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# Study of multilayered composites through periodic homogenization and Mori-Tanaka methods

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## Abstract

The multilayered composite structure has been utilized frequently in the literature of periodic homogenization as a test case for developing new methodologies for linear and nonlinear composite media. The manuscript demonstrates that these composites can also be used for validating mean-field micromechanics techniques. It is shown that the Mori-Tanaka method combined with the Transformation Field Analysis provides the same solution with the periodic homogenization for nonlinear multilayered composites.

*Keywords:* multilayered composites; periodic homogenization; Mori-Tanaka method; Transformation Field Analysis; inelastic fields.

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## 1. Introduction

Composite materials are complicated media whose microstructure contains two or more different material phases. Their study is performed using the so-called multiscale modeling approaches, which rely on the concept of scale separation. According to these methods, the behavior of the overall

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structure is identified through splitting it into several scales. Specially designed average techniques allow to study at first the finer scales and identify "homogenized properties" that pass to the next (higher) scale.

The mechanical behavior of highly heterogeneous, nonlinear composite materials has been the subject of many researchers in the last 30 years. Several multiscale methods have been developed for identifying the overall response of viscoelastic, elastoplastic, viscoplastic, or damaged composite materials (Suquet, 1987; Ponte-Castañeda, 1991; Terada and Kikuchi, 2001; Desrumaux et al., 2001; Meraghni et al., 2002; Yu and Fish, 2002; Aboudi et al., 2003; Aboudi, 2004; Chaboche et al., 2005; Love and Batra, 2006; Asada and Ohno, 2007; Jendli et al., 2009; Mercier and Molinari, 2009; Khatam and Pindera, 2010; Cavalcante et al., 2009; Kruch and Chaboche, 2011; Brenner and Suquet, 2013; Tu and Pindera, 2014; Cavalcante and Pindera, 2016; Tikarrouchine et al., 2018; Praud et al., 2021). Review papers discussing the existing techniques have been written by Pindera et al. (2009); Charalambakis (2010); Mercier et al. (2012); Charalambakis et al. (2018).

The periodic homogenization approach, applicable for composite media with periodic microstructure, has been theoretically established by Bensoussan et al. (1978); Sanchez-Palencia (1978); Murat and Tartar (1997), and nowadays it is considered classical and among the most accurate homogenization theories. This method has been also adopted for inelastic, periodic heterogeneous media (Suquet, 1987; Fish et al., 1997; Herzog and Jacquet, 2007). Recent developments of the theory permit to account for gradient phenomena, complex nonlinear responses and multiphysics mechanisms. The most common example for validating the classical periodic homogenization and

its extensions is the study of multilayered periodic composites (Kalamkarov and Kolpakov, 1997; Fish and Chen, 2001; Yu and Fish, 2002; Otero et al., 2005; Chatzigeorgiou et al., 2015, 2016). The advantage of these heterogeneous media is that all the equations at the unit cell level are reduced to one dimensional (in terms of spatial coordinates), transforming the equilibrium from partial differential to ordinary differential equations problem. Such simplification permits to obtain analytical or semi-analytical solution for the macroscopic properties and fields. The periodically multilayered structure, to the best of the author's knowledge, is not examined in the mean-field micromechanics community.

The scope of the current manuscript is to demonstrate that mean-field approaches can also predict the response of the multilayered composites. It is shown that the Mori-Tanaka method (Mori and Tanaka, 1973; Benveniste, 1987; Buryachenko, 2007; Dvorak, 2013), combined with the Transformation Field Analysis (TFA) approach (Dvorak and Benveniste, 1992), provides the same solution with the periodic homogenization independently of the anisotropic level of the layers.

The structure of the manuscript is as follows: Several mathematical formulas, helpful for the study of the unit cell problem (or representative volume element, in case of the mean-field method), are given in section 2. Section 3 presents the unit cell solution of the multilayered medium, according to the periodic homogenization theory. The Mori-Tanaka/TFA approach for the same composite is presented in section 4. A numerical example, illustrating the efficiency of the mean-field technique, is provided in section 5 and the main text finishes with the conclusions of the work. The [Hill](#) polarization

tensor for a disk-like inclusion in an infinite anisotropic medium is given in an appendix at the end of the article.

## 2. Mathematical preliminaries

In the computations of the multilayered composite's unit cell, it is useful to write the normal and tangential parts (with respect to the axis  $x_1$ ) of the second order tensors separately. For a strain tensor  $\boldsymbol{\varepsilon}$ , and a stress tensor  $\boldsymbol{\sigma}$ , written in Voigt notation<sup>1</sup> as

$$\boldsymbol{\varepsilon} = \begin{bmatrix} \varepsilon_{11} \\ \varepsilon_{22} \\ \varepsilon_{33} \\ 2\varepsilon_{12} \\ 2\varepsilon_{13} \\ 2\varepsilon_{23} \end{bmatrix}, \quad \boldsymbol{\sigma} = \begin{bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{23} \end{bmatrix}, \quad (1)$$

respectively, the normal and tangential parts are expressed through the  $3 \times 1$  vectors

$$\boldsymbol{\varepsilon}_n = \begin{bmatrix} \varepsilon_{11} \\ 2\varepsilon_{12} \\ 2\varepsilon_{13} \end{bmatrix}, \quad \boldsymbol{\varepsilon}_t = \begin{bmatrix} \varepsilon_{22} \\ \varepsilon_{33} \\ 2\varepsilon_{23} \end{bmatrix},$$

$$\boldsymbol{\sigma}_n = \begin{bmatrix} \sigma_{11} \\ \sigma_{12} \\ \sigma_{13} \end{bmatrix}, \quad \boldsymbol{\sigma}_t = \begin{bmatrix} \sigma_{22} \\ \sigma_{33} \\ \sigma_{23} \end{bmatrix}. \quad (2)$$

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<sup>1</sup>The Voigt notation presented here considers as fourth element the shear term 12 and as sixth element the shear term 23. This small deviation from the classical Voigt representation does not alter the results.

A fourth order tensor  $\mathbf{D}$  that presents minor symmetries ( $D_{ijkl} = D_{jikl} = D_{ijlk}$ ) is written in the Voigt form

$$\mathbf{D} = \begin{bmatrix} D_{11} & D_{12} & D_{13} & D_{14} & D_{15} & D_{16} \\ D_{21} & D_{22} & D_{23} & D_{24} & D_{25} & D_{26} \\ D_{31} & D_{32} & D_{33} & D_{34} & D_{35} & D_{36} \\ D_{41} & D_{42} & D_{43} & D_{44} & D_{45} & D_{46} \\ D_{51} & D_{52} & D_{53} & D_{54} & D_{55} & D_{56} \\ D_{61} & D_{62} & D_{63} & D_{64} & D_{65} & D_{66} \end{bmatrix}. \quad (3)$$

This tensor can be represented with the help of the following four matrices:

$$\begin{aligned} \mathbf{D}_{nn} &= \begin{bmatrix} D_{11} & D_{14} & D_{15} \\ D_{41} & D_{44} & D_{45} \\ D_{51} & D_{54} & D_{55} \end{bmatrix}, & \mathbf{D}_{nt} &= \begin{bmatrix} D_{12} & D_{13} & D_{16} \\ D_{42} & D_{43} & D_{46} \\ D_{52} & D_{53} & D_{56} \end{bmatrix}, \\ \mathbf{D}_{tn} &= \begin{bmatrix} D_{21} & D_{24} & D_{25} \\ D_{31} & D_{34} & D_{35} \\ D_{61} & D_{64} & D_{65} \end{bmatrix}, & \mathbf{D}_{tt} &= \begin{bmatrix} D_{22} & D_{23} & D_{26} \\ D_{32} & D_{33} & D_{36} \\ D_{62} & D_{63} & D_{66} \end{bmatrix}, \end{aligned} \quad (4)$$

through the matrix-type expression

$$\mathbf{D} = \mathcal{I}_n \cdot \mathbf{D}_{nn} \cdot \mathcal{I}_n^T + \mathcal{I}_n \cdot \mathbf{D}_{nt} \cdot \mathcal{I}_t^T + \mathcal{I}_t \cdot \mathbf{D}_{tn} \cdot \mathcal{I}_n^T + \mathcal{I}_t \cdot \mathbf{D}_{tt} \cdot \mathcal{I}_t^T, \quad (5)$$

in which the superscript  $T$  denotes the usual transpose operation, while the  $6 \times 3$  matrices  $\mathcal{I}_n$  and  $\mathcal{I}_t$  are given by

$$\mathcal{I}_n = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}, \quad \mathcal{I}_t = \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}. \quad (6)$$

Let's assume that the tensor  $\mathbf{D}$  connects two symmetric second order tensors  $\mathbf{a}$  and  $\mathbf{b}$  (strain or stress type). The relation in indicial (Einstein's) notation

$$a_{ij} = D_{ijkl} b_{kl},$$

can be represented, using the normal and tangential parts, in the matrix forms

$$\mathbf{a}_n = \mathbf{D}_{nn} \cdot \mathbf{b}_n + \mathbf{D}_{nt} \cdot \mathbf{b}_t, \quad \mathbf{a}_t = \mathbf{D}_{tn} \cdot \mathbf{b}_n + \mathbf{D}_{tt} \cdot \mathbf{b}_t.$$

When  $\mathbf{D}$  possesses major symmetries ( $D_{ijkl} = D_{klij}$ ), then

$$\mathbf{D}_{nn} = \mathbf{D}_{nn}^T, \quad \mathbf{D}_{tn} = \mathbf{D}_{nt}^T, \quad \mathbf{D}_{tt} = \mathbf{D}_{tt}^T.$$

Important properties of the matrices  $\mathcal{I}_n$  and  $\mathcal{I}_t$  are the following:

$$\mathcal{I}_n \cdot \mathbf{I} \cdot \mathcal{I}_n^T + \mathcal{I}_t \cdot \mathbf{I} \cdot \mathcal{I}_t^T = \mathcal{I},$$

where

$$\mathcal{I} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}, \quad \mathbf{I} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix},$$

$$\begin{aligned} \mathcal{I}_n^T \cdot \boldsymbol{\varepsilon} &= \boldsymbol{\varepsilon}_n, & \mathcal{I}_t^T \cdot \boldsymbol{\varepsilon} &= \boldsymbol{\varepsilon}_t, \\ \mathcal{I}_n^T \cdot \mathcal{I}_t &= \mathcal{I}_t^T \cdot \mathcal{I}_n = \mathbf{0}, & \mathcal{I}_n^T \cdot \mathcal{I}_n &= \mathcal{I}_t^T \cdot \mathcal{I}_t = \mathbf{I}. \end{aligned}$$

The general stress-strain relation of an inelastic material is expressed in indicial (Einstein's) notation as

$$\sigma_{ij} = L_{ijkl} \varepsilon_{kl} + \sigma_{ij}^p, \quad (7)$$

where  $L_{ijkl}$  is the elasticity tensor (fourth order with minor and major symmetries) and  $\sigma_{ij}^p$  denotes the inelastic part of the stress. When the material experiences damage mechanism, the tensor  $L_{ijkl}$  depends on the damage state. The discussed framework in this work can accommodate damage conditions. In such cases,  $L_{ijkl}$  is referred to as secant modulus.

Equation (7) can be expressed in terms on normal and tangential parts (with respect to the axis  $x_1$ ) in the matrix forms

$$\begin{aligned} \boldsymbol{\sigma}_n &= \mathbf{L}_{nn} \cdot \boldsymbol{\varepsilon}_n + \mathbf{L}_{nt} \cdot \boldsymbol{\varepsilon}_t + \boldsymbol{\sigma}_n^p, \\ \boldsymbol{\sigma}_t &= \mathbf{L}_{nt}^T \cdot \boldsymbol{\varepsilon}_n + \mathbf{L}_{tt} \cdot \boldsymbol{\varepsilon}_t + \boldsymbol{\sigma}_t^p. \end{aligned} \quad (8)$$

### 3. Periodic homogenization for multilayered composite structures

As already mentioned in the introduction, the periodic homogenization framework is well established in the literature. When dealing with nonlinear composites, incremental/iterational schemes are required. It is usually more convenient for FE computations to utilize a tangential type of approach (Terada and Kikuchi, 2001; Asada and Ohno, 2007; Chatzigeorgiou et al., 2016, 2018), in which the elasticity tensor is substituted by the state-dependent tangent modulus. Here, for comparison reasons with the Mori-Tanaka/Transformation Field Analysis method, the stress is represented by the general relation (7).

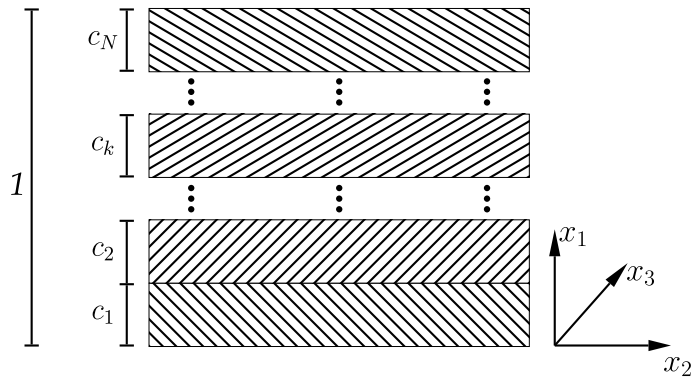


Figure 1: Unit cell of multilayered composite with  $N$  layers.

Let's consider a multilayered composite structure, whose unit cell is shown in Figure 1. This unit cell consists of  $N$  distinct, possibly anisotropic, layers, each one having its own volume fraction ( $c_k$  for the  $k_{\text{th}}$  layer).

The stress in an arbitrary  $r_{\text{th}}$  layer of the unit cell is given by

$$\sigma_{ij}^{(r)} = L_{ijkl}^{(r)} \varepsilon_{kl}^{(r)} + \sigma_{ij}^{p(r)}, \quad r \geq 1, \quad \forall x \in \left( \sum_{q=0}^{r-1} c_q, \sum_{q=0}^r c_q \right). \quad (9)$$

For convenience in the above and the following expressions,  $c_0$  is considered equal to 0. According to the periodic homogenization theory of first order, the microscopic displacement and strain at the  $r_{\text{th}}$  layer can be written as

$$\begin{aligned} u_i^{(r)} &= \bar{\varepsilon}_{ij} x_j + \tilde{u}_i^{(r)}, \\ \varepsilon_{ij}^{(r)} &= \bar{\varepsilon}_{ij}^{(r)} + \frac{1}{2} \frac{\partial \tilde{u}_i^{(r)}}{\partial x_j} + \frac{1}{2} \frac{\partial \tilde{u}_j^{(r)}}{\partial x_i}, \\ r \geq 1, \quad \forall x \in \left( \sum_{q=0}^{r-1} c_q, \sum_{q=0}^r c_q \right). \end{aligned} \quad (10)$$

$\bar{\varepsilon}$  corresponds to the macroscopic total strain. In the sequel, a bar above a symbol will denote a macroscopic quantity. The displacement field  $\tilde{\mathbf{u}}$  is periodic.

The equilibrium equations per layer, as well as the traction and displacement continuity conditions between the layers read

$$\begin{aligned} \frac{\partial \sigma_{ij}^{(r)}}{\partial x_j} &= 0, \quad r \geq 1, \quad \forall x \in \left( \sum_{q=0}^{r-1} c_q, \sum_{q=0}^r c_q \right), \\ \sigma_{i1}^{(r-1)} &= \sigma_{i1}^{(r)} \quad \text{at } x = \sum_{q=1}^{r-1} c_q, \quad r \geq 2, \\ \tilde{u}_i^{(r-1)} &= \tilde{u}_i^{(r)} \quad \text{at } x = \sum_{q=1}^{r-1} c_q, \quad r \geq 2. \end{aligned} \quad (11)$$

In the multilayered unit cell, derivatives with respect to  $x_2$  and  $x_3$  vanish. For simplification in the computations, the vector and matrix representations of section 2 are introduced. Since only the derivatives with respect to  $x_1$

remain, one obtains

$$\begin{aligned}\boldsymbol{\varepsilon}_n^{(r)} &= \bar{\boldsymbol{\varepsilon}}_n + \frac{d\tilde{\boldsymbol{u}}^{(r)}}{dx_1}, & \tilde{\boldsymbol{u}}^{(r)} &= \begin{bmatrix} \tilde{u}_1^{(r)} \\ \tilde{u}_2^{(r)} \\ \tilde{u}_3^{(r)} \end{bmatrix}, \\ \boldsymbol{\varepsilon}_t^{(r)} &= \bar{\boldsymbol{\varepsilon}}_t,\end{aligned}\tag{12}$$

for the strains and

$$\begin{aligned}\boldsymbol{\sigma}_n^{(r)} &= \mathbf{L}_{nn}^{(r)} \cdot \left[ \bar{\boldsymbol{\varepsilon}}_n + \frac{d\tilde{\boldsymbol{u}}^{(r)}}{dx_1} \right] + \mathbf{L}_{nt}^{(r)} \cdot \bar{\boldsymbol{\varepsilon}}_t + \boldsymbol{\sigma}_n^{p(r)}, \\ \boldsymbol{\sigma}_t^{(r)} &= \left[ \mathbf{L}_{nt}^{(r)} \right]^T \cdot \left[ \bar{\boldsymbol{\varepsilon}}_n + \frac{d\tilde{\boldsymbol{u}}^{(r)}}{dx_1} \right] + \mathbf{L}_{tt}^{(r)} \cdot \bar{\boldsymbol{\varepsilon}}_t + \boldsymbol{\sigma}_t^{p(r)}.\end{aligned}\tag{13}$$

for the stresses. Moreover, the equilibrium per layer is written

$$\frac{d\boldsymbol{\sigma}_n^{(r)}}{dx_1} = \mathbf{0} \quad \Rightarrow \quad \boldsymbol{\sigma}_n^{(r)} = \bar{\boldsymbol{\sigma}}_n.\tag{14}$$

The last relation indicates that the normal stress part,  $\boldsymbol{\sigma}_n^{(r)}$ , of any layer is equal to its macroscopic counterpart  $\bar{\boldsymbol{\sigma}}_n$ . From the equilibrium, the derivative of  $\tilde{\boldsymbol{u}}$  is obtained as

$$\frac{d\tilde{\boldsymbol{u}}^{(r)}}{dx_1} = \left[ \mathbf{L}_{nn}^{(r)} \right]^{-1} \cdot \bar{\boldsymbol{\sigma}}_n - \hat{\boldsymbol{\varepsilon}}_n^{(r)},\tag{15}$$

where

$$\hat{\boldsymbol{\varepsilon}}_n^{(r)} = \bar{\boldsymbol{\varepsilon}}_n + \left[ \mathbf{L}_{nn}^{(r)} \right]^{-1} \cdot \left[ \boldsymbol{\sigma}_n^{p(r)} + \mathbf{L}_{nt}^{(r)} \cdot \bar{\boldsymbol{\varepsilon}}_t \right].\tag{16}$$

Integrating with respect to  $x_1$  yields

$$\tilde{\boldsymbol{u}}^{(r)}(x_1) = \left[ \left[ \mathbf{L}_{nn}^{(r)} \right]^{-1} \cdot \bar{\boldsymbol{\sigma}}_n - \hat{\boldsymbol{\varepsilon}}_n^{(r)} \right] x_1 + \boldsymbol{\omega}^{(r)},\tag{17}$$

where  $\boldsymbol{\omega}^{(r)}$  are constant vectors. The periodicity conditions on the displacement fields give

$$\tilde{\boldsymbol{u}}^{(1)}(0) = \tilde{\boldsymbol{u}}^{(N)}(1) \quad \Rightarrow \quad \boldsymbol{\omega}^{(1)} - \boldsymbol{\omega}^{(N)} = [\mathbf{L}_{nn}^{(N)}]^{-1} \cdot \bar{\boldsymbol{\sigma}}_n - \hat{\boldsymbol{\varepsilon}}_n^{(N)}. \quad (18)$$

The displacement continuity at the interfaces yields the relations

$$\begin{aligned} & \left[ [\mathbf{L}_{nn}^{(1)}]^{-1} \cdot \bar{\boldsymbol{\sigma}}_n - \hat{\boldsymbol{\varepsilon}}_n^{(1)} \right] c_1 + \boldsymbol{\omega}^{(1)} = \left[ [\mathbf{L}_{nn}^{(2)}]^{-1} \cdot \bar{\boldsymbol{\sigma}}_n - \hat{\boldsymbol{\varepsilon}}_n^{(2)} \right] c_1 + \boldsymbol{\omega}^{(2)}, \\ & \left[ [\mathbf{L}_{nn}^{(2)}]^{-1} \cdot \bar{\boldsymbol{\sigma}}_n - \hat{\boldsymbol{\varepsilon}}_n^{(2)} \right] \sum_{q=1}^2 c_q + \boldsymbol{\omega}^{(2)} = \left[ [\mathbf{L}_{nn}^{(3)}]^{-1} \cdot \bar{\boldsymbol{\sigma}}_n - \hat{\boldsymbol{\varepsilon}}_n^{(3)} \right] \sum_{q=1}^2 c_q + \boldsymbol{\omega}^{(3)}, \\ & \quad \vdots \\ & \left[ [\mathbf{L}_{nn}^{(k)}]^{-1} \cdot \bar{\boldsymbol{\sigma}}_n - \hat{\boldsymbol{\varepsilon}}_n^{(k)} \right] \sum_{q=1}^k c_q + \boldsymbol{\omega}^{(k)} = \\ & \left[ [\mathbf{L}_{nn}^{(k+1)}]^{-1} \cdot \bar{\boldsymbol{\sigma}}_n - \hat{\boldsymbol{\varepsilon}}_n^{(k+1)} \right] \sum_{q=1}^k c_q + \boldsymbol{\omega}^{(k+1)}, \\ & \quad \vdots \\ & \left[ [\mathbf{L}_{nn}^{(N-1)}]^{-1} \cdot \bar{\boldsymbol{\sigma}}_n - \hat{\boldsymbol{\varepsilon}}_n^{(N-1)} \right] \sum_{q=1}^{N-1} c_q + \boldsymbol{\omega}^{(N-1)} = \\ & \left[ [\mathbf{L}_{nn}^{(N)}]^{-1} \cdot \bar{\boldsymbol{\sigma}}_n - \hat{\boldsymbol{\varepsilon}}_n^{(N)} \right] \sum_{q=1}^{N-1} c_q + \boldsymbol{\omega}^{(N)}. \end{aligned}$$

Adding all the above expressions and taking into account the boundary condition (18) leads to the expression

$$\sum_{r=1}^N c_r \left[ [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \bar{\boldsymbol{\sigma}}_n - \hat{\boldsymbol{\varepsilon}}_n^{(r)} \right] = \mathbf{0},$$

or

$$\bar{\boldsymbol{\sigma}}_n = \mathbf{M}_{nn} \cdot \bar{\boldsymbol{\varepsilon}}_n + \mathbf{M}_{nn} \cdot \mathbf{M}_{nt} \cdot \bar{\boldsymbol{\varepsilon}}_t + \mathbf{M}_{nn} \cdot \mathbf{m}_n^p, \quad (19)$$

with

$$\begin{aligned} \mathbf{M}_{nn} &= \left[ \sum_{r=1}^N c_r [\mathbf{L}_{nn}^{(r)}]^{-1} \right]^{-1}, \\ \mathbf{M}_{nt} &= \sum_{r=1}^N c_r [\mathbf{L}_{nn}^{(r)}]^{-1} \mathbf{L}_{nt}^{(r)}, \\ \mathbf{m}_n^p &= \sum_{r=1}^N c_r [\mathbf{L}_{nn}^{(r)}]^{-1} \boldsymbol{\sigma}_n^{p(r)}. \end{aligned} \quad (20)$$

Returning to equations (12) one has

$$\begin{aligned} \boldsymbol{\varepsilon}_n^{(r)} &= [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \mathbf{M}_{nn} \cdot \bar{\boldsymbol{\varepsilon}}_n + [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \left[ \mathbf{M}_{nn} \cdot \mathbf{M}_{nt} - \mathbf{L}_{nt}^{(r)} \right] \cdot \bar{\boldsymbol{\varepsilon}}_t \\ &\quad + [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \left[ \mathbf{M}_{nn} \cdot \mathbf{m}_n^p - \boldsymbol{\sigma}_n^{p(r)} \right], \\ \boldsymbol{\varepsilon}_t^{(r)} &= \bar{\boldsymbol{\varepsilon}}_t. \end{aligned} \quad (21)$$

Algebraic manipulations give

$$\begin{aligned} \boldsymbol{\varepsilon}_n^{(r)} &= [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \mathbf{M}_{nn} \cdot \bar{\boldsymbol{\varepsilon}}_n + [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \mathbf{M}_{nn} \cdot \left[ \mathbf{M}_{nt} - \mathbf{M}_{nn}^{-1} \cdot \mathbf{L}_{nt}^{(r)} \right] \cdot \bar{\boldsymbol{\varepsilon}}_t \\ &\quad + [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \mathbf{M}_{nn} \cdot \left[ \mathbf{m}_n^p - \mathbf{M}_{nn}^{-1} \cdot \boldsymbol{\sigma}_n^{p(r)} \right], \end{aligned}$$

or, in summary,

$$\begin{aligned}
\boldsymbol{\varepsilon}_n^{(r)} &= \mathbf{A}_{nn}^{(r)} \cdot \bar{\boldsymbol{\varepsilon}}_n + \mathbf{A}_{nt}^{(r)} \cdot \bar{\boldsymbol{\varepsilon}}_t + \sum_{q=1}^N c_q \mathbf{A}_{nn}^{p(qr)} \cdot [\boldsymbol{\sigma}_n^{p(q)} - \boldsymbol{\sigma}_n^{p(r)}], \\
\boldsymbol{\varepsilon}_t^{(r)} &= \bar{\boldsymbol{\varepsilon}}_t, \\
\mathbf{A}_{nn}^{(r)} &= [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \left[ \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} \right]^{-1}, \\
\mathbf{A}_{nt}^{(r)} &= \mathbf{A}_{nn}^{(r)} \cdot \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} [\mathbf{L}_{nt}^{(q)} - \mathbf{L}_{nt}^{(r)}], \\
\mathbf{A}_{nn}^{p(qr)} &= \mathbf{A}_{nn}^{(r)} \cdot [\mathbf{L}_{nn}^{(q)}]^{-1}.
\end{aligned} \tag{22}$$

Equations (22) state that the strain fields (and consequently the stress fields) take different values at the various layers, but they remain uniform inside a single layer.  $\mathbf{A}^{(r)}$  correspond to the well-known strain concentration tensors.

#### 4. Mori-Tanaka/TFA method for multilayered composites

Homogenization techniques based on the Eshelby problems (for instance Mori-Tanaka or self consistent), have been proven to be very efficient for elastic composites (Mura, 1987; Benveniste, 1987; Qu and Cherkaoui, 2006). However, when nonlinear components are present, these methods usually lead to stiff response and certain modifications are required (Doghri and Ouaar, 2003; Chaboche et al., 2005; Lahellec and Suquet, 2007; Brassart et al., 2012; Barral et al., 2020; Chen et al., 2021). This is due to the underlying hypothesis that each material phase is described by a single set of internal variables (for instance inelastic strains or damage). Such assumption is not valid in the majority of the composite materials where a matrix phase is present. In the multilayered composites though, the assumption is valid. According to the

unit cell solution provided by periodic homogenization illustrates (see previous section), the mechanical fields are uniform inside a single layer, which also means that the response of a layer is described by a single set of internal variables.

The Mori-Tanaka method is very popular among the existing micromechanics methods, but has certain limitations. Its most important problem is related to the loss of symmetry of the elasticity tensor when multiple types of heterogeneities (i.e. different types of ellipsoids) appear in the composite (Benveniste et al., 1991). More advanced micromechanics techniques, like the Ponte-Castañeda and Willis (1995) or the Effective Field Method (Buryachenko, 2007), may provide better overall response estimate. In the composite examined here, where only one type of aligned inclusions is considered, both the Ponte-Castañeda and Willis approach and the Effective Field Method lead to the same macroscopic response as the Mori-Tanaka.

To apply the Mori-Tanaka approach, the presence of a reference material in the representative volume element is required. In particulate or fiber reinforced media, the reference material is the matrix phase. In multilayered composites the notion of reference material is arbitrary, thus any one of the unit cell layers can play this role. In this work the layer 1 is chosen to represent the "matrix" phase.

In the mean-field, Eshelby based methodologies, two fourth order tensors are introduced: the Hill polarization tensor and the dilute strain concentration tensor.

The Hill polarization tensor,  $\mathbf{P}$ , for a disk-like inclusion ( $x_1$  dimension much much smaller than the other two) embedded in a medium with elasticity

tensor  $\mathbf{L}^{(1)}$  is given by

$$\mathbf{P} = \mathbf{I}_1 \cdot [\mathbf{L}_{nn}^{(1)}]^{-1} \cdot \mathbf{I}_1^T. \quad (23)$$

The proof of this expression is given in the appendix A.

The **dilute strain concentration** tensor,  $\mathbf{T}^{(r)}$ , is an important operator that connects the strains between the  $r$ <sub>th</sub> phase and the reference material. The inverse of  $\mathbf{T}^{(r)}$  is given in indicial notation by

$$\left[ \mathcal{I}_{ijkl}^{(r)} \right]^{-1} = \mathcal{I}_{ijkl} + P_{ijmn} \left[ L_{mnkl}^{(r)} - L_{mnkl}^{(1)} \right]. \quad (24)$$

In matrix notation this gives

$$\begin{aligned} [\mathbf{T}^{(r)}]^{-1} &= \mathcal{I} + \mathbf{P} \cdot [\mathbf{L}^{(r)} - \mathbf{L}^{(1)}] \\ &= \mathcal{I}_n \cdot \mathbf{I} \cdot \mathcal{I}_n^T + \mathcal{I}_t \cdot \mathbf{I} \cdot \mathcal{I}_t^T \\ &\quad + \mathcal{I}_n \cdot [\mathbf{L}_{nn}^{(1)}]^{-1} \cdot [\mathbf{L}_{nn}^{(r)} - \mathbf{L}_{nn}^{(1)}] \cdot \mathcal{I}_n^T \\ &\quad + \mathcal{I}_n \cdot [\mathbf{L}_{nn}^{(1)}]^{-1} \cdot [\mathbf{L}_{nt}^{(r)} - \mathbf{L}_{nt}^{(1)}] \cdot \mathcal{I}_t^T \\ &= \mathcal{I}_n \cdot [\mathbf{L}_{nn}^{(1)}]^{-1} \cdot \mathbf{L}_{nn}^{(r)} \cdot \mathcal{I}_n^T + \mathcal{I}_t \cdot \mathbf{I} \cdot \mathcal{I}_t^T \\ &\quad + \mathcal{I}_n \cdot [\mathbf{L}_{nn}^{(1)}]^{-1} \cdot [\mathbf{L}_{nt}^{(r)} - \mathbf{L}_{nt}^{(1)}] \cdot \mathcal{I}_t^T. \end{aligned} \quad (25)$$

Let's assume that the **dilute strain concentration** tensor has similar form, i.e.

$$\mathbf{T}^{(r)} = \mathcal{I}_n \cdot \mathbf{K}_1 \cdot \mathcal{I}_n^T + \mathcal{I}_n \cdot \mathbf{K}_2 \cdot \mathcal{I}_t^T + \mathcal{I}_t \cdot \mathbf{K}_3 \cdot \mathcal{I}_t^T. \quad (26)$$

where  $\mathbf{K}_1$ ,  $\mathbf{K}_2$  and  $\mathbf{K}_3$  are  $3 \times 3$  matrices. Due to the uniqueness of  $\mathbf{T}^{(r)}$ , if the hypothesis is correct, then the computations will provide a unique solution for the tensor. From the relation  $\mathcal{I} = [\mathbf{T}^{(r)}]^{-1} \cdot \mathbf{T}^{(r)}$  one has

$$\begin{aligned} \mathcal{I}_n \cdot \mathbf{I} \cdot \mathcal{I}_n^T + \mathcal{I}_t \cdot \mathbf{I} \cdot \mathcal{I}_t^T &= \mathcal{I}_n \cdot [\mathbf{L}_{nn}^{(1)}]^{-1} \cdot \mathbf{L}_{nn}^{(r)} \cdot \mathbf{K}_1 \cdot \mathcal{I}_n^T + \mathcal{I}_t \cdot \mathbf{K}_3 \cdot \mathcal{I}_t^T \\ &\quad + \mathcal{I}_n \cdot \left[ [\mathbf{L}_{nn}^{(1)}]^{-1} \cdot \mathbf{L}_{nn}^{(r)} \cdot \mathbf{K}_2 + [\mathbf{L}_{nn}^{(1)}]^{-1} \cdot [\mathbf{L}_{nt}^{(r)} - \mathbf{L}_{nt}^{(1)}] \cdot \mathbf{K}_3 \right] \cdot \mathcal{I}_t^T, \end{aligned} \quad (27)$$

which leads to the three equations

$$\begin{aligned}
\mathbf{I} &= [\mathbf{L}_{nn}^{(1)}]^{-1} \cdot \mathbf{L}_{nn}^{(r)} \cdot \mathbf{K}_1, \\
\mathbf{I} &= \mathbf{K}_3, \\
\mathbf{0} &= [\mathbf{L}_{nn}^{(1)}]^{-1} \cdot \mathbf{L}_{nn}^{(r)} \cdot \mathbf{K}_2 + [\mathbf{L}_{nn}^{(1)}]^{-1} \cdot [\mathbf{L}_{nt}^{(r)} - \mathbf{L}_{nt}^{(1)}] \cdot \mathbf{K}_3. \quad (28)
\end{aligned}$$

These equations provide the unique solution

$$\begin{aligned}
\mathbf{K}_1 &= [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \mathbf{L}_{nn}^{(1)}, \\
\mathbf{K}_3 &= \mathbf{I}, \\
\mathbf{K}_2 &= -[\mathbf{L}_{nn}^{(r)}]^{-1} \cdot [\mathbf{L}_{nt}^{(r)} - \mathbf{L}_{nt}^{(1)}]. \quad (29)
\end{aligned}$$

Thus, the **dilute strain concentration** tensor is expressed as

$$\begin{aligned}
\mathbf{T}^{(r)} &= \mathcal{I}_n \cdot [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \mathbf{L}_{nn}^{(1)} \cdot \mathcal{I}_n^T + \mathcal{I}_t \cdot \mathbf{I} \cdot \mathcal{I}_t^T \\
&\quad - \mathcal{I}_n \cdot [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot [\mathbf{L}_{nt}^{(r)} - \mathbf{L}_{nt}^{(1)}] \cdot \mathcal{I}_t^T. \quad (30)
\end{aligned}$$

According to the Mori-Tanaka approach, the strain on the  $r_{\text{th}}$  phase and the strain in the infinite medium, when both are subjected to uniform inelastic stresses, are connected through the formulas (Dvorak and Benveniste, 1992)

$$\varepsilon_{ij}^{(r)} = T_{ijkl}^{(r)} \varepsilon_{kl}^{(1)} + T_{ijmn}^{(r)} P_{mnkl} \left[ \sigma_{kl}^{p(1)} - \sigma_{kl}^{p(r)} \right]. \quad (31)$$

Using the matrix representation and the formulas (23) and (30) yields

$$\begin{aligned}
\boldsymbol{\varepsilon}^{(r)} &= \mathcal{I}_n \cdot [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \mathbf{L}_{nn}^{(1)} \cdot \boldsymbol{\varepsilon}_n^{(1)} + \mathcal{I}_t \cdot \boldsymbol{\varepsilon}_t^{(1)} \\
&\quad - \mathcal{I}_n \cdot [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot [\mathbf{L}_{nt}^{(r)} - \mathbf{L}_{nt}^{(1)}] \cdot \boldsymbol{\varepsilon}_t^{(1)} \\
&\quad + \mathcal{I}_n \cdot [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot [\boldsymbol{\sigma}_n^{p(1)} - \boldsymbol{\sigma}_n^{p(r)}]. \quad (32)
\end{aligned}$$

Thus,

$$\begin{aligned}
\boldsymbol{\varepsilon}_n^{(r)} &= \boldsymbol{\mathcal{I}}_n^T \cdot \boldsymbol{\varepsilon}^{(r)} = [\boldsymbol{L}_{nn}^{(r)}]^{-1} \cdot \boldsymbol{L}_{nn}^{(1)} \cdot \boldsymbol{\varepsilon}_n^{(1)} \\
&\quad - [\boldsymbol{L}_{nn}^{(r)}]^{-1} \cdot [\boldsymbol{L}_{nt}^{(r)} - \boldsymbol{L}_{nt}^{(1)}] \cdot \boldsymbol{\varepsilon}_t^{(1)} \\
&\quad + [\boldsymbol{L}_{nn}^{(r)}]^{-1} \cdot [\boldsymbol{\sigma}_n^{p(1)} - \boldsymbol{\sigma}_n^{p(r)}], \\
\boldsymbol{\varepsilon}_t^{(r)} &= \boldsymbol{\mathcal{I}}_t^T \cdot \boldsymbol{\varepsilon}^{(r)} = \boldsymbol{\varepsilon}_t^{(1)}.
\end{aligned} \tag{33}$$

The macroscopic strain can be expressed as

$$\begin{aligned}
\bar{\boldsymbol{\varepsilon}}_n &= \sum_{q=1}^N c_q \boldsymbol{\varepsilon}_n^{(q)} = \sum_{q=1}^N c_q [\boldsymbol{L}_{nn}^{(q)}]^{-1} \cdot \boldsymbol{L}_{nn}^{(1)} \cdot \boldsymbol{\varepsilon}_n^{(1)} \\
&\quad - \sum_{q=1}^N c_q [\boldsymbol{L}_{nn}^{(q)}]^{-1} \cdot [\boldsymbol{L}_{nt}^{(q)} - \boldsymbol{L}_{nt}^{(1)}] \cdot \boldsymbol{\varepsilon}_t^{(1)} \\
&\quad + \sum_{q=1}^N c_q [\boldsymbol{L}_{nn}^{(q)}]^{-1} \cdot [\boldsymbol{\sigma}_n^{p(1)} - \boldsymbol{\sigma}_n^{p(q)}], \\
\bar{\boldsymbol{\varepsilon}}_t &= \sum_{q=1}^N c_q \boldsymbol{\varepsilon}_t^{(q)} = \boldsymbol{\varepsilon}_t^{(1)}.
\end{aligned} \tag{34}$$

In the above relation it has been used that

$$\begin{aligned}
c_1 \boldsymbol{\varepsilon}_n^{(1)} &= c_1 [\boldsymbol{L}_{nn}^{(1)}]^{-1} \cdot \boldsymbol{L}_{nn}^{(1)} \cdot \boldsymbol{\varepsilon}_n^{(1)} \\
&\quad + c_1 [\boldsymbol{L}_{nn}^{(1)}]^{-1} \cdot [\boldsymbol{L}_{nt}^{(1)} - \boldsymbol{L}_{nt}^{(1)}] \cdot \boldsymbol{\varepsilon}_t^{(1)} \\
&\quad + c_1 [\boldsymbol{L}_{nn}^{(1)}]^{-1} \cdot [\boldsymbol{\sigma}_n^{p(1)} - \boldsymbol{\sigma}_n^{p(1)}].
\end{aligned} \tag{35}$$

As a conclusion of (34), one has

$$\begin{aligned}
\boldsymbol{\varepsilon}_n^{(1)} &= \boldsymbol{A}_{nn}^{(1)} \cdot \bar{\boldsymbol{\varepsilon}}_n + \boldsymbol{A}_{nt}^{(1)} \cdot \bar{\boldsymbol{\varepsilon}}_t + \sum_{q=1}^N c_q \boldsymbol{A}_{nn}^{p(q1)} \cdot [\boldsymbol{\sigma}_n^{p(q)} - \boldsymbol{\sigma}_n^{p(1)}], \\
\boldsymbol{\varepsilon}_t^{(1)} &= \bar{\boldsymbol{\varepsilon}}_t,
\end{aligned} \tag{36}$$

where

$$\begin{aligned}
\mathbf{A}_{nn}^{(1)} &= [\mathbf{L}_{nn}^{(1)}]^{-1} \cdot \left[ \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} \right]^{-1}, \\
\mathbf{A}_{nt}^{(1)} &= \mathbf{A}_{nn}^{(1)} \cdot \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} [\mathbf{L}_{nt}^{(q)} - \mathbf{L}_{nt}^{(1)}], \\
\mathbf{A}_{nn}^{p(q1)} &= \mathbf{A}_{nn}^{(1)} \cdot [\mathbf{L}_{nn}^{(q)}]^{-1}.
\end{aligned} \tag{37}$$

Returning back to (33) yields

$$\begin{aligned}
\boldsymbol{\varepsilon}_n^{(r)} &= \mathbf{A}_{nn}^{(r)} \cdot \bar{\boldsymbol{\varepsilon}}_n + \mathbf{A}_{nt}^{(r)} \cdot \bar{\boldsymbol{\varepsilon}}_t + \mathbf{K}, \\
\boldsymbol{\varepsilon}_t^{(r)} &= \bar{\boldsymbol{\varepsilon}}_t,
\end{aligned} \tag{38}$$

with

$$\begin{aligned}
\mathbf{A}_{nn}^{(r)} &= [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \mathbf{L}_{nn}^{(1)} \cdot \mathbf{A}_{nn}^{(1)} \\
&= [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \left[ \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} \right]^{-1}, \\
\mathbf{A}_{nt}^{(r)} &= [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \mathbf{L}_{nn}^{(1)} \cdot \mathbf{A}_{nt}^{(1)} - [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot [\mathbf{L}_{nt}^{(r)} - \mathbf{L}_{nt}^{(1)}] \\
&= \mathbf{A}_{nn}^{(r)} \cdot \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} [\mathbf{L}_{nt}^{(q)} - \mathbf{L}_{nt}^{(1)}] \\
&\quad - \mathbf{A}_{nn}^{(r)} \cdot \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} [\mathbf{L}_{nt}^{(r)} - \mathbf{L}_{nt}^{(1)}] \\
&= \mathbf{A}_{nn}^{(r)} \cdot \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} [\mathbf{L}_{nt}^{(q)} - \mathbf{L}_{nt}^{(r)}],
\end{aligned}$$

$$\begin{aligned}
\mathbf{K} &= [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \mathbf{L}_{nn}^{(1)} \cdot \mathbf{A}_{nn}^{(1)} \cdot \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} \cdot [\boldsymbol{\sigma}_n^{p(q)} - \boldsymbol{\sigma}_n^{p(1)}] \\
&\quad + [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot [\boldsymbol{\sigma}_n^{p(1)} - \boldsymbol{\sigma}_n^{p(r)}] \\
&= \mathbf{A}_{nn}^{(r)} \cdot \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} \cdot [\boldsymbol{\sigma}_n^{p(q)} - \boldsymbol{\sigma}_n^{p(1)}] \\
&\quad + \mathbf{A}_{nn}^{(r)} \cdot \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} \cdot [\boldsymbol{\sigma}_n^{p(1)} - \boldsymbol{\sigma}_n^{p(r)}] \\
&= \mathbf{A}_{nn}^{(r)} \cdot \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} \cdot [\boldsymbol{\sigma}_n^{p(q)} - \boldsymbol{\sigma}_n^{p(r)}].
\end{aligned}$$

To summarize, for all layers the obtained relations are

$$\begin{aligned}
\boldsymbol{\varepsilon}_n^{(r)} &= \mathbf{A}_{nn}^{(r)} \cdot \bar{\boldsymbol{\varepsilon}}_n + \mathbf{A}_{nt}^{(r)} \cdot \bar{\boldsymbol{\varepsilon}}_t + \sum_{q=1}^N c_q \mathbf{A}_{nn}^{p(qr)} \cdot [\boldsymbol{\sigma}_n^{p(q)} - \boldsymbol{\sigma}_n^{p(r)}], \\
\boldsymbol{\varepsilon}_t^{(r)} &= \bar{\boldsymbol{\varepsilon}}_t, \\
\mathbf{A}_{nn}^{(r)} &= [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \left[ \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} \right]^{-1}, \\
\mathbf{A}_{nt}^{(r)} &= \mathbf{A}_{nn}^{(r)} \cdot \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} [\mathbf{L}_{nt}^{(q)} - \mathbf{L}_{nt}^{(r)}], \\
\mathbf{A}_{nn}^{p(qr)} &= \mathbf{A}_{nn}^{(r)} \cdot [\mathbf{L}_{nn}^{(q)}]^{-1}.
\end{aligned} \tag{39}$$

The formulas (39) and (22) are identical.

The rest of the microscopic and macroscopic fields for both the periodic homogenization and the Mori-Tanaka mean-field method are derived in a similar manner. The microscopic stress at each layer is given by the expression

(9) or (8):

$$\begin{aligned}
\boldsymbol{\sigma}_n^{(r)} &= \mathbf{L}_{nn}^{(r)} \cdot \boldsymbol{\varepsilon}_n^{(r)} + \mathbf{L}_{nt}^{(r)} \cdot \boldsymbol{\varepsilon}_t^{(r)} + \boldsymbol{\sigma}_n^{p(r)} \\
&= \mathbf{L}_{nn}^{(r)} \cdot \mathbf{A}_{nn}^{(r)} \cdot \bar{\boldsymbol{\varepsilon}}_n + \left[ \mathbf{L}_{nn}^{(r)} \cdot \mathbf{A}_{nt}^{(r)} + \mathbf{L}_{nt}^{(r)} \right] \cdot \bar{\boldsymbol{\varepsilon}}_t \\
&\quad + \sum_{q=1}^N c_q \mathbf{L}_{nn}^{(r)} \cdot \mathbf{A}_{nn}^{p(qr)} \cdot \left[ \boldsymbol{\sigma}_n^{p(q)} - \boldsymbol{\sigma}_n^{p(r)} \right] + \boldsymbol{\sigma}_n^{p(r)}, \\
\boldsymbol{\sigma}_t^{(r)} &= \left[ \mathbf{L}_{nt}^{(r)} \right]^T \cdot \boldsymbol{\varepsilon}_n^{(r)} + \mathbf{L}_{tt}^{(r)} \cdot \boldsymbol{\varepsilon}_t^{(r)} + \boldsymbol{\sigma}_t^{p(r)} \\
&= \left[ \mathbf{L}_{nt}^{(r)} \right]^T \cdot \mathbf{A}_{nn}^{(r)} \cdot \bar{\boldsymbol{\varepsilon}}_n + \left[ \left[ \mathbf{L}_{nt}^{(r)} \right]^T \cdot \mathbf{A}_{nt}^{(r)} + \mathbf{L}_{tt}^{(r)} \right] \cdot \bar{\boldsymbol{\varepsilon}}_t \\
&\quad + \sum_{q=1}^N c_q \left[ \mathbf{L}_{nt}^{(r)} \right]^T \cdot \mathbf{A}_{nn}^{p(qr)} \cdot \left[ \boldsymbol{\sigma}_n^{p(q)} - \boldsymbol{\sigma}_n^{p(r)} \right] + \boldsymbol{\sigma}_t^{p(r)}. \tag{40}
\end{aligned}$$

The macroscopic stress is obtained by volume averaging the stresses of all layers, i.e.

$$\bar{\boldsymbol{\sigma}}_n = \sum_{r=1}^N c_r \boldsymbol{\sigma}_n^{(r)}, \quad \bar{\boldsymbol{\sigma}}_t = \sum_{r=1}^N c_r \boldsymbol{\sigma}_t^{(r)}. \tag{41}$$

Combining (40) and (41) yields the macroscopic constitutive law

$$\begin{aligned}
\bar{\boldsymbol{\sigma}}_n &= \bar{\mathbf{L}}_{nn} \cdot \bar{\boldsymbol{\varepsilon}}_n + \bar{\mathbf{L}}_{nt} \cdot \bar{\boldsymbol{\varepsilon}}_t + \bar{\boldsymbol{\sigma}}_n^p, \\
\bar{\boldsymbol{\sigma}}_t &= \left[ \bar{\mathbf{L}}_{nt} \right]^T \cdot \bar{\boldsymbol{\varepsilon}}_n + \bar{\mathbf{L}}_{tt} \cdot \bar{\boldsymbol{\varepsilon}}_t + \bar{\boldsymbol{\sigma}}_t^p, \tag{42}
\end{aligned}$$

where

$$\begin{aligned}
\bar{\mathbf{L}}_{nn} &= \sum_{q=1}^N c_q \mathbf{L}_{nn}^{(q)} \cdot \mathbf{A}_{nn}^{(q)} = \left[ \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} \right]^{-1}, \\
\bar{\mathbf{L}}_{nt} &= \sum_{q=1}^N c_q \left[ \mathbf{L}_{nn}^{(q)} \cdot \mathbf{A}_{nt}^{(q)} + \mathbf{L}_{nt}^{(q)} \right] = \bar{\mathbf{L}}_{nn} \cdot \left[ \sum_{q=1}^N c_q [\mathbf{L}_{nn}^{(q)}]^{-1} \cdot \mathbf{L}_{nt}^{(q)} \right], \\
\bar{\mathbf{L}}_{tt} &= \sum_{q=1}^N c_q \left[ \left[ \mathbf{L}_{nt}^{(q)} \right]^T \cdot \mathbf{A}_{nt}^{(q)} + \mathbf{L}_{tt}^{(q)} \right], \\
&= \sum_{q=1}^N c_q \left[ \left[ \mathbf{L}_{nt}^{(q)} \right]^T \cdot [\mathbf{L}_{nn}^{(q)}]^{-1} \cdot \left[ \bar{\mathbf{L}}_{nt} - \mathbf{L}_{nt}^{(q)} \right] + \mathbf{L}_{tt}^{(q)} \right], \tag{43}
\end{aligned}$$

and

$$\begin{aligned}
\bar{\boldsymbol{\sigma}}_n^p &= \sum_{r=1}^N c_r \boldsymbol{\sigma}_n^{p(r)} + \sum_{r=1}^N \sum_{q=1}^N c_r c_q \mathbf{L}_{nn}^{(r)} \cdot \mathbf{A}_{nn}^{p(qr)} \cdot [\boldsymbol{\sigma}_n^{p(q)} - \boldsymbol{\sigma}_n^{p(r)}] \\
&= \sum_{r=1}^N c_r \boldsymbol{\sigma}_n^{p(r)} + \sum_{r=1}^N \sum_{q=1}^N c_r c_q \bar{\mathbf{B}}_{nn}^{p(q)} \cdot [\boldsymbol{\sigma}_n^{p(q)} - \boldsymbol{\sigma}_n^{p(r)}], \\
\bar{\boldsymbol{\sigma}}_t^p &= \sum_{r=1}^N c_r \boldsymbol{\sigma}_t^{p(r)} + \sum_{r=1}^N \sum_{q=1}^N c_r c_q \left[ \mathbf{L}_{nt}^{(r)} \right]^T \cdot \mathbf{A}_{nn}^{p(qr)} \cdot [\boldsymbol{\sigma}_n^{p(q)} - \boldsymbol{\sigma}_n^{p(r)}] \\
&= \sum_{r=1}^N c_r \boldsymbol{\sigma}_t^{p(r)} + \sum_{r=1}^N \sum_{q=1}^N c_r c_q \bar{\mathbf{B}}_{nt}^{p(qr)} \cdot [\boldsymbol{\sigma}_n^{p(q)} - \boldsymbol{\sigma}_n^{p(r)}], \\
\bar{\mathbf{B}}_{nn}^{p(q)} &= \bar{\mathbf{L}}_{nn} \cdot [\mathbf{L}_{nn}^{(q)}]^{-1}, \quad \bar{\mathbf{B}}_{nt}^{p(qr)} = \left[ \mathbf{L}_{nt}^{(r)} \right]^T \cdot [\mathbf{L}_{nn}^{(r)}]^{-1} \cdot \bar{\mathbf{B}}_{nn}^{p(q)}. \tag{44}
\end{aligned}$$

## 5. Numerical example

Let's consider a periodically multilayered composite structure, whose unit cell consists of two layers with equal volume fraction (50% each). The first layer is an elastic material, while the second is made of an elastoplastic,  $J_2$  type material with isotropic hardening of power law:

$$R(p) = Hp^m. \tag{45}$$

In the above expression,  $R$  is the hardening function,  $p$  is the accumulated plastic strain variable and  $H$ ,  $m$  are the hardening parameter and hardening exponent, respectively. The material parameters of the two layers are summarized in Table 1.

parameter	layer 1	layer 2
Young's modulus (MPa)	400000	75000
Poisson's ratio	0.2	0.3
elastic limit (MPa)	–	75
hardening parameter (MPa)	–	416
hardening exponent	–	0.3895

Table 1: Material properties of multilayered composite's layers.

A rectangular structure of dimensions  $1 \text{ mm} \times 1 \text{ mm} \times 0.08 \text{ mm}$  is made of the multilayered composite and it is subjected to displacement boundary conditions, which are illustrated in Figure 2. At the boundary surface normal to  $x_1$  direction (positive side), the structure is subjected to a displacement field, which first increases linearly up to  $0.002 \text{ mm}$  and then decreases linearly until it becomes 0.

The finite element computations have been performed using the FE commercial software ABAQUS/Standard. At the first numerical analysis, no homogenization has been performed. Instead, the structure is simulated considering the different layers. This simulation is the reference solution since it considers all the microstructural details without scale separation. To have a representative structure compatible with the homogenization theory

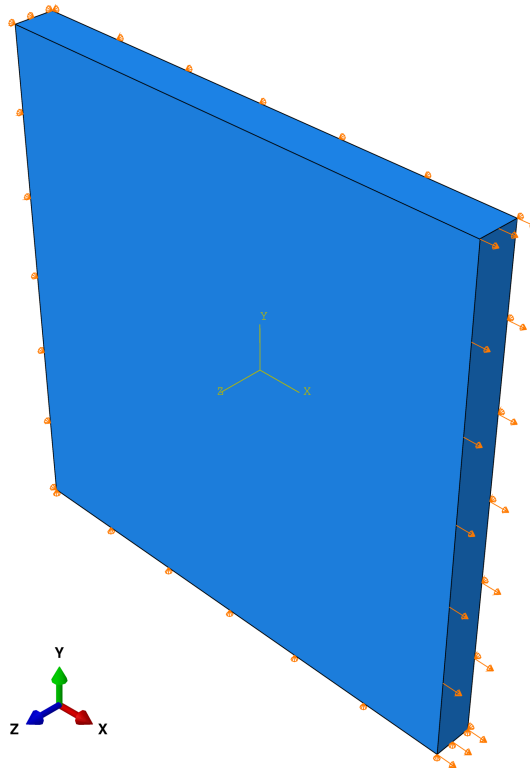


Figure 2: Rectangular structured made of the multilayered composite. The displacement is fixed in three phases (normal to  $x_1$ ,  $x_2$ ,  $x_3$ , negative sides), two phases (normal to  $x_2$ ,  $x_3$ , positive sides) are traction free and the last phase (normal to  $x_1$ , positive side) is subjected to varying displacement field.

(i.e. unit cell much smaller than the macroscopic scale), 50 layers have been considered (25 of each material). The von Mises stress at the end of the loading is shown in Figure 3. As expected, the stress distribution is highly non-uniform due to the strong heterogeneity.

At the second numerical analysis, the Mori-Tanaka/TFA homogenization approach is considered. The equations of section (4) are implemented in

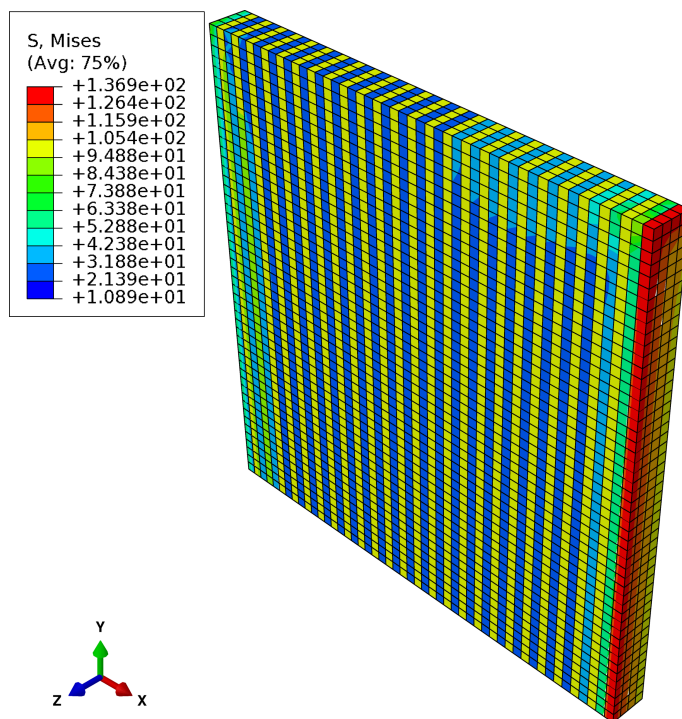


Figure 3: Multilayered composite structure without scale separation. Spatial distribution of von Mises stress at the end of loading.

an incremental, iterative manner. The algorithm for this procedure is given in Figure 4. The internal variables mentioned in the algorithm include the elastoplastic stress tensor  $\boldsymbol{\sigma}^{p(2)}$  and the accumulated plastic strain  $p^{(2)}$  of the second layer. Each layer has its own constitutive law, which is written as a User Material (UMAT) FORTRAN subroutine. Moreover, the last step of the algorithm requires the computation of a macroscopic tangent modulus. This fourth order tensor for the elastoplastic medium depends on the plastic strain, and it is evaluated from equations (43) by substituting the elasticity tensors with the tangent modulus tensors of the layers. The tangent modulus of an elastoplastic,  $J_2$  type material is discussed frequently in the literature

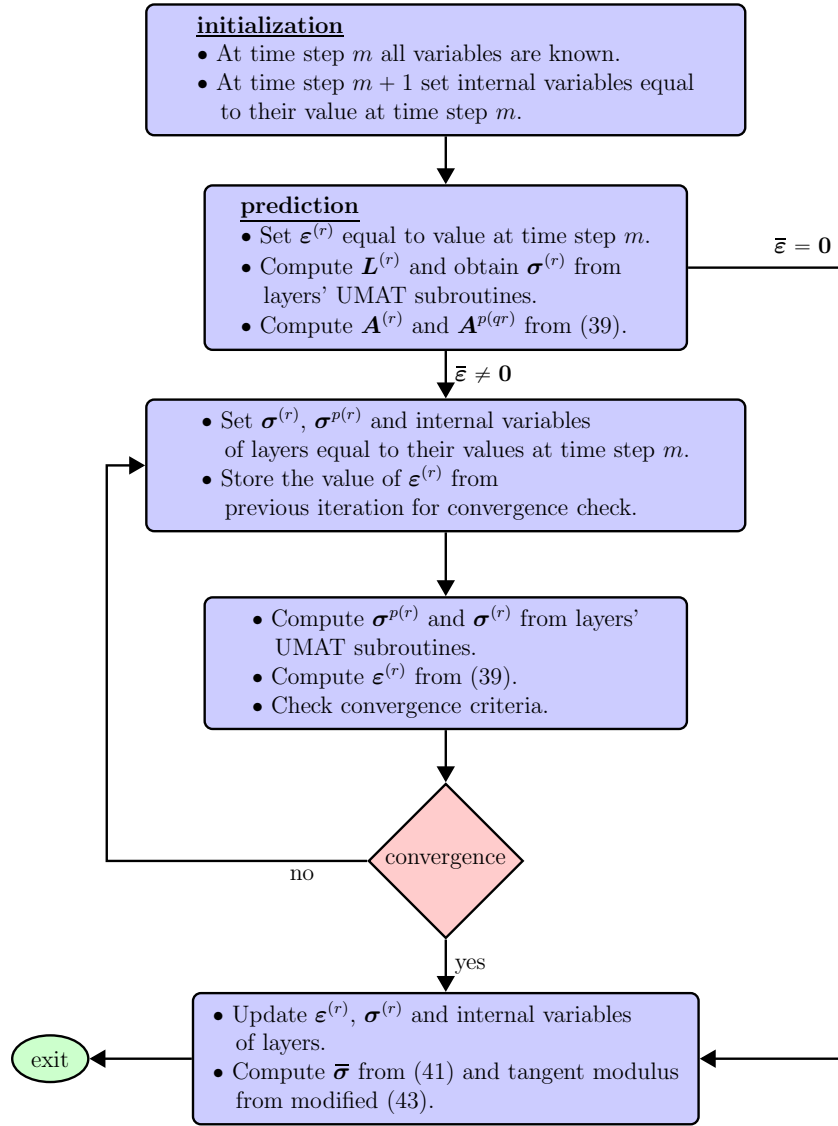


Figure 4: Computational algorithm (Meta-UMAT) for the homogenization of multilayered composites. Mori-Tanaka/TFA approach.

(Simo and Hughes, 1998; Chatzigeorgiou et al., 2018) and is not presented here.

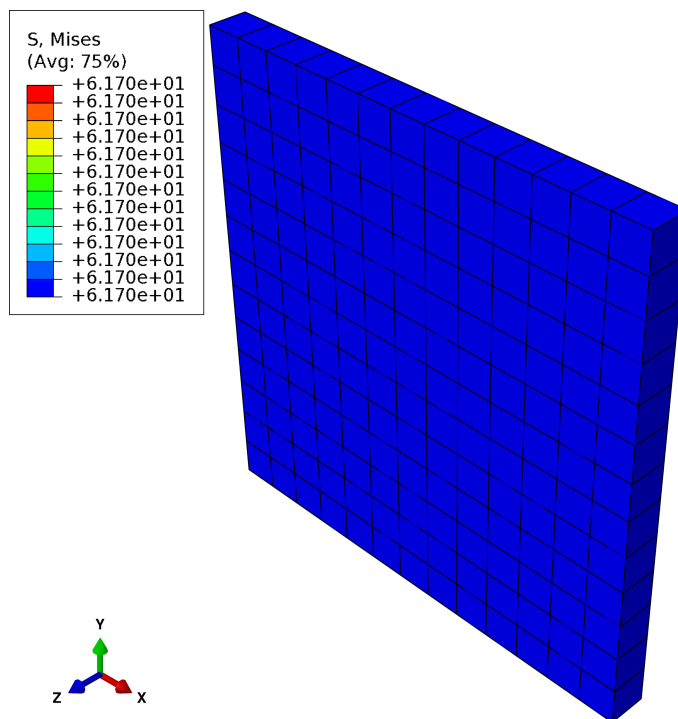


Figure 5: Multilayered composite structure with homogenized response. Spatial distribution of von Mises stress at the end of loading.

The algorithm of Figure 4 is developed in the form of a FORTRAN coded Meta-UMAT and is integrated into the ABAQUS software. Due to the homogenized nature of the medium, the mesh of the structure is not required to be fine. The von Mises stress at the end of the loading is shown in Figure 5. Since there is only one material (the homogenized), the stress is uniform at the whole structure.

Comparison of the macroscopic response (i.e. average stress-strain curve) for the two analyses is given in Figure 6. As it can be seen, the homogenization results are in almost perfect agreement with those of the full structure

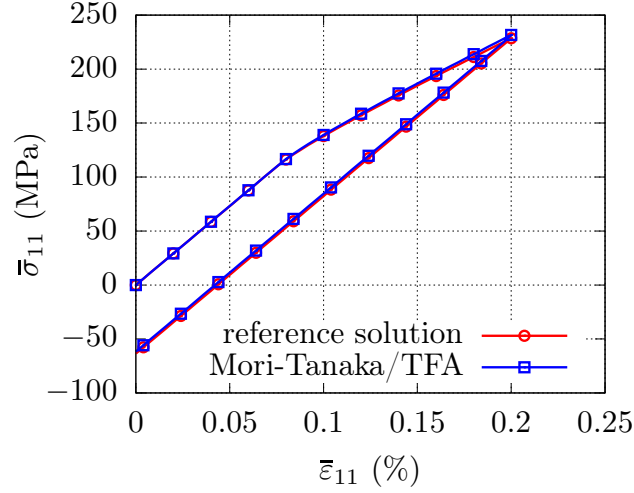


Figure 6: Macroscopic stress 11 vs macroscopic strain 11. Comparison between the layered structure (reference solution) and the homogenization approach.

analysis, validating the accuracy of the mean-field methodology.

As an additional validation of the proposed method, the structure of Figure 3 is analyzed using classical FE type homogenization approach with periodic boundary conditions. The unit cell of Figure 7 represents the periodic microstructure. Due to the simple nature of the loading, one can consider the constraint driver technique (Praud, 2018). In this technique, the macroscopic conditions (given normal macroscopic strain at the direction  $x_1$ , zero macroscopic stresses at the rest of normal and shear directions) are applied at "dummy nodes" which are connected through periodicity conditions with the boundaries of the unit cell.

Figure 8 demonstrates the results obtained from the FE analysis on the unit cell and from the proposed mean-field approach. Both curves are in

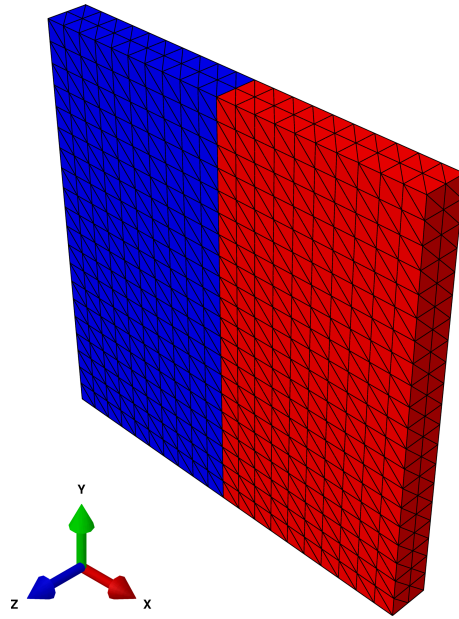


Figure 7: Unit cell of the multilayered composite. Periodicity conditions are applied and the macroscopic loading is imposed through the constraint driver technique. Layer 1 is at the left side and layer 2 at the right side.

excellent agreement. While the FE type periodic homogenization provides equivalent results with the Mori-Tanaka/TFA method, the latter has the advantage of significantly reduced computational cost. In complex multilayered structures with non-proportional and non-uniform boundary conditions, one has to adopt a  $FE^2$  type of approach (Feyel and Chaboche, 2000), i.e. solving simultaneously FE problems at the structural level and at unit cells corresponding to every macroscopic Gauss point. Such technique is computationally very expensive. Even if the unit cell is described with only one finite element per layer (minimum necessary for correct results), the passage from FE to  $FE^2$  analysis is still time consuming in commercial codes. The proposed method on the other hand is applied in an existing FE code as a

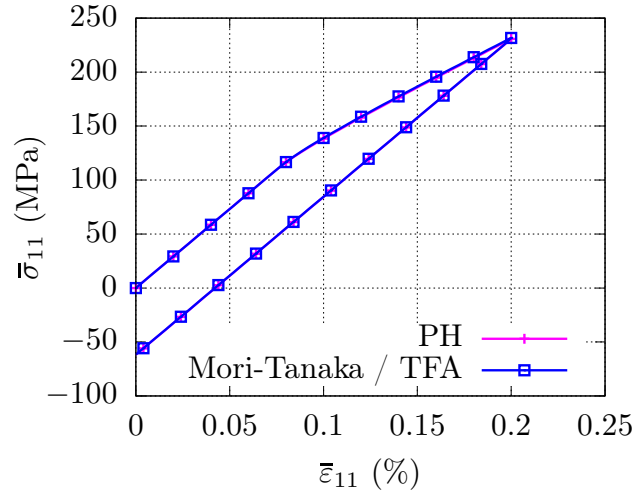


Figure 8: Macroscopic stress 11 vs macroscopic strain 11. Comparison between FE type periodic homogenization (PH) and the proposed method.

standard constitutive law (UMAT in the terminology of ABAQUS), which leads to fast calculations.

## 6. Conclusions

As it has been demonstrated in this article, the Mori-Tanaka approach combined with the Transformation Field Analysis yields exactly the same solution with the periodic homogenization method for the multilayered composites. These structures are quite simple for performing finite element computations and provide the capability to validate the results of homogenization. Such validation is important when developing micromechanics techniques for complex and/or non-typical material responses, including multiphysics phenomena.

It is worth mentioning that the proposed approach can be applied, under certain conditions, for the study of laminate composites, i.e. layers of long-fiber reinforced media stacked at different orientations. However, one needs to be aware of the method limitations. The multilayered composite presented here is assumed to have theoretically infinite repetitions of unit cells. The main reason for this requirement is that the periodic homogenization methodology considers that the external boundary conditions do not affect the unit cell periodicity conditions. In practice, the method has shown to work relatively well even for moderate number of unit cell repetitions. The laminate composites usually contain a few number of layers, whose stacking sequence is not necessarily periodic. For reduced number of layers, the boundary effects may be very important at the level of the unit cell, leading to wrong homogenization approach predictions. These effects can be accounted for more accurately by higher order homogenization theories, or plate-type homogenization strategies (Kalamkarov and Kolpakov, 1997; Xia et al., 2003) which exceed the capabilities of the classical Mori-Tanaka method.

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## A. Disk-like inclusion in infinite anisotropic medium

Figure 9 illustrates a disk-like inclusion in infinite medium. The term inclusion refers to a material zone in which a known **homogeneous** eigen-strain

$$\boldsymbol{\varepsilon}^* = \begin{bmatrix} \varepsilon_{11}^* \\ \varepsilon_{22}^* \\ \varepsilon_{33}^* \\ 2\varepsilon_{12}^* \\ 2\varepsilon_{13}^* \\ 2\varepsilon_{23}^* \end{bmatrix}, \quad (\text{A.1})$$

is imposed. The three-layers structure is made by the same anisotropic material with elasticity tensor  $\mathbf{L}$ . At the far field there is zero stress and strain. This is the typical form of the Eshelby's inclusion problem. The goal is to identify the total strain in the inclusion as a function of  $\boldsymbol{\varepsilon}^*$ :

$$\varepsilon_{ij} = -P_{ijkl}\sigma_{kl}^*, \quad \sigma_{ij}^* = -L_{ijkl}\varepsilon_{kl}^*. \quad (\text{A.2})$$

The constitutive law for the middle layer is written

$$\sigma_{ij} = L_{ijkl}\varepsilon_{kl} + \sigma_{ij}^* = L_{ijkl}\frac{\partial u_k}{\partial x_l} + \sigma_{ij}^*. \quad (\text{A.3})$$

Due to the form of the structure, all fields vary spatially only in the  $x_1$  direction, transforming the equilibrium into an 1-D problem. For the simplicity of the subsequent expressions, the elasticity tensor is decomposed with the help of the section 2 representations. Since all fields depend only on  $x_1$ , the equilibrium equations are reduced for the middle layer to

$$\frac{d}{dx_1} \left( \mathbf{L}_{nn} \cdot \frac{d\mathbf{u}}{dx_1} + \boldsymbol{\sigma}_n^* \right) = \mathbf{0}, \quad (\text{A.4})$$

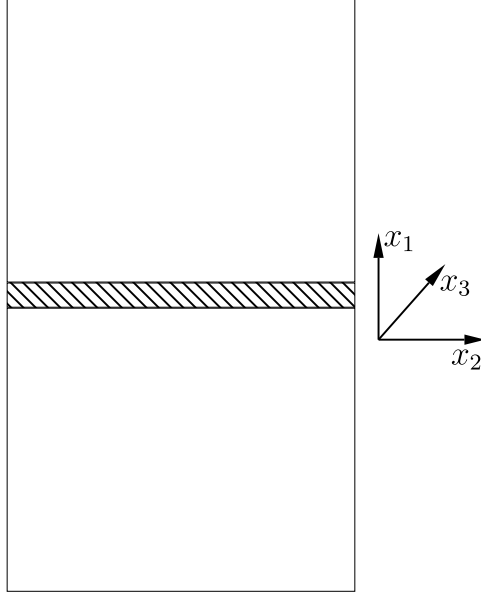


Figure 9: Three-layers structure with small disk-like inclusion at the middle.

with

$$\mathbf{u} = \begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix}, \quad \frac{d\mathbf{u}}{dx_1} = \begin{bmatrix} \varepsilon_{11} \\ 2\varepsilon_{12} \\ 2\varepsilon_{13} \end{bmatrix} = \boldsymbol{\varepsilon}_n. \quad (\text{A.5})$$

For the external layers, where the eigen-strain is zero, the equilibrium yields the trivial solution  $\boldsymbol{\sigma}_n = \mathbf{0}$ . At the interface between the external and the middle layers the traction is continuous, thus

$$\mathbf{L}_{nn} \cdot \boldsymbol{\varepsilon}_n + \boldsymbol{\sigma}_n^* = \mathbf{0}, \quad (\text{A.6})$$

or

$$\boldsymbol{\varepsilon}_n = -\mathbf{L}_{nn}^{-1} \cdot \boldsymbol{\sigma}_n^*. \quad (\text{A.7})$$

The tangential strain part,  $\boldsymbol{\varepsilon}_t$ , is zero. Consequently, the Hill polarization

tensor  $\mathbf{P}$  can be expressed in the matrix form

$$\mathbf{P} = \begin{bmatrix} Z_{11} & 0 & 0 & Z_{12} & Z_{13} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ Z_{21} & 0 & 0 & Z_{22} & Z_{23} & 0 \\ Z_{31} & 0 & 0 & Z_{32} & Z_{33} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} = \mathcal{I}_n \cdot \mathbf{Z} \cdot \mathcal{I}_n^T, \quad \mathbf{Z} = \mathbf{L}_{nn}^{-1}. \quad (\text{A.8})$$