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Impact of thermal oxidation on mechanical behavior of polydicyclopentadiene: Case of non-diffusion limited oxidation

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Abstract
Impact of thermal oxidation on mechanical behavior of polydicyclopentadiene (pDCPD) is studied in this paper. Thermal oxidation is performed over a wide range of ageing temperature, from 20 °C to 120 °C using 60 μm thin films in order to avoid heterogeneous degradation through sample thickness. After several ageing durations, chemical changes were monitored using Fourier-transform infrared spectroscopy (FTIR) and network modification (e.g. glass transition, Tg) was measured using dynamic mechanical analysis (DMA). In addition, tensile tests and fracture tests, based on the essential work of fracture (EWF) concept, were used to study how oxidation affects some mechanical properties of pDCPD. During oxidation polydicyclopentadiene undergoes crosslinking due to the presence of double bonds that leads to a large increase in Tg (from 150 to 225 °C) as well as an increase in rubbery modulus. This increase in Tg results in an increase in maximal stress that can be described using the Kambour relationship. In parallel, an embrittlement of the polymer is observed here with a decrease in both essential and non-essential work of fracture. Finally, it appears that the accelerating effect of ageing temperature can be described using an Arrhenius equation with an activation energy close to 65 kJ/mol for carbonyl formation, maximal stress changes and decrease in fracture energy.

1. Introduction
Polydicyclopentadiene is a relatively young polymer compared to other polyolefins such as polypropylene or polyethylene. This ‘new’ material exhibits some very interesting properties such as high Tg, very low cycle time (within a few minutes for 10 cm thick coating [1,2]) and good fatigue resistance [3]. Thanks to such specific properties pDCPD is used for very demanding applications. This material has been studied recently for offshore oil and gas application [1–3]. One of the main conclusions in Refs. [1] was that this polymer is very interesting for high temperature applications in sea water but has one important drawback; its sensitivity to oxidation. Since then, many papers have considered pDCPD oxidation in terms of chemical mechanisms and kinetic modelling. Nevertheless, very few data are available about the mechanical consequences of this oxidation; this is the aim of this study.

First, it is worth noting that oxidation in pDCPD is very fast compared to common polymers such as polypropylene [4], polyethylene [5], and rubbers [6]. This behavior is attributed to a very slow termination process [7,8]. It should be mentioned that this high oxidation rate can be largely reduced using stabilizers for industrial applications [9]. Second, pDCPD has a large number of double bonds within its backbone (up to 13 mol/L see Ref. [10]) that affect oxidation mechanisms. In fact, in the presence of double bonds, radicals react directly with these double bonds during the propagation step [10]. This reaction leads to the formation of a new chemical bond and so an increase in the crosslink density of the polymer. Finally, based on literature, it appears that oxidation leads to large changes in mechanical behavior [1,11]. However, all these tests were performed on thick samples, i.e. with an oxidation profile through the sample thickness. In fact, when considering polymer oxidation two cases are possible. Either oxygen diffusion is faster than oxygen consumption by oxidation, meaning that oxidation is homogeneous through the sample thickness. Or oxidation is limited by oxygen diffusion (because oxygen consumption is faster) and so an oxidation gradient occurs in the sample. In this second case, interpretation of mechanical data is very complex. In the present study thin films are used in order to avoid any heterogeneity through sample thickness.

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In this paper we will first describe the material under study and the methods used here. Then, results will be presented, considering first changes at the molecular scale, followed by the macromolecular scale, and finally mechanical properties. Two kinds of mechanical tests will be considered; the classical tensile test and also a crack propagation measurement, in order to have a better characterization of fracture properties. Finally the results will be discussed in order to extract an end of life criterion in order to predict life time of this polymer in service conditions.

2. Material and methods

2.1. Material

The poly (dicyclopentadiene) samples under investigation (Telene 18xx, Telene SAS) were supplied as a stabilized plate material prepared by the reaction injection molding process (RIM). Raw material was injected at 25 °C in a glass mould. The catalyst used for the metathesis polymerization is a ruthenium salicylaldimine phenylindenylidene complex. Details about curing conditions are available in Ref. [10]. Samples were stabilized with 0.0226 mol l\(^{-1}\) butylated hydroxytoluene. By cutting the plate material with a microtome, films having a thickness between 60 and 20 μm were obtained. It is important to note that this thickness is low enough to avoid the Diffusion-Limited Oxidation (DLO) effects, see results section. Samples were stored at ~20 °C before ageing for a maximum of a week.

2.2. Exposure conditions

Samples were exposed in ventilated ovens at various temperatures ranging from 120 to 20 °C. Exposures at 20 °C were performed in an air-conditioned laboratory where the oxidizing source is atmospheric oxygen.

2.3. Fourier transform infrared spectroscopy

FTIR spectra were obtained by averaging 32 scans at a 4 cm\(^{-1}\) resolution over a spectral range from 600 to 4000 cm\(^{-1}\) using a Frontier apparatus (PerkinElmer) in transmission mode. Spectra were then analysed with Spectrum™ software. The concentration of carbonyls measured at 1715 cm\(^{-1}\) is normalized using absorbance at 2852 cm\(^{-1}\) ascribed to C–H stretching.

2.4. Dynamic mechanical analysis

Dynamic mechanical analysis was performed on a 2980 device from TA Instruments. Measurements were performed in tensile mode at 1 Hz with an auto-tension of 125% and a static force of 0.2 N on 12 mm × 5 mm × 0.06 mm samples. Heating rate was 3 °C/ min between 60 °C and 300 °C. Tests were performed under N\(_2\) to limit the oxidation effect and samples were ageing directly in the DMA oven. The glass transition temperature was determined at 2400-12 GM. Images from the camera were used to measure the actual ligament length (L) before testing and fracture energy was measured from the area of the load/displacement curve. For each condition, at least 8 samples were characterized in order to ensure reliability of results. More details about concept and methodology are available in Refs. [12–14]. The fracture energy (W\(_f\)) is measured from the area of the load/displacement curve, see Fig. 9 and plotted as a function of ligament length, see Fig. 10. From these curves we can define W\(_e\) which is the essential work of fracture and determined as the intercept of the curve W\(_f\) = f(L) and W, which is the slope of the curve W\(_f\) = f(L) is also obtained and is usually called the non essential work of fracture.

3. Results

3.1. Changes at the molecular scale

Results from FTIR measurement for several ageing durations in an oven at 60 °C are presented in Fig. 1. As expected during the degradation of the material we can observe an increase of two characteristic bands at 3400 cm\(^{-1}\) and 1750 cm\(^{-1}\). These two bands are attributed to oxidation products, respectively hydroxyl and carbonyl groups, the general oxidation scheme of pDCPD is available in Ref. [15]. At the same time, a decrease of the band situated at 1650 cm\(^{-1}\) and attributed to the double bond in the polymer is observed, see Ref. [16] for details. All these observations are in accordance with existing knowledge on oxidation of pDCPD [1–13,16]. FTIR results obtained at several ageing temperatures are plotted in Fig. 2. For all ageing temperatures considered here, we observe first an induction period where oxidation products are not formed and then an acceleration of the oxidation rate. It is worth noting, that thanks to the fact that oxidation is fast in pDCPD it is possible to consider oxidation rates over a large range of temperature, even at room temperature. For the 7 temperatures considered here, the same behavior is observed: first an induction period where almost no carbonyl groups are formed and then a large

2.6. Essential work of fracture measurement

Essential Work of Fracture (EWF) measurements were performed on an Instron™ test machine with a 50 N load cell, in double notched tensile mode, with a loading rate of 2 mm/min. Samples were 60 μm thick with a width of 10 mm. They were notched on each side using a scalpel, the distance between the two notches (ligament length, L) was in the range between 3 and 9 mm. Tests were recorded with a high resolution camera (Camera Basler™ PIA 2400-12 GM). Images from the camera were used to measure the actual ligament length (L) before testing and fracture energy was measured from the area of the load/displacement curve. For each condition, at least 8 samples were characterized in order to ensure reliability of results. More details about concept and methodology are available in Refs. [12–14]. The fracture energy (W\(_f\)) is measured from the area of the load/displacement curve, see Fig. 9 and plotted as a function of ligament length, see Fig. 10. From these curves we can define W\(_e\) which is the essential work of fracture and determined as the intercept of the curve W\(_f\) = f(L), and W, which is the slope of the curve W\(_f\) = f(L) is also obtained and is usually called the non essential work of fracture.

![Fig. 1. Changes in FTIR spectrum as function of ageing time at 60 °C.](image)
increase in carbonyl content related to the auto-acceleration of oxidation. Due to the fact that oxidation is fast in pDCPD it is possible to consider oxidation rates over a large range of temperatures, and even at room temperature. These data will be useful to evaluate whether oxidation at the molecular scale in pDCPD can be analysed using an Arrhenius approach (see discussion). Using these data coupled with oxygen properties in pDCPD from Ref. [8], it is possible to calculate the oxidized thickness according to:

$$T_{OL} = \sqrt{\frac{D_{O2}}{O2}}$$

Where $T_{OL}$ is the thickness of oxidized layer, $D_{O2}$ the oxygen diffusivity and equal to $8.1 \times 10^{-4}$ cm$^2$ s$^{-1}$ at 25 $^\circ$C with an activation energy of 15 kJ mol$^{-1}$ [11], $[O2]$ the oxygen concentration (calculated with a solubility of $10^{-7}$ mol L$^{-1}$ Pa$^{-1}$) and $Vox$ the value of oxidation rate from FTIR [10].

Results are given in Table 1 and clearly show that within the temperature range considered here, 60 $\mu$m thick samples are homogeneously oxidized (because sample thickness is less than $2 T_{OL}$).

### 3.2. Change at the network scale – DMA

The impact of oxidation on pDCPD will now be considered at the macromolecular scale using DMA characterization. Samples were aged in an oven at 60 $^\circ$C and then characterized by DMA from this temperature to 300 $^\circ$C in an inert atmosphere. The raw data obtained are plotted in Fig. 3. It appears, from Fig. 3, that both $T_g$ and storage modulus in the rubbery state increase during ageing. An increase of the modulus in the glassy state can be observed that could be related to an increase in cohesion within the polymer due to the presence of polar groups generated by oxidation. In fact, it has been shown in the past that modulus increases when the density of cohesion energy density increases in the polymer [17].

The increase in both $T_g$ and rubbery modulus is clear evidence of an increase in crosslink density of the material. This crosslinking process during pDCPD oxidation has already been highlighted in the literature [10] and can be attributed to the reaction of radicals on the double bonds available in large quantity in this polymer; this process has been studied in detail with other polymers [18–20]. In fact, when radicals react with the double bond a new bond is created leading to an increase in crosslink density. This increase in crosslink density is also confirmed by the large increase in rubbery modulus. Fig. 4 shows an increase in $T_g$ as a function of oxidation level with a value of 150 $^\circ$C for unaged material that reaches 220 $^\circ$C after oxidation. It clearly appears that the $T_g$ of pDCPD increases with oxidation level. This point confirms the crosslinking process that occurs during oxidation of pDCPD.

### 3.3. Change in mechanical properties

#### 3.3.1. Tensile behavior

Thin films were aged in an oven for several durations and then tensile tests were performed. Results obtained after oxidation at 80 $^\circ$C are presented in Fig. 5. First, these results highlight the fact that, without DLO, mechanical properties of pDCPD are very sensitive to oxidation. In fact, a large decrease in elongation at break is observed for very short ageing times, i.e. less than 1 h. For longer ageing duration (i.e. between 1 and 64 h), the strain at break decreases very slowly, and an increase in maximal stress is observed.

### Table 1

<table>
<thead>
<tr>
<th>Temperature (°C)</th>
<th>20</th>
<th>40</th>
<th>60</th>
<th>80</th>
<th>90</th>
<th>100</th>
<th>120</th>
</tr>
</thead>
<tbody>
<tr>
<td>2*TOL ($\mu$m)</td>
<td>880</td>
<td>457</td>
<td>257</td>
<td>154</td>
<td>122</td>
<td>98</td>
<td>65</td>
</tr>
</tbody>
</table>

**Fig. 2.** Oxidation products build up as function of ageing time for temperatures from 120 to 20 $^\circ$C.

**Fig. 3.** Changes in DMA results as a function of ageing time at 60 $^\circ$C.

**Fig. 4.** Increase in $T_g$ as a function of carbonyl concentration in pDCPD at 60 $^\circ$C.

**Fig. 5.** Tensile behavior of aged pDCPD films.
especially when considering thin films such as those tested here because defects on sample edge are not always avoided during sample preparation. In order to overcome this limitation of tensile tests and to access more information about the polymer fracture, crack propagation tests were performed. In this case cracks are created in the sample prior to testing and then the energy needed to propagate these cracks is measured. During testing the measured energy is not only related to the propagation of this crack, in fact around the crack there is a large deformation of the polymer due to the mechanical stress field. In other words, crack propagation energy depends on the ligament length as shown in Fig. 8 for unaged pDCPD. Using these data with several ligament lengths, it is possible to distinguish the non-essential work that is related to deformation around the crack and the essential work of fracture that is directly related to the crack propagation, and thus a material property. More details about the EWF concept can be found in Refs. [12–14]. Results obtained during oxidation at 60 °C are plotted in Fig. 8.

In Fig. 9 it appears that, for unaged material, the energy needed to propagate the crack depends strongly on the ligament length meaning that the material is not brittle. This is in accordance with tensile results. As long as oxidation occurs, the $W_f$ value, i.e., the slope of the curve presented in Fig. 10, decreases. When the slope is equal to 0 (for 2 days in Fig. 9) it means that the polymer is brittle. For higher oxidation levels, a decrease in $W_f$ (i.e., intercept: energy for a ligament length equal to 0) is observed when degradation progresses. The same behavior has been observed for all tested temperatures (90, 60 and 40 °C). The decrease in fracture properties is discussed in the next section together with accelerating factors and the relationship with oxidation products concentration.

3.3.2. Crack propagation

Tensile tests clearly show an embrittlement of the material during oxidation with a large decrease in strain at break. However, in terms of mechanics, strain at break values can be questionable especially when considering thin films such as those tested here because defects on sample edge are not always avoided during sample preparation. In order to overcome this limitation of tensile

\[ \sigma_{\text{max}} = A^*(T_g-T) + B \]

Where $\sigma_{\text{max}}$ is the maximal stress (in MPa), $T_g$ the glass temperature of the polymer (in °C), $T$ the testing temperature (in °C) and $A$ and $B$ are material properties.

Here, because of the crosslinking process, an increase in $T_g$ occurs (see Fig. 4) whereas testing temperature remains the same. The unexpected increase in maximal stress is thus explained by this large increase in $T_g$, see Fig. 6. When the material becomes brittle, a decrease in maximal stress is then observed because failure occurs before plastic deformation of the material. It is worth noting that the factor $A$ is close to 0.25 for pDCPD; that is a low value compared to epoxy [22] or polyamide [23].
4.1. Prediction using Arrhenian behavior

Here we focus on the question: can we describe mechanical property loss during oxidation using a simple Arrhenius equation? Arrhenius plots are presented in Fig. 10 for oxidation rate based on (a) FTIR, (b) shift factor to describe change in maximal stress and (c) the essential work of fracture. For the three characteristics considered here the temperature dependence can be described using an Arrhenius equation with an activation energy close to 65 kJ mol\(^{-1}\). This activation energy is much lower than for PP or PE [4,5], this difference can be explained by the low activation energy of the initiation step in pDCPD [10]. It clearly appears that oxidation rate and mechanical property changes due to oxidation in pDCPD can be described using a simple empirical equation. It is worth noting that the induction times also follow an Arrhenius equation with a very similar activation energy. However, it is necessary to recall that such predictions can be made using Arrhenius extrapolation here only because:

- oxidation is not limited by oxygen diffusion [24–26]. In fact, when oxidation rate is faster than the oxygen diffusion supply, then oxidation levels are heterogeneous through the sample thickness. In this case the mechanical behavior does not generally follow an Arrhenius behaviour because at least two processes are involved, each with its own activation energy.  
- changes in chemistry or mechanical behaviour due to oxidation are studied, here, over a wide range of temperature that allows an accurate determination of the activation energy. In fact, when activation energy is determined with only a few ageing temperatures or in a limited range of temperatures reduced accuracy in the activation energy value is likely, leading to an inaccurate prediction at service temperature [27].

To conclude this section, it appears that for pDCDP, when no DLO
occurs and with a wide range of ageing temperatures, it is possible to use an Arrhenius equation to describe the accelerating factor generated by increasing ageing temperature. However, it should be noted that if there is any change in formulation (type or concentration of stabilizers) it is necessary to check again whether Arrhenius can be used to obtain a reliable extrapolation. In order to overcome this limitation, kinetic modeling can be applied [10].

Modeling of pDCPD exists but it only allows changes to be predicted at the molecular scale. It is thus necessary to propose a relationship between the mechanical properties of interest and changes at the molecular scale; this is the aim of the next section.

4.2. Relationship between fracture properties and oxidation products

The question here is, can we directly link mechanical behaviour changes of pDCPD with the formation of oxidation products (carbonyl groups) independently of the ageing temperature or oxidation rate? This kind of empirical relationship can be very useful in order to perform prediction of the mechanical behavior based on kinetic modelling that only considers oxidation at the molecular scale. We focus here on fracture properties of pDCPD for two main reasons. First it appears that this property is much more sensitive than tensile behavior to embrittlement during ageing. Second, pDCPD is not used in thin films but with large thickness (i.e. from few mm to several cm); in this case oxidation is limited to the surface, and will generate the first crack depending on the fracture properties of this layer. Fracture properties presented in Fig. 11 are calculated from EWF results for a 5 mm ligament length as an example and plotted as a function of carbonyl concentration. From this plot it appears that the same behavior is observed for the 3 ageing temperatures considered here and it is possible to propose an empirical relationship between fracture properties of pDCPD and the oxidation level. The most interesting point is probably the definition of a critical concentration in oxidation product. In fact, based on these results it is possible to define a maximum admissible carbonyl concentration depending on the application, and more specifically on the required polymer fracture resistance.

5. Conclusions

The influence of oxidation on mechanical properties of a stabilized pDCPD has been considered over a wide range of ageing temperatures, from 120 to 20 °C. For all ageing conditions considered here oxidation is homogenous through the sample thickness, in order to have a better understanding of the effect on the mechanical behaviour of pDCPD.

During oxidation extensive crosslinking occurs in pDCPD mainly due to the reaction of radicals on the double bonds during the propagation step. This increase in crosslink density leads to a decrease in macromolecule mobility and a large increase in \(T_g\) (from 150 °C to 225 °C at 60 °C). Tensile behavior of the material is strongly affected by oxidation, with a decrease in elongation at break. Considering maximal stress, an increase is observed first, and then a reduction occurs. The increase in maximal stress can be related to the increase in \(T_g\) through the Kambour relationship. Fracture properties of pDCPD and their modification with oxidation level have been considered using the Essential Work of Fracture concept here for the first time. A fast decrease in \(bW_p\) is observed, that is related to the ability of the polymer to undergo plastic deformation. And then a decrease in \(W_e\) occurs when the ability of macromolecules to be stretched decreases.

Considering all the results of this study it appears that oxidation in pDCPD obeys an Arrhenian behavior over the entire temperature range considered here, with an activation energy close to 65 kJ/mol. However, it is important to keep in mind that this result may depend on pDCPD formulation and thickness. In order to overcome this limitation, an empirical relationship between the level of oxidation and fracture properties has been proposed, whatever the ageing temperature. This kind of relationship is needed in order to propose predictive tools for mechanical properties in thick materials based on kinetic models [10].

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.
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