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Tristan LANGLAIS, Claudio VERGARI, Grégoire ROUGEREAU, Mathilde GAUME, Laurent GAJNY, Kariman ABELIN-GENEVOIS, Jean-Claude BERNARD, Zongshan HU, Jack Chun Yiu CHENG, Winnie Chiu Wing CHU, Ayman ASSI, Mohamad KARAM, Ismat GHANEM, Tito BASSANI, Fabio GALBUSERA, Luca Maria SCONFIENZA, Marco BRAYDA-BRUNO, Isabelle COURTOIS, Eric EBERMEYER, Raphaël VIALLE, Jean DUBOUSSET, Wafa SKALLI - Assessment of malalignment at early stage in adolescent idiopathic scoliosis: a longitudinal cohort study - European Spine Journal - Vol. 33, n°4, p.1665-1674 - 2024

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Assessment of malalignment at early stage in adolescent idiopathic scoliosis: a longitudinal cohort study

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Abstract

Introduction Our objective was to assess abnormalities of the odontoid-hip axis (OD-HA) angle in a mild scoliotic population to determine whether screening for malalignment would help predict the distinction between progressive and stable adolescent idiopathic scoliosis (AIS) at early stage.

Materials and methods All patients (non-scoliotic and AIS) underwent a biplanar X-ray between 2013 and 2020. In AIS, inclusion criteria were Cobb angle between 10° and 25°; Risser sign lower than 3; age higher than 10 years; and no previous treatment. A 3D spine reconstruction was performed, and the OD-HA was computed automatically. A reference corridor for OD-HA values in non-scoliotic subjects was calculated as the range [5th–95th percentiles]. A severity index, helping to distinguish stable and progressive AIS, was calculated and weighted according to the OD-HA value.

Results Eighty-three non-scoliotic and 205 AIS were included. The mean coronal and sagittal OD-HA angles in the nonscoliotic group were 0.2° and −2.5°, whereas in AIS values were 0.3° and −0.8°, respectively. For coronal and sagittal OD-HA, 27.5% and 26.8% of AIS were outside the reference corridor compared with 10.8% in non-scoliotic (OR=3.1 and 3). Adding to the severity index a weighting factor based on coronal OD-HA, for thoracic scoliosis, improved the positive predictive value by 9% and the specifcity by 13%.

Conclusion Analysis of OD-HA suggests that AIS patients are almost three times more likely to have malalignment compared with a non-scoliotic population. Furthermore, analysis of coronal OD-HA is promising to help the clinician distinguish between stable and progressive thoracic scoliosis.

Keywords Scoliosis · Adolescent · Biplanar X-ray · Early diagnosis

Abbreviations

Introduction

Idiopathic scoliosis is a three-dimensional (3D) spinal deformity of uncertain aetiology, which can be defned in the coronal plane by a Cobb angle of at least 10◦ and axial vertebral rotation [[1\]](#page-8-0). Its aetiopathogeny is multifactorial and the causal factors poorly understood [[2](#page-8-1)], but several preliminary studies focus on a possible sensory imbalance at early stage [[3,](#page-8-2) [4\]](#page-8-3).

Recently, a validated predictive model based on 3D biplanar reconstruction (i.e. the severity index described by Skalli et al.[\[5](#page-8-4)]) was developed to distinguish between progressive and stable scoliosis at the frst visit. However, this predictive model still needs to be improved and is based solely on the 3D reconstruction of the spine and not on the overall headspine-pelvis alignment. Dubousset introduced the concept of the "cone of economy" to describe the ideal position of the body's centre of gravity [[6\]](#page-8-5). In a static or dynamic balanced posture, the human head is located above the pelvis in all three planes. When the centre of gravity is outside of the stability area, several musculoskeletal compensation mechanisms are activated to bring it back in. In the literature, several studies used the centre of the body of C7 as a Extended author information available on the last page of the article

reference point in relation to the posterosuperior edge of the sacral plateau to assess the global balance of a subject in a static position [\[7](#page-8-6)].

Recently, Amabile et al. described a new quasi-invariant parameter (the odontoid-hip axis [OD-HA] angle parameter), describing the position of the head (or, more precisely, of the odontoid process of C2) relative to the pelvis, which is a proxy for the global alignment of the subject [\[8](#page-8-7)].

Our previous work showed that some severe scoliosis patients could present an imbalance, as quantifed by an abnormal OD-HA [[9\]](#page-8-8). However, it is not yet clear whether early malalignment can be detected in adolescent idiopathic scoliosis (AIS) using this new parameter from the 3D reconstruction of low-dose biplanar radiography.

Our objective was to assess abnormalities of OD-HA angle in a mild scoliotic population, relative to a control cohort, in order to determine whether screening for spine malalignment would help predict the distinction between progressive and stable scoliosis at an early stage. Furthermore, the efect of including OD-HA parameter extracted from 3D reconstruction to the severity index was studied.

Materials and methods

Subjects

This study was approved by the ethics committee (C.P.P. Ile de France VI 6001 and local hospitals' ethical committees). Parents, children, and adults were informed about the protocol and consented to participate before inclusion.

Data were collected prospectively, between 2013 and 2020, and divided into 2 groups: non-scoliotic and AIS. Data from non-scoliotic subjects were collected at a single centre, matched with the age of AIS patients and within a research protocol. These subjects underwent a radiographic examination for unrelated reasons (participation in other studies, trauma, etc.), and absence of scoliosis was checked radiologically. All non-scoliotic adolescents had no history of spinal disease, and a physical examination was performed by a physician to rule out any spinal disorder.

Data from AIS patients were obtained from 6 centres in 4 countries (with a minimal of 10% inclusions per centre) within a follow-up clinical survey. This cohort of scoliotic patients has been previously reported in studies using a severity index to predict scoliosis progression (i.e. the severity index and its six parameters) [\[5](#page-8-4), [10](#page-8-9), [11](#page-8-10)], which did not include assessment of 3D malalignment (i.e. OD-HA assessment). In the AIS group, inclusion criteria were: (1) confrmed diagnosis of AIS; (2) Cobb angle between 10° and 25°; (3) European Risser sign lower than 3 [\[12](#page-8-11)]; (4) age higher than 10 years; and (5) no previous treatment (such as brace or spine surgery). Patients with non-idiopathic scoliosis, transitional anomalies or supernumerary vertebrae were excluded from this study.

Protocol

All subjects underwent a low-dose biplanar X-ray (EOS system, EOS Imaging), in the free-standing position [[13\]](#page-8-12), at the onset of inclusion. Patients were classifed by location of the main curve $[14–16]$ $[14–16]$, i.e. according to the location of the apex: thoracic (apex between the T2 vertebra and the T11- T12 intervertebral disc), thoracolumbar (apex between the T12 and L1 vertebrae) and lumbar (apex between the L1-L2 intervertebral disc and the L4 vertebra).

Patients were then followed until one of these two events occurred: (1) the patient reached skeletal maturity of the trunk (with a Risser sign greater than or equal to 3), without progression of the curvature (i.e. a Cobb angle of the main curvature less than 25°) and without treatment. These patients were classifed as "stable"; (2) a corrective brace was prescribed, in which case patients were classifed as "progressive". Quantitative and objective criteria were used to decide on brace treatment according to the International Society on Scoliosis Orthopaedic and Rehabilitation Treatment guidelines, i.e. a Cobb angle of the main curvature greater than 25° and a Risser sign less than or equal to 2, or an increase of 5° in Cobb angle or vertebral axial rotation within 6 months [[17\]](#page-8-15), as well as an assessment of the clinical profle.

Imaging data and 3D reconstruction processing

A quasi-automatic 3D spine reconstruction was performed, from the biplanar radiography acquired at inclusion, using a previously validated method [[11](#page-8-10), [18](#page-9-0)]. The specifc anatomical landmarks were OD, the most superior point of dentiform apophyse of C2, as an estimate of the head centre of mass, and HA was the midpoint of pelvic acetabula [[8\]](#page-8-7) (Fig. [1\)](#page-3-0). The 3D OD-HA angle was computed automatically and then projected on the coronal and sagittal patient's planes [[8\]](#page-8-7). A positive sagittal OD-HA corresponds to a frontal lean, while a positive coronal OD-HA corresponded to a lean towards the right side. The end vertebrae of the scoliotic curve were manually selected by an experienced operator, and the Cobb angle was computed automatically from the 3D reconstruction.

For each patient, the calculation of the severity index (ranging from 0 to 1) was automatic $[5, 11]$ $[5, 11]$ $[5, 11]$ $[5, 11]$ and considered the stage of the European Risser sign, as previously described [[10\]](#page-8-9). The index was weighted according to the OD-HA value. When the OD-HA was greater than two standard deviations from the reference cohort value, a multiplication factor of 1.5 was applied. In cases where the OD-HA was less than one standard deviation from

Fig. 1 Characterization of the OD-HA measurement and its reference corridor. The centre of the femoral heads is represented by the red circle on the right side and the blue circle on the left (HA). The most superior point of dentiform apophyse of C2 is represented by the green circle (OD). The black dotted line represents the measurement of the OD-HA on the frontal (**a**) and lateral (**b**) radiographs. The green zone delimits the reference corridor between the 5th and 95th percentiles of non-scoliotic patients

the normal cohort value, the multiplication factor 0.8 was applied, thus lowering the severity index. An index lower than 0.4 is indicative for a stable curve, while an index higher than 0.6 is indicative for a progressive one. No prediction was issued for values in-between, and patient was unclassifed.

Statistics

Descriptive results were presented according to their mean, one standard deviation (SD) and range. After checking that values did not follow a normal distribution (Lilliefors normality test), the Mann–Whitney test was applied. Signifcance was set a 0.05. A reference corridor for OD-HA values in non-scoliotic subjects was calculated as the range [5th–95th percentiles]. The number of AIS having their OD-HA values higher than the corridor's limits was reported, and their values were defned as abnormal. Odds ratios were calculated to evaluate the relationship between abnormal OD-HA and risk of progression. Its confdence interval [5–95%] was calculated according to the Wolf method, and an interval including the value one was considered non-signifcant. To analyse the weighting of the severity index with the OD-HA, we reported the sensitivity, specifcity, positive and negative predictive value of the test according to the locations of the curvature. Sensitivity is calculated as the ratio of the number of true positives (i.e. severity index greater than 0.6 and progression observed during follow-up) over the sum of true positives and false negatives (i.e. severity index less than 0.4 and progression observed during follow-up). Specificity is calculated as the ratio of the number of true negatives (i.e. severity index less than 0.4 and stable scoliosis during follow-up) over the sum of true negatives and false positives (i.e. severity index greater than 0.6 and stable scoliosis during follow-up). The positive predictive value is calculated as the ratio of the number of true positives to the sum of true positives and false positives, while the negative predictive value is calculated as the ratio of the number of true negatives to the sum of true negatives and false negatives.

Results

Description of population

Eighty-three non-scoliotic subjects (50 females; 33 males; 12 years old, $SD = 2$) and 205 scoliosis (12 years old, $SD = 1.5$; 171 females and 34 males; 88 thoracic, 52 thoracolumbar and 65 lumbar) were included. These two cohorts were not statistically different for the age criterion $(p>0.8)$. Mean Cobb angle of scoliotic patients was $(16.1^{\circ}, SD = 3.7^{\circ})$; range from 10° to 24.8°). After the clinical and biplanar radiographic follow-up, 109 AIS (53%) was classifed as stable and 96 (47%) as progressive. In the stable patients, the European Risser sign was evenly distributed (0 in 42/109, 1 in 32/109, 2 in 35/109 patients). In the progressive group, a European Risser sign was 0 in 78/96 patients, while it was only 1 and 2 in 18/96 patients. The demographic characteristics are resumed in Table [1](#page-4-0).

OD‑HA parameters

In the non-scoliotic group, coronal OD-HA was 0.2° , $SD = 1[°]$ with a range between 5 and 95th percentile range from -1° to 2° , while sagittal OD-HA was -2.5° , SD = 2.4° with a range from -6° to [1](#page-3-0)° (illustrated in Fig. 1). In AIS, mean coronal OD-HA was 0.3° , SD = 1.4°; range from -4.6°

n is the number of patients included

Table 2 Number of AIS with OD-HA position values outside the reference corridor based on the topography of the main curvature

n is the number of patients included

to 4.3° and the mean sagittal OD-HA was −0.8°, SD=2.5°; range from −9° to 5.6°. Nine non-scoliotic subjects (*N*=83, 10.8%) and 56 AIS (*N*=205, 27.5%) were outside the reference corridor for coronal OD-HA (OR = 3.1 ; CI = $1.4-6.6$). For sagittal OD-HA, nine non-scoliotic subjects (*N*=83, 10.8%) and 55 AIS (*N*=205, 26.8%) were outside the reference corridor ($OR = 3$; $CI = 1.4–6.4$). The 3D position of OD relative to the inter-hip axis suggests that AIS patients are almost two times more likely to have an abnormal OD-HA value ($OR = 2.3$; $CI = 1.1-4.9$). The number of AIS with abnormal OD-HA position values did not difer by curvature location ($p=0.2$) (Table [2\)](#page-4-1).

OD‑HA analysis by patient outcome

The mean coronal and sagittal OD-HAs were 0.3° (SD=1.2°; range -4.6° to 4°) and -0.8° (SD=2.5°; range −9.1° to 5.3°) in stable scoliosis, while the means were, respectively, 0.4° (SD = 1.5°; range -3.6° to 4.5°) and -0.8° $(SD=2.6^{\circ})$; range -7° to 5.6°) in progressive scoliosis. For coronal OD-HA, 20 stable scoliosis (*N*=109) and 36 progressive patients (*N*=96) were outside the reference corridor $(OR = 2.7; CI = 1.4-5)$. For sagittal OD-HA, 25 stable AIS $(N=109)$ and 30 progressive patients $(N=96)$ were outside the reference corridor ($OR = 1.5$; $CI = 0.8-2.8$). For the 3D OD-HA (i.e. position of OD relative to the inter-hip axis), the odds ratio was of 2.2 (CI=1–4.5). Focussing on the topography of the curvature, the odds ratios were not signifcant (i.e. the confdent interval includes the value of one).

OD‑HA and the severity index

Following the previous results, only the odds ratio of coronal OD-HA between stable and progressive scoliosis was signifcant. Therefore, the weighting of the S-index was performed only with the coronal OD-HA values. All results are summarized in Table [3.](#page-5-0) Adding to the s-index a weighting factor based on coronal OD-HA improved the positive predictive value by 6% (from 78% to 84%) and the specifcity by 7% (from 78% to 85%). For thoracic scoliosis, the specifcity increases by 13% (from 73% to 86%) and the positive predictive value by 9% (from 77% to 86%).

Discussion

In this study, the measurement of the OD-HA position could be determined in a healthy adolescent population and in AIS. This 3D measurement is based on a quasi-automatic reconstruction method [[18\]](#page-9-0) which can be compatible with daily clinical practice since it takes less than 5 min.

Previously, several angles and distances have been described to assess the overall balance of the spine. For instance, the sagittal T9 plumbline described by Beauval-Beaupère who considered T9 to approximate the trunk's centre of gravity. The coronal and sagittal C7 plumblines are commonly used to assess the balance of the thoracic and lumbar spine [[19](#page-9-1)]. The disadvantage of these parameters is that it does not consider the alignment of the superior cervical spine-hip axis. As an example, Kim et al. showed the clinical relevance of OD-HA angle assessment in 199

Table 3 Classification, performance of index with coronal OD-HA weighting according to curve location **Table 3** Classifcation, performance of index with coronal OD-HA weighting according to curve location *n* is the number of patients; S-index *corresponds at the S-index with OD-HA weighting. Values in italics and bold are values for which the weighting of the S-index increased the performance of the test by 5% *n* is the number of patients; S-index *corresponds at the S-index with OD-HA weighting. Values in italics and bold are values for which the weighting of the S-index increased the performance of the test by 5%

adults undergoing spinal deformity surgery. As a result, the OD-HA angle measurement was correlated with the quality-of-life score and mechanical complications, while the T1-pelvis angle was associated with the spino-pelvic parameter [\[20](#page-9-2)]. Gangnet et al. [[21](#page-9-3)] described the 3D position of the line connecting the middle of the external acoustic meatus to the middle of the bi-coxo-femoral axis. The measurement of the OD-HA position is a natural extension of this, since it approximates the position of the head's centre of mass, like the centre of the acoustic meatus, but it is more clearly visible in radiographs. The OD-HA parameter can therefore complement other 3D radiographic analyses of alignment, including the upper and lower cervical spine, the pelvic vertebrae and the lower limb. Previous studies have shown that OD-HA can be reliable. According to Amabile et al. [[8\]](#page-8-7), OD was marked in 12 non-scoliotic patients with 48 repetitions by two operators. The 95% confdence interval was 2.0 mm for X, anteroposterior direction; 1.2 mm for Y, mediolateral direction; and 2.2 mm for Z, superior direction, respectively. Langlais et al. [\[22](#page-9-4)] found that OD-HA measurement in preand postoperative AIS patients had a measurement uncertainty of around 0.2° or less. In our study, we found values for coronal and sagittal OD-HA in the non-scoliotic group around 0.2° (SD = 1°) and -2.5° (SD = 2.4°). In a population of 516 subjects of Chinese origin and non-scoliotic, Hu et al. found values around 0.2° (SD = 1.1°) for the coronal and −0.2° (SD=2.5°) for the sagittal angle. The same authors also showed that there was a positive (or negative) correlation between the sagittal (or coronal) OD-HA value and age [[23\]](#page-9-5). In other words, the OD-HA values varied with age (and more precisely between from 20 and 80 s) by 2.45° for the sagittal measure and by 1.06° for the coronal angle [\[23](#page-9-5)]. These small physiological variations are consistent with the fact that the OD-HA angle is quasi-invariant [[8](#page-8-7)] and that the head tends to remain above the pelvis in a small cone of stability.

The main fnding of this work suggests that AIS patients can show almost three times the odds to have a coronal and sagittal OD-HA malalignment and this at an early stage. Diferent strategies can be deployed to maintain postural alignment and a constant position of the OD-HA, which has been demonstrated to be invariant even during breathing [[24\]](#page-9-6). These strategies can involve the whole body from head to toe, and they depend on the functional capacities of each subject. For example, elderly people prefer to recruit compensation mechanisms in the pelvis, cervical spine and ultimately lower limbs [[8](#page-8-7), [25](#page-9-7), [26\]](#page-9-8). For example, Ferrero et al. [\[27\]](#page-9-9) (2021) found extreme OD-HA values in signifcantly elderly patients with signifcant functional impairment. These subjects with sagittal malalignment and loss of lumbar lordosis recruited compensatory mechanisms such as pelvic retroversion, cervical hyper-lordosis to maintain the head above the pelvis. On the other hand, young subjects can adjust their spinal-pelvic alignment by adjusting the curvature of their spine and the orientation of their pelvis, or even the shape of their pelvis by a modifcation of the incidence [[28–](#page-9-10)[30](#page-9-11)]. Indeed, with an approximate head weight of 4 to 5 kg [\[31](#page-9-12), [32\]](#page-9-13), it appears that a strict alignment of the head upon the pelvis is a requirement for an economic posture. Failing to maintain the head upon the pelvis could indicate abnormal balance, but the mechanism is still to investigate.

The other interesting fnding is that progressive scoliosis is almost three times more likely to have coronal OD-HA malalignment than stable thoracic scoliosis without diference between topography of curvature. It is still difficult to understand the origin of this global malalignment of the scoliotic patient, especially in progressive scoliosis. Numerous studies have shown that global alignment is closely linked to neurological sensory inputs [[33\]](#page-9-14) such as oculomotor [\[34](#page-9-15)], vestibular [\[35](#page-9-16)] or proprioceptive [[36\]](#page-9-17) systems. The position of the OD-HA is a quasi-invariant factor, and the results of this study show that it could be a biomarker of progression in thoracic scoliosis. However, it is probably not the only marker involved in the progression of the biomechanical cascade. Disturbances in the mechanical properties of the intervertebral discs probably play a role in the progression of curvature and torsion of the axial plane [\[37\]](#page-9-18), especially at the junctional levels [[38\]](#page-9-19). These biomechanical mechanisms seem to be interrelated.

Finally, we assessed the infuence of the coronal OD-HA measurement on the previously published severity index [\[5](#page-8-4)]. For thoracic scoliosis, the specifcity and predictive positive value are improved by 13% and 9%, respectively, and thus increase the probability of being a stable scoliosis when the index is less than 0.4 and of being a progressive scoliosis when the index is greater than 0.6. In clinical practice, this means that we decrease the number of false positives, so we treat less stable scoliosis, but we do not signifcantly decrease the risk of mistaking progressive scoliosis as stable scoliosis. Indeed, this weighting decreases the number of misclassifed patients by 8% and therefore the risk of overor under-treatment. However, it also decreases the number of correctly classifed patients by 3%. This apparently contradictory result is explained by the increased number of unclassifed patients by 11% and therefore challenges the clinician to insist on close monitoring of this scoliosis whose index is between 0.4 and 0.6 (as illustrated in Fig. [2\)](#page-7-0). A factorial discriminant analysis could in the future allow the addition of coronal OD-HA to the severity index, under the defned conditions. Meanwhile, the coronal OD-HA is a factor that the clinician could consider at the frst visit, particularly for the thoracic curves (as illustrated in Fig. [3](#page-7-1)).

The main limitation of this work is that this study focuses on a static approach and therefore focuses on alignment. However, it has been established that the dynamic proprioceptive system is afected in patients with **Fig. 2** Illustration of sagittal and coronal OD-HA measurement on the biplanar inclusion radiograph of a thoracic scoliosis. The S-index was 0.70 and classifed the scoliosis as "progressive", whereas the radiograph 3 years later (illustrated by the image on the right) shows that the scoliosis is stable. Weighting by coronal OD-HA brings the S-index down to $0.56 \, (< 0.6)$ and thus into the unclassifed group

Fig. 3 Illustration of sagittal and coronal OD-HA measurement on the biplanar inclusion radiograph of a lumbar scoliosis. The S-index was 0.27 and classifed the scoliosis as "stable", whereas the radiograph 2 years later (illustrated by the image on the right) shows that the scoliosis is progressive. Weighting by coronal OD-HA brings the S-index down to 0.41 $(< 0.4$) and thus into the unclassifed group

idiopathic scoliosis [\[3\]](#page-8-2). Rebeyrat et al. [[39](#page-9-20)] investigated changes in dynamic OD-HA on adult spinal deformities and found that dynamically unbalanced spinal patients had postural malalignments that persisted during walking, associated with kinematic alterations of the trunk, pelvis and lower limbs, making them more prone to falls. For thoracic AIS with abnormal radiological OD-HA, further investigations could concern how dynamic OD-HA is afected, providing a better understanding of the relationship between malalignment and imbalance.

The second limitation is that the Cobb angle of the main curvature of progressive scoliosis was larger than that of stable scoliosis. This diference could indirectly increase the ratio of patients with abnormal and normal 3D OH-HA position. Finally, although high odds ratios have been estimated in this study, the confidence intervals

suggest that their signifcance could be low (confdence interval close to one). A study on a larger cohort could give a more robust answer to the question of malalignment in early scoliosis.

Conclusion

Analysis of OD-HA (coronal and sagittal) malalignment, using biplanar radiographs, suggests that AIS patients are almost three times more likely to have malalignment and at an early stage compared with a non-scoliotic population. Furthermore, analysis of coronal OD-HA malalignment is promising to help the clinician distinguish between stable and progressive thoracic scoliosis at an early stage, and increases the specificity of the severity index by 13%.

Acknowledgements The authors are grateful to the BiomecAM chair programme on subject-specifc musculoskeletal modelling (with the support of ParisTech and Yves Cotrel Foundations, Société Générale, Covea and Proteor).

Funding This study has received funding from the BiomecAM chair programme on subject-specifc musculoskeletal modelling (with the support of ParisTech and Yves Cotrel Foundations, Société Générale, Covea and Proteor).

Declarations

Competing interest Wafa Skalli has a patent related to biplanar X-rays and associated 3D reconstruction methods, with no personal fnancial beneft (royalties rewarded for research and education) licenced to EOS imaging. Raphael Vialle reports personal fees and grants (unrelated to this study) from Stryker. The other authors of this manuscript declare no relationships with any companies, whose products or services may be related to the subject matter of the article.

Ethical approval and informed consent Institutional review board approval was obtained. Written informed consent was obtained from all subjects (patients) in this study.

Data availability statements The datasets generated during and/or analysed during the current study are available from the corresponding author on reasonable request.

Study subjects or cohorts overlap Some study subjects or cohorts have been previously reported in:

(*N*=138) Vergari et al. 2022: Spine slenderness is not an early sign of progression in adolescent idiopathic scoliosis. *Medical Engineering and Physics*

 $(N=205)$ Vergari et al., 2021: Effect of curve location on the severity index for adolescent idiopathic scoliosis: a longitudinal cohort study. *European Radiology*

(*N*=55) Vergari et al., 2019: Quasi-automatic early detection of progressive idiopathic scoliosis from biplanar radiography a preliminary validation. *European Spine Journal*

(*N*=65) Skalli et al., 2017: Early detection of progressive adolescent idiopathic scoliosis a severity index. *Spine*

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