data analysis FPS obtained by the characterization of fracture surfaces of RT-PMMA (A and B) probed by OMP (sample JBK6); The Fourier power spectrum of the roughness is plotted as a linear trend in log–log coordinates as a function of the wave-number; linear fitting for  $\log(k) \in [-1; 1.2]$  for regime (A) and  $\log(k) \in [-1; 1.7]$  for regime (B) with slopes  $\beta_A = -2.34$  and  $\beta_B = -2.32$



**Fig. 9** Statistical data analysis AWC obtained by the characterization of fracture surfaces of RT-PMMA (A and B) probed by OMP (sample JBK6); linear fitting for the whole range of  $\log(a)$  for regimes (A) and (B) with slopes  $\beta_A = 1.13$  and  $\beta_B = 1.13$

Schmittbuhl et al. 1995a,b). This allows to consider intrinsic errors related to the different methods used. For example, the RMS method tends to minimize the Hurst exponent value. For a Hurst exponent value of 0.6 the intrinsic error of the method is approximately 10 % Schmittbuhl et al. (1995a,b).

In our case, the Hurst exponent will be estimated and averaged over four methods. After this step, to limit the number of figures of the manuscript and for clarity, we chose to use only one method (RMS) to compare self-affine parameters ( $\chi$  and  $l_r$ ) as a function of the measurement scale (OMP and IOM).

Table 2 summarizes Hurst exponent measurements for RT-PMMA fracture surfaces probed by OMP profilometry for stationary regimes (A) and (B). Whatever the method (RMS, MM, FPS, AWC) and the stationary regime (A) or (B), the fracture surface roughness is observed to follow self-affinity (see Figs. 6, 7, 8, 9). A good estimation of the Hurst exponent value,  $\chi = 0.6 \pm 0.1$ , is done with the help of RMS, MM, FPS and AWC methods. The error is given by the standard deviation of 8 values for regime (A) and 5 values for regime (B) of Hurst exponent which are calculated for the RT-PMMA fracture maps presented in Table 1. The mean value of the Hurst exponent minimizes the intrinsic error of the method.

Moreover, it can be observed in Fig. 6, that self-affine properties seem maintained at IOM scales for A-IOM curve. For regime B, there is clearly a significant difference in standard deviation magnitude at large scales between the IOM and the OMP techniques. We interpret this discrepancy in stating that the IOM technique is not relevant at these scales (i.e. above a “cut-off” scale) because of the detrending procedure. This might be a problem for each measurements at large scales as typically observed: there is always a flattening of the curves at large scales. Indeed, an a priori detrending can be introduced when positioning the sample with respect to the measurement device. To optimize the measurement with respect to the accessible range of the apparatus, the orientation of the sample might be different for small and large scale measurements. We consider that the a priori chosen orientation of the B-IOM sample has lead to a minimization of the large scale components of the fracture roughness. Note that a complementary approach would be to search for a multi-fractal or multi-affine behaviour but would require a significantly higher amount of data to get relevant results. This was not accessible in the framework of this study.

In Table 2 the roughness exponents obtained using the RMS method applied on RT-PMMA fracture surfaces data issue from both OMP and IOM profilometers are compared. The Hurst exponents calculated at IOM scale for one sample seem comparable to those calcu-

**Table 2** Hurst exponents of RT-PMMA fracture surfaces probed by OMP for stationary regimes A and B

OMP	RMS	MM	FPS	AWC	Average
$\chi(A)$	$0.54 \pm 0.02$	$0.60 \pm 0.02$	$0.67 \pm 0.02$	$0.63 \pm 0.02$	$0.6 \pm 0.1$
$\chi(B)$	$0.56 \pm 0.01$	$0.62 \pm 0.01$	$0.65 \pm 0.02$	$0.63 \pm 0.01$	$0.6 \pm 0.1$

**Table 3** Roughness exponents issue from RMS method of RT-PMMA fracture surfaces probed by OMP and IOM for stationary regimes A and B

Method	OMP	IOM
$\chi(A)_{RMS}$	$0.54 \pm 0.02$	0.54
$\chi(B)_{RMS}$	$0.56 \pm 0.01$	0.56

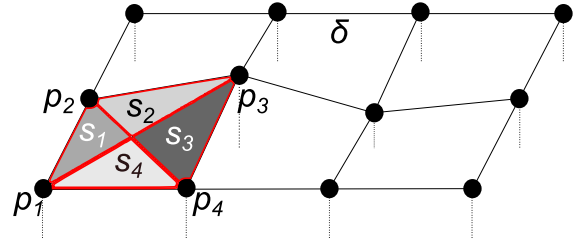
**Table 4** Pre-factors and topothesies calculated with RMS method before a branching zone (A) and a crack arrest (B) for fracture surfaces probed by OMP and IOM

Pre-factors	$C(A)$	$C(B)$	$C(A)/C(B)$
OMP	$1.7 \times 10^{-3}$	$7.9 \times 10^{-4}$	$2.2 \pm 0.2$
IOM	$1.7 \times 10^{-3}$	$5.0 \times 10^{-4}$	3.4
Topothesies	$l_r(A)$	$l_r(B)$	$l_r(A)/l_r(B)$
OMP	$7.4 \times 10^{-7}$	$9.0 \times 10^{-8}$	$8.2 \pm 0.2$
IOM	$7.4 \times 10^{-7}$	$4.6 \times 10^{-8}$	15.8

lated at OMP scale. With taking account of the intrinsic error of the method,  $\chi \approx 0.6 \pm 0.1$  (Table 3).

Pre-factors  $C(A)$  and  $C(B)$  and topothesies  $l_r(A)$  and  $l_r(B)$  are respectively associated to stationary regimes (A) and (B). Table 4 presents pre-factors and topothesies calculated with the RMS method as a function of the analysis scales (OMP and IOM) and the regime (A or B). We chose to keep both parameters even if they are mathematically related since they describe in two different ways the same information. The topothesy is a “horizontal” measure along the mean fracture plane of the amplitude of the roughness and the pre-factor is a “vertical” measure, i.e. out of plane. Significant differences of surface roughness are highlighted with the help of pre-factors or topothesies. Ratios  $C(A)/C(B)$  are  $2.2 \pm 0.2$  at OMP scale and 3.4 at IOM scale. Ratios  $l_r(A)/l_r(B)$  are respectively  $8.2 \pm 0.2$  at OMP scale and 15.8 at IOM scale.

From our estimation, the main observation is that the Hurst exponent is stable whatever the analysis scale contrary to the topothesy value which varies significantly.



**Fig. 10** Triangulation of the surface. For a typical four nodes element the real area is quantified with the help of four triangular areas ( $S_1$ ,  $S_2$ ,  $S_3$  and  $S_4$ ). Heights  $p_{1-4}$  are experimentally obtained either with OMP or with IOM. It is assumed that the height where the triangles  $S_{1-4}$  meet is known

### 3.3 Fracture area measurement and the (2 + 1)D surface scaling method

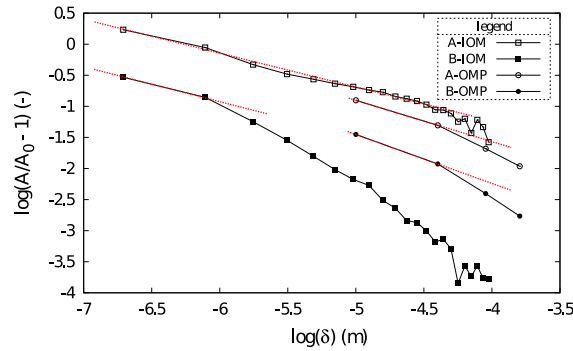
A specific approach has been introduced to characterize the fracture surface roughness. It aims at estimating the surface scaling not only from extracted 1D profiles but by measuring the scaling of the fracture surface itself. It reinforces the (1 + 1)D estimation of the Hurst exponent value in using directly the estimation of the surface area of the fracture surfaces. Indeed, it is based on the estimate of the amount of created fracture surface  $\mathcal{A}_r$  and its comparison to the projected area  $\mathcal{A}_0$  on the mean fracture plane. With the help of  $h(x, y)$  data, a routine makes a triangulation of the surface. In other words, the surface area of the fracture surface is estimated with the sum of each triangular area using three different altitudes (see Fig. 10).

As presented in Table 5, the surface area of the fracture surface depends on the scale measurement. It is observed at OMP scale that the surface area of the fracture surface just before a macro-branching (regime A) is approximately 10 % larger than just before a crack arrest (regime B). At IOM scale this ratio increases up to 210 %.

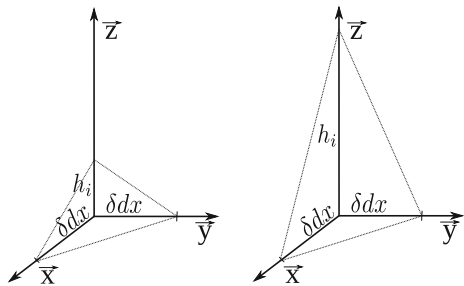
Moreover, the routine allows a numerical smoothing of the fracture surface. One method for this reconstruction is used: the convolution method. It consists in computing the convolution of the topography with

**Table 5** Estimation of the surface area of the fracture surfaces as a function of the resolution technique with  $d$  the diameter of the probe. Ratios  $\mathcal{A}_r^B/\mathcal{A}_0$  and  $\mathcal{A}_r^S/\mathcal{A}_0$  represent normalized surfaces

Technique	Sample	$d(\mu\text{m})$	$\mathcal{A}_r^B/\mathcal{A}_0$	$\mathcal{A}_r^S/\mathcal{A}_0$	$\mathcal{A}_r^B/\mathcal{A}_r^S$
OMP	JBK1-7	10	$1.11 \pm 0.01$	$1.009 \pm 0.002$	$1.10 \pm 0.01$
IOM	ON1	0.195	2.71	1.29	2.10



**Fig. 11** Evolution of the ratio  $\frac{\mathcal{A}_r}{\mathcal{A}_0} - 1$  with the size of the “hypothetical” profilometer tip  $\delta$  as a function of the measurement scale (OMP and IOM) and the regime



**Fig. 12** Two sloping triangular surfaces. *Left scheme* corresponds to sloping surface for which the approximation  $(\frac{h_i}{\delta dx})^2 \ll 1$  is available contrary to the *right scheme*. These kinds of sloping surfaces (*right*) could be observed for fracture surfaces probed near nanometric scale. Indeed, the lower the probe size, the rougher the fracture surface and the more the slope of *triangular surface* is

a sphere (radius  $\delta$ ) that mimics a large probe. The surface area of the fracture surface is then recalculated as a function of  $\delta$  value. The evolution of  $\frac{\mathcal{A}_r}{\mathcal{A}_0} - 1$ , where  $\mathcal{A}_0$  represents the projected surface, with  $\delta$  is presented in Fig. 11 for fracture surfaces probed with OMP and IOM before (regime A) and after (regime B) branching.

If it is considered that the triangular area  $ds_i(\delta dx, \delta dx, h_i)$  (see Fig. 12) is equal to:

by the projected surface  $\mathcal{A}_0$ . The ratio  $\mathcal{A}_r^B/\mathcal{A}_r^S$  is the relative comparison of surface before branching (regime A) and before arrest (regime B)

$$ds_i = \frac{1}{2} \sqrt{(\delta dx)^2 (\delta dx)^2 + (\delta dx)^2 h_i^2 + (\delta dx)^2 h_i^2} \quad (3)$$

and the triangular area  $ds_0(\delta dx, \delta dx, 0) = \frac{1}{2}(\delta dx)^2$ . The total area  $\mathcal{A}$  represents  $\sum_{i=1}^N ds_i$ :

$$\sum_{i=1}^N ds_i = \frac{1}{2} (\delta dx)^2 \sum_{i=1}^N \sqrt{1 + 2 \left( \frac{h_i}{\delta dx} \right)^2} \quad (4)$$

It can be approximated  $\sqrt{1 + 2 \left( \frac{h_i}{\delta dx} \right)^2} \approx 1 + \left( \frac{h_i}{\delta dx} \right)^2$  if  $\left( \frac{h_i}{\delta dx} \right)^2 \ll 1$ . Following this condition, and that the projected area  $\mathcal{A}_0 = \frac{1}{2} N (\delta dx)^2$ , one can obtain:

$$\frac{\mathcal{A}}{\mathcal{A}_0} - 1 = \frac{1}{N} \sum_{i=1}^N \left( \frac{h_i}{\delta dx} \right)^2 \quad (5)$$

It can be noticed that  $\sqrt{\frac{1}{N} \sum_{i=1}^N (h_i)^2} = l_r^{1-\chi} dx \delta^\chi$ , therefore:

$$\frac{\mathcal{A}}{\mathcal{A}_0} - 1 = \frac{1}{(\delta dx)^2} (l_r^{1-\chi} dx \delta^\chi)^2 = l_r^{2(1-\chi)} dx \delta^{2(\chi-1)} \quad (6)$$

It can be deduced that:

$$\log \left( \frac{\mathcal{A}}{\mathcal{A}_0} - 1 \right) = 2(1 - \chi) \log(l_r) + 2(\chi - 1) \log(\delta) \quad (7)$$

Following this development, Hurst exponent and topothesy values can be deduced from Fig. 11 with a linear regression  $y = mx + p$ . The slope  $m$  is directly linked to the Hurst exponent  $\chi$  with  $m = 2\chi - 2$ . It is observed, with this method, that the Hurst exponent value is equal to  $\chi = 0.6 \pm 0.1$  (see Table 6) whatever the regime (A and B) and the measurement scale (OMP and IOM) even if a cut-off length seems to appear at

**Table 6** Hurst exponent values of RT-PMMA fracture surfaces probed by OMP and IOM for stationary regimes A and B which were obtained using the 3D surface scaling method described in Sect. 3.3

	OMP	IOM	Average
$\chi(A)$	0.6	0.7	$0.6 \pm 0.1$
$\chi(B)$	0.5	0.6	$0.6 \pm 0.1$

large scales for the regime B. This behaviour seems similar to the one highlighted with the RMS method. Topothesis ratios  $l_r(A)/l_r(B)$  are respectively equal to 3.9 at OMP scale and 9.2 at IOM scale. Firstly, these results show that the self-affine model provides a good description of the evolution of the fracture area as a function of the measurement resolution. Secondly, it confirms a similarity of the Hurst exponent value for the different regimes (A or B) and the analysis scales, contrary to the topothesis value which is significantly sensitive to the fracture surface roughness. Thirdly, the self-affine model shows that at large scales, the surface estimate converges toward a flat mean plane (Feder 1988). Finally, it is observed in Figs. 6 and 11 that the self-affine model with  $\chi = 0.6$  seems no longer convenient at large scales for the regime B – IOM. A cut-off length appears at approximately 100  $\mu\text{m}$ .

#### 4 Discussion and conclusions

The scale dependence analysis of RT-PMMA fracture surfaces has led to show the relevance of the self-affine geometrical model which provides a quantification of the surface area of the fracture surface. Two regimes have been defined: a stationary regime just before a macro-branching (A) and a stationary regime just before a crack arrest (B).

The self-affine geometrical model has been applied to regimes which correspond to different fracture zones. All RT-PMMA fracture surfaces belonging to stationary regimes (A) and (B) follow self-affinity (i.e. same Hurst exponent) like many materials (Schmittbuhl et al. 1993; Morel et al. 1998) in a range of approximately 4 decades (see Fig. 6). This observation is confirmed and reinforced with the help of four statistical analysis methods (RMS, MM, FPS and AWC). The topothesis allows the appreciation of significant differences of roughness amplitudes between two stationary

regimes (A and B) at different scales (OMP and IOM). It is observed that the Hurst exponent stays approximately constant  $\chi = 0.6 \pm 0.1$ , whatever the regime, either (A) or (B), or the analysis scale, either OMP or IOM. Contrary to the Hurst exponent, topothesis (or pre-factors) values vary significantly. Indeed ratios of  $C(A)/C(B)$  are estimated to be equal to  $2.2 \pm 0.2$  and  $3.4$  respectively at OMP scale and IOM scale. Topothesis ratios are  $l_r(A)/l_r(B) = 8.2 \pm 0.2$  and  $15.8$  respectively at OMP and IOM scales.

Figures 6 and 11 let one observe that for regime B the model seems to overlap after approximately one decade. This observation leads to the introduction of a cut-off length at large scales for the regime B which seems to highlight a transient regime—a decrease of the roughness (Family and Vicsek 1985; Lopez and Schmittbuhl 1998). This could confirm that fracture surfaces associated to the regime B are near the crack arrest zone and therefore converge toward a flat mean plane.

A new tool, the 3D surface scaling method, has been developed using Fortran to estimate, first of all, the surface area of the fracture surface  $\mathcal{A}_r$  based on a triangulation of the surface. It is noticed for RT-PMMA fractures that  $\mathcal{A}_r$  depends on the scale measurement (OMP and IOM) and the regime (A and B). Secondly, self-affine parameters (Hurst exponent and Topothesis) were estimated. Assuming that  $(\frac{h_i}{\delta dx})^2 \ll 1$ , the surface area of the fracture surface can be modelled following the Eq. 6. In this case, the Hurst exponent value is confirmed as staying approximately constant whatever the measurement scale and the regime:  $\chi = 0.6 \pm 0.1$ . Topothesis values fluctuate as a function of the measurement scale (OMP and IOM) and the regime (A and B). Topothesis (or pre-factors) have highlighted a significant difference of RT-PMMA fracture surface roughness amplitudes, contrary to the Hurst exponent value, as a function of the crack propagation configuration (crack branching and crack arrest). Indeed, the lower the topothesis, the smoother the fracture surface. In comparing topothesis (or pre-factors) values, the fracture surface before a crack arrest zone is smoother than before a crack branching configuration.

This model will allow for the comparison of the fluctuation of self-affine parameters (Hurst exponent and Topothesis) with the fluctuation of the surface area of the fracture surface roughness. These results will be used in a practical issue presented in Kopp et al.



(2014b). Indeed, according to a dynamic linear elastic fracture mechanics (LEFM) approach, RT-PMMA samples reveal a loss of unicity of the dynamic fracture energy  $G_{Idc}$  at the crack branching velocity. The dynamic fracture energy has until now been estimated as a function of the amount of projected fracture surface, typically the mean flat surface. For RT polymers and semicrystallines (Fond and Schirrer 2001; Kopp et al. 2014b), the amount of created fracture surface has to be considered in the estimation of  $G_{Idc}$ . The self-affine analysis of the fracture surface roughness strengthens this opinion. Indeed, the fracture surface roughness and also the surface area of the fracture surface varies significantly along the crack propagation direction. Knowing the evolution of the self-affine parameters along the crack propagation direction (stationary regimes A and B) and as a function of the measurement scale (OMP and IOM), is essential. With a multiplication of roughness analyses on RT polymers and semicrystallines the next step of this study will be the estimation of the fracture surface energy with the help of the self-affine parameters.

To conclude, the self-affine geometrical model with two parameters (Hurst exponent and topothesy) shows its effectiveness in this type of study. However, the single Hurst exponent is no longer sufficient, in itself, to describe all the regimes encountered and, principally, in these kinds of rubber toughened polymer materials. Topothesy values have been shown to be significantly different from one regime to another. Modelling the morphology of the fracture surface roughness with a statistical geometrical model is a practical issue to take into account scaling dependence and to estimate the fracture surface energy. The new guidance in the calculation of the ratio  $\frac{A_r}{A_0}$  with the self-affine model will be useful in the estimation of the fracture energy. At small scales the model provides a strong dependence contrary to at large scales where it converges to the classically used value  $\frac{A_r}{A_0}=1$ .

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