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Linda AISSANI, Michael J. WALOCK, Hamid DJEBAILI, Ahmed Daoud DJELLOUL, Corinne NOUVEAU - Influence of vanadium on structure, - Surface Engineering - Vol. 31, n°10, p.779-788 - 2015

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# Influence of vanadium on structure, mechanical and tribological properties of CrN coatings

L. Aissani\*<sup>1</sup>, C. Nouveau<sup>2</sup>, M. J. Walock<sup>3</sup>, H. Djebaili<sup>4</sup> and A. Djelloul<sup>4</sup>

This work aims to show the characterisation of Cr–V–N coatings, with the varied amounts of Cr and V. CrN, VN and Cr–V–N coatings were deposited onto silicon and XC100 steel substrates by reactive radio frequency magnetron sputtering and characterised with X-ray diffraction, X-ray photoelectron spectroscopies, energy dispersive X-ray spectroscopy, scanning electron microscopy, nanoindentation, pin on disc tribological tests and scratch tests. The residual stress was calculated using the Stoney formula. Compared to the CrN system, the Cr–V–N films presented a rough surface based on pyramidal morphology. A hardness of 19.53 GPa and a friction coefficient of 0.55 were obtained for CrN; in contrast, Cr–V–N coatings presented a weak hardness of 6.23 GPa. In the case of wear against a 100Cr6 ball, the Cr–V–N films were completely removed from the substrate, even though the Cr–V–N coating presented a low friction coefficient (0.39). However, the VN film showed good tribological performance.

**Keywords:** Cr–V–N, CrN, VN, Hardness, Wear, Friction coefficient

## Notation:

at.-%	atomic percentage
Ar/N <sub>2</sub>	(argon/nitrogen) gases
B	the line width (FWHM) in radians
D	grain size
E	Young's modulus
e <sub>f</sub>	film thickness
e <sub>s</sub>	substrate thickness
E <sub>s</sub>	Young's modulus of the substrate
eV	electron volt
d	diameter
H	hardness
Hz	Hertz
Lc <sub>1</sub>	cohesive failure critical load
Lc <sub>2</sub>	adhesion failure critical load
N	Newton
P	pressure
R	curvature radius of the sample after deposition
R <sub>0</sub>	curvature radius before deposition
Ra	average roughness
R <sub>RMS</sub>	root mean square roughness
μ	friction coefficient

λ <sub>Co</sub>	X-ray wavelength of cobalt
θ	Bragg's angle
σ	the residual stress
ν <sub>s</sub>	Poisson's ratio of the substrate

## Introduction

Many studies have been dedicated to Cr based ternary nitrides, such as Cr–Al–N<sup>1</sup> and Cr–Zr–N.<sup>2</sup> These compounds have been developed rapidly, and their excellent properties (high oxidation resistance and a very low surface roughness, compared to the Cr–N system) were reported in many papers. Typically, the tertiary elements that have been chosen are based on the properties of the binary systems.

The addition of V to improve the tribological properties of CrN films has been studied successfully.<sup>3</sup> In addition, the excellent mechanical properties were achieved for CrN/VN multilayers deposited by magnetron sputtering.<sup>4</sup> Recently, vanadium nitride has generated a great deal of interest because it can easily oxidise at high temperatures and become a good lubricant film.<sup>5,6</sup> The coefficient of friction of a Cr–V–N coating, with a hardness of 15 GPa, was 0.5 when sliding against AISI 52100 steel at a room temperature, according to Uchida *et al.*<sup>7</sup> Moreover, the coefficient of friction of Cr–Al–V–N coatings sliding against the same steel decreased from 0.6 at the room temperature to 0.05 at 800°C due to the formation of vanadium oxides.<sup>8</sup> When vanadium is mixed with other transition metal nitrides like CrN or TiN,<sup>3</sup> the tertiary compound can at present improve mechanical properties comparing the constituent binary materials. For example, the ternary Cr<sub>30</sub>V<sub>50</sub>N nitride shows a sliding performance instead of

<sup>1</sup>Université de Djijel, Cité Ouled Aissa, BP 98, 18000 Jijel, Algérie

<sup>2</sup>LaBoMaP, Arts et Métiers ParisTech, Rue Porte de Paris, 71250 Cluny, France

<sup>3</sup>Department of Physics, University of Alabama at Birmingham, CH 310, 1720 2nd Ave S, Birmingham, AL 35294-1170, USA

<sup>4</sup>LASPI, Université de Khenchela, Rue de Batna, 4000 Khenchela, Algérie

\*Corresponding author, email lindaaissani2004@yahoo.fr

the binary CrN and VN systems.<sup>7</sup> Ti<sub>77</sub>V<sub>23</sub>N shows a higher hardness in comparison to the binary TiN and VN systems, while Ti<sub>22</sub>V<sub>78</sub>N had a lower hardness comparing to the binary TiN system.<sup>9</sup>

The purpose behind the present work is to study the properties of Cr–V–N films deposited by reactive radio frequency (RF) magnetron sputtering. Thus, the ternary Cr–V–N films are compared to the binary CrN and VN coatings. By this comparison, the influence of vanadium content on the structure, morphology, mechanical and tribological properties of the Cr–N system can be determined.

## Experimental

Cr–V–N thin films were deposited by RF magnetron sputtering (NORDIKO type 3500, 13.56 MHz) in an Ar/N<sub>2</sub> mixed atmosphere on Si (100) coupons (10 × 10 mm<sup>2</sup>, 380 μm thick) and polished XC100 steel discs (*d* = 15 mm, 3 mm thick). A detailed description of the sputtering system has been reported elsewhere.<sup>10</sup> The chamber was pumped down to a base pressure under 2 × 10<sup>−5</sup> Pa before the depositions. The total pressure during the deposition was fixed to 0.4 Pa with the nitrogen partial pressure P<sub>N<sub>2</sub></sub> set at 0.1 Pa (P<sub>Ar</sub> is 0.3 Pa). The typical composition of XC100 steel is as follows: 0.95 to 1.05 wt-%C, 0.5 to 0.8 wt-%Mn, 0.05 wt-%S, 0.25 wt-%Si, 0.035 wt-%P and S, with Fe as the balance. The average roughness R<sub>a</sub> of XC100 steel substrates is ~30 nm. The distance between the confocal arranged targets and the substrate was 80 mm. Before deposition, the substrates were situated under Ar<sup>+</sup> ions bombardment for 5 min at −1000 V and at 10 μbar. During deposition, the substrate temperature was estimated around 150–350°C. In this study, Cr (99.95 at-%) and V (99.98 at-%) targets were used to co-deposit the Cr–V–N films. Moreover, before deposition, the Cr and V targets were cleaned with an Ar<sup>+</sup> discharge for 5 min at 250 W (−500 V) and a working pressure of 0.4 Pa. Before deposition of the nitride coatings, the substrates were coated with 230–250 nm thick Cr (or V) underlayers to improve adhesion. Coatings with different Cr/V ratios were obtained by varying the power (the bias voltage) applied to the Cr and V targets from 0 to 650 W (−900 V). The deposition time was fixed at 90 min, and the parameters of the deposited films are summarised in Table 1. The thickness of the coatings was determined by

**Table 1** Deposition conditions of the Cr–V–N thin films\*

CrN			
A. power (Cr)/W		Targets bias (Cr) (−V)	
650		900	
Cr–V–N			
A. power/W		Targets bias (−V)	
Cr	V	Cr	V
650	260	900	500
650	550	900	900
350	550	500	900
VN			
B. power (V)/W		Targets bias (V) (−V)	
550		900	

\*A. power Working pressure of 0.4 Pa, 20%N<sub>2</sub> + 80%Ar gas mixture and deposition time of 90 min.

optical profilometry (VEECO, Wyko NT-1100). The structure was analysed by X-ray diffraction (XRD) using a SIEMENS D500, with a Co K<sub>α</sub> radiation source (30 kV, 50 mA, λ<sub>Co</sub> = 0.178 nm). The average grain size of the thin films was determined by Scherrer's method<sup>11</sup>

$$D = \frac{0.9\lambda}{\beta \cos\theta} \quad (1)$$

where 0.9 is the shape factor, λ represents the X-ray wavelength that was used for the measurement (λ<sub>Co</sub> = 0.178 nm), β is the line width (full width half maximum) in radians and θ is the Bragg's angle. The position of the (111) diffraction peak was used to estimate the grain size. The surface morphology and the film structure were observed by a scanning electron microscopy HR-SEM system, which was equipped with an energy dispersive X-ray spectrometer (EDS) to determine the chemical composition. The X-ray photoelectron spectroscopy (XPS) analysis was performed to verify the chemical composition. The film surface roughness was measured by atomic force microscopy (AFM; nanoscope V). The hardness and Young's modulus of the coatings were investigated by nanoindentation (MTS-XP) in continuous stiffness mode, where the tip oscillates with a frequency of 45 Hz and amplitude of 2 nm. A diamond Berkovich indenter with a tip radius of ~200 nm was used in the experiments with a maximum load of 10 mN. The loading and unloading phases of the indentations were carried out under load control at a nominal rate of 0.05 mN s<sup>−1</sup>. The reported values were determined from the average of five indentations and were evaluated at a depth of 5% of the total film thickness in order to avoid influences from the steel substrate and/or the surface roughness. The hardness and elastic modulus of the coatings were calculated using the Oliver and Pharr method.<sup>6</sup> The compressive residual stresses σ of the films were calculated with Stoney's formula<sup>12</sup>

$$\sigma = \pm \frac{E_s}{6(1 - \nu_s)} \times \frac{e_s^2}{e_f} \left( \frac{1}{R} - \frac{1}{R_0} \right) \quad (2)$$

where σ is the residual stress in the thin film, E<sub>s</sub> and ν<sub>s</sub> are Young's modulus (195 GPa) and Poisson's ratio (0.29) of the substrate, e<sub>f</sub> and e<sub>s</sub> indicate the film and substrate thicknesses respectively, R is the curvature radius of the sample after deposition and R<sub>0</sub> is the curvature radius before deposition. Pin on disc and oscillating (TRIBO tester) wear tests were carried out to characterise the tribological performance of the coatings. The counterparts were 100Cr6 steel balls, 6 mm in diameter. The applied load was increased progressively from 0 to 5 N within the sliding speed of 0.5 m s<sup>−1</sup>. The maximum sliding distance was 600 mm, and the wear track diameter was 2 mm under a test temperature of 21.5°C and a relative humidity of 33.2%. Each test lasted ~10 min. During the measurement, the experiment parameters such as transverse force and acoustic emission are recorded for subsequent data analysis. The coating/substrate interfacial adhesion was investigated the use of scratch tests (Scratch Tester Millenium 200). This apparatus was equipped with an optical microscope and a Rockwell diamond indenter (with a tip radius and a conical angle of 200 μm and 120° respectively). In addition, it can apply a maximum load

of  $100 \pm 0.05$  N. The stripping speed and the strip length were  $10 \text{ mm min}^{-1}$  and  $10 \text{ mm}$  respectively. After the tests, the attached optical microscope observed the tracks for the determination of critical loads.

## Results and discussion

### Microstructure and chemical composition

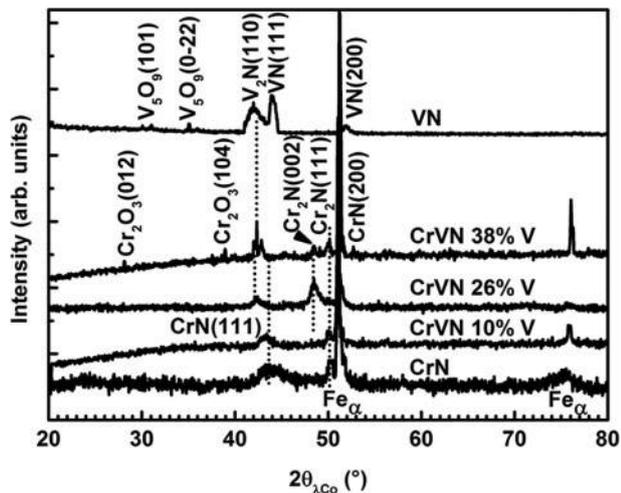
Chemical compositions of the Cr–V–N thin films are presented in Table 2. The CrN coating has an N/Cr atomic ratio  $\sim 0.96$ ; this is the characteristic of the cubic CrN phase.<sup>12</sup> Figure 1 shows the XRD patterns of the CrN, VN and Cr–V–N films, which are deposited on XC100 steel substrates. The Cr–N coating exhibits an NaCl cubic structure with a CrN (111) diffraction peak at  $44.29^\circ$  and a Cr<sub>2</sub>N (111) diffraction peak at  $50.37^\circ$ , which are similar to the observations in previous studies.<sup>13,14</sup> The (111) diffraction peak of the CrN coating is shifted to a higher (2 $\theta$ ) angle by  $0.41^\circ$ , as compared to the JCPDS (00-011-0065) reference file; this is probably related to a compressive residual stress ( $-1.980 \text{ GPa}$ ), as it is shown in Table 2, which was possibly generated by the ion bombardment from the high applied power ( $650 \text{ W}$ ) in the plasma. Figure 2 shows the XPS spectra of Cr 2p, N 1s and V 2p for Cr–V–N films in each one with different Cr to V ratios. The XPS core level spectrum of N 1s shown in Fig. 2 exhibits almost a symmetric shape. For a pure CrN film, the Cr 2p<sub>3/2</sub> binding energy is obtained at  $574.40 \text{ eV}$ . According to Conde *et al.*, the peak corresponds to a CrN film for Cr–N bonds.<sup>15</sup> The N 1s spectrum shows a single wide peak at  $397.1 \text{ eV}$ . In addition, according to Barshilia *et al.*,<sup>16</sup> this value probably corresponds to nitrogen in the CrN ( $397.0 \text{ eV}$ ), which gives a supplementary evidence supporting N element bonded to Cr during the coating deposition. The above results are in an excellent consistent with the XRD analysis.

Figure 3 shows the cross-sectional of Cr–V–N coatings, and Fig. 4 shows their top view SEM images. The CrN coating presents a compact columnar structure that appears to extend through the thickness of the coating. The average grain size of the CrN coating was estimated by the Scherrer formula using the (111) reflection,<sup>6</sup> which was  $72 \text{ nm}$  (Figs. 3a and 4a). Wang *et al.*<sup>13</sup> obtained the same structure on the CrN coatings prepared by unbalanced magnetron sputtering, however with a preferential (200) orientation. Because of the presence of both CrN and Cr<sub>2</sub>N phases in the CrN structure, as shown in the XRD pattern (Fig. 1), it is difficult to glean more quantitative information from the structural zone of this coating. This structure probably appears to be between the Ic zone and the transitional (T) zone according to Mahieu's model,<sup>17</sup> because the top of the columns are relatively flat, and the layer is also well crystallised. Ortman *et al.*<sup>18</sup> showed a similar structure for CrN films deposited by plasma activated physical vapour deposition. The CrN coating thickness and RMS roughness (according to AFM observations) were  $1.26 \mu\text{m}$  and  $23.3 \text{ nm}$  respectively. Table 2 shows the influence of the vanadium content on the overall chemical composition of the Cr–V–N coatings. The V content varies from 10 to 38 at-% (Table 2). As expected, the Cr content and the Cr applied power are decreased, whereas the V content increases with increased within V applied power. The nitrogen content

Table 2 Chemical composition and properties of Cr–V–N thin films

Coatings	A Cr <sub>0.50</sub> N <sub>0.48</sub>	B Cr <sub>0.36</sub> N <sub>0.42</sub> V <sub>0.10</sub>	C Cr <sub>0.25</sub> N <sub>0.42</sub> V <sub>0.26</sub>	D Cr <sub>0.12</sub> N <sub>0.43</sub> V <sub>0.38</sub>	E V <sub>0.48</sub> N <sub>0.47</sub>
N/ (Cr + V)	0.96	0.84	0.82	0.86	0.98
Thickness ( $\mu\text{m}$ )	1.26	1.37	1.45	1.94	1.23
Grain size	82	162	274		
RMS surface roughness (nm)	1.20	7.40	19.70	29.11	1.08
Residual Stress: stress $\sigma$ (–GPa)*	1.980	1.297	0.289	0.340	1.025
Critical load, L <sub>C1</sub> (N)	11.46	8.28	6.87	7.82	13.33
Critical load, L <sub>C2</sub> (N)	32.22 (chipping)	44.42 (delamination)	42.59 (delamination)	38.23 (delamination)	41.55 (Chipping)

\* Negative values indicate compressive stress.

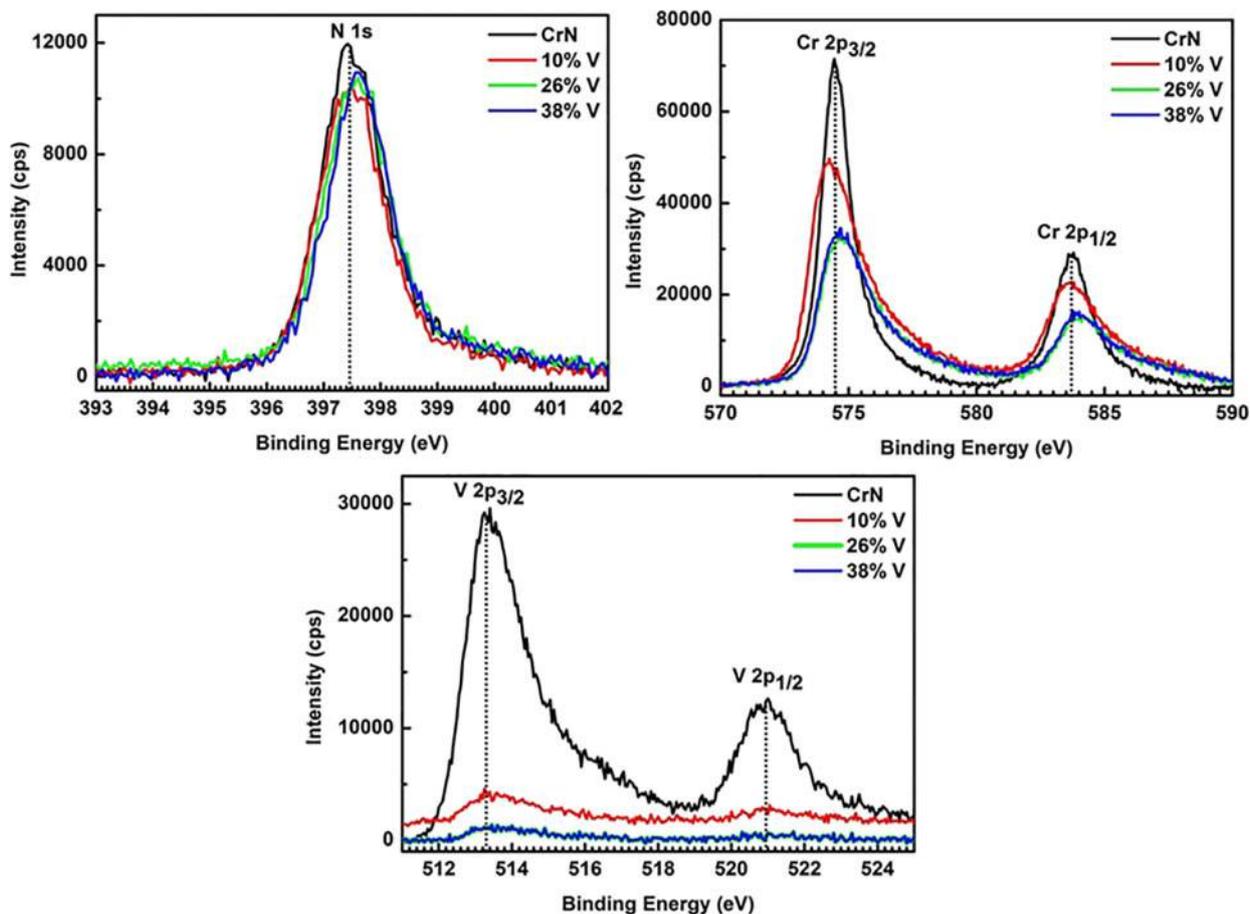


1 X-ray diffraction patterns of Cr–V–N thin films

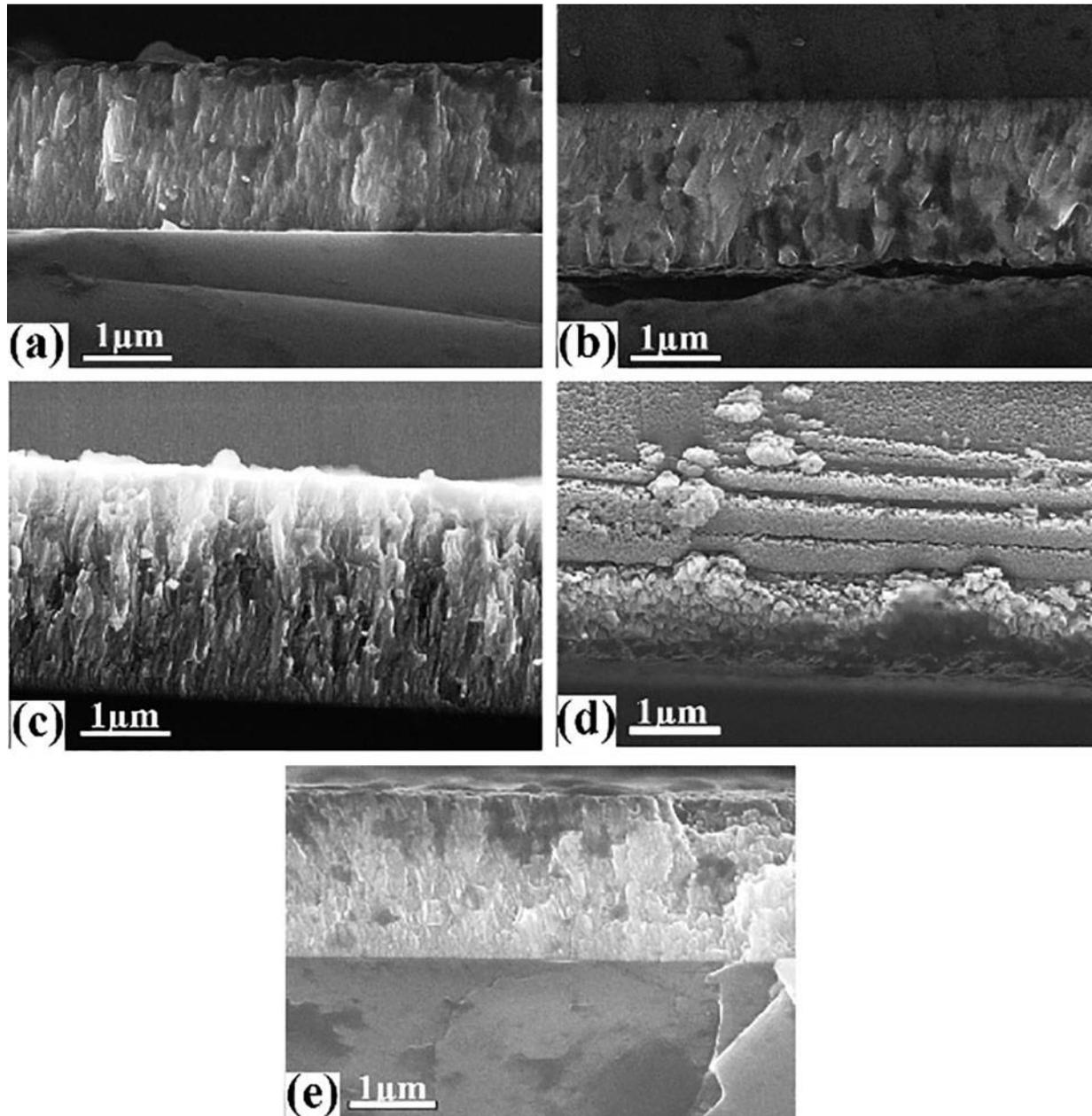
of the coatings is relatively between 42 and 43 at-%. The diffraction patterns of the Cr–V–N layers (Fig. 1) indicate planar spacing, which is typical of the NaCl cubic structure with an overlap of both (111) and (200) orientations of CrN and VN. At 26 at-% of V, a broad Cr<sub>2</sub>N (002), a minor Cr<sub>2</sub>N (111) and also minor V<sub>2</sub>N (110) diffraction peaks are also detected. In addition, the Cr<sub>2</sub>O<sub>3</sub> is detected in the Cr<sub>0.12</sub>V<sub>0.38</sub>N<sub>0.43</sub> coating at 38 at-% of V. Within the Cr–V–N coatings, peak positions of Cr–V–N films are at lower angle than that of VN and CrN. This may suggest that Cr–V–N films consist of

solid solution between CrN and, VN. On the other hand, the CrN (111) peak intensity gradually decreases with increasing V content and CrN and VN disappears entirely from the XRD pattern of the 38 at-% V coating. This may be due to the presence of an in plane compressive stress<sup>19</sup> and/or the substitution of V atoms into some Cr lattice sites. Unlike, the Cr<sub>2</sub>N (002) and (111), VN (111), and V<sub>2</sub>N (110) orientations gradually emerged in the XRD patterns; this can be related to the increase in the applied power of the V target. Similar results were observed for (V, Ti) N films,<sup>20</sup> where TiN diffraction peaks are not detected, because VN diffraction peaks are significantly stronger. This suggests that Cr–V–N films is composed of a solid solution of Cr and V, i.e. vanadium atoms substituted into chromium lattice sites. Previous studies have shown similar results for vanadium ions implanted into CrN films by metal vapour vacuum arc implantation,<sup>13</sup> and for Cr–V–N films deposited by cathodic arc ion plating method.<sup>7</sup> For the Cr–V–N films, the XPS analysis yields binding energies of Cr 2p<sub>3/2</sub> and N 1s are (397.4 and 574.6 eV) at 10 at-% V and (397.6 and 574.8 eV) at 26 and 38 at-% V. In general, Cr 2p<sub>3/2</sub> and N 1s shifted to high binding energies with the increase in the V content than that of CrN reference. The components observed would relate more to metallic Cr, which could be related to Cr<sub>2</sub>N, or, they correspond to Cr–N–O bonds, with some trace of Cr<sub>2</sub>O<sub>3</sub> formed by insertion of oxygen.<sup>15</sup>

For the V 2p XPS peaks, between 10 and 26 at-% V, the V spectra did not give any information because of the feeble V concentration in the films. At 38 at-% of V



2 X-ray photoelectron spectroscopy spectra for Cr–V–N thin films

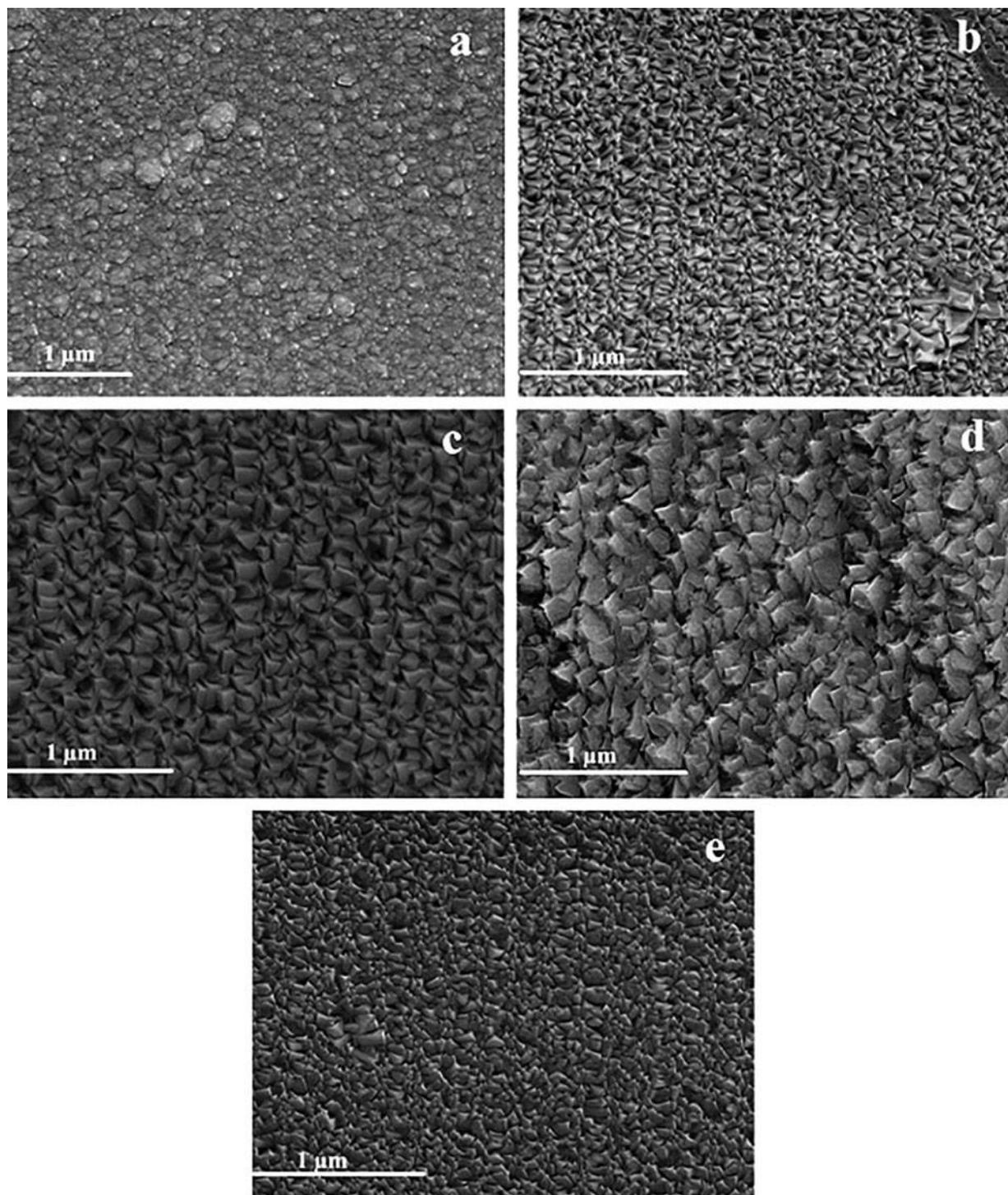


**3** Cross-sectional SEM images of *a* CrN, Cr-V-N at *b* 10 at-% V, *c* 26 at-% V, *d* 38 at-% V and *e* VN thin films

content, the peak takes 513.42 eV with a small intensity. Compared to the one that is measured by Yang *et al.*, the peak positions correspond to the  $VN_x$  phase.<sup>21</sup> At 10 at-% V, a dense and a columnar structure with a pyramid-like surface (size  $\sim 93$  nm) is seen in Figs. 3*b* and 4*b*. At 26 at-% V, this microstructure very clearly coalesced to large grains (size  $\sim 192$  nm) as in Fig. 4*c*. For the film with 38 at-% of V, the surface shows more pronounced facets with even larger grains ( $\sim 245$  nm) than that at 26 at-% V or at 10 at-% V (Fig. 4*d*). In addition, this structure was observed for Ti-V-N and Ti-Al-N films deposited by reactive magnetron sputtering.<sup>22</sup>

The change in the CrN film structure by adding the vanadium content is due to the change in the growth mechanism for the Cr-V-N coatings. Actually, for a low V power supply (or bias voltage) (260 W,  $-500$  V), it is expected there would be significantly less effects from ion bombardment than at a higher V power supply

(550 W,  $-900$  V). Indeed, at low V power supply (10 at-% V), the film appears dense, with less defined columnar growth than those with high V content. Because, during the deposition, an energetic ion flux resulting from the sputtering effect and the heat of effective momentum transfer attacks the film surface and hence encourages diffusion. Thus, weakly deposited particles were removed and new precipitated compounds are formed, changing the surface morphological surface. Relating to Mahieu's model, the Cr-V-N films are clearly within the transition between zone T and zone 2 between 10 and 26 at-% V content. However, the high V content coatings appear to be near the transition to zone 2.<sup>17</sup> The influence of V content on Cr-V-N coating morphology is also evaluated by measuring the roughness and the film thickness. As a function of V content, optical profilometry and AFM analyses yield coating thicknesses (RMS roughness) of  $1.37 \mu\text{m}$  (29.60 nm),  $1.45 \mu\text{m}$  (56.39 nm) and  $1.94 \mu\text{m}$  (86.17 nm) for 10, 26 and 38 at-% V (Table 2) respectively.



**4** Surface morphologies (SEM) of *a* CrN, Cr–V–N at *b* 10 at-% V, *c* 26 at-% V, *d* 38 at-% V and *e* VN thin films

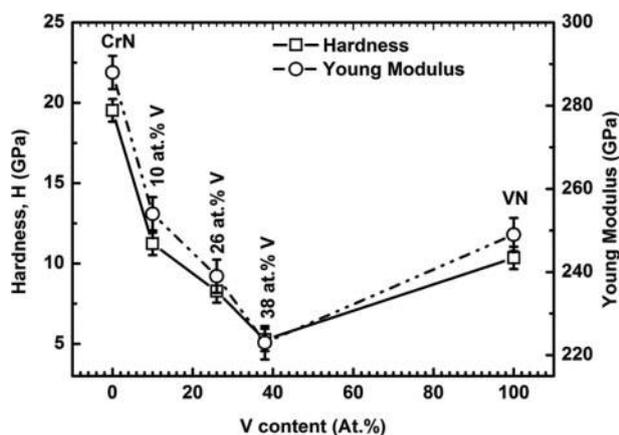
This is possibly to a meager increase in the film thickness despite a high applied power due to a lower deposition rate of vanadium than chromium, and residual stresses are generated by the higher ion energy bombardment. This increase may be explained also with the atomic shadowing effect theory. Namely, during the film growth some of the crystalline orientations can be more favoured than the others, as the increase in the grain size leads to an increase in the surface roughness. This is similar to earlier observations of the Cr–N system deposited by magnetron sputtering technique.<sup>23</sup> The VN layer presents an N/V atomic ratio  $\sim 0.98$  with a nitrogen concentration of 48 at-%, indicating that the film is almost stoichiometric

(Table 2). The XRD pattern of the VN coating is shown in Fig. 1. Two diffraction peaks VN (111) and (200) at  $43.96^\circ$  and  $51.83^\circ$  respectively referred to JCPDS (00-073-0528) and a minor  $V_2N$  (110) at  $43.03^\circ$  JCPDS (00-032-1413) are detected; similar peaks were also detected in previous studies.<sup>24</sup> No apparent peak shift is observed for this film. Moreover, minor  $V_5O_9$  (101) and (0–22) diffraction peaks are located at  $30.58^\circ$  and  $35.50^\circ$  JCPDS (00-018-1450) respectively. From the XPS analysis, a good correlation between the stoichiometry of the film and the binding energy positions of V and N1s peaks (513.40 and 397.3 eV) has been observed, which corresponded to a VN stoichiometry. The cross-section of VN coating presented

a compact and columnar structure with a faceted surface (size  $\sim 90$  nm) (Fig. 3e). The VN surface did not show any discontinuity or defect, which refers to zone T in Mahieu's model.<sup>17</sup> In addition to this, the VN coating has a smaller thickness and lower RMS roughness (1.23 and 27.31 nm) than CrN (Table 2). Negligible oxygen concentration is detected in the pure CrN film; however, non-negligible amounts are detected in all Cr–V–N and VN films. Both Cr and V show strong affinities to oxygen. In addition, the mixing of these elements during deposition can form a strong base for the formation of oxides.<sup>25</sup> The oxygen contamination may be the result of a leak during coating deposition.

## Mechanical properties

The hardness  $H$  and Young's modulus  $E$  of the studied coatings as a function of V content in the Cr–V–N layers are given in Fig. 5. The residual stress  $\sigma$  of all coatings is compressive and appears to follow the same trend as the coatings' hardness and Young's modulus. The CrN film presents the higher hardness and Young's modulus ( $H = 19.53$  GPa,  $E = 287$  GPa). This may be due to its high stress level (Table 2) and dense structure<sup>20</sup> as observed in Fig. 3. Increasing the V content in the Cr–V–N system causes a drop in both hardness and Young's modulus. Nanoindentation tests yield hardness and Young's modulus of 11.27 and 239 GPa, 8.23 and 254 GPa and 5.27 and 223.39 GPa for coatings with 10, 26 and 38 at.% of V respectively (Fig. 5). For almost Cr–V–N coatings, the mechanical properties are lower than the XC100 substrate ( $H = 10.32$  GPa,  $E = 226.13$  GPa), while there are several possible reasons for the observed decline in mechanical properties. Jiang *et al.*<sup>26</sup> explained the decline via the surface roughness effect. Indeed, the higher surface roughness with larger grains in the high V films could alter the contact between the indenter tip and the surface of the sample. This would greatly affect the accuracy of the nanoindentation measurement in our case. Another possible explanation is that an actual decrease in the mechanical properties of the film is induced by the film growth mechanism. The typical growth mechanism for Cr–V–N films tends to form rough columnar structures, which are clearly observed in the surface micrographs of Fig. 4. This type of growth may introduce more voids in the structure. Porous ceramics compounds such as chromium carbides coatings have shown significantly a reduced hardnesses compared to their non-porous



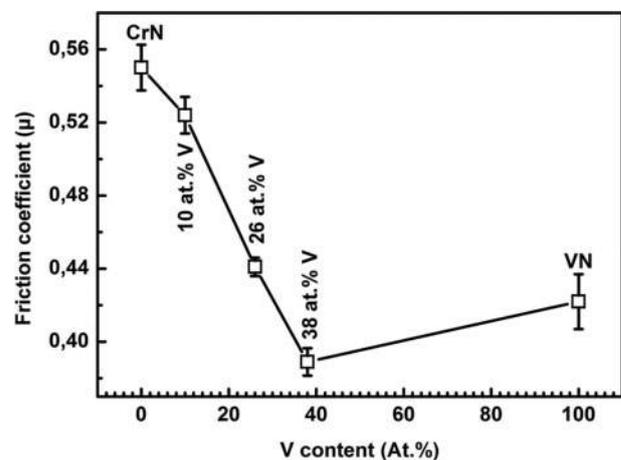
5 Hardness and Young's modulus of Cr–V–N thin films

counterparts.<sup>27</sup> Moreover, Bouzakis *et al.*<sup>28</sup> explained the decline in the mechanical properties by the relaxation of residual stress with increasing film thickness, and the development of dominant  $V_2N$  and  $Cr_2N$  phases, which are less dense as compared to the CrN phase. Similar results are reported for Cr–V–N<sup>7</sup> and Ti–V–N<sup>9</sup> films obtained with high V content. Moreover, it is well known that the (111) orientation is the hardest for face centred cubic nitride films.<sup>29</sup> As well as the increase in V content, there is a decrease in the CrN (111) intensity that corresponds to the observed decrease in hardness. An additional reason for the mechanical properties decline is the high oxygen contamination of Cr–V–N coatings (Table 2). The oxygen (6 to 8 at.%) is typically bonded, forming oxides that may affect the coating mechanical properties in addition to this similar trends was observed on sputtered Cr–Zr–N<sup>30</sup> and W–Al–N films.<sup>31</sup> In our work, the low hardness values for Cr–V–N films may be due to the decrease in CrN (111) orientation, relaxation in the compressive stress, increased surface roughness and oxygen contamination. The pure VN film showed a slight increase in hardness and Young's modulus to 10.35 and 249 GPa. The average value of the Young's modulus is lower than that of polycrystalline vanadium nitride, which is typically 342 GPa.<sup>9</sup> The slight increase in the mechanical properties of the VN layer in comparison to the Cr–V–N ones is in agreement with the microstructure change (Fig. 4) because the shadowing effect is reduced and the voids in the microstructure are filled due to the surface diffusion of adatoms, which causes an improvement in mechanical properties.<sup>32</sup> In addition, this small rise in hardness can partly explained by the decrease in both grain size and RMS roughness. Unlike, the mechanical properties of the films are still poor in comparison to the CrN film. Latella *et al.*<sup>9</sup> found similar results when working within the TiVN system. Moreover, it may be useful to remember that the VN coating does suffer from significant oxygen contamination (4–5%), which will affect adversely the mechanical properties (7–8 at. %).

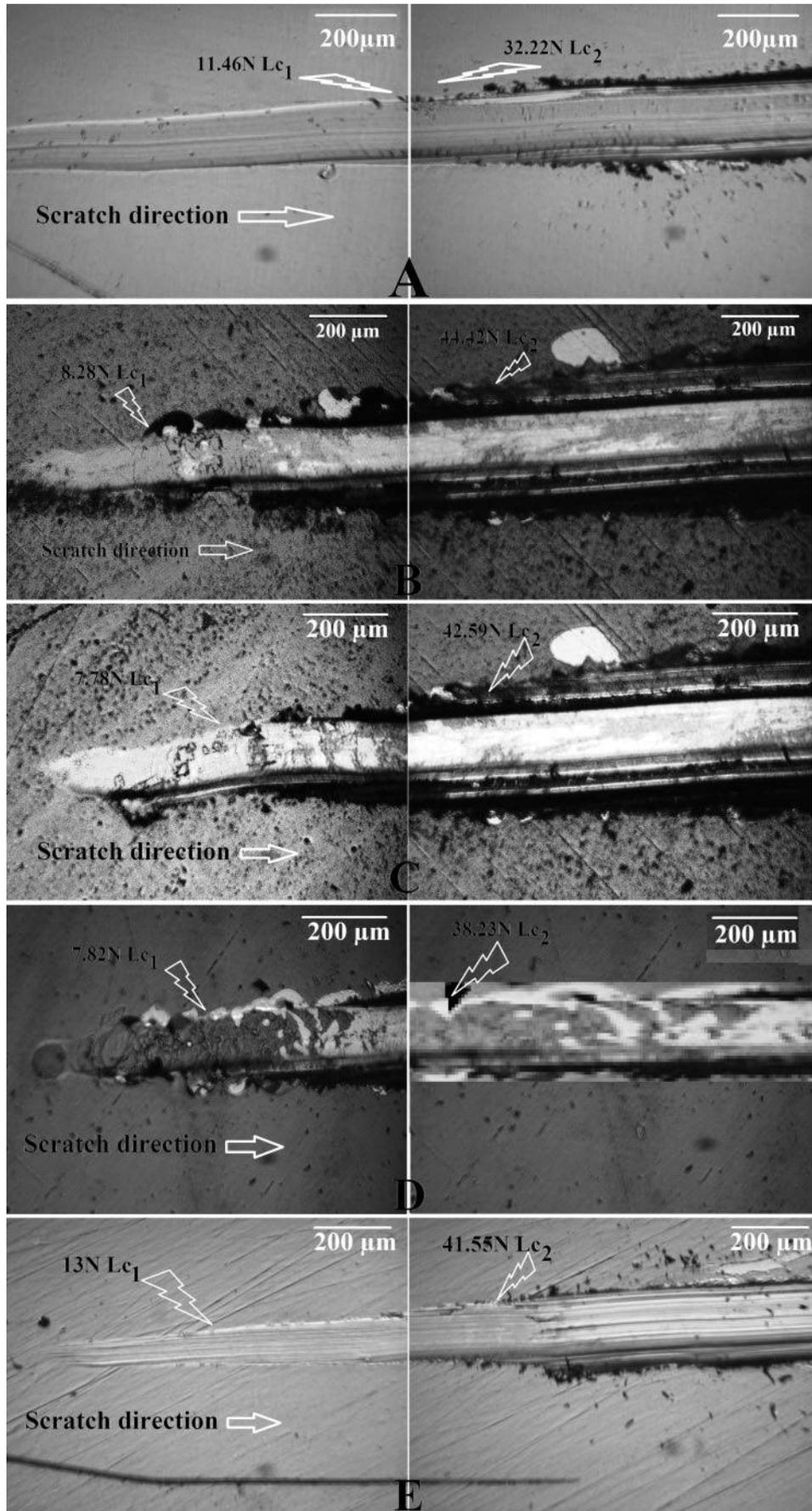
## Tribological properties

### Friction coefficient

The evolution of the friction coefficient  $\mu$  was determined by pin on disc tests as a function of the V and Cr contents (Fig. 6). Each test lasted 10 min under a 5 N load; a 100Cr6



6 Friction coefficient of Cr–V–N thin films



7 Micrographs of scratch tracks of a CrN, Cr-V-N at b 10 at-% V, c 26 at-% V, d 38 at-% V and e VN thin films

steel ball was the counterpart. The average friction coefficient of the CrN film is 0.55, indicating that the CrN has a good abrasive resistance, as reported by Zhao *et al.*<sup>33</sup> However, the friction coefficient is slightly highly comparable to that exhibited by CrN films deposited on AISI 304 stainless steel sample by a PVD Alcatel SCM 450 sputtering system (0.50).<sup>34</sup> This difference is due to friction forces that are acting at the interface between the CrN coating and the substrate. In addition, the magnitude of those forces is related to the surface properties of the two materials. From Cr–V–N coatings, a clear trend was observed between the mechanical properties (hardness and Young's modulus) and the friction coefficient. In addition, similar results were obtained for ternary Ti–V–N coatings by Latella *et al.*,<sup>9</sup> who explained this correlation by the dynamical behaviour of dislocations with the vanadium substitution of titanium atoms in the film structure. However, Sarakinos *et al.*<sup>35</sup> explained this result by the change in the micro/nanostructure of carbon based compounds, which possessed similar tribological parameters. Compared with the friction coefficient of CrN coating (0.55), the Cr–V–N coatings have a slightly lower friction coefficient of ~0.39. According to Yuexiu *et al.*,<sup>4</sup> this is attributed to the contamination of the Cr–V–N coating by oxygen, and formation of oxides. These oxides act as a lubricant between two counterparts.<sup>4</sup> In addition, the presence of these composites in the film contributes to the low coefficient of friction. For VN film, the friction coefficient is 0.42 against 100Cr6 ball, which is in good agreement with the measurements of VN coatings, which is deposited by unbalanced magnetron sputtering by Fateh *et al.*<sup>36</sup> However, it is significantly higher than VN films (0.33) deposited by DC magnetron sputtering. The VN coating exhibited a lower friction coefficient than CrN coating (Fig. 6), while the lower surface roughness of the VN coating is contributing to the lower friction coefficient. In similar results of VN films by Yuexiu *et al.*,<sup>4</sup> who explained the low friction coefficient of VN film by the presence of vanadium oxides at its surface. As with the Cr–V–N coating, also the presence of oxides within the film may play a role in the reduced coefficient of friction.

### Scratch tests

Scratch tests were carried out to investigate the adhesion between the coatings and the XC100 steel substrates. Two critical loads were defined: the cohesive failure critical load  $L_{c1}$ , corresponding to the beginning of cracking in the coatings, and the adhesion failure critical load  $L_{c2}$ , corresponding to the chipping or the delamination of coatings.<sup>35</sup>  $L_{c1}$  and  $L_{c2}$  versus the V content are presented in Table 2. The scratch tracks of the coatings deposited at various V content were observed by optical microscopy as shown in Fig. 7. It is obvious in (Table 2) that the critical loads followed a similar trend as the friction coefficient, but the inverse tendency to the RMS roughness lower RMS roughness values resulted in higher critical loads. For the CrN coating, cohesive failure occurs at ~11.46 N. The failure mechanism appears to be conformal cracking in the scratch track (Fig. 7a); these kinds of cracks are often associated with a hard coating (CrN) coupled to a soft substrate (XC100).<sup>36</sup> The chipping at the edge of the scratch track appears to be the initial stage for the adhesive failure of chromium nitride coating. The adhesive failure critical load  $L_{c2}$  has a medium value of 32.2 N. However, this is lower as compared with the

adhesion properties of CrN deposited by an ion plating PVD process onto X37CrMoV5-1 steel,<sup>37</sup> which can be attributed to the presence of a high residual stress and a soft substrate. For the Cr–V–N coatings, the cohesive failures are significantly lower (6.9–8.3 N). However, adhesive failure occurred at higher critical loads, ranging from 44.4 N for 10 at-% V and then decreased slightly to 38.2 N with the 38 at-% V coating. Thus, it appears that the addition of V to the CrN system has improved the adhesion of the layers. This may be explained by a reduction in the coatings' residual stress with the addition of V to the Cr–V–N system. In addition, the  $L_{c2}$  values are inversely proportional to the residual stress in the case of Cr–Al–N films.<sup>38</sup> Moreover, a different failure mechanism can be seen during the scratch testing of Cr–V–N coatings (Fig. 7). Similar to CrN coatings, the initial chipping/spallation occurs at the edge of the scratch track. However, with the Cr–V–N coatings, this behaviour extends past the edge of the coating onto the surface of the sample. In addition, this coating failure and removal appear more complete as several areas of the steel substrate were exposed. In addition, there is significantly more debris along the edge of the track in the initial failure ( $L_{c1} < 10$  N), while a complete failure of the Cr–V–N coatings occurred at higher critical loads, and this may be due to their weak structure cohesion. Moreover, the addition of V decreases the friction coefficient, which can be an indicator of the coatings resistance to abrasion, i.e. lower coefficients of friction correlate with low critical loads for cohesive failure.

The VN coating (Table 2) has a significantly better  $L_{c1}$  (up to 13.3 N) when it is compared to the Cr–V–N coatings. Moreover, the critical load of adhesive failure was on the same order of magnitude (41.5 N). In both cases (cohesive and adhesive failure), this is an improvement over the CrN coating. Yoshida *et al.*<sup>39</sup> showed that for very soft coatings (hardness is on the order of 5 GPa), the films could resist plastic deformation. Nevertheless, when the coatings failed, there was interfacial spallation along the scratch track borders (Fig. 7e) and the VN coating flaked off the edge of the scratch track as well as the stylus passed by. These dramatic failures may originate from the coating's small thickness, and the differences in the mechanical properties between the coating and substrate.

### Conclusion

Cr–V–N coatings were deposited onto Si and XC100 steel substrates by RF dual magnetron sputtering. The structure, morphology, mechanical and tribological properties of the coatings were characterised. The results permit us to sum up as follows.

1. Both the pure CrN and VN films are well crystallised. The CrN coating is very dense and presents good mechanical and tribological properties.
2. From the XRD and EDS analyses, the Cr–V–N coatings appear to be composed of a solid solution, with vanadium substitution at chromium sites and exhibit a faceted grain structure with pyramid-like forms.
3. The mechanical and tribological properties of the Cr–V–N coatings were lower than that either the CrN or VN binary coatings are excepted in terms of COF. This may be due to the rough morphological surface and/or oxygen contamination.

4. In this study, the addition of vanadium did not improve the mechanical and tribological properties of the Cr–N system.

## Acknowledgements

The authors would like to thank Mr Gildas Guillemot for the mechanical and tribological measurements carried out at Arts et Metiers ParisTech of Lille and Mr IMHOFF for the SEM and EDS analysis of the test samples at ICB in Dijon. The authors are also grateful to the whole group of LaBoMaP at Arts et Metiers ParisTech of Cluny for their help in the deposition of coatings, the EDS or XRD analyses and residual stresses measurements.

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