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An expert system to characterize the surface morphological properties according to their functionalities

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Abstract. In this paper we propose a new methodology to characterize the morphological properties of a surface in relation with its functionality (tribological properties, surface coating adhesion, brightness, wettability...). We create a software based on experimental design and surface profile recording. Using an appropriate database structure, the roughness parameters are automatically computed at different scales. The surface files are saved in a hard disk directory and roughness parameters are computed at different scales. Finally, a statistical analysis system proposes the roughness parameter (or the pair of roughness parameters) that better describe(s) the functionality of the surface and the spatial scales at which the parameter(s) is (are) the more relevant.

1. Introduction

The precise characterization of machined surfaces roughness is of a major interest in many engineering industries due to its influence on the functionality of manufactured products. For obvious reasons of costs minimization, manufacturers are interested in developing reliable and simple control methodologies suitable for use in routine production environments, with a high degree of quantitiveness and data repeatability. The topographic method is by far the most implanted ones in surface quality assessment of metallurgical or mechanical products. The roughness of machined surfaces is of prime importance across a very wide spectrum of technical and scientific activities;

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including not only tribologists and production engineers but also highway and aircraft engineers, hydrodynamicists and even bioengineers. Indeed, the morphology of machined surfaces often influences the properties that govern the application of the manufactured product. Consequently there still exists an increasing interest in developing reliable methodologies, suitable for quality control stage processes of surface products, in a manufacturing environment. Because of these various industrial and scientific interests, a proliferation of roughness parameters, possibly running into hundreds, has been triggered to describe the different kinds of surface morphology with regard to specific functions, properties or applications. In spite of this proliferation, termed by Whitehouse the "parameter rash", there is still no complete comprehensive account for the relevance of these roughness parameters. More, it becomes difficult to choose one parameter rather than another one. This probably comes from a lack of global methodology combined with the limits of the softwares used to characterize the surface morphology presently available on the market. In our opinion, the main objective of such a global methodology should be to determine quantitatively, and without preconception, the most relevant roughness parameter that characterizes the surface morphology of a manufactured product with regard to a correlation with a particular function, property or application [1]. Moreover one other important challenge we have to take up is to define the scale at which one parameter must be evaluated to be pertinent. This led us to observe and analyze the problems of existing software:

1. Are not adapted to deal with a data file.
2. Only a limited number of parameters.
3. Are unsuitable for statistical analysis.
4. Often a system designed for a specific measure.
5. Requires too many manipulations.
6. Reliability of certain procedures?
7. No non-linear algorithm.
8. Sustainability software.
9. Do not possess multiscale treatments.

These many questions have no answers at present. Indeed, software trade can not offer a solution to the raised issues. For these reasons, we decided to design our own surface status analysis system called "MesRug"

2. Presentation of MesRug

This environment for surface conditions analysis (so-called "MesRug") is a software processing roughness files. The analysis starts with the experimental design construction. Each process parameter set is in relation with a measurement data file. The save of time in processing with MesRug is significant. We illustrate this by a simple example. Profile measurements are carried out on the sample. The purpose of this analysis is to answer to the question: what are the roughness parameters that best discriminate process parameters and at which scale must they be evaluated? We enter all necessary information in "MesRug" and launch calculation of roughness parameters. "MesRug" processes all files, creates text files for further subsequent analysis (spectrum, autocorrelation function...) and graphics files while preserving the coding performed by the operator. The result files are encoded to be used in Excel, Statistica and SAS (Statistical Analysis System). The statistical processing is done under the SAS language.

3. Structure and Construction

This system is built from a database which allows describing, manipulating, processing the data sets and can be used by non-scientists. Fig. 1 diagrams the links between the various parts of the data treatment.

In our case, one gets the following script :

```
// Gaussian Filtering (Vorburger algorithm)
T[3][1,{800}]
T[3][2,{passe_haut,passe_bas}]
// Bsplines analyses
T[2][1,{1}]
T[2][2,{10,100}]
// Ra, Rq and fractal dimension using 100 scales from 10 to 10000 R[Ra]
R[Rq] // windows following a geometrical progression ({2}).
R[DF,{10},{10000},{1000},{2}]
```

And the result is given in our database (table 1)

Table 1. Result of multi-scale analyses stored in our DataBase thanks to ODBC protocol

File	Filter	Scale	R _a	R _q	DF_10_10000_1000_2
1_A_C_1.std	Passe_haut	10	0.542	0.658	1.542
1_A_C_1.std	Passe_haut	100	0.295	0.372	1.596
1_A_C_1.std	Passe_bas	10	0.057	0.070	1.070
1_A_C_1.std	Passe_bas	100	0.001	0.001	1.027
1_A_C_2.std	passe_haut	10	0.533	0.647	1.532
.....

4. Evaluation of the relevance of the roughness parameters and their associated range

As the database is created with all values of multi-scale aspects, the main purpose is to analyze what are the best roughness parameters to describe physical phenomena and their associated scales. In fact, the answer to this question is not so obvious and must be formulated in a statistical sense. Several cases are presented and treated with appropriate statistical tools. In this paper, only two cases will be treated.

An experimental design is built to create some surfaces (as tooling) and the question is :

What are the influence of the process parameters on surface topography ?

This question is reformulated as follow in surface topography formalism:

What are the best roughness parameters evaluated on an appropriate scale that allow to better discriminate the effect of the process on the surface topography ?

This question must be also reformulated in a statistical sense. In this case, the analyses of variance will be used. This method allows giving the influence of each i^{th} process parameter p_i (with $i \in \{1 \dots I\}$) on the value of the j^{th} roughness parameters q_j (with $j \in \{1 \dots J\}$) evaluated at the k^{th} scale ε_k ($k \in \{1 \dots K\}$) that is noticed $q_j(\varepsilon_k)$. To test the relevance of $q_j(\varepsilon_k)$ to well characterize the effect of the I process parameters p_i , $K \times J$ analysis of variance are performed for all J roughness parameters and all K discretized scales. The Fisher variate F is used to quantify the effect i.e. the relevancy and is noted $F_{p_j}(q_j(\varepsilon_k))$. If $F_{p_j}(q_j(\varepsilon_k)) > 1$ then $q_j(\varepsilon_k)$ roughness parameters is

relevant to characterize the p_j process parameter. The higher $F_{p_j}(q_j(\varepsilon_k)) > 1$ is, the more relevant $q_j(\varepsilon_k)$ roughness parameter is. It can be specified that the interaction between process parameters q_i and q_j can also be computed and noticed $F_{p_j, p_j'}(q_j(\varepsilon_k))$.

F value allows us to gather the roughness parameters that possess the same relevance on a range scale $[\varepsilon_k, \varepsilon_{k'}]$ rather on a unique one. Let illustrate now our purpose by one example based on belt finishing process taking account 7 process parameters (wheel stiffness, pressure, oscillation, grit size...) [2]. For the wheel stiffness, the best roughness parameter is the S_m (distance between asperities). Let now analyze the results by plotting the $F_{stiffness}(S_m(\varepsilon_k))$ versus ε_k (figure Fig. 3 on the left). This curve presents 3 local maxima. One of the extreme is based on the very high scale (around 2500 μm). At this scale, S_m is higher for the softer wheel (result not shown). This tendency is due to the elastic deformation of the wheel during contact that creates some waves of low frequency on roughness of materials. At the scale of 7.5 μm (microscopic scale), S_m is higher for the softer wheel. At this scale, roughness is analysed on a scale less than the mean size of the grooves and then the seen roughness is the groove. Into the groove, ploughing wear is higher with soft wheel (see fig. 3) and naturally increases the mean size between asperities. On the contrary, at the scale of 30 μm , S_m is higher for the higher stiffness. At this scale, roughness is analysed on a scale higher than the mean size of the grooves and then characterizes the groove's width. When stiffness wheel increases, indentation depth increases and S_m naturally increases.

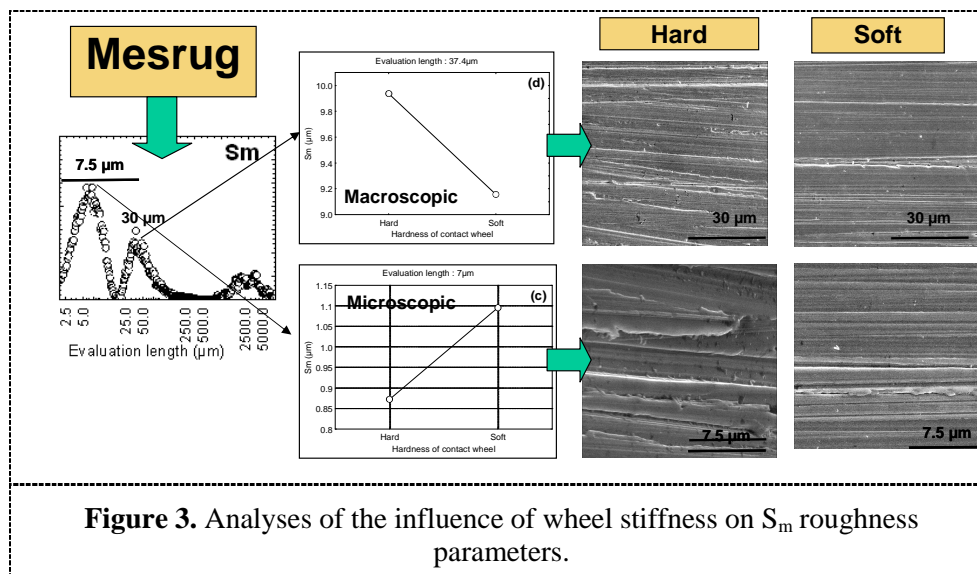
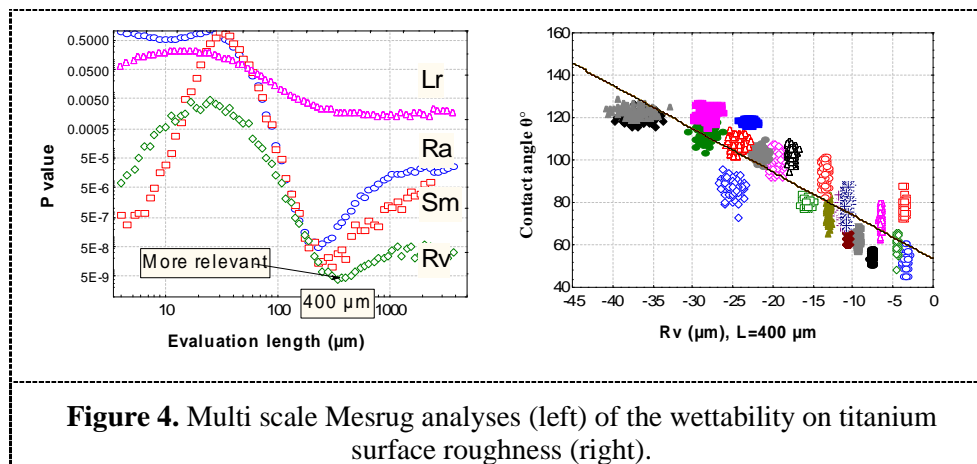


Figure 3. Analyses of the influence of wheel stiffness on S_m roughness parameters.

The second example is linked to the functionality of the surfaces and can be also treated by our software. Let answer to the question: what is the best roughness parameter that characterizes the wettability of titanium surfaces? This problem is reformulated in a statistical sense “what is the best relation between a roughness parameter evaluated at a given scale and the measure of wettability noted w i.e. $w = f(q_j(\varepsilon_k))$ where f is a given functional (as linear one). In this case, we find the best correlation by a linear one characterized by a Student variate (P value). With this result, it was found that the best parameter to characterize contact angle is R_v (valley amplitude) and that the functional f is linear and evaluated at 400 μm (fig. 4). This result was explained by the Cassie Baxter theory rather by the Wenzel one.



5. Conclusion

We have constructed a methodology to select roughness parameters with regard of surface functionalities. It was shown that relation between parameters can depend on the scale. Thanks to our expert system Mesrug, it was possible to quantify the different scales at which parameters must be evaluated. Applied on different surface engineering studies, it was then possible to explain how surface topography influences the integrity (abrasion) or functionality (wettability) of materials. A 3D version of Mesrug is under construction.

6. References

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