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EVALUATION OF PRESENT NUMERICAL MODELS FOR PREDICTING METAL CUTTING PERFORMANCE AND RESIDUAL STRESSES

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□ Efforts on numerical modeling and simulation of metal cutting operations continue to increase due to the growing need for predicting the machining performance. A significant number of numerical methods, especially the Finite Element (FE) and the Mesh-free methods, are being developed and used to simulate the machining operations. However, the effectiveness of the numerical models to predict the machining performance depends on how accurately these models can represent the actual metal cutting process in terms of the input conditions and the quality and accuracy of the input data used in such models. This article presents results from a recently conducted comprehensive benchmark study, which involved the evaluation of various numerical predictive models for metal cutting. This study had a major objective to evaluate the effectiveness of the current numerical predictive models for machining performance. Five representative work materials were carefully selected for this study from a range of most commonly used work materials, along with a wide range of cutting conditions usually found in the published literature. The differences between the predicted results obtained from the various numerical models using different FE and Mesh-free codes are evaluated and compared with those obtained experimentally.

Keywords benchmark, machining, numerical simulation, surface integrity

INTRODUCTION AND METHODOLOGY

Modeling and simulation of metal cutting operations have become very popular in recent times with many universities, research institutions and companies developing and/or using various models to predict the machining performance in terms of cutting forces, temperatures, hardness,

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microstructural and phase changes, residual stresses, tool-wear, part distortion, surface roughness, chip breaking/breakability, process dynamics, stability of machining operations, etc. (Altintas and Budak, 1995; Arrazola et al., 2013; Mabrouki et al., 2008; Outeiro et al., 2006b; Umbrello et al., 2007, 2010; Valiorgue et al., 2007). However, the effectiveness of these models to predict the machining performance has been questionable due to poor representation of the actual metal cutting process in these models in terms of the assumptions made and the boundary conditions used in these models, including the quality and accuracy of the input data used in such models.

Over the last few decades, analytical and empirical models were most commonly developed and applied to predict mainly cutting forces and temperatures. However, since the early 1980s, the rapidly increased computational capabilities, with the use of computer-based modeling and simulation methods based on Finite Element Method (FEM), have gained a significant application potential. Today, these models have a prominent place in the metal cutting simulation, although it must be stated very clearly that the FEM-based models inherently incorporates many simplistic assumptions that cannot be easily detected by its users, but that affect the validity of the results (Astakhov and Outeiro, 2008).

Within the scope of the recent CIRP (The International Academy for Production Engineering) Collaborative Working Group (CWG) on Surface Integrity and Functional Performance of Components, which operated during 2007–2011, it was decided to conduct a benchmark study to evaluate the effectiveness of all current numerical models for surface integrity induced by metal cutting processes, for predicting not only the most commonly predicted parameters such as cutting forces, temperatures, chip compression ratio and chip geometry, but also parameters related to the integrity/quality of the machined surface, such as residual stresses, hardness and phase transformation (Jawahir et al., 2011). It was hoped that the results of this benchmark could help the metal cutting researchers to establish future research directions for improved model development.

This investigation involves a carefully designed benchmark study for evaluating predictive models for orthogonal cutting, which is composed of the following steps:

- 1) Selection of cutting conditions (including the work materials, cutting tools and cutting parameters) and acquiring experimental data for model validation
- 2) Model development and performing machining simulations for predicting the most significant output parameters, covering the following:

- General information about the metal cutting models
 - Identification of the work material and cutting tool properties
 - Model calibration
- 3) Comparison of the results obtained from different models with experimental data by applying two procedures:
- Without calibration
 - With calibration

This benchmark study was conducted in close cooperation and collaboration with 22 international researchers from 10 countries. The majority of the participants were from Universities or Research Institutes (76%) and the remaining participants were companies and/or software developers (24%). This article presents the major findings from this study and analysis.

SELECTION OF CUTTING CONDITIONS AND EXPERIMENTAL DATA ACQUISITION FOR MODEL VALIDATION

To test the predictive capability of the numerical simulation models, experimental machining data was acquired for different combinations of cutting tools and work materials. TABLE 1 summarizes these experimental cutting conditions used in the benchmark study, where the following work materials were considered:

- Plain Carbon Steel, *AISI 1045*;
- Hardened Steel, *AISI 52100*;
- Austenitic Stainless Steel, *AISI 316L*;
- Inconel Alloy, *IN 718*; and
- Titanium Alloy, *Ti-6Al-4V*

For *AISI 316L*, *AISI 1045* and *AISI 52100* work materials, the experimental data obtained from orthogonal cutting tests was used from previously published literature (M'Saoubi et al., 1997; Outeiro et al., 2010; Umbrello et al., 2010), and for *IN718* and *Ti-6Al-4V* work materials, orthogonal cutting experiments using flat-faced uncoated carbide tool inserts were carried out within the framework of the present study. Several parameters were determined, including:

- Cutting (F_c) and Thrust (F_t) Forces;
- Temperature distribution, including cutting temperature (T_c), defined as the maximum temperature at the tool-chip interface;
- Chip Compression Ratio (CCR), defined as the ratio between the uncut chip thickness and the chip thickness;

TABLE 1 Cutting Conditions Used in the Benchmark Study (Jawahir et al., 2011)

Reference	Work material	Tool material	$\gamma(^{\circ})$	$\alpha(^{\circ})$	$r_n(\mu m)$	Rake face Geometry	$v_c(m/min)$	$h_l(mm)$	$a_p(mm)$
316L-1	AISI 316L (170 Hv)	Uncoated WC-Co	0	5	13	—	150	0.1	4
316L-2	AISI 316L (170 Hv)	Uncoated WC-Co	5	5	13	—	150	0.1	4
1045-1	AISI 1045 (200 BHN)	Uncoated WC-Co	-7	7	15	Groove	175	0.05	3
1045-2	AISI 1045 (200 BHN)	Uncoated WC-Co	-7	7	55	Groove	175	0.05	3
52100-1	AISI 52100 (56,5 HRC)	PCBN	-8	8	15	Chamfer (0.10mmx20 $^{\circ}$)	75	0.125	2.5
52100-2	AISI 52100 (56,5 HRC)	PCBN	-8	8	15	Chamfer (0.10mmx20 $^{\circ}$)	75	0.125	2.5
718-1	IN 718 (42 HRC, annealed+Aged)	Uncoated WC-Co	6	7	30	—	55	0.15	4
718-2	IN 718 (42 HRC, annealed+Aged)	Uncoated WC-Co	6	7	30	—	90	0.15	4
Ti-1	Ti-6Al-4V (35 HRC)	Uncoated WC-Co	6	7	30	—	55	0.15	4
Ti-2	Ti-6Al-4V (35 HRC)	Uncoated WC-Co	6	7	30	—	90	0.15	4

TABLE 2 Experimental Techniques and Equipment Used to Measure Forces, Temperature, Chip Geometry and Residual Stresses

Workmaterial	Forces	Temperatures	CCR	Residual Stresses
<i>AISI 1045</i>	Piezoelectric dynamometer (Kistler 9121)	Infrared thermography (ThermaCam PM695)	—	X-ray diffraction (Proto iXRD)
<i>AISI 316L</i>	Piezoelectric dynamometer (Kistler 9255B)	Infrared Thermography (near infrared CCD sensor)	—	
<i>AISI 52100</i>	Piezoelectric dynamometer (Kistler 9121)	—	By observation of the longitudinal section of the chips at optical microscope	
<i>IN 718</i>	Piezoelectric dynamometer (Kistler 9121)	Infrared thermography (NIST Infrared microscope)		
<i>Ti6Al4V</i>	Piezoelectric dynamometer (Kistler 9121)	Infrared thermography (NIST Infrared microscope)		X-ray diffraction (Seifert XRD 3000 PTS)

- Chip Geometry (peak, valley and pitch); and
- Residual Stresses in machined surface and subsurface.

Table 2 shows, for each work material, the experimental techniques and equipment used to measure the forces, temperature, chip geometry and residual stresses.

In particular, the residual stress state in the machined layers of the workpiece has been analyzed by an X-ray diffraction technique using the $\sin^2\psi$ method. According to this method, the residual stresses are calculated from strain distribution $\varepsilon_{\varphi\psi\{hkl\}}$ derived from the “measured” interreticular plane spacing and from knowledge of the elastic radiocrystallographic constants $S_1\{hkl\}$ and $^{1/2} S_2\{hkl\}$. The parameters used for the X-ray analysis are given in table 3.

Residual stresses were determined in the machined surfaces and subsurface, in the circumferential (direction of the primary motion) and axial (direction of the disk axis) directions. To determine the in-depth residual stress profiles, successive layers of materials were removed by electropolishing, thus avoiding the reintroduction of additional residual stresses. Further corrections to the residual stress data were made due to the volume of materials removed. Due to circularity of the workpiece, an appropriate mask was applied to limit the region of analysis.

TABLE 3 X-ray Parameters Used in Residual Stresses Analysis

Work material	Equipment	Bragg angle (°)	Radiation	Diffraction planes	$-S_1$ [$\times 10^{-6}$ MPa $^{-1}$]	$1/2 S_2$ [$\times 10^{-6}$ MPa $^{-1}$]	Average X-ray penetration depth (μm)
AISI 1045	Proto iXRD	156.33	Cu-K α	-211	$1,277 \times 10^{-6}$	$5,832 \times 10^{-6}$	5
AISI 52100	Proto iXRD	156.33	Cu-K α	-211	$1,277 \times 10^{-6}$	$5,832 \times 10^{-6}$	5
AISI 316L	Proto iXRD	152.26	Mn-K α	-311	$1,429 \times 10^{-6}$	$6,531 \times 10^{-6}$	5
IN 718	Proto iXRD	152.26	Mn-K α	-311	$1,598 \times 10^{-6}$	$6,753 \times 10^{-6}$	3-4
Ti6Al4V	Seifert XRD 3000 PTS	141.7	Cu-K α	-213	$2,830 \times 10^{-6}$	$11,680 \times 10^{-6}$	5

MODEL DEVELOPMENT AND SIMULATION OF PREDICTIVE PERFORMANCE OF MOST SIGNIFICANT OUTPUT PARAMETERS

General Information About the Metal Cutting Models and Software

The simulations were performed using commercial and non-commercial software programs/packages, with the following utilization percentage: Deform (47%), Abaqus (30%), Advantedge (10%), LS-Dyna (10%), and a non-commercial software package developed at Yokohama National University (10%). The main features of these software packages to simulate the metal cutting process will be explained later, and this includes: (i) Finite Element (FE) and Meshless methods; (ii) Lagrangian and Arbitrary Lagrangian-Eulerian (ALE) formulations; (iii) implicit and explicit time integration algorithms; (iv) constitutive and damage models; and, (v) friction models. A general description about these features can be found in a previous work (Astakhov and Outeiro, 2008).

Deform uses a Lagrangian formulation together with implicit time integration to simulate both two- and three-dimensional metal cutting processes, including turning, milling and drilling. This software has a relatively better user-friendly interface to set-up the model (Pre-Processor) and to analyze the results of the simulations (Post-Processor). Several constitutive models are embedded in the graphical interface, including the well-known Johnson–Cook constitutive model. Other models can be implemented in the software by developing simple subroutines. The chip formation process is modeled using a remeshing procedure, where the chip is formed due to continuous indentation of the tool in the workpiece, and by applying a frequent remeshing procedure to minimize the penetration of the tool in the workpiece's mesh. The frequency of the remeshing procedure must be as low as possible, in order to: (i) avoid rapid mesh distortion problems; and (ii) minimize the interpolation errors when transferring the state variables (stress, strain, strain-rate, temperature, etc.) from the previous (distorted) mesh to the current mesh (remapping).

The frequency of the remeshing procedure can be adjusted in a function of the maximum allowable penetration depth of the tool in the workpiece's mesh. Several damage models are embedded in the graphical interface (Cockroft and Latham, 1968; Rice and Tracey, 1969), which can be used to model chip segmentation in plastic regime only. As for the constitutive models, other damage models can be implemented in the software by developing simple relevant subroutines. Unfortunately, due to the remeshing procedure, these damage models cannot be used to model chip formation as a fracture process. Deform has two friction models embedded in the graphical interface, the Coulomb and shear friction models, while other models can be implemented in the software by developing simple subroutines.

Abaqus uses both Lagrangian, and Arbitrary Lagrangian-Eulerian (ALE) formulations together with implicit and explicit time integrations to simulate both two- and three-dimensional metal cutting processes, including turning, milling and drilling. The *Abaqus* CAE user interface is accessible, although more difficult to use than the *Deform*'s user interface. Similar to *Deform*, *Abaqus* comes with several constitutive and damage models embedded in its graphical interface, including the Johnson–Cook models. Also, both Coulomb and shear friction models are embedded in the graphical interface. Similar to *Deform*, other constitutive/damage/friction models can be implemented in *Abaqus* by developing a subroutine. The main advantage of *Abaqus* when compared to *Deform* is that several numerical procedures can be used to model the chip formation process.

Associated with the Lagrangian formulation, the material separation from the workpiece to generate the chip formation process can be accurately described by a fracture process, which can be modeled numerically using element deletion or node splitting techniques. The separation occurs along a pre-defined path, when a given geometric or physic criterion is satisfied, such as shown in (Huang and Black, 1996): (i) the distance between the tool tip and the workpiece's node immediately ahead is equal to or less than a critical distance; (ii) the stress/strain/energy in the workpiece's node/element immediately ahead of the tool is equal to or greater than a critical value. Rather than the criterion used to produce chip formation, the proper determination of the critical value is the key issue (Huang and Black, 1996).

In the case of a physics-based criterion such as the critical strain, several mechanical tests are required to determine the fracture strain under different stress triaxiality, strain-rates and temperatures (Abushawashi et al., 2011; Mabrouki et al., 2008). Although the drawbacks associated with the Lagrangian formulation involves the elements distortion and due to the fact that the separation path is not known a priori, particularly when chamfered and/or negative rake or heavy-radii cutting edge tools are involved in the simulation (Movahhedy et al., 2000), this approach can model with good accuracy the chip formation process for high ratios of uncut chip thickness to cutting edge radius (high tool sharpness).

Associated with the ALE formulation, the chip formation is usually modeled to simulate the material flow around the cutting edge. The ALE formulation has two major drawbacks. ALE formulation cannot prevent the need for a re-meshing procedure and consequently remapping of state variables. Moreover, no physical separation occurs in generating the chip, which is inadequate to simulate the chip formation process, in particular in brittle materials where this separation is caused by a fracture process.

AdvantEdge uses a Lagrangian formulation together with explicit time integration to simulate both two- and three-dimensional metal cutting processes, including turning, milling and drilling. This software has a very

user-friendly interface to set-up the model (Pre-Processor) and to analyze the results of the simulations (Post-Processor). Several constitutive and friction models are embedded in the graphical interface. Unfortunately, the implementation of other constitutive and friction models is not accessible to the users. Moreover, the chip formation is modeled in the same way as Deform, thus suffering from the same drawbacks.

LS-Dyna uses both Lagrangian and Arbitrary Lagrangian-Eulerian (ALE) formulations together with implicit and explicit time integrations to simulate both two- and three-dimensional metal cutting processes. In this study, this software was exclusively used to perform the orthogonal cutting simulations by applying the meshless method, namely the Smooth Particle Hydrodynamics (SPH) Lagrangian method. This method is also available in the most recent versions of Abaqus. The main advantage of the meshless methods when compared with the FE-based methods is the inexistence of elements distortion, since the model is defined by a number of mesh-points (particles) instead of a mesh.

Therefore, large strains are easily handled, as it is the case of the metal cutting process. *LS-Dyna* has also an accessible interface, although more difficult to use than the other software interfaces. It comes also with several constitutive, damage and friction models embedded in its graphical interface, including the Johnson-Cook constitutive/damage models. Also, other constitutive/damage/friction models can be implemented in *LS-Dyna* by developing special subroutines. As well as in Abaqus, several numerical procedures can also be used to model the chip formation process.

Table 4 summarizes the major features of these three commercial software packages. In the present benchmark study, the different participants and collaborators used all of the above-mentioned features. Moreover, all simulations were performed under plane-strain conditions and by applying coupled thermo-mechanical analysis.

FE Modeling of Metal Cutting Using Lagrangian, Eulerian and ALE Formulations

Typical approaches for numerical modelling of metal cutting process are Lagrangian and Eulerian techniques, as well as a combination of both, so called the Arbitrary Lagrangian-Eulerian formulation (denoted in the literature by the acronym ALE) (Athavale and Strenkowski, 1998; Movahledy et al., 2000).

In the Lagrangian approach, the mesh follows the material, and it is “attached to the workpiece.” In the updated Lagrangian formulation, because the elements move with the workpiece, they experience both large plastic deformation and rigid body motion.

Under such circumstances, the larger deformation, and the changing material properties due to stress and strain in the material, need to be

TABLE 4 Major Features of the Commercial FEM Software Packages Used for Metal Cutting Simulation

	Deform	Abaqus	Is-Dyna	Advantedge
Formulation	Lagrangian; ALE	Lagrangian; Eulerian; ALE	Lagrangian; Eulerian; ALE	Lagrangian
Algorithm of time integration	Implicit	Implicit; Explicit	Implicit; Explicit	Explicit
Constitutive models	Elasto-visco-plastic: • Johnson-Cook • Other models • User routine	Elasto-visco-plastic: • Johnson-Cook • Other models • User routine	Elasto-visco-plastic: • Johnson-Cook • Other models • User routine	Elasto-visco-plastic: • Johnson-Cook • Other models
Damage models	• Cockcroft-Latham • Brozzo • Other models • User routine	• Johnson-Cook • Other models • User routine	• Johnson-Cook • Other models • User routine	
Chip formation techniques	• Continuous tool indentation and remeshing	• Node-splitting • Element deletion • No separation	• Node-splitting • Element deletion • No separation	Continuous tool indentation and remeshing
Chip segmentation?		Yes, but only with Lagrangian formulation		
Friction	• Coulomb friction • Shear friction • User routine	• Coulomb friction • Shear friction • User routine	• Coulomb friction • Shear friction • User routine	Coulomb
Analysis		Coupled thermo-mechanical		

considered. The advantage of the updated Lagrangian formulation is that the tool can be simulated from the start of the cutting to a steady state (Trent and Wright, 2000). However, in order to extend the cutting time until steady state, the model requires large computational times. In addition, in order to perform chip separation, material failure and separation criteria are necessary. Another problem in the Lagrangian formulation is the computational instability due to the large distortion of some elements. This problem may eventually cause unrealistic results or premature termination of the analysis. Severe element distortion also results in a degradation of the accuracy. To address this issue, it is useful to redefine the mesh system periodically, and thus algorithms of remeshing and rezoning have to be included in the FE codes.

The first model for orthogonal cutting utilizing simulated chip formation from the incipient stage to the steady state was due to Strenkowski and Carroll (1985). In their study, no heat conduction was assumed between chip and workpiece. The values of the chip separation criterion based on effective plastic strain were used to simulate the cutting process, with no comparison

with the experiments. When it exceeded a specified value at the nodes closest to the tool tip, the nodes would be separated to form the machined surface and the chip is formed. They found that different values selected for chip separation criterion do not affect the magnitude of the forces and chip geometry, but they affect the residual stresses in the machined surface of the workpiece.

In the Eulerian approach, the mesh is fixed spatially, and the material flows through the mesh. Eulerian approach is suitable to analyze the steady state cutting process, not including the transition from initial to steady state cutting process. Cutting process analysis with Eulerian approach requires less calculation time because the workpiece model consists of fewer elements. That is the primary reason why prior to 1995 the applications of Eulerian approach in chip formation analysis significantly exceeded the Lagrangian approach. But, experimental work is often necessary in order to determine the chip geometry and shear angle, which is an unavoidable part of geometry modeling.

The first application of the Eulerian approach to metal cutting using a viscoplastic material model was reported by Strenkowski and Carroll (1985). This model is the so-called Eulerian cutting model. As this approach considers each element to be a fixed control volume, such that the mesh does not move with the flowing material, the boundaries of the chip must be known in advance. These researchers later investigated the correlation between the Lagrangian and Eulerian approaches. Both approaches showed reasonably good correlation with experimental results for cutting forces, residual stresses and strains, and chip geometry. With the Eulerian approach, Strenkowski and Moon (1990) simulated steady-state orthogonal cutting, which was shown to predict chip thickness and tool-chip contact length for purely viscoplastic materials.

The Arbitrary Lagrangian–Eulerian (ALE) approach is a general formulation that combines the features of pure Lagrangian and Eulerian approaches. The nodal points of the FE mesh are neither attached to the material points nor fixed in space. The mesh is allowed to move independently of the material. The flexibility of ALE description in adaptation of the FE mesh provides a powerful tool for performing many difficult analyses involving large deformation problems. Several ALE models of the orthogonal cutting process can be found in the literature. For example, Arrazola and Özel (2010) developed an ALE model to study the influence of different ALE techniques on the tool-chip and tool-workpiece contact stresses.

Boundary Conditions

The success and reliability of modeling also depends upon the accuracy of boundary conditions. Figure 1 shows the generic boundary conditions in FE modeling of orthogonal cutting.

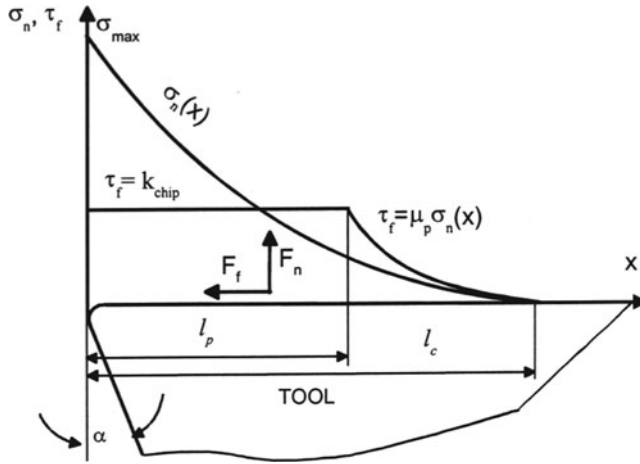


FIGURE 2 Stresses distribution on the tool rake face.

h_{int} in dry milling of steel. Pabst et al. (2010) determined h_{int} in the work-piece in dry milling. Attanasio et al. (2008) proposed a model for the h_{int} depending on contact pressure.

Friction Modeling

Frictional conditions at the tool-chip interface are very important inputs for modeling and simulation of the machining process (Filice et al., 2008). In machining, the materials being removed, i.e., the chip, slides over the tool rake face. The contact region between the chip and the tool is referred to as the tool-chip interface. In this context, a relevant role is played by friction modeling which influences not only the value of the forces, but also the amount and the distribution of generated heat on the rake face.

In early analyses of machining, Coulomb-type models were used, where the frictional stresses (τ_f) on the tool rake face were assumed to be proportional to the normal stresses (σ_n) with a coefficient of friction (μ). In conventional machining at low cutting speeds, the Coulomb model is found to be effective for describing the frictional conditions at the tool flank face, but not at the rake face.

To improve the modeling of the contact stress at the tool-chip interface, several models were proposed. Zorev (1963) proposed a more realistic representation in a stick-slip friction law based on normal and shear stress distributions (Figure 2).

This is represented mathematically as a sticking region, where the normal stress is very large and the frictional stress is assumed to be equal to the shear flow stress of the materials being machined, and a sliding region, where the normal stress is small and Coulomb's theory can provide a suitable model of

the phenomenon [Equation (1)].

$$\tau_f(x) = \begin{cases} \tau_p & 0 < x \leq l_p \\ \mu\sigma_n & l_p < x \leq l_c \end{cases} \quad (1)$$

Usui and Shirakashi (1982) derived an empirical equation to describe the stress characteristics in a friction model at the tool-chip interface [Equation (2)].

$$\tau_f = k [1 - e^{-(\mu\sigma_n/k)}] \quad (2)$$

where k is the shear flow stress and μ is a friction coefficient obtained from experiments.

Childs et al. (2000) modified this model by multiplying k with a friction factor m , where $0 < m < 1$. Dirikolu et al. (2001) made a further modification to this model by introducing an exponent n [Equation (3)].

$$\tau_f = mk [1 - e^{-(\mu\sigma_n/mk)^n}]^{1/n} \quad (3)$$

Zemzemi et al. (2009) have proposed the use of friction models depending on sliding velocity [see Equation (4)].

$$\mu = A (V_s)^{-B} \quad (4)$$

Astakhov and Outeiro (2005) proposed a new analytical model to predict the contact stress at the tool-chip interface based on the properties of the work material, tool geometry, cutting conditions and the results obtained from the orthogonal cutting tests (the cutting force, the thrust force, the chip compression ratio, the chip width and the tool-chip contact length). This model shows that the distribution of the normal stress is similar to that obtained by Zorev, while the shear stress distribution is slightly different.

Identification of the Work Material and Cutting Tool Properties

To reproduce a common practice used in most scientific publications dealing with modeling of metal cutting operations, the work material properties used in this benchmark study were picked up from several bibliographical references. Table 5–Table 8 summarize the thermo-physical (Table 5) and mechanical properties of the different work materials and cutting tools used in this study. Poisson's ratio (ν) and Young's modulus were used to model the elastic behavior of the selected five work materials (Table 6). To model the thermo-viscoplastic behavior of *AISI 1045*, *AISI 316L*, *IN718* and *Ti6Al4V* work materials, a Johnson–Cook constitutive equation (Johnson

TABLE 6 Elastic Properties of the Work Materials

Work material	ρ (kg/m ³)		E (GPa)		ν		α (m/m °C)	
	T (°C)	ρ	T (°C)	E	T (°C)	ν	T (°C)	$\alpha \times 10^{-5}$
AISI 316L	0	7900	20	196	20	0,26	200	1,65
	100	7900	100	192	100	0,26	400	1,75
	200	7800	200	185	200	0,27	600	1,85
	400	7700	400	169	400	0,3	800	1,9
	600	7600	600	151	600	0,28	1000	1,95
	800	7600	800	132	800	0,27		
AISI 1045	20	7930	0	213	0.29		0	1,17
	200	7880	20	212		20	1,19	
	400	7820	100	207			100	1,25
	600	7750	200	199			200	1,3
	800	7720	300	192			300	1,36
			400	184			400	1,41
500			175			500	1,45	
IN718	8190	600	164			600	1,49	
		20	217	0.3		20	1,22	
		871	156			250	1,38	
						500	1,44	
Ti6Al4V			See bibliographic references					
AISI 52100	7810	20	201	20	0.28	20	1.19	
		200	179	200	0.27	100	1.25	
		400	163	400	0.26	200	1.30	
		600	103	600	0.34	300	1.36	
		800	87	800	0.39	400	1.41	
		1000	67	1000	0.49	500	1.45	
						600	1.49	

and Cook, 1983) was employed, as follows:

$$\sigma = (A + B\varepsilon^n) \left[1 + C \ln \left(\frac{\dot{\varepsilon}}{\dot{\varepsilon}_0} \right) \right] \left[1 - \left(\frac{T - T_{room}}{T_m - T_{room}} \right)^m \right] \quad (5)$$

where ε is the plastic strain, $\dot{\varepsilon}$ is the strain-rate (s^{-1}), $\dot{\varepsilon}_0$ is the reference plastic strain-rate, T (°C) is the workpiece temperature, T_m is the melting temperature of the work material and T_{room} (20°C) is the room temperature.

TABLE 7 Coefficients of the Constitutive Models of the Work Materials

Work material	A (MPa)	B (MPa)	C	n	m	$\varepsilon_{0(s-1)}$	T_m (°C)
<i>AISI 316L</i>	305	1161	0.01	0.61	0.517	1	1400
<i>AISI 1045</i>	553	601	0.0134	0.234	1	1	1460
<i>IN718</i>	980	1370	0.02	0.164	1.03	1	1300
<i>Ti6Al4V</i>	1098	1092	0.014	0.93	1.1	1	1660
<i>AISI 52100</i>			See bibliographic references				

TABLE 8 Elastic Properties of the Tool Materials

Work material	Tool material	ρ (kg/m ³)	E (GPa)	ν
<i>AISI 316L</i>	WC-Co	14950	613	0.22
<i>AISI 1045</i>	WC-Co	13000	650	0.15
<i>AISI 52100</i>	PCBN	4084	800	0.15
<i>IN 718</i>	WC-Co	14933	660	0.22
<i>Ti6Al4V</i>	WC-Co	14933	660	0.22

Coefficient A (MPa) is the yield strength, B (MPa) is the hardening modulus, C is the strain-rate sensitivity coefficient, n is the hardening coefficient and m the thermal softening coefficient. The values of these coefficients were obtained from the literature and are shown in Table 7.

Regarding the *AISI 52100* work material, a hardness-based flow stress model was developed by Umbrello et al. (2010). Similar to the Johnson–Cook constitutive model (1983), this new model also takes into account the effects of the strain, effective strain-rate, temperature, and in addition, the hardness on the flow stress. This model is formulated as follows:

$$\sigma(\varepsilon, \dot{\varepsilon}, T, HRC) = B(T) (C\varepsilon^n + J + K\varepsilon) [1 + (\ln(\dot{\varepsilon}))^m - A] \quad (6)$$

where B is a temperature-dependent coefficient, C represents the work hardening coefficient, J and K are two linear functions of hardness, A is a constant. The detailed explanation of the terms in the preceding equation can be found in (Johnson and Cook, 1983).

Elastic properties of the tool materials are shown in Table 8.

Models' Calibration

To improve the metal cutting models, a calibration procedure is frequently used. This poses difficulty for the predictability of the model, since to develop a model of a given phenomenon, some experimental data related to this phenomenon is required.

The common calibration process of the numerical model for machining operation is shown in Figure 3. In particular, for this benchmark study, the models and the relative values of the friction coefficients were determined through an iterative calibration process using the experimental data of cutting forces. Different damage and failure models or numerical approaches related to simulation of serrated chips, using a modified material flow stress model incorporating “flow softening” effects, were chosen, and calibrated by comparing the chip morphology. Finally, the heat transfer coefficient h_{int} at the tool-chip-work interfaces was found through an iterative calibration process using the experimental data from the temperature fields of tool-workpiece contact interfaces.

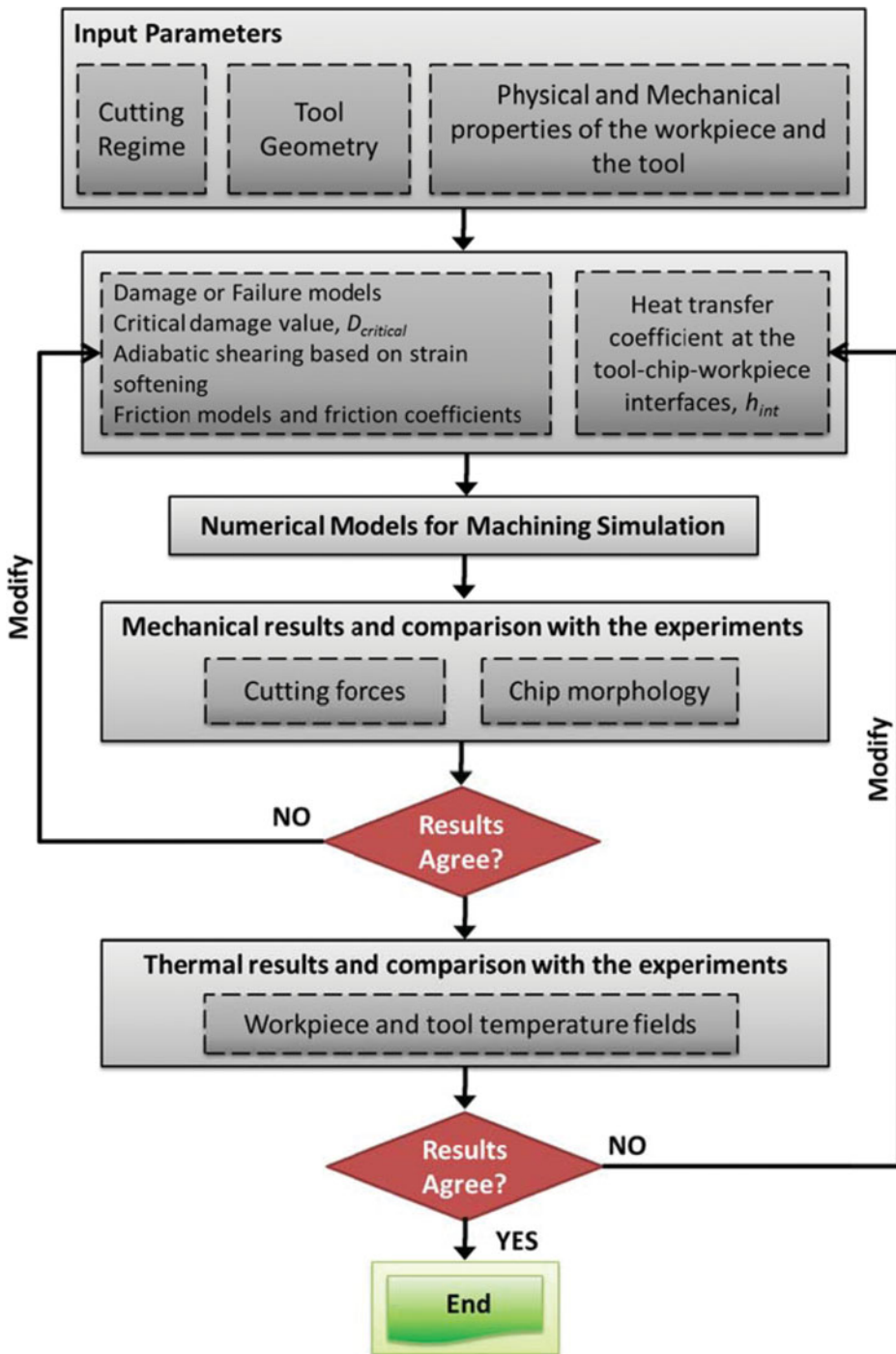


FIGURE 3 Flow chart for the calibration of the numerical models for machining simulation.

Methodology for Calculating the Residual Stresses and for Extracting them From the FE Model

The calculation of the predicted residual stresses and their comparison with those obtained experimentally has any meaning only when the predicted residual stresses are simulated and extracted from the FE model under the same conditions as those applied experimentally. These conditions will not only depend on the cutting procedure, but also on the experimental technique, and the procedure used to measure the residual stresses (Outeiro et al., 2006a).

The following methodology was adopted for calculating the residual stresses and for extracting them from the FE model:

1. Perform metal cutting simulation with at least two cutting passes using a tool geometry with measured flank wear;
2. Calculate the residual stresses;
3. Extract the residual stress components from the FE model.

Perform Metal Cutting Simulation with at Least Two Cutting Passes Using a Tool Geometry with Measured Flank Wear.

Only a few studies have reported on the variations of in-depth residual stress profiles from one cut to another (Guo and Liu, 2002; Outeiro et al., 2013). Furthermore, the residual stress distributions developed in the previous pass must be considered when simulating the next pass, because experimentally the residual stresses are normally measured after performing several passes.

As far as tool-wear is concerned, it should be monitored during the machining tests and it must be taken into account when modelling the residual stresses. Because, the tool-wear influences the cutting process, and the residual stresses will be affected (Liu et al., 2004; Marques et al., 2006; Outeiro et al., 2013). Therefore, the residual stresses must be simulated by considering the measured tool-wear level.

Calculate the Residual Stresses.

The procedure for calculating the residual stresses may depend on the level of knowledge of the researcher about the residual stresses and of the particular software package, but in any case should include the workpiece's mechanical unloading and cooling down to room temperature. This procedure can be automatic as in the case of AdvantEdge, or requires the development of an additional model for unloading and cooling down the workpiece. This is the case of the other software packages (Abaqus, Deform and LS-Dyna).

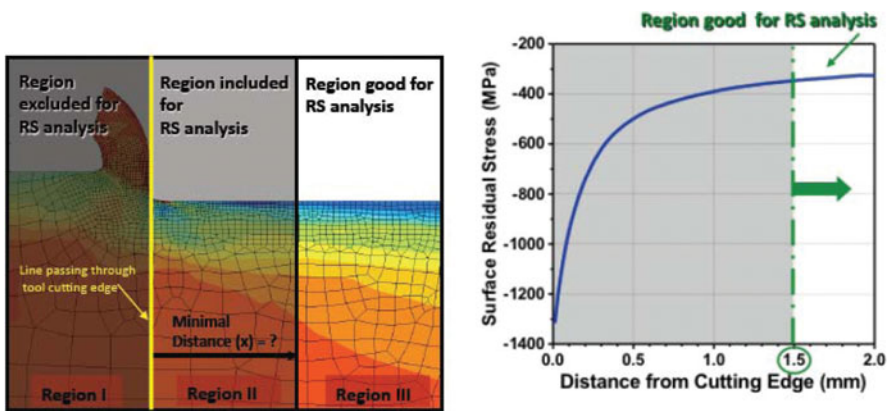


FIGURE 4 Procedure to extract the residual stress components from the model (Outeiro et al., 2006a).

Extracting the Predicted Residual Stress from the FE Model.

As explained by Outeiro et al. (2006a), if at the end of the simulation the chip is attached to the workpiece, the predicted residual stress components should be extracted from the model sufficiently far away from the chip formation zone. As shown in Figure 4, a strong residual stress variation is observed near the chip formation zone, which is not representative of the real residual stresses left in the workpiece. To avoid this zone, Region III should be selected to evaluate the residual stress components, being its distance from the chip root a function of the cutting conditions (cutting speed, feed, depth of cut, tool geometry/material, workpiece material, etc.).

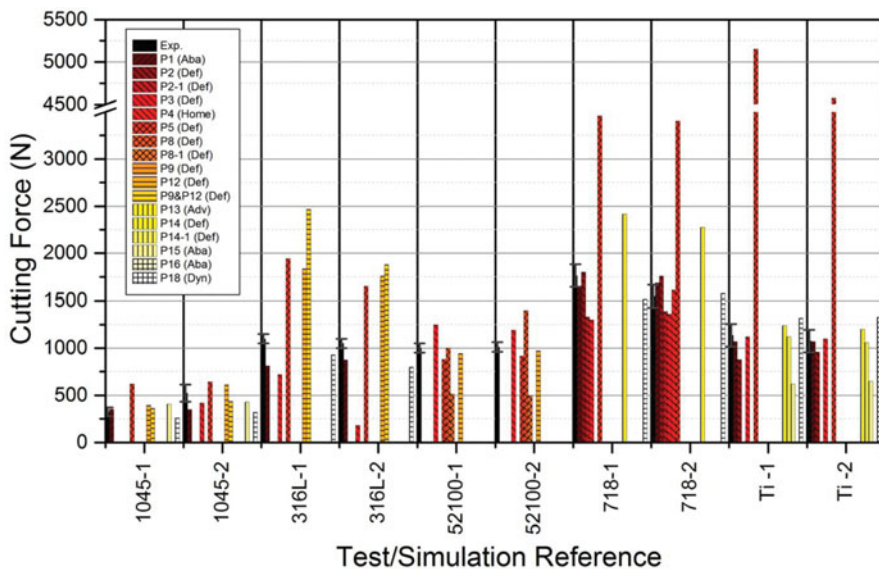


FIGURE 5 Simulated (color bars) and measured (black bar) cutting force values for different work materials and cutting conditions.

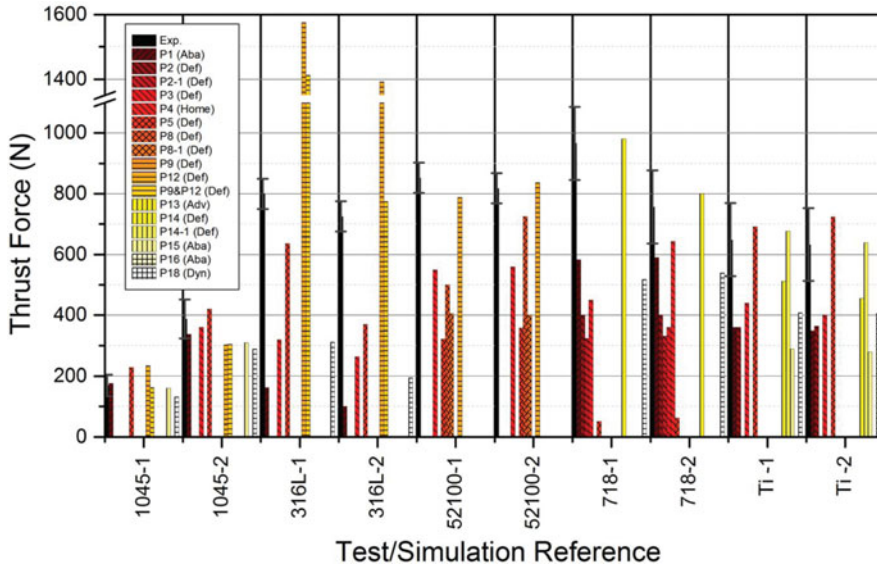


FIGURE 6 Simulated (color bars) and measured (black bar) thrust force values for different work materials and cutting conditions.

To compare both predicted and XDR-measured in-depth residual stress profiles, the predicted values need to be weighted using a function that takes into account the absorption of the X-ray in the materials under analysis, which can be calculated by the following equation:

$$\langle \sigma_R \rangle = \frac{\int_0^\infty \sigma(z) \cdot e^{-z/\tau} dz}{\int_0^\infty e^{-z/\tau} dz} \quad (7)$$

where τ is the mean penetration depth of the X-ray beam in the material (Outeiro et al., 2013). Also, several residual stress profiles should be extracted from the workpiece, covering a length equal to the length/diameter of the irradiated area in the machined surface. Then, an average residual stress value can be calculated for each depth.

COMPARISON OF THE RESULTS OBTAINED FROM DIFFERENT MODELS WITH EXPERIMENTAL DATA

Without the Calibration Procedure

The results are presented in Figures 5–9, where the measured and simulated are represented by black and color bars, respectively. Figures 5 and 6 show the forces, Figure 7 shows the cutting temperatures (by definition is the maximum temperature at the tool-chip interface), Figure 8 shows the chip compression ratio, and Figure 9 shows the surface residual stress, $\sigma//$.

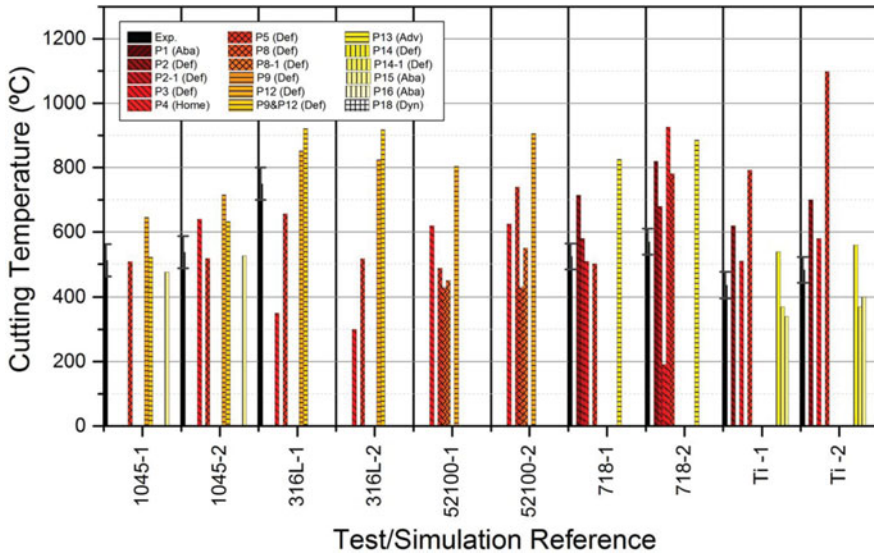


FIGURE 7 Simulated (color bars) and measured (black bars) cutting temperatures (the maximum temperature at the tool-chip interface) for different work materials and cutting conditions.

Figure 5 shows the predicted and measured cutting force for different work materials and simulation/test conditions. This figure also shows a higher dispersion of simulated cutting force for *AISI 316L*, *IN 718* and

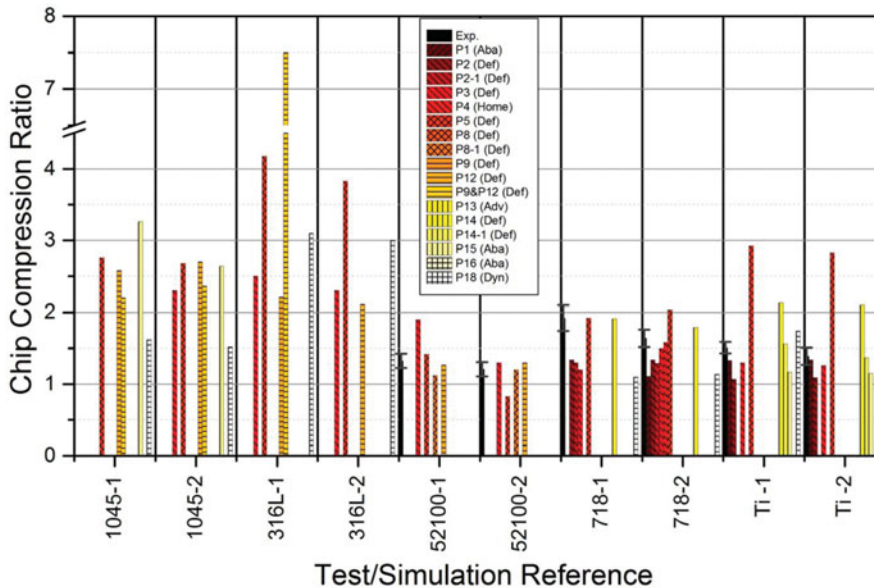


FIGURE 8 Simulated (color bars) and measured (black bar) Chip Compression Ratio (CCR), for different work materials and cutting conditions.

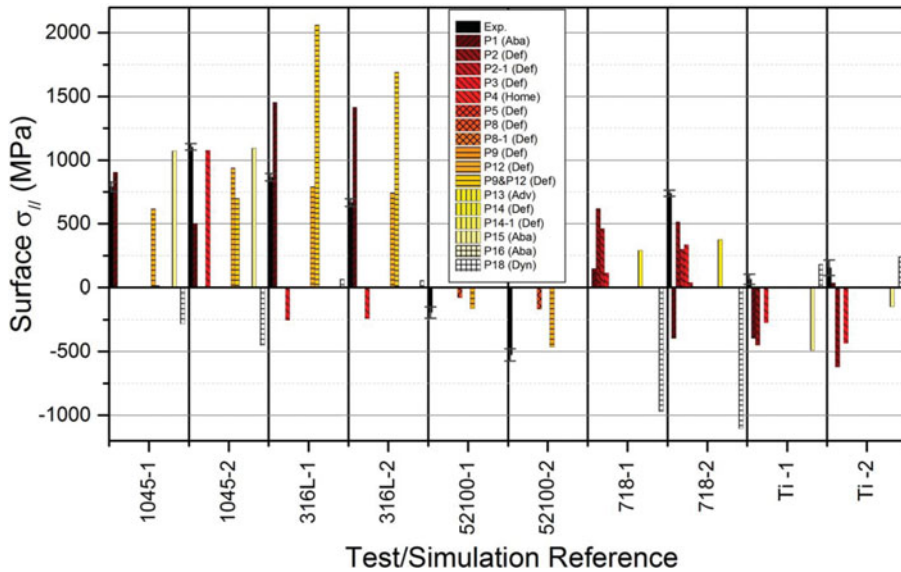


FIGURE 9 Simulated (color bars) and measured (black bar) surface residual stress $\sigma_{//}$, for different work materials and cutting conditions.

Ti6AL4V work materials, and lower for *AISI 1045* and *AISI 52100* work materials. As for the thrust force (Figure 6), except for the *AISI 1045 steel*, all the other work materials exhibit high dispersion. The differences in the cutting and thrust forces are greater than 90% for *AISI 316L*, *AISI 52100*, *IN 718* and *Ti6AL4V* and for *AISI 1045 steel*, it is almost about 50%. As for the comparison between measured and simulated cutting and thrust forces, the best predictions were obtained for the *AISI 1045*.

Figure 7 shows the predicted and measured cutting temperatures for different work materials and simulation/test conditions. This figure shows a high dispersion between predicted cutting temperatures, and this dispersion is higher for *AISI 316L*, *IN 718* and *Ti6AL4V*, and lower for *AISI 1045*. These results confirm what was previously observed for the cutting and thrust forces. Although the differences between the predicted cutting temperatures are lower when compared to the forces, these differences can reach 70-80% for *AISI 316L*, *IN 718* and *Ti6AL4V* work materials, and a maximum of 30% for the *AISI 1045*. As for the comparison between measured and simulated cutting temperatures, the best predictions were obtained again for *AISI 1045*.

It should be pointed out that since no experimental data on cutting temperatures was available for the *AISI 52100* work material. Figure 8 shows the predicted and measured chip compression ratio (CCR) for different work materials and simulation/test conditions. This figure also shows a high dispersion among work materials. The differences in the CCR can reach 70% for *AISI 316L* and *Ti6AL4V*, and are slightly lower (about 50%) for the other work materials. As for the comparison between measured and

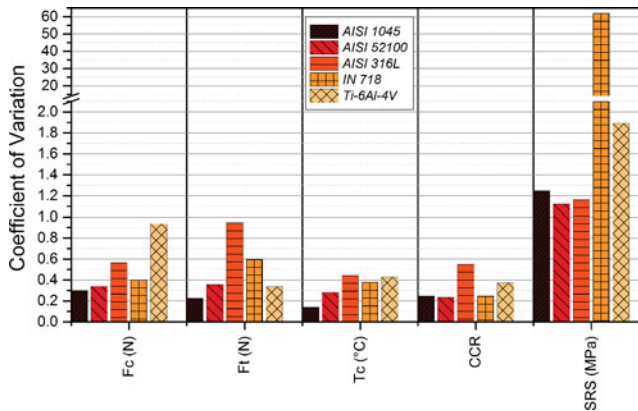


FIGURE 10 Dispersion of the simulated results of cutting force, cutting temperature, CCR and surface residual stress (SRS) acting in the circumferential direction ($\sigma_{//}$).

simulated CCR, the best predictions were obtained for *AISI 52100*, and no experimental data was available for the *AISI 1045* and *AISI 316L* work materials.

Residual stresses were measured in both cutting direction ($\sigma_{//}$) and normal to this direction (σ_{\perp}), but only the first stress component is presented in this manuscript, because it is the most critical one for the components' functional performance and life in service. Figure 9 shows the predicted and measured surface residual stress, $\sigma_{//}$, for different work materials and simulation/test conditions. This figure shows a higher dispersion of simulated surface residual stress, $\sigma_{//}$, for all work materials, and lower for *AISI 52100*, *AISI 1045* and *AISI 316L* work materials, and higher for the other two work materials.

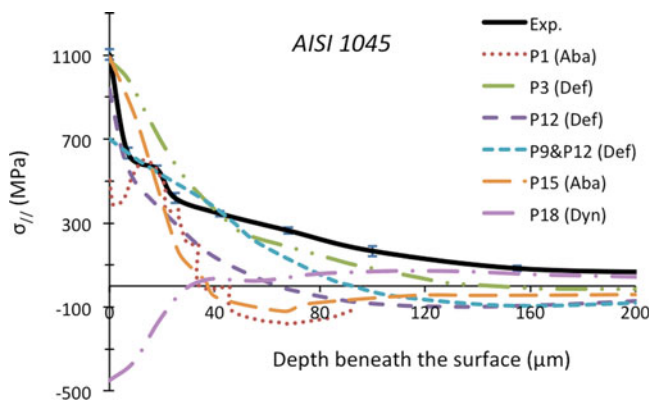


FIGURE 11 Simulated (color lines) and measured (black line) in-depth residual stress $\sigma_{//}$ profiles for the *AISI 1045* steel.

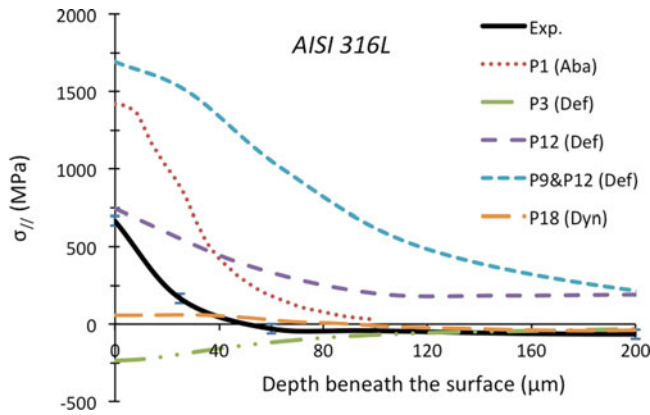


FIGURE 12 Simulated (color lines) and measured (black line) in-depth residual stress $\sigma_{//}$ profiles for the *AISI 316L* stainless steel.

In all cases, the differences between the predicted results are greater than 100%, being about 100%–140% for the *AISI 52100*, *AISI 1045* and *AISI 316L* work materials, and more than 250% for the other two work materials. A significant difference between measured and simulated surface residual stress, $\sigma_{//}$, is also observed, being the best predictions obtained for the *AISI 1045* and *AISI 52100* steels. Figure 10 shows the dispersion of the simulated results of cutting force, cutting temperature, CCR and surface residual stress (SRS) acting in the circumferential direction ($\sigma_{//}$).

Looking into more details, the in-depth residual stress profiles (Figures 11–15), it appears that this dispersion between simulated residual stresses is once again lower for the *AISI 1045* steel and higher for *AISI*

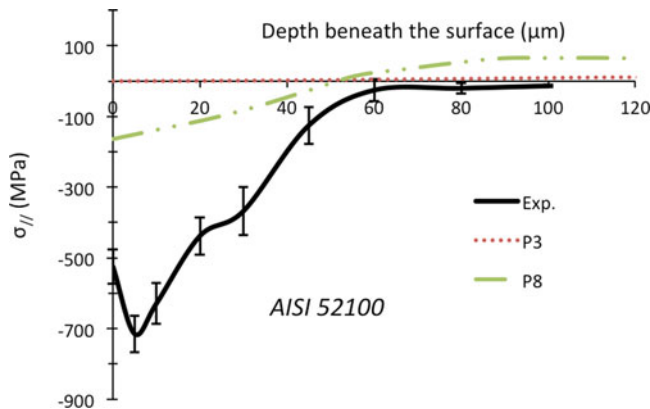


FIGURE 13 Simulated (color lines) and measured (black line) in-depth residual stress $\sigma_{//}$ profiles for the *AISI 52100* hardened steel (Jawahir et al., 2011).

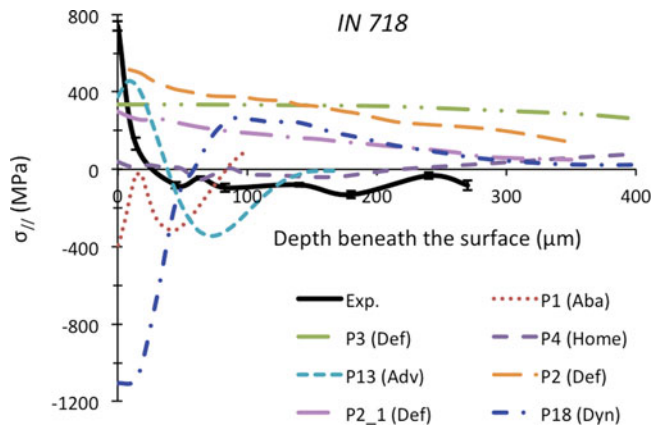


FIGURE 14 Simulated (color lines) and measured (black line) in-depth residual stress $\sigma_{//}$ profiles for the *Inconel 718* superalloy.

316L and *IN 718* work materials. The best fits between measured and simulated residual stresses were obtained for *AISI 1045* steel, and partially for *AISI 52100* and *Ti-6Al-4V* work materials.

In summary, in general, the results show a significant dispersion between simulated results. As shown in Figure 10, the smallest coefficient of variation, which is a normalized measure of dispersion of a distribution, is observed for the chip compression ratio, while the largest for surface residual stress, $\sigma_{//}$. Moreover, the smallest dispersion was obtained for *AISI 1045* and *AISI 52100* steels, while the largest for *AISI 316L*, *IN 718* and *Ti6Al4V* alloys. As far as the differences between measured and simulated results are concerned, they are also significant, being lower for *AISI 1045*, and in some cases for *AISI 52100* and *Ti-6Al-4V* work materials. The worst predictions were obtained for *AISI*

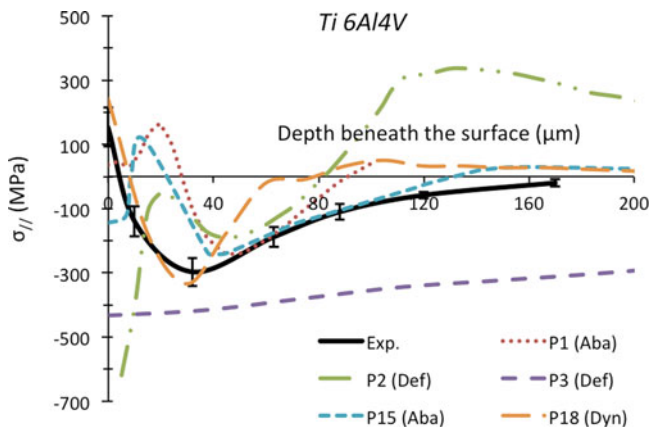


FIGURE 15 Simulated (color lines) and measured (black line) in-depth residual stress $\sigma_{//}$ profiles for the titanium *Ti6Al4V* superalloy.

316L and IN 718 work materials. The high dispersion between simulated results and their deviation in relation to the experimental results can be mainly attributed to different factors that the participants were free to setup on their own in their simulations. These are:

- i) *Numerical methods (FEM, Meshless), formulations (Lagrangian, ALE) and corresponding parameters (element size, time step, etc.) and assumptions:* A numerical model incorporates many numerical issues that are not easy to setup by someone who does not have sufficient knowledge about numerical methods. This is particularly evident for the software packages Abaqus, LS-Dyna, and to some extent, even Deform. For example, a simple selection of the element size can strongly influence the model's predictions. Moreover, any software package incorporates many assumptions that are not accessible by the users, but strongly affects the model's predictions.
- ii) *Boundary conditions (thermal and mechanical):* The size of the geometrical model of the workpiece and tool, and how the thermal and mechanical boundary conditions are applied to this model can influence the temperature, stress and strains distributions, thus affecting the model's predictive capability.
- iii) *Procedures for calculating the residual stresses and for extracting them from the model:* There is no standard procedure to calculate and extract the residual stresses from the model, and unfortunately, most of the scientific publications do not describe how they have done such calculations and extractions.
- iv) *Tool-chip and tool-work contact models and parameters (friction coefficient, friction factor, heat transfer coefficient, etc.):* The participants were free to select the contact models and the corresponding values of the model's coefficients. The friction coefficient is the most used parameter to describe the relationship between normal and contact stresses. As shown by in previous publications (Astakhov, 2006; Özel and Ulutan, 2012; Rech et al., 2013), this coefficient is not constant along the tool-chip contact, and it depends on the contact conditions (contact pressure, sliding velocity and temperature). An incorrect determination/selection of the friction coefficient can strongly influence/affect the model's predictions.
- v) *Incorrect description of the mechanical behavior of the work material in machining:* In particular, the incorrect description of the work material flow stress and fracture in metal cutting contributes to these differences. This is particularly critical for materials such as AISI 316L, IN718 and Ti6Al4V that exhibit low thermal conductivity and high strain hardening tendency. Such materials are prone to adiabatic shear banding resulting in the formation of shear localized/segmented chips. Therefore, it is likely that the larger dispersion observed in terms of forces, temperatures and residual stresses between the simulations and experiments for these materials may be related to how accurate is the description of

the work material flow stress and fracture to capture properly the cyclic nature of the chip formation process. Due to the high importance of this subject, this will be described in detail as follows.

As shown by Astakhov and Shvets (2004), the principal difference that exists between machining and all other metal forming processes is the physical separation of the layer being removed (in the form of chips) from the rest of the workpiece. The process of physical separation of a solid body into two or more parts is known as fracture, and thus machining must be treated as the purposeful fracture of the layer being removed. Extensive work in this topic has also been reported by Atkins (2003). From this context, a proper modeling of the work material in machining should take into account not only the determination of the material flow stress under similar conditions as those observed in machining, but also under which conditions the fracture would occur and how to model it properly.

As far as the flow stress is concerned, the split Hopkinson pressure bar (SHPB) (Kolsky, 1949) is largely used to determine the work material flow stress under high strain-rates frequently encountered to metal cutting. Unfortunately, the SHPB has some drawbacks that can compromise the validity of the results, including the oscillations that flow stress exhibits particularly at low strains (Jaspers, 1999). Moreover, the flow stress depends on the strain path (Guo et al., 2005). According to Silva et al. (2012), the strain path induced by the SHPB is different from that found in metal cutting. Finally, the flow stress data is usually represented by the Johnson-Cook (JC) constitutive model (Johnson and Cook, 1983), available in most of the commercial FE codes, including those used in this benchmark study.

As mentioned by Guo and Horstemeyer (Guo et al., 2005), although this model is easy to apply and can describe the general response of material deformation, this model is deficient in the mechanisms to reflect the static and dynamic recovery, and the effects of load path and strain-rate history in large deformation processes, such as the case of metal cutting process. These effects are fundamental to properly modeling the surface integrity of machined components, including the residual stress distribution (Guo et al., 2005). Moreover, when presuming that no external heat source is added to the cutting process, the term on thermal softening of the JC constitutive model [see Equation (1)] is not necessary. In fact, the heat generated under high strain-rates accelerates the temperature rise and creates adiabatic localized regions in both mechanical tests for the characterization of the material flow stress and machining alike. Thus, the strain-hardening measured in high rate material testing may have included the thermal softening effects as well (Abushawashi et al., 2011).

As far as damage and fracture are concerned, they are essential to model chip formation (separation of the material from the workpiece to form the

chip), as well as, for chip segmentation. Therefore, a proper modeling of damage and fracture is essential and the corresponding models should consider both damage initiation, as well as damage evolution (Abushawashi et al., 2011; Mabrouki et al., 2008). In ductile materials, the damage initiation model should be established based on the material ductility, thus the equivalent strain at fracture. The equivalent strain at fracture is sensitive to the state of stress, being the stress triaxiality and the Lode angle two parameters that affect this strain (Abushawashi et al., 2013; Bai and Wierzbicki, 2008).

In the case of plane-strain condition, which is the case for orthogonal cutting, the equivalent strain at fracture is only affected by the stress triaxiality (Abushawashi et al., 2011). Increasing exigencies in terms of productivity leads to the application of high triaxiality high cutting speeds (i.e., high speed machining), and consequently the work material is submitted to high strain-rates. Therefore, the strain-rate sensitivity to fracture must also be included in the fracture model as well. Concerning the temperature effects on the strain at fracture, what was mentioned above is also applied here. Therefore, a suitable model of damage initiation in orthogonal metal cutting should consider both stress triaxiality and strain-rate effects.

There are several fracture models that incorporate these effects, including the Johnson and Cook model (Johnson and Cook, 1983), and the Rice and Tracey fracture models (Rice and Tracey, 1969). The determination of the coefficients of these fracture models for a given work material requires resources and involves a series of experimental fracture tests (varying the stress triaxiality and strain-rate). Moreover, the implementation of such models in some FE codes can be more complex. For these reasons, in many metal cutting models, the fracture is ignored, because it is easier to model chip formation using non-physical criterion such as the remesh procedure.

In summary, modeling chip formation without a proper material model, that includes damage and fracture, results in unrealistic material behavior, where the material flow is somewhat unlimited with no material stiffness degradation. Moreover, the chip morphology (e.g., segmentation) obtained by such incomplete models produces an unrealistic smooth chip with unlimited material stretching and hardening (Abushawashi et al., 2013).

Applying the Calibration Procedure

The calibration procedure was developed and applied to four additional orthogonal cutting simulations performed on *IN 718* and *Ti-6Al-4V* alloys (two simulations per work material). The objective here is to develop and calibrate new models based on the measured forces, chip geometry and chip compression ratio, and to apply them to predict the residual stresses. Figures 16–17 show that although a small improvement was seen in the near

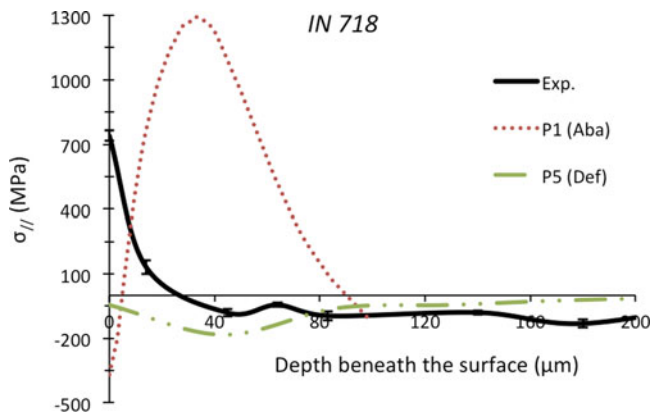


FIGURE 16 Simulated (color lines) and measured (black line) in-depth residual stress $\sigma_{//}$ profiles, after applying the calibration procedure (*Inconel 718*).

surface residual stress for *Ti-6Al-4V*, no other relevant improvement was observed.

These results prove that the calibration procedure cannot improve the model’s “predictability” and a very important part of the solution for the problem is to consider a proper material model that includes not only flow stress, but also damage and fracture.

CONCLUSIONS AND OUTLOOK

A benchmark study was conducted to evaluate the effectiveness of the current numerical predictive models for machining performance. This study

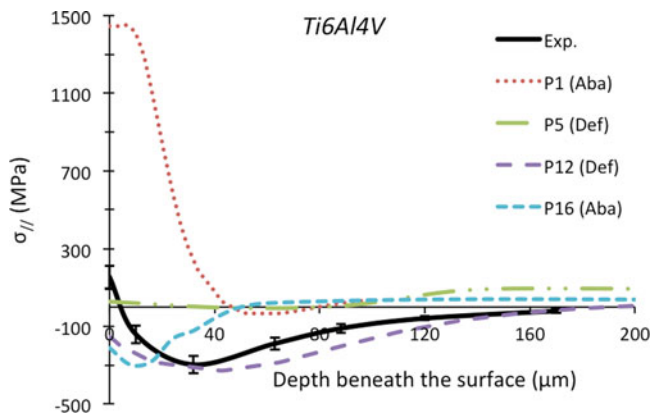


FIGURE 17 Simulated (color lines) and measured (black line) in-depth residual stress $\sigma_{//}$ profiles, after applying the calibration procedure (*Ti6Al4V*) (Jawahir et al., 2011).

includes collaboration of 22 international participants from universities, research institutes and companies and/or software developers from 10 countries. Orthogonal cutting models for five work materials (*AISI 1045*, *AISI 52100*, *AISI 316L*, *IN 718* and *Ti-6Al-4V*) were developed and simulated using different commercial and non-commercial software packages (ANSYS, Deform, LS-Dyna, AdvantEdge and a non-commercial software from the Yokohama National University), applying different numerical methods (FEM and Meshless) and formulations (Lagrangian, ALE). Predicted results (cutting and thrust forces, cutting temperatures, chip compression ratio and residual stresses) obtained from different participants were compared with those results obtained experimentally.

The predicted results clearly show a high dispersion among them, being this dispersion higher for the residual stresses and lower for the chip compression ratio. Moreover, a higher dispersion of the results among work materials is generated for *AISI 316L*, *IN 718* and *Ti-6Al-4V* work materials when compared with *AISI 52100* and *AISI 1045* steels. From the comparison between predicted and measured results, it is noted that the best predictions were obtained for *AISI 1045*, intermediate for *AISI 52100* and *Ti-6Al-4V* work materials, and the worst for *AISI 316L* and *IN 718* work materials. Thus, in general, the best uniformity among all predicted results and the best predictability were obtained for the *AISI 1045* work material.

Several factors will justify the obtained results, including incorrect description of the work material behavior in metal cutting (flow stress and fracture) and of the tool-chip contact. In the case of residual stresses, they are very sensitive to the energy required for separating the material from the workpiece to form the chip (energy of plastic deformation and fracture). Therefore, an improper modeling of the flow stress and fracture in metal cutting simulations leads to a high dispersion among the simulated residual stress results. Moreover, the different approaches used by the participants of this study to “generate” the chip (remeshing technique, ALE formulation, sacrificial layer based on the fracture energy, etc.) also contribute to increasing differences in the predicted residual stress.

To improve the predictability of present metal cutting models, accurate modeling of the tool-chip contact and the work material behavior is required. In particular, new experimental tests need to be designed and developed for accurately characterizing the materials mechanical behavior. These experimental tests should reproduce the signature of the metal cutting process in terms of strain-rate and the state-of-stress. Moreover, Johnson-Cook model no longer serves the purpose of the metal cutting simulations, especially if residual stress (or surface integrity in general) is concerned. The development of physics-based models that takes into account the microstructural features is essential.

Inconsistent experimental metal cutting tests (including different acquisition techniques and their calibration) and incorrect comparison

between predicted and measured results (in particular the residual stresses) contribute to the observed differences between measured and predicted results. Therefore, a proper design of such experimental tests and the use of a suitable methodology to compare predicted and measured results would be required.

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