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# Impact of ZnO nanoparticle morphology on relaxation and transport properties of PLA nanocomposites

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## ABSTRACT

In this work we study the effect of zinc oxide (ZnO) nanoparticle morphology and concentration on the resulting relaxation and transport properties of poly(lactide) (PLA) nanocomposites. Films containing spherical and rod-shaped ZnO nanoparticles were incorporated into an amorphous poly(lactide) (PLA) matrix through a solvent-precipitation and compression moulding method. Morphological analyses carried out by scanning electron microscopy (SEM) together with ultraviolet–visible (UV-Vis) spectroscopy and thermogravimetric analysis (TGA). Results indicate a much better distribution of rod-shaped ZnO within PLA matrix. Relaxation experiments reveal faster physical aging kinetics of PLA in presence ZnO nanoparticles notwithstanding their shape, suggesting the presence of non-interacting surfaces between the amorphous PLA matrix and the ZnO nanoparticles. Interestingly, both helium and oxygen permeability remained stable or increase upon nanoparticle addition, and anisole sorption kinetics showed faster mass transport in the nanocomposites, suggesting that the low interfacial adhesion between PLA and ZnO brings supplementary voids to the material increasing mass transport. Overall, the experimental findings here reported provide a deeper understanding on the influence of metal oxide nanoparticle morphology on the resulting relaxation and gas transport properties of amorphous polymeric nanocomposites.

## 1. Introduction

One of the most promising biopolymers able to replace the petroleum-derived polymers for industrial applications is poly (lactide) (PLA). PLA is a linear aliphatic thermoplastic polyester derived from 100% renewable resources such as sugar, corn, potatoes, cane, beet, etc. [1]. PLA possesses interesting physical properties together with biocompatibility and biodegradability character, which are all strongly influenced by its stereochemistry and molecular weight. Industrial PLA is mostly polymerized from L-lactide and D,L-lactide, where the L-isomer is the main fraction. PLA emerges as a potential candidate for consumer products such as packaging due to its transparency, degradability, low toxicity and environmentally benign characteristics. Nevertheless, there are some drawbacks, such as its high brittleness,

poor crystallization behaviour and low gas barrier properties that limit its current use [2,3].

In order to maintain food quality, food packaging materials should protect the product from the environment. When using PLA as a packaging material, this requires minimising both UV and gas permeability of the neat polymer, critical properties to increase the packaged-food shelf-life as oxygen and water vapour permeation through the film would cause oxidation of lipids and microbial growth respectively [4]. Usually, traditional polymers such as polyethylene terephthalate (PET), polyethylene (PE) and polypropylene (PP), are laminated to other materials (barrier films or aluminium foil), coextruded with barrier resins (PVOH, EVOH), coated or metalized to improve their barrier properties. This implies the use of a considerable amount of plastic, materials and adhesives, which can make the recycling processes

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difficult [5]. In contrast, blending polymers with nanoscale particles have been shown as a cost-effective and easily scalable approach to tackle this matter, making the hosting PLA a competitive alternative to currently used petrochemical polymers [6].

To improve the light barrier properties of PLA, we chose to add inorganic nanoparticles, namely zinc oxide (ZnO). ZnO is a multifunctional inorganic material with: i) an optical band gap in the UV region (3.37 eV) that makes it appropriate as UV-light absorber additive similarly to other inorganic materials [7] ii) high thermal stability and proper antimicrobial properties [8], iii) high photostability and has been approved by the American Food and Drug Administration (FDA) due to its low toxicity [9]. In this line, recent studies have highlighted the efficiency of ZnO inclusion into a PLA matrix in order to obtain films which improve the shelf-life of packaged fresh-cut products [10], and effectively inactivate microbial growth when in contact with food [11].

Whereas numerous aspects of the inorganic-polymeric nanocomposites have been extensively studied, ranging from their processing to the characterization of their mechanical and physical properties, the effect of nanoscale fillers on the so-called physical aging behaviour of glassy polymers remains relatively uncharted. This aging behaviour deals with the evolution of thermodynamic properties (enthalpy, volume, etc.) towards equilibrium, occurring below the glass transition temperature ( $T_g$ ) [12,13]. Since physical aging may result in many deleterious effects ranging from embrittlement to reduction in permeability and dimensional instability, it has been extensively studied for bulk polymer systems in the past years [14–17]. In the case of polymer nanocomposites and, more generally, nanoscale confined materials such as polymer thin films or polymers in nanopores, the physical aging process can be dramatically modified in comparison to the same process in bulk polymers [18,19]. This is due to the fact that nanoscale confinement may induce two kinds of effects on polymer segmental mobility: i) molecular mobility in glass-forming systems is generally associated with a typical length scale and the presence of a physical barrier in confined materials may modify the size and the temperature dependence of such a length scale; ii) the surface effect is responsible for mobility changes at the interface: polymer-nanoparticle interface in nanocomposites; polymer-matrix in nanoporous materials; polymer-air or substrate in thin films. These peculiarities of physical aging in nanoscale confined polymers explain the emerging interest of the scientific community in the subject [18].

In this work, a non-crystallisable poly(lactide) has been selected to develop nanocomposites having both spherical (PLA/ZnO<sub>s</sub>) and rod-shaped ZnO nanoparticles (PLA/ZnO<sub>r</sub>). Contrarily to the majority of the works reported so far, a non-crystallisable polymer has been selected as a model matrix in order to avoid the effects associated with the presence of crystalline phases on the resulting physical aging of the nanocomposite. The effect of nanoparticles on the glass transition, physical aging of the polymer and polymer relaxation during mass transport studies has been investigated. Physical aging has been evaluated through the study of mass transport across aged samples, using Helium, Oxygen and Anisole, an organic vapour able to swell PLA.

## 2. Materials and methods

### 2.1. Starting materials

PLA with a commercial name Ingeo™ 4060D was purchased from NatureWorks LLC. Rod-shaped ZnO nanoparticles (termed as ZnO<sub>r</sub>) were purchased by L'Urederra technological centre (Spain), while spherical ZnO nanoparticles (termed as ZnO<sub>s</sub>) were supplied by Plasmachem GmbH (Germany). Dichloromethane (CH<sub>2</sub>Cl<sub>2</sub>), methanol (CH<sub>4</sub>O) and anisole were obtained from LabScan, Panreac and Sigma Aldrich (France) respectively.

### 2.2. Sample preparation

Samples have been prepared by solvent-precipitation followed by compression moulding. Nanoparticles (NPs) were homogeneously suspended in CH<sub>2</sub>Cl<sub>2</sub> via sonication (and they were added to previously dissolved PLA to yield nanocomposites containing 0, 0.2, 0.5, 1, 5 and 10 wt%). Another sonication step has been applied to dispersions before precipitating them in an excess of cold methanol. After vacuum drying (48 h at 60 °C), films were fabricated by compression moulding at 200 °C for 3 min under a pressure of 150 MPa (films were water quenched to allow their solidification). The films were stored in a desiccator over P<sub>2</sub>O<sub>5</sub>.

### 2.3. Morphological analysis

Transmission electron microscopy (TEM) analyses were carried out using a Philips CM120 Biofilter apparatus with STEM module at an acceleration voltage of 120 kV. Raw ZnO nanoparticles were analyzed depositing a droplet of nanoparticle suspension (0.1% (w/w)) in distilled water on a carbon-coated grid. The dispersion ZnO within the PLA matrix was evaluated using ultrathin sections of the nanocomposites (thickness of approx. 150 nm; samples cutted using a Leica Ultracut UCT ultramicrotome equipped with a diamond knife).

### 2.4. Thermogravimetric analysis

Thermal stability of nanocomposites was analyzed in a TGA METTLERLETOLEDO 822e Thermal Gravimetric Analysis (TGA) instrument by heating the samples from room temperature to 500 °C at 10 °C/min under N<sub>2</sub> atmosphere.

### 2.5. Ultraviolet-visible (UV-Vis) spectroscopy

UV-Vis transmittance spectra were recorded with a Shimadzu MultiSpec-1501 spectrophotometer. Total transmittance experiments have been analyzed in the range of 190–800 nm with a sampling interval of 1 nm and 25 accumulations.

### 2.6. Differential Scanning Calorimetry analysis (DSC)

Thermal analyses of the samples were analyzed by means of the Differential Scanning Calorimetry analysis (DSC) (DSC-Q100 from TA Instruments) apparatus under 50 mL/min N<sub>2</sub>-flow. The samples (3–5 mg) were sealed in TZero aluminium pans. The DSC was temperature calibrated with Zn and In standards. The calibration of the heat-flow was carried out with In-standards and the calibration of the baseline with a sapphire disk. The samples were scanned by a heat-cool-heat loop from 0 to 180 °C at 10 °C/min and the glass transition temperature ( $T_g$ ) was determined as the mid-point of the heat capacity step at the second heating scan. The value of the glass transition temperature of PLA was used for the determination of the temperature program of physical aging with aging temperature equal to  $T_a = T_g - 15^\circ\text{C} = 39^\circ\text{C}$ . Fig. S1 in the Supporting Information represents the applied aging program.

For the study of physical aging, all experiments began with a heating ramp until 180 °C in order to erase the material's previous thermal history. The samples were subsequently cool-heat loop from 0 to 150 °C at a scanning speed of 10 °C/min.

Physical aging times  $t_a$  varied from 0 to 120 h in the DSC. Furthermore, a longer aging experiment was carried out in an external oven at 39 °C ( $t_a \geq 2$  weeks). These samples were scanned in the DSC with the same temperature program (heat-cool-heat cycle from 0 to 150 °C at 10 °C/min). The enthalpy of recovery was calculated by integration of the recovery peak using Eq. (1):

$$\Delta H(T_a, t_a) = \int_{T_x}^{T_y} (C_p^a(T) - C_p^u(T)) dT, \quad (1)$$

where  $C_p^a$  and  $C_p^u$  are respectively the specific heat of the aged and unaged samples normalized by the PLA content, and  $T_x$  and  $T_y$  are respectively temperatures below and above the calorimetric  $T_g$ . For each polymer,  $T_x$  and  $T_y$  were chosen as the temperatures at which the unaged and the aged sample scans superimposed below and above  $T_g$ , respectively.

## 2.7. Gas permeability testing

The oxygen permeability was measured using a Systec (France) permeability tester 8001 at 23 °C and 0% Relative humidity (RH) and a pressure gradient of 1 atm. The recorded oxygen transmission rate was normalized by the sample surface and thickness (measured at 10 points) for the calculation of the oxygen permeability.

Based on the same principle the helium permeability was measured by a home-made apparatus developed at CNAM with the help of a mass spectrometer. The measurements were performed at 23 °C and 0% RH on the sample that was used for measuring oxygen permeability.

## 2.8. Anisole sorption experiments

The gravimetric method was used for the measurement of the anisole sorption kinetics (see Table S1 for the physicochemical properties of anisole) [20]. The sorption curves were recorded at 40 °C and 0% RH, using an electronic microbalance operating at a fixed partial pressure (Intelligent Gravimetric Analyser 002, Hiden Isochema Ltd, Warrington, UK, (precision 0.2 µg). Films of ~15 mg and thickness ~100–300 µm were suspended from the microbalance by a stainless steel pan, which was contained in a thermo-regulated cell. The microbalance itself was maintained at 50 °C to ensure stability during the weight measurement and prevent solvent condensation. To ensure low water content, the samples were stored in a desiccator over P<sub>2</sub>O<sub>5</sub> before use.

After the samples had been loaded into the microbalance, they were purged for 24 h at 10<sup>-5</sup> mbar (10<sup>-3</sup> Pa) to remove all volatile compounds, which were sorbed in the film and present in the chamber. The anisole partial pressure, which corresponded to an activity of 0.2 (Table S1, Supporting Information), was set in the measuring chamber, which was connected to a tank with a vapour phase saturated in anisole. The partial pressure was regulated using a pressure transducer (Baratron®, MKS Instruments, Wilmington, MA, USA). The mass uptake of the samples was recorded automatically over 5 days. The results of the preliminary experiments showed that there is some inevitable leakage past the copper seals, which, over time, leads to the dilution of the organic vapours by incoming air. Therefore, a pressure perturbation was introduced at regular time intervals to ensure a constant partial pressure while the measurement was being performed. This pressure perturbation forces the electronics of the microbalance to re-regulate the partial pressure by pumping part of the atmosphere in the chamber and re-regulating by connecting the organic vapour reservoir. It has a time constant, which is very different from the characteristic time of diffusion. Therefore, it was easily cancelled out in the curve analysis. In the following, the pressure perturbation is visible in the experimental data and corresponds to the spikes in the mass uptake curves.

The data treatment was performed using Crank's solution of Fick's second law for diffusion in a plane sheet [21]. At short times and assuming constant surface concentrations, the solution becomes:

$$\frac{m_t}{m_{eq}} = 4\sqrt{\frac{Dt}{L^2}} \left[ \frac{1}{\sqrt{\pi}} + 2 \sum_{n=0}^{\infty} (n^{-1})^n \operatorname{erf} \frac{nL}{2\sqrt{Dt}} \right], \quad (2)$$

with  $m_t$  being the relative mass uptake at time  $t$ ,  $m_{eq}$  being the relative mass uptake at Fickian equilibrium,  $L$  being the half sample thickness,

and  $D$  the diffusion coefficient. At short times ( $\frac{m_t}{m_{eq}} \leq 0.5$ ) and assuming a constant  $D$ , the term of the sum can be neglected, reducing the equation to

$$\frac{m_t}{m_{eq}} = 4\sqrt{\frac{Dt}{L^2}}. \quad (3)$$

The diffusion coefficient can be obtained from the slope of the curve  $\frac{m_t}{m_{eq}} = f\left(\frac{\sqrt{t}}{L}\right)$ . In the case of thin samples, non-Fickian diffusion of anisole in PLA can be observed [20]. In this case, the equilibrium mass uptake was assumed to correspond to the change of slope of the  $\frac{m_t}{m_{eq}} = f\left(\frac{\sqrt{t}}{L}\right)$  curve. This assumption was validated with Espino's methodology [20]. Because of the high sample thicknesses of the PLA/ZnO nanocomposites, the equilibrium sorption could be not reached within the experimentally feasible time. In that case, the uncertainty on the determination of the diffusion coefficient was high. For that, the transport rate was discussed with the help of the normalized slope of the experimental curve.

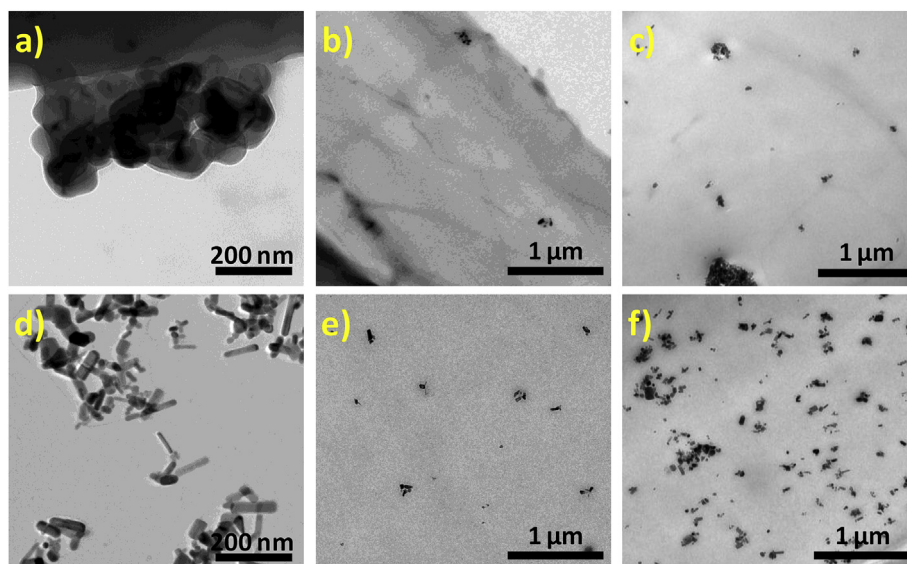
## 3. Results and discussion

### 3.1. Nanoparticle dispersion

It is well-established that the macroscopic properties of nanocomposites closely depend on the nanoparticle dispersion within the hosting matrix [22]. To that end, transmission electron microscopy (TEM) has been applied to both PLA/ZnO<sub>r</sub> and PLA/ZnO<sub>s</sub> nanocomposites. TEM has firstly carried out with raw ZnO nanoparticles to determine their size and morphology. TEM micrographs in Fig. 1a for spherical nanoparticles (ZnO-s) and in Fig. 1d for rod-shaped nanoparticles (ZnO-r) confirm the nanoscale nature of used fillers, which dimensions are  $43 \pm 24$  nm in length and ~20 nm in width for ZnO-r and 25 nm in diameter for ZnO-s. Micrographs of nanocomposites reveal a non-oriented isotropic distribution of both spherical and rod-shaped ZnO nanoparticles (see Fig. 1b and c and Fig. 1e and f respectively) over the entire polymeric matrix. While nanocomposites containing spherical nanoparticles present entanglements between adjacent NPs, ZnO<sub>r</sub> remain randomly distributed within the PLA. As observed in Fig. 1b–c, when spherical nanoparticle concentration increases from 1 to 10 wt% the entanglements become more evident, resulting in large aggregated structures. On the contrary, rod-shaped nanoparticles could be found over the entire composite for all the studied composition range (Fig. 1e–f). In the light of these results, it could be expected that, for a given concentration, barrier properties would be improved to a larger extent in PLA/ZnO<sub>r</sub> nanocomposites because PLA/ZnO<sub>s</sub> showed a microcomposite structure rather than nanocomposite morphology, resulting less effective for the formation of a tortuous pathway [23]. More precisely, the achieved zinc oxide surface area exposed to PLA chains (and thus to the gas permeating through the material) is much larger in the case of PLA reinforced by rod-shaped ZnO.

### 3.2. Thermal stability of PLA/ZnO<sub>r</sub> and PLA/ZnO<sub>s</sub> nanocomposites

Thermogravimetric analysis (TGA) has been carried out to evaluate the thermal stability of PLA/ZnO<sub>r</sub> and PLA/ZnO<sub>s</sub> nanocomposites (see Table 1 for the characteristic thermodegradation temperatures and maximum degradation rates). As shown in Fig. 2, where the thermogravimetric traces are shown in the left and weight loss rates in the right, one main loss step characterizes the thermal degradation of PLA nanocomposites. In fact, degradation of neat PLA begins at 330.8 °C (determined by the first 5 wt% weight loss) and reaches its maximum weight loss rate at 366.2 °C. When ZnO is added, the peak thermal degradation temperature decreases up to 276.8 °C for the PLA/ZnO<sub>r</sub>



**Fig. 1.** Representative TEM images showing bare ZnO-s (a) and ZnO-r (d) nanoparticles and their dispersion within the PLA matrix: PLA/ZnO<sub>s</sub> 1 wt% (b); PLA/ZnO<sub>s</sub> 10 wt% (c); PLA/ZnO<sub>r</sub> 1 wt% (e) and PLA/ZnO<sub>r</sub> 10 wt% (f).

**Table 1**

Characteristic thermodegradation temperatures ( $T_{5\%}$ ,  $T_{10\%}$  and  $T_{peak}$ ) and maximum degradation rates ( $\alpha_{max}$ ) of neat PLA and its ZnO<sub>r</sub> and ZnO<sub>s</sub> nanocomposites.

Sample	ZnO (wt. %)	$T_{5\%}$ (°C)	$T_{10\%}$ (°C)	$T_{peak}$ (°C)	$\alpha_{max}$ (%/°C)	FWHM (°C)
PLA	0	330.8	340.9	366.2	-0.00632	21.8
PLA/ZnO <sub>r</sub>	0.2	257.6	267.3	300.5	-0.00534	28.8
PLA/ZnO <sub>r</sub>	0.5	250.9	260.9	293.5	-0.00596	24.2
PLA/ZnO <sub>r</sub>	1	250.4	259.3	288.8	-0.00682	20.6
PLA/ZnO <sub>r</sub>	5	242.4	252.6	281.9	-0.00618	21.6
PLA/ZnO <sub>r</sub>	10	237.1	247.1	276.8	-0.00565	23.2
PLA/ZnO <sub>s</sub>	0.2	277.6	287.5	335.2	-0.00346	45.1
PLA/ZnO <sub>s</sub>	0.5	262.6	271.6	308.4	-0.00550	24.4
PLA/ZnO <sub>s</sub>	1	254.9	264.2	297.3	-0.00573	25.3
PLA/ZnO <sub>s</sub>	5	250.2	260.7	289.1	-0.00682	20.9
PLA/ZnO <sub>s</sub>	10	243.3	252.8	281.7	-0.00616	20.8

10 wt% composition.

The marked decrease in thermal stability upon ZnO loading arises from the fact that, as other metal such as Al, Sn and Al, ZnO catalyse PLA depolymerisation generating unzipping depolymerisation and intermolecular transesterification reactions upon heating [24]. It should be noted that, especially for concentrations up to 0.5 wt%, the catalyzing effect of zinc oxide nanoparticles is much more marked in the case of nanocomposite containing rod-shaped NPs ( $T_{5\%}$ , the temperature value of the first 5% weight loss, decreases by 73.2 °C in comparison with 53.2 °C for PLA/ZnO<sub>r</sub> and PLA/ZnO<sub>s</sub> containing 0.2 wt% respectively). Since the catalytic reactions take place at the ZnO interfaces, the amount of ZnO-PLA interfaces would determine the thermal degradation behaviour of nanocomposites. Therefore, these experimental findings suggest that ZnO<sub>r</sub> nanoparticles are better dispersed through the polymer than ZnO<sub>s</sub> and larger concentrations than 0.5 wt% yield similar dispersion degrees for both systems. Finally, the residual mass steeply increases upon loading suggesting that ZnO nanoparticles are not degraded in the studied temperature range.

### 3.3. UV-Vis spectroscopy

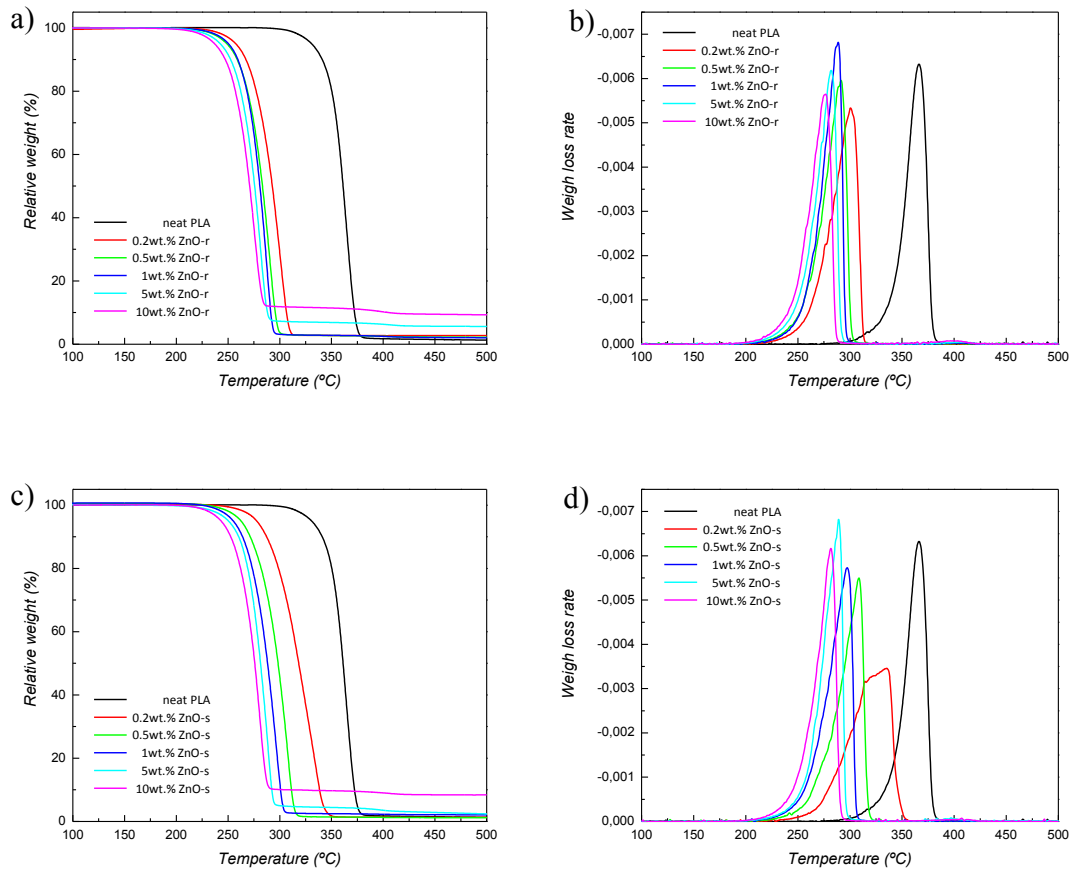
UV-Vis transmittance spectroscopy has been carried out to evaluate the UV-shielding behaviour of fabricated nanocomposites. As depicted

in Fig. 3, while neat PLA presents high transparency over the 250–800 nm region, the transmittance of nanocomposites, which accounts for the relative number of photons that pass through the film under an incident light, continuously decrease upon ZnO loading.

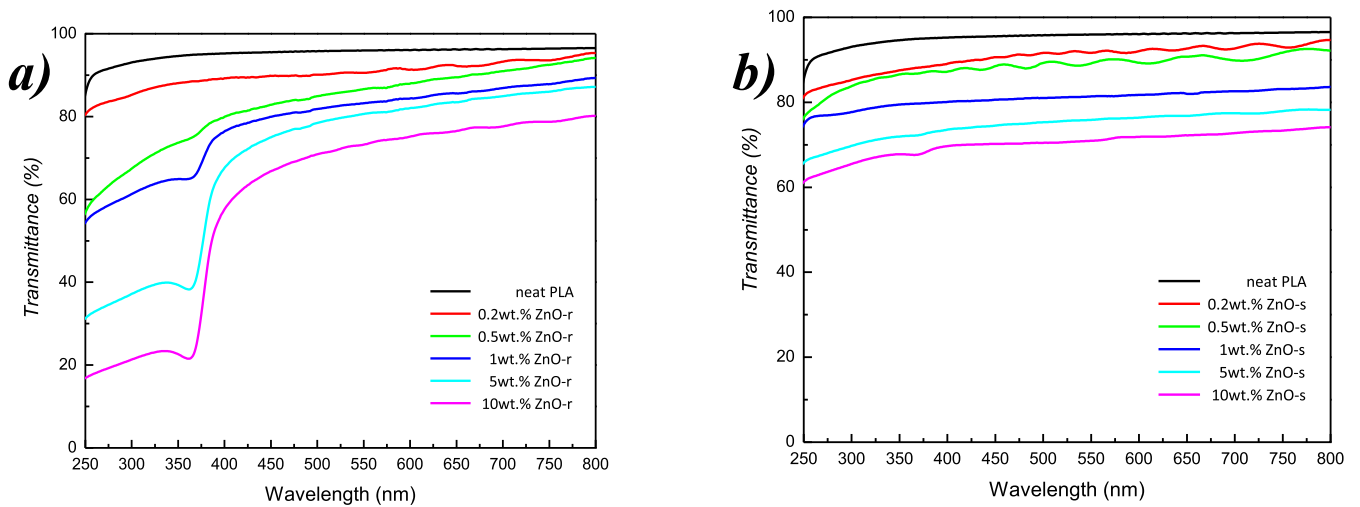
Table 2 summarizes the transmitted light in both UV and visible regions, where remarkable differences could be observed between both types of nanoparticles, especially in the UV region. Unlike ZnO<sub>r</sub> nanoparticles, which show a strong exciton absorption band at 363 nm, ZnO<sub>s</sub> does not show any characteristic exciton absorption in the studied range. Accordingly, the addition of ZnO<sub>r</sub> reduces the amount of transmitted ultraviolet light from 94.8% for PLA to 21.5% for its PLA/ZnO<sub>r</sub> 10 wt% nanocomposite. This may be ascribed to the fact that ZnO nanoparticles absorb UV light because of their electronic structure characterized by an empty conduction band and a filled valence band. It is shown that spherical zinc oxide does not substantially block UV light, which may be due to aggregation of NPs. Moreover, the transparency of nanocomposites which, according to the ASTM D1746-03 standard, is defined as the amount of transmitted light in the 540–560 nm range, drops from 96% for neat PLA to 73.3 and 70.9% for nanocomposites containing 10 wt% of ZnO<sub>r</sub> and ZnO<sub>s</sub>, respectively. The lower decrease in transparency for the PLA/ZnO<sub>r</sub> system could be explained by the fact that, according to the Rayleigh scattering, upon particle aggregation a transparency loss and film whitening occurs. This is in agreement with TEM micrographs shown in Fig. 2, where the dispersion of ZnO<sub>r</sub> within PLA was more homogeneous than that using ZnO<sub>s</sub>.

### 3.4. Glass transition and physical aging of PLA, PLA/ZnO<sub>r</sub> and PLA/ZnO<sub>s</sub> nanocomposites

The impact of the two types of nanoparticles on the polymer relaxation phenomena was probed by analysing the glass transition and the physical aging kinetics. The main results are presented in Table 3 and the DSC curves are shown in the Supporting Information (Fig. S2 to Fig. S5). The glass transition temperature ( $T_g$ ) of the PLA/ZnO<sub>r</sub> nanocomposites was slightly inferior to that of PLA. This can be explained by a probable degradation of the PLA chains during film preparation, although the material was thoroughly dried before hot-moulding of the films. Indeed, ZnO particles are known to be able to catalyse the degradation reaction of PLA [24,25]. Some works have reported on the use of surface treatments such as silanization to protect the polymer during melt processing. Pantani et al. [26] and Murariu et al. [28] did not observe a shift to  $T_g$  in PLA/ZnO nanorods composites produced by



**Fig. 2.** A) Thermogravimetric traces and b) weight lost rates of PLA/ZnO<sub>r</sub> nanocomposites; c) thermogravimetric traces and d) weight lost rates of PLA/ZnO<sub>s</sub> nanocomposites.



**Fig. 3.** UV-Vis transmittance spectra of PLA/ZnO<sub>r</sub> films (a) and PLA/ZnO<sub>s</sub> films upon ZnO concentration.

melt compounding using surface compatibilisation, while Anzlovar et al. [27] reported that the average molar mass of PLA in PLA/ZnO nanocomposites obtained by melt extrusion was almost halved. They observed a  $T_g$  increase of 36 °C upon inclusion of 1% ZnO nanoparticles by injection moulding, which was a much larger shift than that observed in the present work. The inclusion of PLA/ZnO<sub>s</sub> nanocomposites at low concentrations had no impact on the  $T_g$  (Table 3). Starting with a concentration of 1 wt%, the  $T_g$  shifted to lower temperatures. The behaviour is consistent with the data of the thermal stability of the nanocomposites. Fig. 2 shows that nanocomposites of ZnO<sub>s</sub> 0.2 and

0.5 wt% are slightly more stable than the other materials. Furthermore, the height of the heat capacity step at the glass transition of all materials was constant (Table 3, column  $\Delta C_p$ ).

The width of the glass transition is a measure of local dynamic heterogeneity in a polymer and extensive literature exists investigating the impact of nanocharges on the local mobility of macromolecules [29]. Table 3 shows that the width of the glass transition increased in the case of PLA/ZnO<sub>r</sub> 5 and 10 wt%, but only in the case of PLA/ZnO<sub>s</sub> 10 wt%. The presence of the ZnO nanoparticles in the material could therefore impact the local chain mobility. The ZnO<sub>s</sub> particles had

**Table 2**

Transmitted light (%) in the UV Region (360 nm) and visible region (540–560 nm) through nanocomposites films having  $\sim 10 \mu\text{m}$  thickness.

	PLA/ZnO <sub>r</sub>		PLA/ZnO <sub>s</sub>	
	360 nm	540–560 nm	360 nm	540–560 nm
0 wt.%	94.8	96.0	94.8	96.0
0.2 wt.%	88.4	90.6	87.9	91.7
0.5 wt.%	74.5	86.7	86.7	89.2
1 wt.%	64.9	83.2	79.6	81.4
5 wt.%	38.3	80.7	72.1	75.9
10 wt.%	21.5	73.3	67.7	70.9

higher tendency to aggregate, which might explain that the effect is only observed at the highest concentration [30], as previously showed for the inclusion of carbon nanotubes into PLA, which resulted in an increase of dynamic heterogeneity.

In the aim to investigate further the impact of the presence of the ZnO nanoparticles on the non-equilibrium structural relaxation of PLA, a study of the physical aging was performed. The total recovery of enthalpy ( $\Delta H_{\infty}$ ) was estimated after the relation

$$\Delta H_{\infty} = \Delta C_p (T_g - T_a). \quad (4)$$

The results are given in Table 3 and show the impact of the degree of undercooling on the estimated value. At equal degree of undercooling, the presence of ZnO particles had no impact, being a result of the absence of change in  $\Delta C_p$ . The increase of the recovered enthalpy with increasing aging times of the PLA/ZnO nanocomposites is shown in Fig. 4. The quantity of recovered enthalpy at the longest aging times was near to the estimated total enthalpy loss, which showed that the theoretical thermodynamic equilibrium (within the measurement uncertainty) was reached within the experimental time. The  $\Delta H$  values of PLA reached after 1000 min aging are comparable to already published data [31–33]. The curves in Fig. 4a–b shows hardly any difference among the PLA/ZnO<sub>r</sub> nanocomposites with different particle concentrations. All nanocomposites curves are having higher recovery of enthalpy than PLA at a given aging time. Dispersion of experimental data was higher in the case of ZnO<sub>s</sub> nanocomposites (Fig. 4b). For quantification purposes, the relaxation rate was calculated using the data in Fig. 4 after the following equation [34]:

$$\beta_H = [\partial \delta_H / \partial (\log t_a)]_{q_1, q_2, T_a}, \quad (5)$$

where  $\beta_H$  is the relaxation rate in  $\text{J g}^{-1}$  per decade,  $\delta_H$  the enthalpy loss in  $\text{J g}^{-1}$ ,  $t_a$  the aging time,  $q_1$  the cooling rate in  $^{\circ}\text{C min}^{-1}$ ,  $q_2$  the heating rate in  $^{\circ}\text{C min}^{-1}$  and  $T_a$  the aging temperature. The rates  $q_1$  and  $q_2$  were fixed at  $10^{\circ}\text{C min}^{-1}$ . The results are listed in Table 3. The observed relaxation rate of the neat PLA was faster than the value published by Pan et al. [34] ( $1.77 \text{ J g}^{-1}$  per decade) at similar

**Table 3**

Summary of thermal properties of PLA and PLA/ZnO nanocomposites.

Sample	ZnO (wt.%)	$T_g$ ( $^{\circ}\text{C}$ )	Width ( $^{\circ}\text{C}$ )	$\Delta C_p$ ( $\text{J g}^{-1} \text{ min}^{-1}$ )	$\Delta H_{\infty}$ ( $\text{J g}^{-1}$ )	$\beta$ ( $\text{J g}^{-1}/\text{lg}(\text{min})$ )
PLA	0	$56 \pm 1$	$5.1 \pm 0.5$	$0.554 \pm 0.012$	9.2	$2.3 \pm 0.1$
PLA_ZnO <sub>r</sub>	0.2	$50 \pm 2$	$6.4 \pm 1$	$0.562 \pm 0.005$	6.2	2.8
PLA_ZnO <sub>r</sub>	0.5	$50 \pm 2$	$5.9 \pm 0.5$	$0.554 \pm 0.009$	6.2	2.6
PLA_ZnO <sub>r</sub>	1	$52 \pm 1$	$5.5 \pm 0.5$	$0.556 \pm 0.016$	7.0	2.5
PLA_ZnO <sub>r</sub>	5	$49 \pm 1$	$6.5 \pm 0.5$	$0.574 \pm 0.039$	6.0	2.1
PLA_ZnO <sub>r</sub>	10	$49 \pm 1$	$6.7 \pm 0.5$	$0.520 \pm 0.005$	5.1	2.0
PLA_ZnO <sub>s</sub>	0.2	$57 \pm 1$	$5.4 \pm 2$	$0.526 \pm 0.005$	9.3	2.4
PLA_ZnO <sub>s</sub>	0.5	$56 \pm 1$	$4.0 \pm 0.5$	$0.536 \pm 0.005$	9.2	2.3
PLA_ZnO <sub>s</sub>	1	$51 \pm 1$	$5.0 \pm 0.5$	$0.557 \pm 0.019$	6.7	2.5
PLA_ZnO <sub>s</sub>	5	$50 \pm 1$	$5.5 \pm 0.5$	$0.550 \pm 0.008$	6.2	2.0
PLA_ZnO <sub>s</sub>	10	$51 \pm 1$	$7.2 \pm 0.5$	$0.549 \pm 0.005$	6.7	2.1

$T_g$ : Mid-point glass transition temperature;  $\Delta C_p$ : heat capacity step at  $T_g$  normalized by the PLA content;  $\Delta H_{\infty}$ : enthalpy of recovery for an infinite duration of aging after Eq. (4).

undercooling ( $18^{\circ}\text{C}$ ), and slower than the results reported by Lizundia et al. [17], who observed a quite high relaxation rate ( $3.2 \text{ J g}^{-1}$  per decade) at  $16^{\circ}\text{C}$  undercooling (in the latter a different experimental protocol for performing the sample aging *ex situ* in an oven was done). Average molecular mass differences and experimental uncertainty could be a reason for the observed discrepancies, while differences in the PLA stereochemistry seem to be less important [35]. For example, the relaxation rate of polystyrene decreased with increasing molecular weight [36]. Because of the differences between the degree of undercooling and a decrease in molecular weight of PLA in the ZnO nanocomposites, a comparison is not evident. The higher undercooling would cause faster relaxation rates of PLA, while higher molecular weight would slower relaxations. Slower aging kinetics of PLA/nanoclay composites were reported by Ref. [37]. On the other side, Lizundia et al. [17] showed with a different experimental protocol that the inclusion of up to 2 wt% ZnO<sub>r</sub> decelerated the relaxation rate (observed values between 0.9 and  $1.25 \text{ J/g}$ ), and at 5 wt% ZnO<sub>r</sub> the relaxation rate was almost equal to that of neat PLA. In the present case, the initial relaxation rate of the ZnO<sub>r</sub> nanocomposites was accelerated compared to PLA and the increase of the ZnO<sub>r</sub> concentration decreased the relaxation rate. The presence of small concentrations of ZnO<sub>s</sub> in PLA had no impact on the relaxation rate, only with 5 and 10 wt% a deceleration was found (Table 3).

There is a large discussion on the impact of nanoparticles on the physical aging rates of polymers and results in the literature are contradictory [18]. For further insight, we investigated the recovery function, calculated from:

$$\varphi(t_a) = \frac{\Delta H_{\infty} - \Delta H(t_a)}{\Delta H_{\infty}} \quad (6)$$

The recovery functions of the different samples are shown in Fig. 5. The inspection of the curves gives supplementary information to the relaxation rate, i.e. the speed of the aging process characterized by the time to reach equilibrium. Fig. 5a–b shows that the nanocomposites reach the equilibrium time at shorter times compared to PLA.

The counterintuitive enhancement of the physical aging upon ZnO<sub>r</sub> and ZnO<sub>s</sub> incorporation may be explained in terms on non-attractive interactions between the hosting amorphous PLA phase and the surface of the non-compatibilized inorganic nanoparticles. A similar effect was firstly reported by Boucher et al. [13,38], who ascribed the accelerated physical aging rates in poly (methyl methacrylate)/silica nanocomposites to a mismatch between the hosting polymer and the reinforcing phase, facilitating the diffusion of free volume holes to the interfaces polymer/nanoparticle interfaces. This effect has been found to occur also in other polymer nanocomposite systems such as polycarbonate nanocomposites containing grafted nanosilica particles [39]. As the diffusion of the free volume is governed by the amount of available ZnO surfaces [40], the occurring nanoparticle aggregation as concentration increased lowers the effect of ZnO on the overall aging kinetics. It was

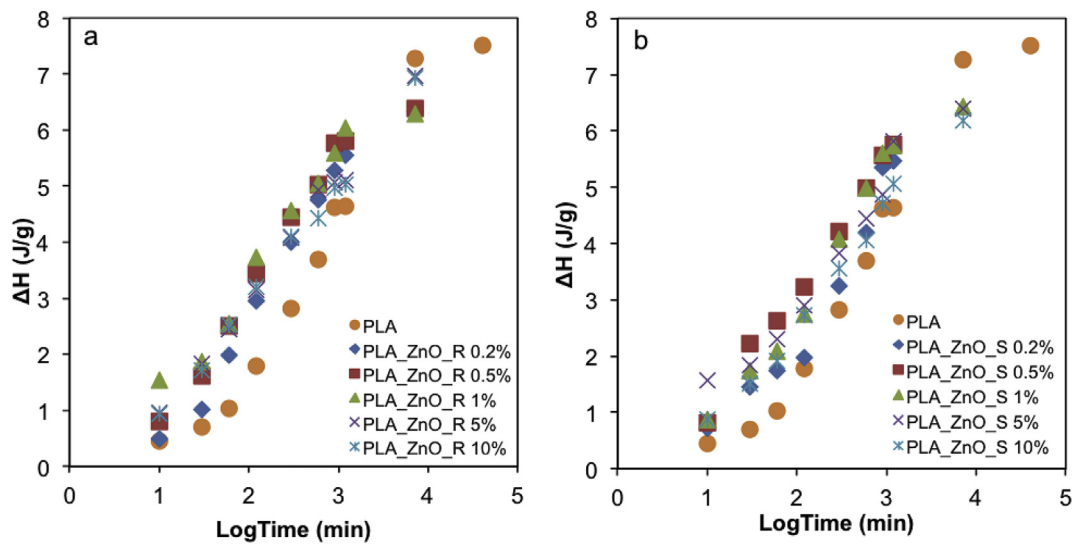


Fig. 4. Increase of the enthalpy of recovery with the aging time normalized to the PLA content of the nanocomposites. A) enthalpy of recovery of PLA and PLA/ZnO<sub>r</sub> nanocomposites, b) enthalpy of recovery of PLA and PLA/ZnO<sub>s</sub> nanocomposites.

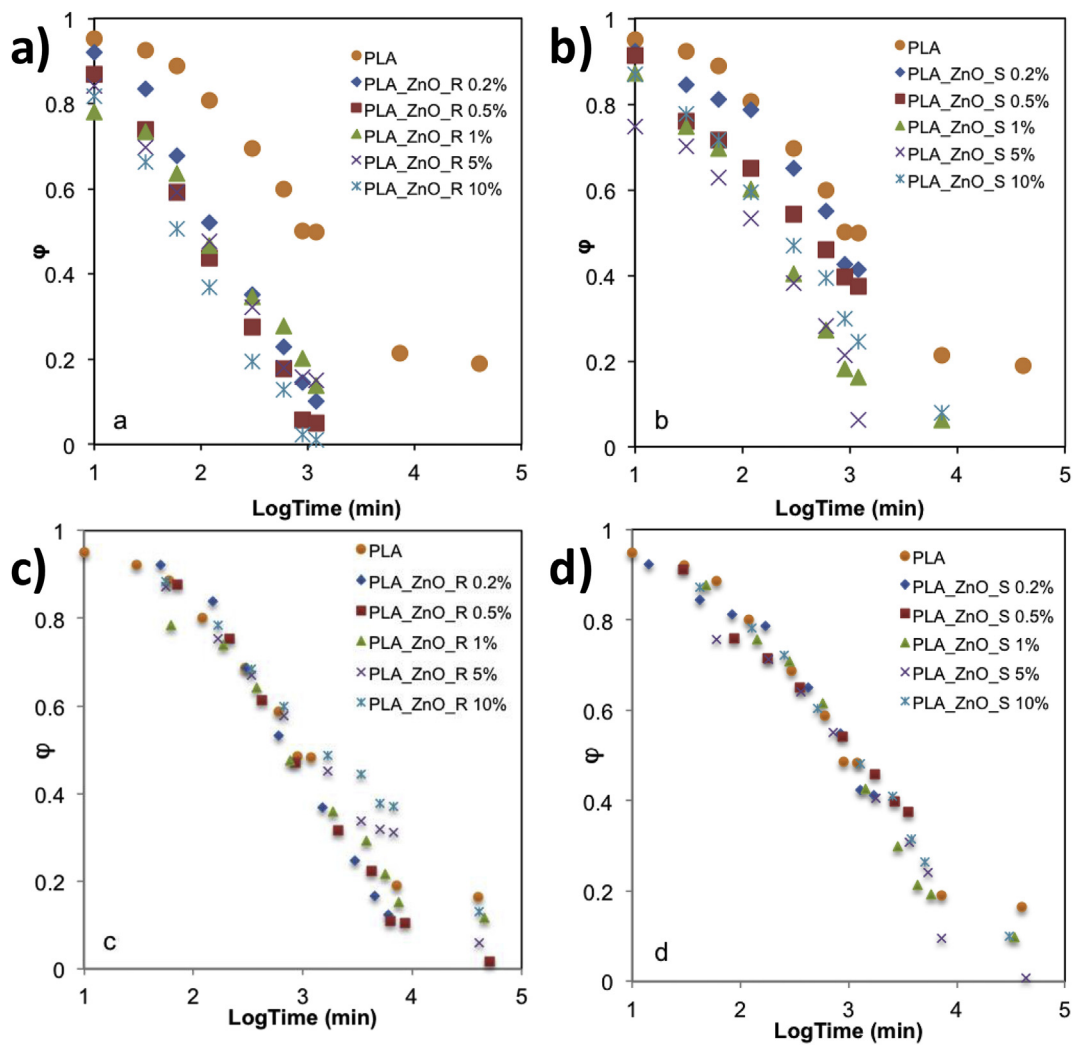


Fig. 5. Enthalpy of recovery functions of PLA and PLA/ZnO nanocomposites. A) PLA/ZnO<sub>r</sub> nanocomposites at different ZnO<sub>r</sub> concentrations, b) PLA/ZnO<sub>s</sub> nanocomposites at different ZnO<sub>s</sub> concentrations, c) shifted enthalpy of recovery function of PLA/ZnO<sub>r</sub> nanocomposites to the curve of PLA, d) shifted enthalpy of recovery function of PLA/ZnO<sub>s</sub> nanocomposites to the curve of PLA.



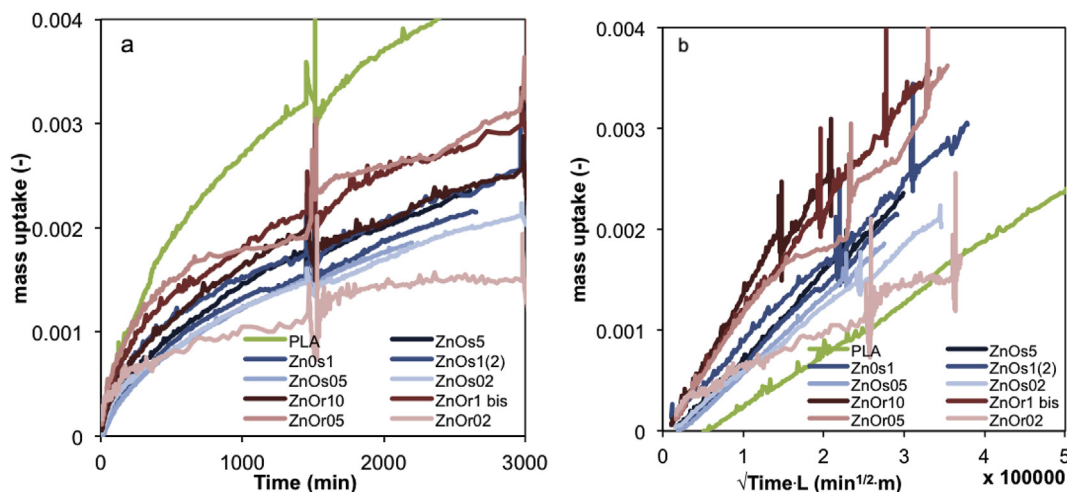


Fig. 6. Anisole sorption kinetics in PLA and PLA/ZnO nanocomposites, a) experimental sorption data, b) sorption data on the square root of experimental time normalized by the sample thickness. The perturbation in the mass uptake curves correspond to the re-regulation of the anisole activity in the measurement cell to avoid balance drift.

Table 4

Transport properties of anisole mass uptake in PLA and PLA/ZnO nanocomposites.

Sample	ZnO (wt. %)	L (m) $\times 10^{-4}$	s ( $s^{0.5}$ ) $\times 10^{-6}$	s $\times$ L ( $s^{0.5}m$ ) $\times 10^{-10}$
PLA		0.556	10.9	6.1
PLA/ZnO <sub>r</sub>	0.2	1.52	3.53	5.4
PLA/ZnO <sub>r</sub>	0.5	1.67	7.47	12
PLA/ZnO <sub>r</sub>	1	1.98	2.44	4.8
PLA/ZnO <sub>r</sub>	5	1.82	4.96	9.0
PLA/ZnO <sub>r</sub>	10	2.64	5.43	14
PLA/ZnO <sub>s</sub>	0.2	1.58	4.94	7.8
PLA/ZnO <sub>s</sub>	0.5	1.70	5.43	9.2
PLA/ZnO <sub>s</sub>	1	1.77	5.61	9.9
PLA/ZnO <sub>s</sub>	5	1.71	6.11	10
PLA/ZnO <sub>s</sub>	10	No film		

L: half sample thickness, s: slope.

possible to superpose the relaxation functions of the nanocomposites and the PLA on a single master curve by a horizontal shift as proposed by Boucher et al. [13]. Fig. 5c and d show the obtained master curves. This behaviour suggested, that the underlying macromolecular relaxation phenomena of PLA was not impacted by the presence of the nanoparticles notwithstanding their shape factor.

In any case, those reported results here for amorphous PLA/ZnO nanocomposites follow opposite trend reported by Lizundia et al. [22] for the crystalline PLLA/ZnO system, suggesting that the presence of crystalline phases needs to be taken into account when analysing physical aging in polymers.

### 3.5. Transport properties of PLA/ZnO nanocomposites

The mass transport properties of PLA/ZnO nanocomposites were investigated by the analysis of helium (P(He)) and oxygen permeability (P(O<sub>2</sub>)) (see Table S2 for the corresponding permeabilities). Helium is a small, non-interacting molecule with polymers, sensitive on tortuosity effects and having the advantage of needing much shorter experimental times compared to oxygen. The P(He) and P(O<sub>2</sub>) of PLA amounted to  $58 \pm 5.10^{-18}$  ( $m^3 m m^{-2} s^{-1} Pa^{-1}$ ) and  $2.6 \pm 0.2 10^{-18}$  ( $m^3 m m^{-2} s^{-1} Pa^{-1}$ ), respectively. Those values were in the range of already published data [41–43]. The low compatibility of PLA and non-modified ZnO had as a consequence that the samples were mechanically very brittle. As our group has previously shown, the elongation at break decreases from nearly 4.6% for neat PLA to 1.2% for its 5 wt%

nanocomposite [17,24]. This was expected from other reports on non-compatible ZnO nanocomposites done by solvent casting [25,44,45]. The P(He) could be obtained for samples up to 1 wt% ZnO (for  $[SI] = 10^{-18}$  ( $m^3 m m^{-2} s^{-1} Pa^{-1}$ ): ZnO<sub>r</sub> 0.5 wt %  $74 \pm 7$ , ZnO<sub>r</sub> 1 wt%  $67 \pm 7$ , ZnO<sub>s</sub> 0.5 wt %  $69 \pm 7$ , ZnO<sub>s</sub> 1 wt %  $62 \pm 7$  (see Table S2 in the Supporting Information). At higher concentrations, the films broke in the measurement device. With regard to the experimental uncertainty, no significant difference among the PLA/ZnO nanocomposites was observed. In the case of P(O<sub>2</sub>), the measurement times were longer, typically one day compared to 1 h for P(He). Therefore, most of the samples broke during the measurement. In case of successful measurement, the P(O<sub>2</sub>) of ZnO<sub>r</sub> 1 wt% amounted to  $2.7 \pm 0.2$  (see Supporting Information). This confirmed the prediction of P(He) that the distribution of ZnO did not allow for increasing barrier properties. In the case of melt-extruded PLA/ZnO nanoparticles (rod shape), a decrease of about 18% in P(O<sub>2</sub>) was reported for a nanocomposite having 5 wt% nanoparticles [46]. Studies on water vapour permeability of nanocomposites of PLA and rod-shaped ZnO nanoparticles showed either very small or no gains [26,44]. This result can be expected, because nanospheres or rods have a small shape factor and they do therefore not induce much tortuosity to the diffusive pathway.

In the case of permeates, which interact with the polymer and can induce swelling, a decrease of the overall mass transport can be achieved by the hindering of the polymer relaxation. Espino et al. [20] showed that the anisole transport, which is an aroma compound and has high affinity to PLA, could be decreased by the inclusion of neat and surface-grafted cellulose nanocrystals. Here, the anisole mass uptake of the PLA/ZnO nanocomposites was measured with the help of a microbalance. This method has the advantage that small samples can be used and no mechanical stress exerted. The experimental data shown in Fig. 6a report on the mass uptake kinetics of the sample set. The spikes on the curves originate from the measurement method and can be cancelled out. Fig. 6b shows the transport data on the time scale normalized with the sample thickness in the aim to easier compare the mass uptake rates. Except PLA at long times, all curves linearized on the squared root of time, which indicates an essentially Fickian mass transport mechanism. Because of the sample thickness of the nanocomposites, the non-Fickian transport mechanisms could not be observed within the experimental time scale. The curves show furthermore that within the experimental time, the equilibrium mass uptake was not reached. In the case of the PLA blank, it was possible to obtain a thinner sample, which allowed to approach the mass uptake of the Fickian equilibrium ( $m_{eq,app} = 0,34\%$ ) and to calculate an apparent diffusion coefficient ( $D_{app} = 6.0.10^{-14} m^2 s^{-1}$ ), which was consistent

with Espino's work [20]. Because of the high uncertainty of the value of the equilibrium mass uptake, in the following only the kinetics of the transport obtained from the initial slopes is discussed.

The computed data are shown in Table 4. The comparison of the slopes showed faster mass transport in the nanocomposites. A similar result was obtained upon the study of water mass transport in PLA/ZnO composites [26]. Low interfacial adhesion bringing supplementary voids to the material might be a reason for this behaviour. PLA/ZnO<sub>s</sub> nanocomposites had, at the same concentration, higher diffusion coefficients than PLA/ZnO<sub>r</sub> nanocomposites. The ZnO<sub>s</sub> particles were more aggregated compared to the ZnO<sub>r</sub> particles which can be observed in the TEM micrographs (Fig. 1) and inferred from the low UV shielding effect of ZnO<sub>s</sub>. In conclusion, the rod shape seems to be favourable to obtain a more homogenous dispersion of ZnO particles in PLA. The higher dispersion quality brings about better properties.

## 4. Conclusions

Here we report on the structural relaxation, gas transport and anisole (an aroma compound) sorption kinetics of PLA/ZnO nanocomposites having both spherical and rod-shaped nanoparticles. Results are analyzed in terms of nanoparticle morphology, concentration and dispersion within the amorphous hosting PLA matrix. Morphological analysis reveals a homogeneous distribution of ZnO<sub>r</sub> within the hosting PLLA matrix, while ZnO<sub>s</sub> nanoparticles remain highly aggregated. This better dispersion of rod-shaped nanoparticles in regard with spherical ones leads to improved UV-Vis properties, where UV light is markedly blocked while the samples remains transparent in the visible region. Higher physical aging rates were obtained upon both ZnO<sub>r</sub> and ZnO<sub>s</sub> incorporation as a result of the low interfacial filler-matrix adhesion, which in turn speeds up macromolecular conformation towards the thermodynamic equilibrium. Finally, oxygen and helium permeability tests and anisole sorption tests were carried out as a model gases for the determination of the gas transport properties of developed nanocomposites. These results confirmed the poor interfacial adhesion observed during the structural relaxation analyses, which together with a low tortuosity effect, yield increased permeability values after nanoparticle addition. The results here reported may serve to raise awareness on the relevance of nanoparticle morphology and crystalline/amorphous character of the hosting matrix on the resulting relaxation and transport properties of the nanocomposites.

## Data availability

All the data used to support the findings of this study are included within the article.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.polymertesting.2019.02.009>.

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