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Abstract

**Background:** A reproducibility study of preoperative rib cage 3D measurements was conducted for patients with Adolescent Idiopathic Scoliosis (AIS). No prior reliability study has been performed for preoperative 3D reconstructions of the rib cage by using stereoradiography in patients with preoperative AIS. Our Objective was to assess the reliability of rib cage 3D reconstructions using biplanar stereoradiography in patients with AIS before surgery.

**Materials:** This series includes 21 patients with Lenke 1 or 2 scoliosis (74°± - 20). All patients underwent low-dose standing biplanar radiographs. Two operators performed reconstructions twice each. Intraoperator repeatability, interoperator reproducibility and Intraclass coefficients (ICC) were calculated and compared between groups.

**Results:** The average rib cage volume was 4.71 L (SD ± 0.75 L). 2SD was 0.19 L with a coefficient of variation of 4.1%; ICC was 0.968. The thoracic index was 0.6 (SD ± 0.1). 2SD was 0.03 with a coefficient of variation of 4.7 % and a ICC of 0.820. As for the Spinal Penetration Index (6.4%; SD ± 2.4), 2SD was 0.9 % with a coefficient of variation of 14.3 % and a ICC of 0.901. The 3D rib hump 2SD (average 27° ± 8°) was 1.4°. The coefficient of variation and ICC were respectively 5.1% and 0.991.

**Conclusion:** 3D reconstruction of the rib cage using biplanar stereoradiography is a reliable method to estimate preoperative thoracic parameters in patients with AIS.

**Level of evidence:** Diagnostic study- Level IV
INTRODUCTION

Following the development of biplanar stereoradiography, the 3D radiographic assessment of Adolescent Idiopathic Scoliosis has become much more accessible, making reconstructions easier and faster. Biplanar X-rays allow 3D reconstructions of both vertebrae and rib cage. The reconstruction method for vertebrae is well-known today and its reliability has already been assessed: [1] Ilharreborde et al have shown excellent intraoperator repeatability and interoperator reproducibility for preoperative and postoperative spinal reconstructions in AIS patients. This study confirmed the reliability of 3D parameter measurements in patients with severe scoliosis. Accuracy and reproducibility of 3D rib cage reconstruction have been assessed for moderate scoliosis with a mean major curve of 37° [2] but not for severe scoliosis. Rib cage deformity [3] due to severe scoliosis can lead to restrictive lung disease [4], [5], and pulmonary function is one of the major issues in the management of AIS. CT-scan or MRI are usually performed to assess a three-dimensional deformity and are the gold standard to assess stereoradiography accuracy. Both techniques have the disadvantage of being performed supine, which modifies the frontal and transverse spinal curvatures [6], [7] and require the digital generation of stereoradiography. CT-scan and repeated traditional X-rays generate a significant radiation exposure [8], [9]. Biplanar radiographs combined with 3D reconstructions of the spine and rib cage could be a more effective method for the evaluation of the relationships between thoracic parameters and pulmonary function in AIS. However, because of the curve severity, the visibility of the anatomical landmarks used for reconstruction could be altered with an impact on the reliability of the clinical parameters. The purpose of this study was to assess the reliability of preoperative 3D rib cage reconstructions and associated clinical parameters using low-dose biplanar stereoradiography in patients with severe AIS.

MATERIALS AND METHODS

Patients

Twenty-one patients with severe Lenke 1 or 2 AIS (main thoracic curve > 50°) were prospectively included after institutional board approval. The cohort was composed of a consecutive series of patients followed by a single senior surgeon in our institution. Data collection was approved by the institution’s ethical committee.

Biplanar stereoradiography system

Low-dose biplanar X-rays were acquired routinely in our institution. Patients were in erect free standing position, hands on clavicles to avoid any superimposition with the spine. Exposure parameters were 83 kV and 200 mA for the anteroposterior (AP) X-ray; 102 kV and 200 mA for the lateral view. All images included at least both the last cervical vertebra (C7) and the pelvis. Dose area product averaged 411 mGy·cm² for the AP X-ray and 656 mGy·cm² for the lateral X-ray. Acquisitions were performed using a slot-scanning radiological device (EOS
system, EOS Imaging, Paris, France) consisting of two X-ray sources, allowing simultaneous acquisition of two images. The sources are coupled with linear detectors that are based on micromesh gaseous structure technology[10], [11]. The two source-detector pairs are positioned orthogonally so that the patient’s anteroposterior and lateral images are generated simultaneously line by line, while the whole system is vertically translated. Scan time lasts from 8 to 15 seconds for a spinal examination, depending on patient’s height. Patients held their breath during the 6-10 seconds EOS acquisition.

Reconstruction Technique

The 3D reconstruction of the spine and rib cage from biplanar X-rays using previously validated parametric models[2], [12]. For the reconstruction of the rib cage, a first estimation was made by contouring the ribs and positioning anatomical landmarks such as the sternum and the most posterior points of the ribs on lateral X-rays (Figure 1). This first estimation of the rib cage morphology is also based on a preliminary 3D reconstruction of the spine in order to automatically define the position of the costo-vertebral joints, and thus the starting point of the ribs midlines. This first estimation was then superimposed on the AP and lateral images, and the operator manually fine-tuned the model through control points placed on the ribs until each rib perfectly fit the X-ray image (Figure 2). This process takes 15 minutes approximatively depending on the major curve severity.

Measurement methods:

Two operators (medical doctors) completed individual training courses on the 3D reconstruction of healthy and mild scoliosis rib cage, and they validated their acquired skill by comparing their intra-operator reproducibility with published values. These two operators performed 3D reconstructions of the rib cage twice for each patient (total 42 reconstructions per operator). The operators performed the reconstruction starting from the same spinal 3D reconstruction; this was done to estimate the reliability of the rib cage reconstruction independently of the spine.
The following parameters were calculated for each patient: rib cage volume (cm³), thoracic index (%), maximum anteroposterior diameter (mm), maximum width (mm), spinal penetration index (%), maximal rib hump 2D (in the horizontal plane) and 3D (°).

Rib cage volume was obtained integrating surfaces extracted from rib reconstructions. The spinal penetration index corresponded to the percentage of rib cage volume penetrated by spinal volume between the third and tenth rib pairs. The thoracic index was calculated as the ratio of the anteroposterior diameter of the thorax and its transverse diameter. Spinal and pelvic parameters were also calculated: main thoracic curve, T1-T12 kyphosis, T4-T12 kyphosis, torsion index, apical vertebral rotation and the pelvic parameters (pelvic tilt, pelvic incidence, sacral slope).

**Statistical analysis**

The statistical analysis was made by an independent statistician who was blinded from patients and their clinical status. Reliability of the rib cage reconstruction was quantified by estimating the intra-operator repeatability and inter-operator reproducibility of each clinical parameter. The ISO 5725 international standard was used to calculate repeatability and reproducibility in terms of standard deviation (standard deviation of reproducibility, SDr). Values were reported as twice the SD (2SD) to represent the 95% confidence interval, consistently with previous literature [2].

Intraclass correlation coefficient (ICC) was also calculated: ICCs greater than 0.91, between 0.71 and 0.91, between 0.51 and 0.70, or less than 0.51 were considered to represent very good agreement, good agreement, moderate agreement, or poor agreement, respectively.

**RESULTS**

**Spinal and pelvic parameters**

The average major curve of the 21 included patients was 74° ± 20° (range 50-131°), confirming the severe stage of the idiopathic scoliosis in our series. The anatomical landmarks were clearly distinguishable by varying the digital image luminosity and contrast to optimally reveal the vertebrae and pelvis. In particular, the ribs and sternum were visible in all cases. Consequently, the 6 thoracic parameters were measurable in all 21 patients. The mean values of the spinal and thoracic parameters of the series are summarized in Table 1 and 2.

<table>
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<tr>
<th>Table 1. Mean Values of spinal and pelvic parameters</th>
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<tr>
<td>Mean</td>
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<td>-------</td>
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<tr>
<td>Cobb angle (°)</td>
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<tr>
<td>Torsion index (°)</td>
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<tr>
<td>T1-T12 kyphosis (°)</td>
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<tr>
<td>Lordosis L1-S1 (°)</td>
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<td>Pelvic tilt (°)</td>
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<td>Pelvic incidence (°)</td>
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**Reproducibility and repeatability**

The measurement repeatability (intraoperator) and reproducibility (interoperator) have been reported in Table 3 and compared to those obtained
by Aubert et al[2] with mild scoliotic patients. The reproducibility was 387.3 cm³ and 1.9% for the two most significant thoracic parameters (rib cage volume and spinal penetration index). Intraclass coefficient was higher or equal to 0.8, showing a good or very good agreement for all parameters.

Bland-Altmann plots for rib cage volume, spinal penetration index and Rib hump results are represented in figure 3, 4 and 5, respectively. They show good agreement between operators and the absence of outlier, as well as robustness of measurements uncertainty with the severity of the scoliosis (i.e., the uncertainty does not increase as the parameter value increases).

### DISCUSSION

AIS represents the most common three-dimensional chest deformity in the general population[13]. Rib cage radiographic assessment was previously performed with chest X-rays allowing only AP and lateral views that were a projection of a three-dimensional deformity in two dimensions. Over the past two decades, three-dimensional imaging (MRI and CT) have growingly been used for that purpose. Recently, a significant relationship has been shown between chest parameters such as the hump or the apical vertebral rotation with clinical parameters[14], [15]. Both examinations have had a restrictive use either because of their irradiation (CT-scan) or their complexity, accessibility and cost (MRI). Besides, it has been shown that chest parameters varied between the supine and standing position [7]. Moreover, CT raises an issue for children because of high radiation doses and increased risk of radiation-induced cancer[16]. The studied parameters have a clinical interest in the diagnosis, monitoring and surgical strategy in AIS. Biplanar stereoradiography is a fast and low-dose technology to obtain a three-dimensional chest deformity.
dimensional model-based reconstructions of the spine and rib cage for patients in erect standing posture[12], [17]–[19].

A semi-automated reconstruction method was used in this study. A few studies[20]–[22] showed the validity of this type of semi-automated reconstruction process compared with CT-scan based reconstructions. This allows a significant time reduction for spinal reconstructions, which is essential for routine clinical use. Sabourin and al [22] used a semiautomatic method as well, but in three steps. Rib trajectories were determined using four landmark points. This model of the rib cage constituted the prepersonalized rib cage model which was deformed to personalize the model. The main limitation was that each rib had to be individually marked, which was time consuming. The evolution proposed by Aubert et al dramatically reduced the reconstruction time, but it was only validated on mild and moderate scoliosis.

The average rib cage volume was 4700 cm$^3$; the interoperator reproducibility was 387.3 cm$^3$ (8%) while the intraoperator repeatability was 233.4 cm$^3$ (4%). Comparing our results with Aubert et al[2] they reported an interoperator reproducibility of 294 cm$^3$ and an intraoperator repeatability of 235 cm$^3$. The difference between these results could be explained by the severity of scoliosis in our patients (mean major curve of 74° vs 28° in the compared

<table>
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<th>Table 3. Rib cage measurements reproducibility and repeatability</th>
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<tr>
<td><strong>Intraoperator repeatability (2SD, coefficient of variation %)</strong></td>
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<tr>
<td>Rib cage volume (cm$^3$)</td>
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<tr>
<td>Spinal penetration Index (°)</td>
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<tr>
<td>3D Rib Hump (°)</td>
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<tr>
<td>2D Rib Hump (°)</td>
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<tr>
<td>Maximum Width (mm)</td>
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<tr>
<td>Maximum Thickness (mm)</td>
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<td>Thoracic Index (%)</td>
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![Figure 4: Spinal penetration index interoperator reproducibility: correlation between operators and Bland-Altman plot.](image-url)
study). In fact, in severe cases, costal deformations make the initial semi-automated reconstruction system less efficient, and the subsequent manual adjustment more complicated. The spinal penetration index reproducibility was 1.9° while Aubert et al. reported 1.2°. The rib hump reproducibility was 3.5° in our study (5° reproducibility reported by Aubert et al.). This last result could be surprising; however, the smaller uncertainty in rib hump observed in severe scoliosis might be due to the highly asymmetrical rib cage, which allows an easy distinction of the left and right ribs at the patient’s posterior aspect, thus reducing left-right inversion errors.

After analysing patient parameters with the greatest differences in reproducibility, we have not been able to identify factors significantly associated with poor reproducibility. In particular, an increase in scoliosis severity was not associated with a reproducibility decrease, as shown by the Bland-Altmann plots (Figures 3-5).

It is encouraging that low radiation techniques are being developed to estimate 3-D thoracic dimensions in spine deformity, but the validation of this technique in this report seem based solely on statistical analysis, not on pulmonary function testing or breathhold CT scans. The relationship between pulmonary function tests and rib cage deformity will be reported in a further study. We did observe good correlations between pulmonary function and rib cage geometrical parameters. However, before publishing these results, it was important to determine the uncertainty of the 3D reconstructions for such severe scoliotic spines.

We fully recognize some limitations in our study as for instance, it would be interesting to also assess the reproducibility of rib cage biplanar reconstruction after the surgery. However, it is unlikely that the surgical material affects the reproducibility of the rib cage. The reproducibility of the post-operative spine biplanar reconstructions have already been validated.[1] Biplanar x-rays can be used in young children. However, this requires them to remain standing still for fifteen seconds. The reconstructions of rib cage deformity due to severe abnormal development could be difficult. Moreover, bony structures are less visible in very young children because of incomplete calcification.

CONCLUSION

This study describes a reliable and reproducible three dimensional approach to evaluate rib cage parameters preoperatively in patients with AIS using biplanar X-rays, even for severe curves. The described parameters could have a clinical use in the scoliotic patient’s follow-up. A full structural analysis of the spine and rib cage can be completed with only two low-dose biplanar radiographs.
References


