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1	Investigation of microstructural evolution and creep rupture
2	behaviour of 9% Cr MarBN steel welds
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9	

10 ABSTRACT

11 The weldments made from the 9-12% Cr tempered martensitic steel are associated with 12 a complex microstructure arising from complicated thermal histories of the fusion and 13 heat affected zones. The complicated microstructural and micro-mechanical states in these critical regions provide a challenge for the determination of creep failure 14 15 mechanisms. Based on detailed metallographic examination, the microstructural 16 distribution in the heat affected zone of the welds constructed using a recently developed 9% Cr MarBN steel, IBN-1, has been identified and classified into Equiaxed Zone (EZ), 17 18 Duplex Zone (DZ) and Over-tempered Zone (OZ). Cross-weld testing performed at 19 650°C has revealed a significant reduction in creep life as compared to bulk material. 20 Creep rupture has been shown to occur in the parent metal region with a ductile manner 21 at a high stress, whereas creep rupture initiates in the DZ region in an intergranular 22 manner at a low stress. Detailed metallographic investigation has further revealed a higher damage susceptibility in the regions along the pre-existing Prior Austenite Grain 23 24 Boundaries (PAGBs). The diffusional reaustenitisation of local microstructure along the PAGBs leads to a lower strength of matrix in combination with a lack of intergranular 25

26 precipitates as compared to the surrounding microstructure formed after displacive27 reaustenitisation.

KEYWORDS: 9% Cr tempered martensitic steel; heat affected zone; multi-pass welding;
high temperature creep; failure mechanism

30 1. INTRODUCTION

The 9-12% Cr creep resistant martensitic steels are widely used in high temperature 31 32 pressure vessels and piping for the power generation industry due to their good 33 combination of creep strength and oxidation resistance. Based on a nominal composition of Fe-9%Cr-3%W-3%Co-VNbBN [1], variants of Martensitic steel strengthened by 34 boron and nitrogen (MarBN) have been recently developed to replace the more 35 conventional 9% Cr materials such as the Grade 91 and 92 steels by providing superior 36 creep performance in combination with sufficient oxidation resistance [2]. During recent 37 years, the major developments based on the concept of MarBN include a range of 38 materials originating from international collaborative research activities including the 39 40 G115 (China), SAVE12AD (Japan), NPM-1 (Europe) and the IBN-1 (UK) steels [3-6]. 41 These materials are typically manufactured by using common industrial processes finished with normalisation and tempering to produce a tempered martensitic 42 microstructure in combination with secondary precipitates distributed both on grain 43 44 boundaries and within the grain interiors [1]. These MarBN steels are also compatible with a variety of fusion welding processes including Manual Metal Arc Welding 45 46 (MMAW), Submerged Arc Welding (SAW) and Gas Tungsten Arc Weld (GTAW). Multi-pass welding processes have been used to fabricate welds on MarBN steels to 47 achieve sufficient ductility in the weld metal and the Heat Affected Zone (HAZ). 48

49 Interest in the creep performance of welds fabricated in 9-12% Cr steels is increasing due 50 to their potential applications in power plant systems. The evaluation of welded joint 51 behaviour during creep exposure is a key factor in determining the lifetime of power plant 52 components. The existing studies of cross-weld samples under creep conditions have 53 shown that the ratio of creep rupture strength between cross-welds and the parent material 54 depends on both creep loading conditions and welding procedure [7]. At low testing 55 temperatures there is no large difference between parent metal and cross-weld creep 56 strength, whilst this difference becomes important at high temperatures and low stress levels. Additionally, rupture locations were found to shift from the weld metal to the HAZ 57 58 as the applied stress decreased [8]. No substantial differences were found in the minimum 59 creep rate, the time to fracture and creep ductility for the cross-weld specimens taken from different locations of the weld [8]. 60

61 The welds fabricated in 9-12% Cr steels are associated with premature creep failure within the HAZ, typically termed as 'Type IV' failure [9]. Type IV failure is commonly 62 63 presented in the HAZ region close to the boundary with the parent metal and causes a 64 substantial loss in creep strength for weldments as compared to bulk materials [10,11]. 65 Detailed metallographic examination conducted on interrupted creep test specimens has 66 revealed the presence of creep cavities prior to final rupture in a Type IV manner [12]. Based on extensive metallographic examination, the metallurgical cause for the formation 67 68 of creep cavities is complicated and linked with a range of factors relating to the microstructure of 9-12% Cr steels. For instance, the presence of second phase particles 69 70 (e.g. boron nitride, BN) above a critical size has been determined as a critical issue that 71 promotes the formation of cavities from the early stage of creep [12,13]. The presence of 72 Type IV failure in 9-12% Cr steels is also related with a layer of HAZ material exhibiting a refined martensitic microstructure in combination with a lack of precipitate formation
on lath and grain boundaries [14,15]. However, most of the existing studies are conducted
using welds made from conventional materials such as Grade 91 and 92 steels, whilst the
research focused on the creep failure mechanism of recently developed MarBN steels is
comparatively limited.

78 Detailed investigation of the creep failure mechanism of 9-12% Cr steel welds is also 79 challenging due to a complicated HAZ microstructure formed by the complex thermal distribution established during multi-pass welding. Extensive research has been 80 81 conducted using experimental measurement and numerical modelling techniques to understand the thermal distribution in the HAZ [16–18]. These studies reveal that the 82 83 thermal history of the HAZ is typically composed of a heating phase with a heating rate 84 of $>100^{\circ}$ C/s and a subsequent cooling phase during which temperature decreases to the ambient level within tens of seconds [16,17]. The variation of the microstructure in the 85 86 HAZ has been further correlated with thermal distribution based on detailed 87 metallographic examination and dilatometry simulation in 9-12% Cr steels as reported in [19,20]. Detailed previous studies conducted on a more conventional Grade 92 alloy have 88 classified the HAZ microstructure into three critical sub-regions: Completely 89 90 Transformed Zone (CTZ), Partially Transformed Zone (PTZ) and Over-Tempered Zone (OTZ) based on the range of peak temperatures reached during weld thermal cycles [20]. 91 92 A more recent study based on the dilatometry simulation of a MarBN steel, IBN-1, has further determined a similar trend of variation in the HAZ microstructure as a function of 93 94 peak temperature [21]. However, there is a lack of direct, systematic investigations from 95 the weld HAZs to correlate with the presence of creep damage in MarBN steels.

96 In the current research, the microstructural distribution in the HAZ of welds in a parent 97 metal of the MarBN steel, IBN-1, has been investigated in detail in the initial state without 98 creep exposure. The mechanical behaviour of the IBN-1 welds has been further 99 investigated upon short-term creep exposure using cross-weld specimens at 650°C to 100 compare with the bulk materials tested at similar testing conditions. Detailed 101 metallographic examinations have been subsequently performed to identify the critical 102 metallurgical factors related to the formation of creep damage after rupture at different 103 stress levels.

104 2. MATERIAL AND EXPERIMENTAL METHODS

105 **2.1. Materials**

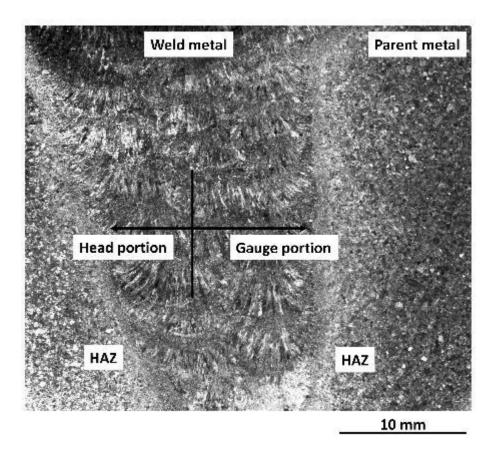
106 The chemical composition of the IBN-1 steel used (referred as parent metal) is listed in 107 Table 1. The initial heat treatment of the parent metal involved a normalisation process 108 at 1200°C for 4 hours and a tempering process at 765°C for 3 hours. A butt weld was then 109 fabricated between the plates of parent metal that are ~30 mm in thickness by using a multi-pass MMAW process with a recently developed matching filler material, Metrode[®] 110 Chromet[®] 933. In total, 26 weld beads were deposited with a heat input of 1.1 - 1.5 kJ/mm 111 112 with the interpass temperature maintained between 200°C and 300°C. Post Weld Heat 113 Treatment (PWHT) was conducted after welding at 760°C for 2 hours.

114 Table 1. Chemical composition of the IBN-1 parent metal (wt. %, balance is Fe).

С	Si	Mn	Р	S	Cr	Мо	Ni
0.1	0.45	0.54	0.012	0.004	8.74	0.05	0.14
Al	В	Со	Cu	Nb	V	W	Ν
0.007	0.012	3.02	0.04	0.06	0.21	2.53	0.018

116 **2.2. Creep testing**

117 Creep test specimens were machined to a dog-bone shape with a cylindrical gauge portion 118 measuring 50 mm in length and 10 mm in diameter. The gauge portion consists of the 119 weld and the parent metals, together with the intermediate HAZ located at ~10 mm from 120 the boundary between the gauge and the head portions, Figure 1. The HAZ is 121 perpendicular to the principal stress direction to achieve a volume fraction of the weld 122 metal at ~20 % in the gauge portion.



123

124 Figure 1. Optical macrograph giving an overview of the weld structure in the cross-

125 weld creep specimen.

Isothermal short-term cross-weld creep tests were conducted at 650°C with a series of
stress levels ranging from 160 MPa to 280 MPa. The stress levels were selected based on

the existing experience of creep testing of MarBN steel [22], such that the specimens were expected to be ruptured in different manners for a comparison in creep characteristics. The specimens tested at a stress level close to 280 MPa were expected to fracture in a ductile manner with rupture occurring in the parent metal. The specimens tested at a stress level close to 160 MPa were chosen to fracture in the HAZ.

133 The testing machine was equipped with high temperature Linear Variable Displacement 134 Transformers (LVDT) to continuously monitor the elongation of specimens, and a 135 resistance-heating furnace enabling a quasi-homogeneous testing temperature of up to 136 800°C. The measured creep strain represents the integral accumulated creep strain of the 137 entire cross-weld material within the gauge length. Three thermocouples were attached to the specimen inside the furnace to track the operating temperature. Note that the 138 139 thermal gradient between both sample extremities was less than 2°C. Prior to testing, 140 thermal loading was first performed with a rate of 40°C/min until reaching the target 141 temperature. After stabilization of the furnace temperature (~30 minutes), creep tests were conducted until the macroscopic failure of samples. 142

143 **2.3. Metallographic examination**

Gauge portions of the creep ruptured specimens were sectioned from the head and along the longitudinal direction for detailed metallographic examination of the cross-sections. The specimens were prepared using conventional metallographic preparation methods, which involved mounting in electrically conductive Bakelite, grinding on SiC with water to a 1200 grit finish, polishing on standard polishing cloths using 6 µm and 1 µm diamond suspensions and a final chemo-mechanical polishing process using a suspension of 0.06 µm colloidal silica in water. An as-fabricated weld was also prepared using the same
procedure to provide details of the initial microstructure before creep exposure.

152 Hardness testing was conducted on the as-fabricated weld using a loading weight of 10 kg and a dwell time of 10 s using a Struers[®] Durascan[®] 70 hardness testing system equipped 153 154 with a Vickers indenter. Seven individual measurements were conducted to obtain the average value of hardness from both the weld and the parent metals. Hardness mapping 155 156 was also undertaken on the as-fabricated weld and on creep ruptured specimens in the 157 regions adjacent to the rupture surface using a loading weight of 0.2 kg and a dwell time 158 of 10 s using the identical hardness testing system. Vickers hardness indents were distributed on a square grid with a spacing of 0.1 mm. 159

160 Fractography examination was performed on the fracture surfaces of creep ruptured specimens using a JEOL® JSM-7800F Field Emission Gun (FEG) Scanning Electron 161 162 Microscope (SEM) at an accelerating voltage of 5 kV. Grain orientation mapping of the matrix was undertaken by performing EBSD mapping at an accelerating voltage of 20 kV 163 using an Oxford Instruments[®] Nordlys[®] MAX² camera in the JEOL[®] JSM-7800F FEG-164 165 SEM. EBSD maps were collected on the cross-section of the as-fabricated weld and 166 adjacent to the rupture surface of creep fractured specimens at a step size of 2 µm with a 167 size of $1000 \times 1000 \ \mu m$ to provide an overview of the microstructure. EBSD mapping was also performed at a finer step size of 0.1 µm to obtain details of the microstructure. 168 169 The distribution of secondary precipitates in identical regions to where EBSD mapping was conducted was characterised using ion induced Secondary Electron (SE) imaging in 170 a FEI[®] Nova Nanolab[®] 600 Focused Ion Beam (FIB) FEG-SEM. The ion beam was 171 172 operated at an accelerating voltage of 30 kV with XeF₂ gas etching used to enhance the contrast differential between precipitates and matrix [19,23]. 173

174 Thin-foil specimens were extracted from site-specific locations where the correlative 175 EBSD/ion induced SE analysis was conducted using an *in-situ* lift-out technique [24] in a FEI[®] Nova Nanolab[®] 600 FIB/FEG-SEM. The thinning of specimens was performed at 176 177 an accelerating voltage of 30 kV with a beam current decreasing to 0.1 nA, followed by 178 a final cleaning process performed at an accelerating voltage of 5 kV. The extracted thinfoil specimens were investigated using Bright Field Scanning Transmission Electron 179 Microscopy (BF-STEM) in a FEI[®] Tecnai[®] F20 Transmission Electron Microscope 180 (TEM) at an accelerating voltage of 200 kV. Secondary precipitates were further 181 characterised using Selected Area Electron Diffraction (SAED) in combination with 182 Energy Dispersive X-ray (EDX) spectroscopy using an Oxford Instruments[®] X-Max 80^N 183 184 TLE EDX system.

185 **3. RESULTS**

186 **3.1.** Microstructural distribution in the as-fabricated condition

187 The hardness of the weld and the parent metals were measured using macro-hardness testing. The hardness of the weld and the parent metals were determined to be 290±4 and 188 249 ± 2 HV₁₀, respectively. The value of hardness obtained from the parent metal is 189 consistent with the previous reports from similar MarBN steels [25,26]. The weld metal 190 191 exhibited a higher level of hardness as compared to the parent metal. This is possibly 192 attributed to a more refined martensitic microstructure as formed in the weld metal after rapid solidification and cooling during the welding stage, and a less homogenised and 193 recovered martensitic substructure due to a lack of normalisation treatment as compared 194 to the parent metal. 195

196 The microstructural variation in the as-fabricated weld without creep exposure was 197 further characterised using hardness mapping analysis at a different loading weight of 0.2 198 kg. Figure 2 demonstrates a macro optical micrograph providing an overview of the weld 199 microstructure and a hardness map from the same region.

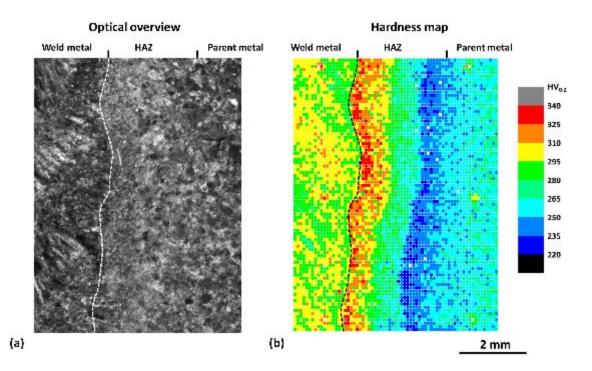


Figure 2. (a) A macro optical micrograph demonstrating the variation of microstructure between the weld metal, the HAZ and the parent metal. (b) A hardness map showing the variation in hardness from the same region.

From the hardness map, the weld metal has a higher level of hardness at 296 ± 9 HV_{0.2} as compared to the parent metal measured with a hardness of 261 ± 9 HV_{0.2}. These values of hardness are lower than the values obtained at a loading weight of 10 kg. This is attributable to the different measuring volumes that were achieved at a different loading weight. No significant variation in hardness was observed in the HAZ along the direction

- parallel to the fusion boundary (i.e. from bottom to top in Figure 2), whilst a decrease inhardness was clearly observed as the distance from the fusion boundary increased.
- The distribution in hardness in the HAZ region suggests significant microstructural variation as a function of distance from the fusion boundary. Correlative EBSD analysis was further conducted to detail the microstructural gradient in the HAZ, Figure 3.

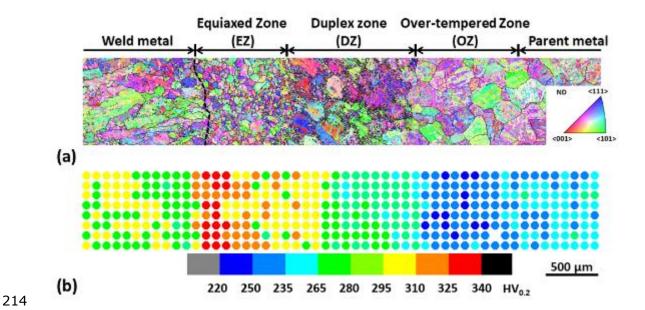


Figure 3. (a) An EBSD map providing an overview of the tempered martensitic matrix in as-fabricated IBN-1 weld. The grain boundaries with a misorientation range between 20° and 50° are outlined by solid lines. (b) A correlative hardness map showing the variation of hardness in the identical region is also included for comparison.

Due to a Kurdjumov-Sachs orientation relationship preserved between the martensitic (α') and the parental austenitic (γ) phases during martensitic transformation, the austenitic grain structure was characterised from EBSD maps using a boundary misorientation range between 20° and 50° [20,27,28]. Figure 3a shows that columnar and equiaxed

224 austenitic structures are presented in the weld and the parent metals, respectively. The 225 columnar grains in the weld metal are typically 50-200 µm in width and over 1000 µm in 226 length, whilst the equiaxed grains in the parent metal are predominantly 100-500 µm in 227 diameter. In the HAZ region close to the fusion boundary, the grain structure was found to have transformed from a refined, equiaxed morphology in the region <1 mm from the 228 229 fusion boundary to a duplex grain structure containing small austenite grain 'necklaces' around pre-existing PAGBs in the region 1-2 mm from the fusion boundary. The equiaxed 230 231 grains in the region <1 mm from the fusion boundary are predominantly <150 µm in diameter, whereas the austenite "necklace" grains on the initial PAGBs are <20 µm in 232 233 diameter in the regions 1-2 mm from the fusion boundary. The microstructural gradient 234 in the HAZ was further correlated with the variation in hardness as demonstrated in Figure 235 3b. The hardness map demonstrates that the region with a refined, equiaxed grain 236 structure has a higher level of hardness measuring 305 ± 16 HV_{0.2}, whereas the region 237 showing a duplex grain structure has a lower level of hardness of 262 ± 17 HV_{0.2}. The grain structure in the regions ~2-3 mm from the fusion boundary is not significantly varied from 238 239 the parent metal, whereas the hardness was lower, with an average value measuring 247±9 240 HV_{0.2}.

The microstructure in the HAZ of as-fabricated IBN-1 weld is further correlated with the microstructure produced by the simulation of weld thermal cycles as previously reported in [21], Table 2.

Table 2. A comparison in microstructure between the HAZ of the as-fabricated IBN1 weld and the simulated HAZ material produced using dilatometry-based
simulations of weld thermal cycle [21].

Simulated	HAZ material [21]	As-fabri		
Peak temperature	Characteristics of microstructure	Distance from fusion boundary	Characteristics of microstructure	Classification
>1200°C	Equiaxed morphology, grain size <100 μm;	<1 mm	Equiaxed morphology, grain size <150 µm;	Equiaxed Zone (EZ)
1000 - 1200°C	Duplex microstructure characterised by refined grains on the pre-existing PAGBs;	1 - 2 mm	Duplex microstructure characterised by small grains (<20 µm) on the pre- existing PAGBs;	Duplex Zone (DZ)
<1000°C	Equiaxed morphology, grain size >300 μm;	2 - 3 mm	Equiaxed morphology, grain size 100 - 500 μm;	Over-tempered Zone (OZ)



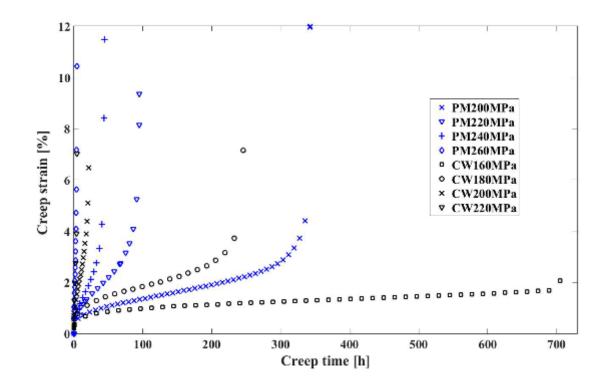
248 Table 2 demonstrates that the distribution of HAZ microstructure as a function of the distance from fusion boundary is in strong agreement with the microstructure in the HAZ 249 250 simulated materials as a function of peak temperature. This suggests that the 251 microstructure in the weld HAZ can be classified based on the gradient of heat input as a 252 function of the distance from fusion boundary. As a result, the weld HAZ was classified 253 as three critical sub-regions, the Equiaxed Zone (EZ), the Duplex Zone (DZ) and the 254 Over-tempered Zone (OZ) (Figure 3). A detailed description of the microstructure in these 255 sub-regions is not the focus of the investigation in the current research, as this has been 256 reported elsewhere in a previous study [21]. However, it has been clearly demonstrated 257 in the current research that the microstructure of the HAZ in MarBN steel welds is 258 different from the conventional understanding of the HAZs in low alloy Cr-Mo steels, 259 which are commonly classified into Coarse-grain (CG), Fine-grain (FG), Inter-critical 260 (IC) and Over-tempered (OT) regions [11].

261 **3.2 Mechanical behaviour**

262 Creep responses for cross-weld specimens are compared with the parent metal specimens263 as previously reported in [22], Figure 4. The corresponding creep properties are

summarised in Table 3. These include the Steady-State Creep Rate (SSCR), the time to fracture τ_f , ductility ϵ_f , and the Reduction of Area (RA).

266 It can be seen that the three typical creep stages (a primary creep stage followed by an 267 apparently steady-state creep deformation and an accelerating tertiary creep stage) are 268 clearly visible for all tests under investigation. The creep results demonstrate that the 269 minimum creep strain rate increases with the increase of stress while creep rupture time 270 decreases as stress increased. At the medium stress level of 200 MPa and 220 MPa, SSCR 271 values for cross-weld are 26-fold higher than the parent metal, whilst the time to rupture 272 is 14- to 18-fold shorter as compared to the parent metal. This clearly indicates a lower 273 creep resistance of the cross-weld specimen as compared to the parent metal specimen. 274 This finding applies to all stress levels selected for this investigation. Moreover, no 275 significant changes have been observed when comparing both values of the area reduction 276 and ductility reported for both parent metal and cross-weld.



277

Figure 4. Strain-time curves for creep tests conducted on parent metal (PM) and cross-weld (CW) specimens at 650°C.

Table 3. Material creep properties for parent metal (PM) and cross-weld (CW)
specimens selected at different stress levels.

σ [MPa]	Material	$\frac{\text{SSCR}}{[10^{-5} \times h^{-1}]}$	τ _f [h]	ε _f [%]	RA [%]
160	PM	-	-	-	-
	CW	1.13	705.56	2.66	14.44
180	PM	-	-	-	-
	CW	7.31	248.35	5.62	42.24
200	PM	6.12	342.91	11.93	62.05
	CW	170.02	22.96	7.86	73.79
220	PM	29.12	95.47	9.36	73.68
	CW	770.15	5.14	11.35	80.29
240	PM	280.32	13.73	11.48	74.29
	CW	-	-	-	-
260	PM	1000.21	4.43	10.48	75.11
	CW	-	-	-	-
280	PM	4851.23	0.94	14.88	81.08
	CW	-	-	-	-

283 All aforementioned creep rupture characteristics of the parent metal and cross-weld are 284 gathered for comparison in Figure 5 and summarised in Table 4 in the form of power-law 285 (relating the time-to-rupture or SSCR to the stress) and Monkman-Grant (relating the 286 mean creep rate to SSCR) relationships. Figures 5a and 5b show that the cross-weld 287 specimens have a considerably shorter creep life and a higher creep strain rate as 288 compared to the parent metal specimens, whilst Figure 5c reveals a similar Monkman-289 Grant (MG) relationship for both the cross-weld and the parent metal specimens. In 290 addition, no significant differences were observed for the apparent stress and MG 291 exponents between the cross-weld and the parent metal specimens, as detailed in Table 292 4. The parent metal specimens show a slightly higher stress exponent value, m, as 293 compared to the cross-weld specimen, whilst the stress exponent value, n, is slightly higher for the cross-weld specimen. The MG exponent, ξ , for the cross-weld specimens 294 295 turns out to be 0.95, whilst that of the parent metal specimens is ~ 0.87 .

296 Additional calculations were conducted on separate groups of the specimens tested at 297 different stress levels, which appear to exhibit different creep behaviour as indicated by 298 an evident deviation from the linear relationship as shown in Figure 5a. The stress 299 exponent value, m, was obtained at 8.87 and 15.70 for the specimens tested at 160/180 300 MPa and 200/220 MPa, respectively. A close value of stress exponent, m, between the 301 cross-weld specimens tested at a higher level of stress and the parent metal specimens 302 suggests similar creep behaviour for the specimens tested at the identical conditions, 303 whilst the creep behaviour of the specimens tested at 160/180 MPa may be different as 304 proposed by a considerable discrepancy in stress exponent value with the parent metal 305 specimens. As a result, creep life is significantly shortened to a higher extent for the cross-306 weld specimens tested at a lower stress level (e.g. 160 and 180 MPa).

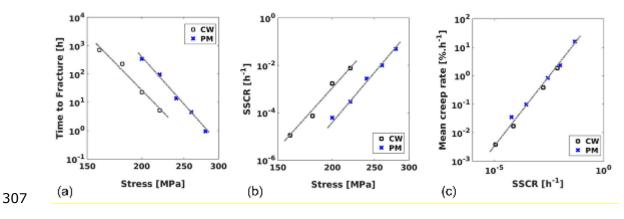


Figure 5. (a) Time to fracture vs. applied stress. (b) SSCR vs. applied stress. (c) Mean creep rate (ϵ_f/τ_f) vs. SSCR of IBN-1 cross-weld (CW) and parent metal (PM) specimens.

Table 4. Apparent values of creep function coefficients for parent metal (PM) and
cross-weld (CW) specimens.

Material	Time to fracture $ au_f = p \sigma^{-m}$		Steady-state creep rate $SSCR = q \sigma^{n}$		Monkman-Grant relationship	
	oj po		556R – <i>q</i> 0		$\mathrm{SSCR}^{\xi} = \omega \epsilon_f / \tau_f$	
	<i>p</i> [(MPa) ⁻¹ .h]	<i>m</i> [-]	q [(MPa.h) ⁻¹]	n [-]	ω[-]	ξ[-]
PM	7.59×10^{42}	17.53	1.07×10^{-50}	19.88	0.63	0.87
CW	2.63×10^{38}	16.08	6.47×10^{-53}	21.42	0.56	0.95

313

314 **3.3.** Creep rupture behaviour of cross-weld specimen at different stress levels

The microstructures in the creep exposed cross-weld specimens were investigated using

316 hardness mapping analysis in combination with fractography examination to understand

- 317 the correlation between the weld microstructure and the creep rupture behaviour.
- 318 Hardness mapping was conducted in the region close to the rupture surface to reveal the
- 319 variation in hardness after creep testing at 650°C and 160 MPa, Figure 6.

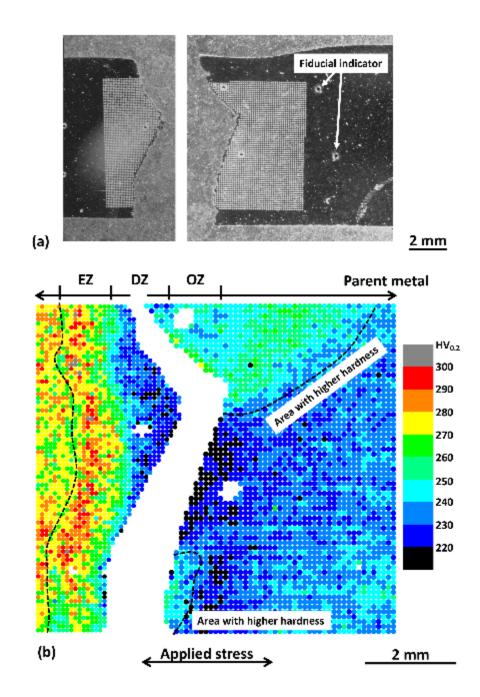


Figure 6. (a) Photographs showing the cross-section of a creep ruptured cross-weld specimen after creep testing at 650°C and 160 MPa for 705 hours prior to hardness mapping analysis. (b) A hardness map showing the variation of hardness in the region of analysis.

Figure 6b shows that the hardness of the weld metal ranges between 238 and 299 $HV_{0.2}$ with an average hardness measuring 274±9 $HV_{0.2}$, whereas the hardness of the parent

327 metal is in the range of 221-258 HV_{0.2} with an average value obtained at 236 \pm 6 HV_{0.2}. 328 The hardness in the HAZ decreases from $312 \text{ HV}_{0.2}$ to $205 \text{ HV}_{0.2}$ with distance from the 329 fusion boundary. The rupture surface is located at ~1.0-2.5 mm from the fusion boundary 330 with a zig-zag crack path. The rupture surface is aligned at $\sim 90^{\circ}$ to the principal stress 331 direction close to the outer surface (bottom), whereas it is $\sim 70^{\circ}$ and $\sim 45^{\circ}$ to the principal 332 stress direction in the centre and close to the other side (top), respectively. The hardness in the regions extending from the portions at ~90° and ~45° is in the range of 232-253 333 334 $HV_{0.2}$ and 235-273 $HV_{0.2}$, respectively. The hardness measured from the regions extending from the portion at $\sim 70^{\circ}$ to the principal stress direction is lower and in the 335 336 range between 206 and 237 $HV_{0.2}$. The angle of the rupture surface is related to the 337 microstructure of the weld and the local stress state during creep testing. However, it is also affected by the other factors such as the surface condition of the specimen and the 338 339 experimental condition of creep test. Detailed examination of the creep ruptured crossweld specimen is required to reveal the underlying reasons for the rupture of specimens. 340

Hardness mapping analysis was further conducted on the creep ruptured specimen which
was tested at 650°C and 200 MPa, Figure 7.

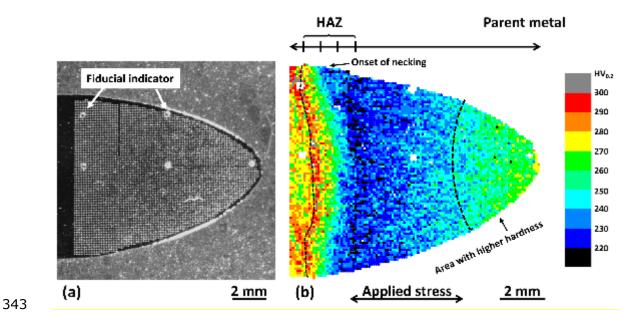


Figure 7. (a) A photograph showing the cross-section of a creep ruptured cross-weld
specimen after creep testing at 650°C and 200 MPa for 23 hours prior to hardness
mapping analysis.

The hardness measured from the weld and parent metals (Figure 7) is similar to the 347 specimen ruptured at 160 MPa. The hardness of the weld metal is in the range between 348 253 and 314 HV_{0.2} with an average value measuring 279 ± 9 HV_{0.2}, whereas the hardness 349 350 of the parent metal is between 219 and 269 $HV_{0.2}$ with an average value obtained at 241±8 351 $HV_{0.2}$. The hardness in the HAZ is similar to the specimen tested at 650°C and 160 MPa 352 and is decreased from 320 to 205 HV_{0.2} as the distance from the fusion boundary 353 increases. The rupture surface is located at ~11 mm from the fusion boundary, with 354 substantial necking which also includes an increase in hardness to a maximum value of 355 285 HV_{0.2}.

Fractographic examination was conducted on the rupture surfaces to understand the fracture behaviour of the creep exposed specimens. Figure 8 demonstrates the topography of the rupture surface in the specimens tested at 650°C/160 MPa and 650°C/200 MPa.

359	Figures 8b-8g demonstrate that the rupture surface in the specimen tested at 650°C and
360	160 MPa has changed from an intergranular to a ductile dimpled topography from the
361	regions with an angle of at ~90° to ~70° and ~45° to the principal stress direction. The
362	intergranular surface at $\sim 90^{\circ}$ is not similar to the characteristics of typical faceted surfaces
363	formed by intergranular fracture [29], but it is covered by scale-like features that are
364	possibly formed by oxidation (Figure 8g). The dimples in the region $\sim 70^{\circ}/\sim 45^{\circ}$ to the
365	principal stress direction are typically 3-10 μ m in diameter and occasionally associated
366	with inclusion particles (e.g. Figure 8c), which is consistent with the existing report of
367	plastic damage associated with secondary phase particles [30]. The rupture surface of the
368	specimen tested at 650°C and 200 MPa is composed of a dimpled central region in
369	combination with a shear lip close to the outer surface (Figure 8h). Figure 8i demonstrates
370	that the dimples in the central region are similar in size as compared to the specimen
371	tested at 650°C and 160 MPa and occasionally associated with inclusions.

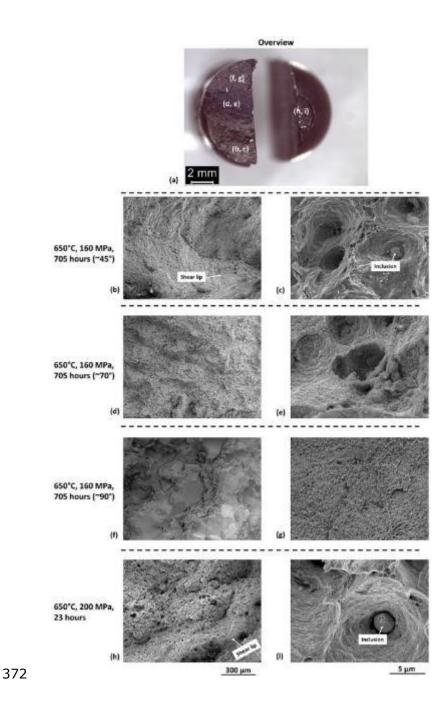


Figure 8. (a) An optical overview of rupture surface in the specimens tested at (left)
650°C/160 MPa and (right) 650°C/200 MPa. Topography of the rupture surface is
further detailed for the regions with an angle of (b, c) ~45°, (d, e) ~70° and (f, g) ~90°
to the principal stress direction in the specimen tested at 650°C/160 MPa and (h, i)
the rupture surface after creep testing at 650°C/200 MPa.

378 Previous studies that were systematically conducted at various creep test conditions have 379 revealed a variation in rupture behaviour from catastrophic HAZ failure occurring in the 380 'Type IV' zone, to ductile rupture of the parent metal against a decreasing testing 381 temperature and/or an increasing stress level for the welds with a parent metal of similar 382 materials [11,31]. This trend in creep rupture behaviour against the variation of creep test 383 condition is consistent with the creep behaviour of IBN-1 steel welds between the tests conducted at 650°C and 160/200 MPa here. The IBN-1 weld tested at 200 MPa 384 385 demonstrates similar creep behaviour to the IBN-1 parent materials from the identical industrial heat in tests at similar conditions, where dislocation climb acts as the dominant 386 387 rate controlling factor for creep deformation [22]. However, the specimen tested at 650°C 388 and 160 MPa demonstrates distinctively different creep rupture behaviour as indicated by an intergranular rupture surface located in the HAZ. Detailed metallographic examination 389 390 is required to obtain an in-depth understanding of metallurgical causes for the occurrence of intergranular HAZ failure. 391

392 **3.4.** The influence of HAZ microstructure on creep rupture

EBSD mapping was conducted in local areas close to the rupture surface in the HAZ ofthe specimen tested at 650°C and 160 MPa, Figure 9.

Figure 9 clearly demonstrates the correlation between HAZ microstructure and the location of rupture surface. The surface portion at ~45° to the principal stress direction is close to the boundary between the DZ and the OZ, whereas the portion at ~70° is located within the DZ. The surface portion at ~45° is transgranular and relatively straight (Figures 9a and 9b), whereas the surface portion at ~70° is transformed from intergranular (Figure 9c) to transgranular (Figure 9d) when moving from the region adjacent to the surface

portions at ~90° to the region close to the portion at ~45°. However, the surface portion 401 at ~90° is clearly intergranular and formed along the 'necklace' of pre-existing PAGBs 402 403 as marked by the traces of refined grains $<20 \ \mu m$ in diameter (Figures 9e and 9f). The 404 location of the intergranular surface portion has been confirmed in the region close to the boundary between the EZ and the DZ, with a distance of ~1.1 mm from the fusion 405 406 boundary. The presence of secondary damage was also observed in the intergranular region on pre-existing PAGBs and triple points (Figures 9f and 9g) in the area close to 407 408 the rupture surface.

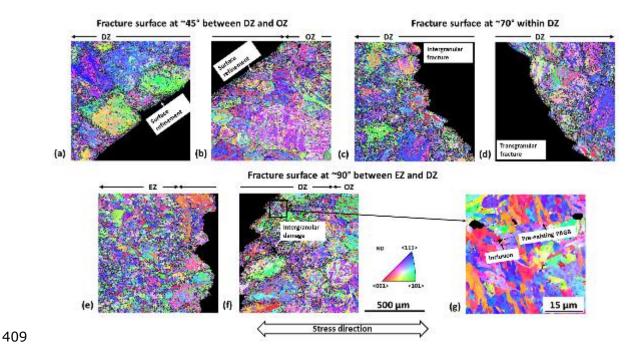


Figure 9. EBSD maps showing the microstructure in the regions close to rupture surface at (a, b) ~45°, (c, d) ~70° and (e, f) ~90° to the principal stress direction in the specimen tested at 650°C and 160 MPa. (g) An EBSD map collected at a higher resolution detailing the correlation between microstructure and secondary damage close to rupture surface. The grain boundaries with a misorientation range between 20° and 50° are outlined by solid lines.

The initial microstructure in the HAZ of the as-fabricated IBN-1 weld was further investigated in detail to identify the cause of intergranular creep rupture from a metallurgical perspective. Figure 10 demonstrates the correlative micrographs obtained from the DZ regions in the as-fabricated weld at a similar distance from the fusion boundary as the location of the rupture surface using EBSD and ion induced SE imaging.

421 Figure 10a demonstrates an evident transition between the EZ and DZ at ~1 mm from the 422 fusion boundary as indicated by the change in microstructure. One region (Region 1) 423 selected for correlative EBSD/ion induced SE analysis is close to the boundary between 424 the EZ and the DZ with a similar distance from the fusion boundary as the rupture surface 425 (Figure 9) to compare with the microstructure in another region (Region 2) further away 426 from the fusion boundary (~1.3 mm). EBSD mapping analysis (Figures 10b and 10d) 427 reveals the elongated blocks that are a few microns in width within the martensitic 428 substructure of the fine and coarse grains within the DZ, whereas the correlative ion 429 induced SE micrographs (Figures 10c and 10e) demonstrate the precipitate particles that 430 are preferentially distributed on substructure boundaries. Notably, the boundary of the refined grains formed along a pre-existing PAGB (e.g. Grain B) are consistently 431 432 decorated by precipitate particles with a diameter of <150 nm in Region 1 (Figure 10c), 433 whereas the boundary of the refined grains in Region 2 (e.g. Grain D) are decorated to a 434 lesser extent (Figure 10d). This is attributed to an incomplete dissolution of the pre-435 existing precipitate particles in the regions further away from the fusion boundary due to a lower experienced peak temperature, which consumes the carbide forming elements in 436 the matrix and mitigates a further formation of grain boundary precipitates as previously 437 reported in Grade 92 steel weld [20]. 438

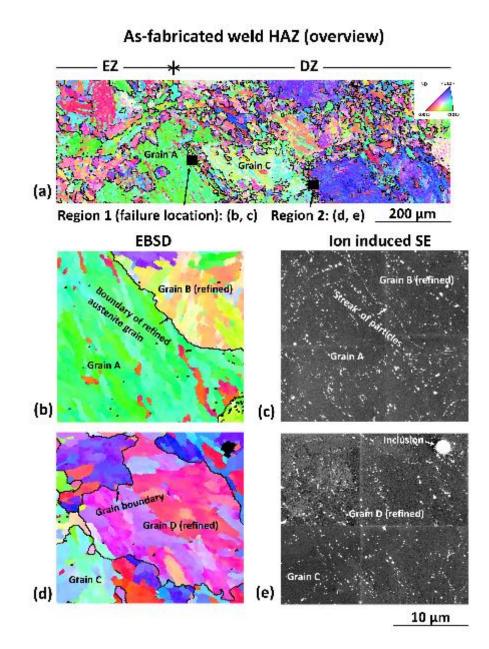




Figure 10. (a) A large-scale EBSD map providing an overview of microstructure in the DZ with the regions of specific analysis indicated by black boxes. (b, d) EBSD and (c, e) ion induced SE micrographs of the martensitic substructure and the structure of precipitates in the regions at (b, c) 1.1 mm and (d, e) 1.3 mm from the fusion boundary. The boundary of small austenite grains on the 'necklace' of preexisting PAGBs were revealed by a misorientation range of 20°-50°. Ion induced SE

446 micrographs were inverted in grey scale for a clear visualisation of the precipitates 447 as bright particles.

The precipitates on the boundary of a refined grain (Grain B) in Region 1 were further extracted using the FIB lift-out technique for high-resolution analysis in TEM using SAED and STEM-EDX. Figure 11 displays a BF-STEM micrograph collected from the boundary of Grain B with the SAEDPs and EDX maps collected from precipitates and the surrounding matrix.

453 The martensitic substructure is predominantly composed of laths that are $<1 \mu m$ in width 454 in combination with a high density of dislocations within the lath interiors. The majority 455 of the precipitate particles distributed on the boundaries of Grain B are <150 nm in 456 diameter and have an FCC lattice structure similar to the M₂₃C₆ carbides [32]. A crystal 457 orientation relationship of {011}_{precipitate} // {111}_{matrix}, <111>_{precipitate} // <011>_{matrix} was 458 also confirmed between the precipitates and the matrix (Figure 11b). Figures 11c-11f 459 further demonstrate that the precipitates distributed on the boundary of Grain B have a 460 similar chemistry to the M₂₃C₆ carbides that are abundant in Cr in combination with a minor level of W [33]. The presence of precipitate particles that are abundant in W or 461 462 Nb/V was also observed at a minor level (Figures 11d-11f). The chemical compositions 463 of the W-rich and Nb/V-rich precipitates are similar to the chemistry of Laves and MX carbonitrides [34,35], respectively. 464

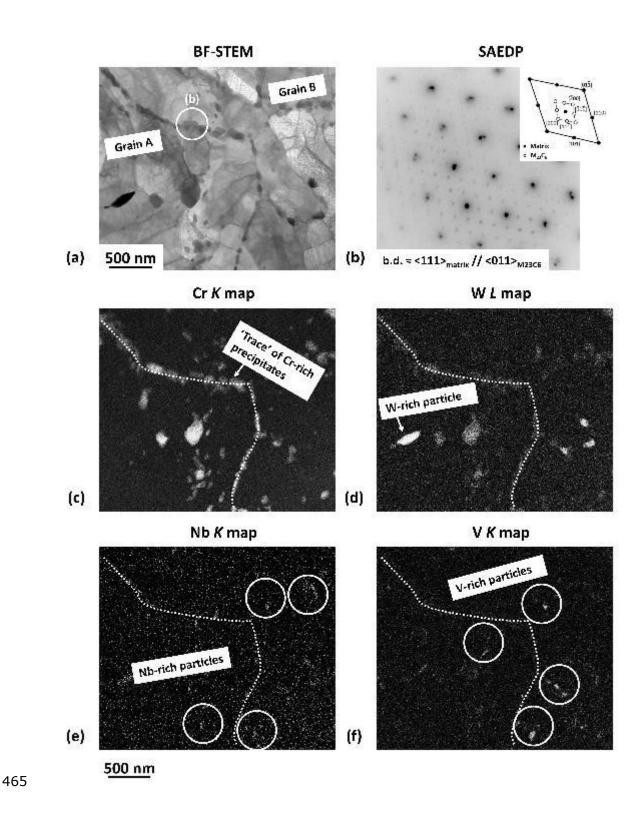


Figure 11. (a) A BF-STEM micrograph of the detailed martensitic microstructure
in the region adjacent to the boundary of Grain B (Figure 10) and (b) an SAEDP
collected from a precipitate particle with grey scale inverted for the visualisation of

469 pattern. (c-f) Correlative EDX maps demonstrating the distribution of elements in
470 the precipitates and the surrounding matrix.

471 **4. DISCUSSION**

472 The dimpled rupture surface on the specimen tested at 650°C and 200 MPa is formed by 473 ductile fracture due to significant stress concentration in the necked region, similar to that 474 as previously observed in parent metal specimens [22]. However, the rupture behaviour 475 of the IBN-1 weld tested at 650°C and 160 MPa is distinctively different and is marked 476 by an intergranular rupture surface located within the HAZ. Based on detailed 477 metallographic examinations of the specimens before and after creep exposure, the rupture surface generated at an applied stress of 160 MPa was found to be locateed in the 478 479 region close to the boundary between EZ and DZ.

480 The EZ and DZ are distinctively classified by an evident transition in prior austenite grain 481 morphology from an equiaxed microstructure to a duplex structure containing refined 482 PAGs distributed on the initial PAGBs (Figure 10). These fine grains are likely to form via a diffusive transformation mechanism that is initiated from the PAGBs due to a lower 483 484 energy for formation. This is evidenced by a preferential distribution of Cr-containing $M_{23}C_6$ carbides on the boundary of these refined grains (Figure 10). This is caused by the 485 486 segregation of carbide forming elements (e.g. Cr) on the boundaries as result of diffusion 487 [38]. Similar observations were also obtained from a Fe-13%Cr-4%Ni-Mo martensitic steel after diffusive austenitisation in the lower temperature regime (i.e. tempering at 488 489 <680°C) as previously reported [39].

However, it is considered that the materials in the remaining area (i.e. close to the centreof PAGs) are associated with a displacive austenitisation process induced by the rapid

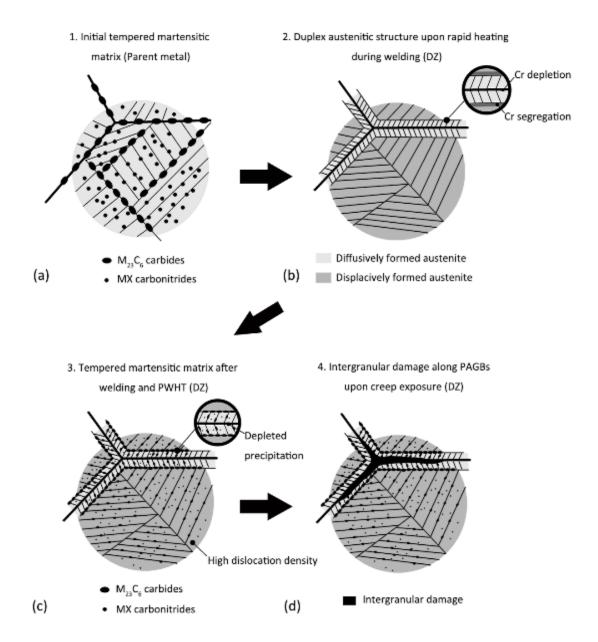
492 heating rates experienced during welding. The existing observations of displacive 493 austenitisation is comparatively limited for 9-12% Cr steels due to a relatively lower 494 heating rate associated with typical manufacturing and heat treatment processes utilised 495 for industrial applications [40]. However, fusion welding may facilitate the 496 reaustenitisation of original parent metal in the weld HAZ via a diffusionless, displacive 497 mechanism due to a high heating rate associated with weld thermal cycles that is typically >100°C/s as revealed in previous research [16,18]. The critical threshold of heating rate 498 499 for the occurrence of displacive austenitisation has been determined to be ~400°C/s in a 500 0.15%C-5%Mn low alloy steel, whilst displacive austenitisation has been reported upon 501 a heating rate of 5°C/s in a Fe-13%Cr-4%Ni-Mo steel [28,41].

502 The resultant microstructure formed by displacive austenitisation has been demonstrated 503 in a previous study as a duplicated austenitic structure defined by high-angle PAGBs in 504 combination with austenitic laths sharing similar crystal orientation formed within the 505 PAG interiors. The austenitic laths with a similar crystal orientation are formed within an 506 individual PAG due to a crystallography memory effect that 'reverses' the orientation of 507 α/α' -Fe matrix based on a Kurdjumov-Sachs orientation relationship [42]. In the current 508 research, the microstructure observed from the central area within the PAG interiors in 509 the DZ (Figures 3 and 9) is in strong agreement with the austenitic microstructure formed 510 in a displacive manner as reported in [42]. This also explains the lack of high-angle 511 boundaries within the interiors of pre-existing PAGs in the DZ as highlighted by a range 512 of misorientation of 20°-50°. Therefore, the duplex austenitic structure in the DZ is 513 formed via a diffusive reaustenitisation process that gives rise to refined grains along the 514 pre-existing PAGBs in combination with a displacive mechanism that reaustenitises the 515 martensitic matrix within the PAG interior. This is consistent with the experimental

observation as previously reported in another MarBN steel [26]. Upon further heating after austenitisation is completed, the displacively formed austenite is prone to recrystallisation due to a high density of dislocations in the microstructure as reported in [41,42]. This further explains the presence of an equiaxed austenitic structure in the regions closer to the fusion boundary as highlighted in the EZ area (Figures 3 and 9).

521 The martensite transformed from displacively formed austenite is commonly related with 522 a higher dislocation density inherited from the initial austenitic microstructure as compared to the martensitic phases transformed from diffusively formed austenite 523 524 [41,42]. This suggests a higher dislocation density in the martensitic matrix within the 525 PAG interiors as compared to the intergranular regions showing a refined austenitic 526 structure formed by diffusive phase transformation. Therefore, the intergranular regions 527 along the PAGBs are more susceptible to damage formation by acting as the vulnerable 528 sites in the microstructure. In addition, the correlative EBSD and ion induced SE analysis 529 has revealed preferential formation of the M₂₃C₆ carbides on the boundaries of diffusively 530 formed austenite grains in the region close to the boundary between the EZ and DZ. This is consistent with the observation from a previous study on a Fe-1%Cr-0.6%C alloy that 531 532 reveals the segregation of Cr accompanied with the migration of austenite grain 533 boundaries during diffusive austenitisation [38]. The diffusion of carbide forming elements away from the pre-existing PAGBs further explains the scarcity of intergranular 534 535 M₂₃C₆ carbides in the areas between refined austenite grains. The depletion of precipitates 536 on PAGBs may further contribute to a higher susceptibility of intergranular damage due to a lack of stabilisation effect provided by the grain boundary precipitates [33]. Figure 537 538 12 demonstrates a schematic diagram illustrating the critical metallurgical factors

associated with the formation of intergranular damage in a duplex martensiticmicrostructure formed by a combination of diffusive and displacive mechanisms.



541

542 Figure 12. A schematic diagram illustrating the formation of intergranular damage

- 543 in the microstructure of DZ after reaustenitisation from the initial microstructure
- 544 of the parent metal prior to PWHT.

545 5. CONCLUSIONS

546 The microstructural distribution in the HAZ of IBN-1 welds has been clearly determined 547 and classified into three distinctive sub-regions as the Equiaxed Zone (EZ), the Duplex 548 Zone (DZ) and the Over-tempered Zone (OZ) in the initial microstructure prior to creep 549 exposure. The microstructural and mechanical characterisations conducted after short-550 term creep testing have further revealed an evident variation in creep behaviour between 551 the welds tested at different stress levels and the bulk materials tested at identical conditions. From a mechanical point of view, a low creep resistance of the welds, as 552 553 compared to the parent metal, has been observed. The SSCR values for the welds were 554 found 26-fold higher than the parent metal, while the time to rupture was 14- to 18-fold 555 shorter as compared to the parent metal.

556 Detailed fractography and metallography examinations revealed a ductile rupture 557 occurred in the parent metal for the welds tested at a higher level of stress, whilst the 558 welds tested at a lower stress demonstrated a failure location in the DZ microstructure 559 close to the boundary with EZ. The initiation of such failure in IBN-1 welds was 560 dominated by intergranular cracking along the pre-existing initial PAGBs from the original microstructure of the parent metal. The areas along these PAGBs acted as 561 562 vulnerable sites in a tempered martensitic matrix transformed from an austenitic 563 microstructure formed by a combination of diffusive and displacive mechanisms. In particular, the intergranular regions were associated with higher damage susceptibility 564 565 due to a relatively lower strength as compared to the matrix within the PAG interiors and 566 a lack of precipitates on the PAGBs.

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576 7. DATA AVAILABILITY

577 The raw/processed data required to reproduce these findings cannot be shared at this time578 as the data also forms part of an ongoing study.

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