

### Science Arts & Métiers (SAM)

is an open access repository that collects the work of Arts et Métiers Institute of Technology researchers and makes it freely available over the web where possible.

This is an author-deposited version published in: <a href="https://sam.ensam.eu">https://sam.ensam.eu</a>
Handle ID: <a href="http://hdl.handle.net/10985/25261">http://hdl.handle.net/10985/25261</a>

#### To cite this version:

Youngwoo KIM, Claudio VERGARI, Hiroyuki TOKUYASU, Yu SHIMIZU, Mitsuru TAKEMOTO - The Impact of Pelvic Incidence on Spinopelvic and Hip Alignment and Mobility in Asymptomatic Subjects - Journal of Bone and Joint Surgery - Vol. 00 - A, p.1-10 - 2024



# The Impact of Pelvic Incidence on Spinopelvic and Hip Alignment and Mobility in Asymptomatic Subjects

Youngwoo Kim, MD, PhD, Claudio Vergari, PhD, Hiroyuki Tokuyasu, RPT, Yu Shimizu, MD, PhD, and Mitsuru Takemoto, MD, PhD

Investigation performed at Kyoto City Hospital, Kyoto, Japan

**Background:** The influence of pelvic incidence (PI) on spinopelvic and hip alignment and mobility has not been well investigated. The aim of this study was to evaluate the influence of PI on spinopelvic and hip alignment and mobility, including the pelvic-femoral angle (PFA) and motion ( $\Delta$ PFA), in functional positions in a cohort of asymptomatic volunteers.

**Methods:** This was a single-center, prospective, cross-sectional study. We included 136 healthy volunteers (69% female; mean age,  $38 \pm 11$  years; mean body mass index,  $22 \pm 3$  kg/m²) divided into 3 subgroups on the basis of their PI: PI <  $45^{\circ}$  (low PI),  $45^{\circ} \le PI \le 60^{\circ}$  (medium PI), and PI >  $60^{\circ}$  (high PI). We made full-body lateral radiographs in free-standing, standing with extension, relaxed-seated, and flexed-seated positions. We measured the sacral slope (SS), lumbar lordosis (LL), and PFA. We calculated lumbar ( $\Delta$ LL), pelvic ( $\Delta$ SS), and hip ( $\Delta$ PFA) mobilities as the change between the standing (i.e., standing with or without extension) and sitting (i.e., relaxed-seated) positions.

**Results:** There were significant differences between some of the 3 subgroups with respect to the LL, SS, and PFA in each of the 4 positions. There were no significant differences in  $\Delta$ LL,  $\Delta$ SS, or  $\Delta$ PFA between the 3 groups when moving from a standing to a sitting position. PI had an inverse linear correlation with PFA<sub>extension</sub> (R = -0.48; p < 0.0001), PFA<sub>standing</sub> (R = -0.53; p < 0.0001), PFA<sub>relaxed-seated</sub> (R = -0.37; p < 0.0001), and PFA<sub>flexed-seated</sub> (R = -0.47; p < 0.0001). However, PI was not correlated with  $\Delta$ PFA<sub>standing/relaxed-seated</sub> (R = -0.062; p = 0.48) or  $\Delta$ PFA<sub>extension/flexed-seated</sub> (R = -0.12; p = 0.18). Similarly, PI was not significantly correlated with  $\Delta$ LL or  $\Delta$ SS in either pair of positions.

**Conclusions:** This study confirmed that spinopelvic and hip parameters in functional positions were affected by PI, whereas lumbar, pelvic, and hip mobilities did not depend on PI. These findings suggest that hip surgeons should consider the PI of the patient to determine the patient's specific functional safe zones before and after total hip arthroplasty.

Level of Evidence: Prognostic Level II. See Instructions for Authors for a complete description of levels of evidence.

ip mobility is important in the sagittal flexion and extension of the whole coordinated kinematic chain of the spine, pelvis, and lower limbs $^1$ . This movement occurs in the femoroacetabular articulation. The motion of the femur relative to the pelvis can be estimated with use of the pelvic-femoral angle (PFA), which is the angle of the femur in relationship to the sacrum. This angle helps to define hip mobility ( $\Delta$ PFA) between postural changes with use of lateral spinopelvic radiographs. Recent studies have utilized lateral radiographs to focus on spinopelvic parameters, including the PFA, in functional positions such as standing and sitting. These

studies found that abnormal spinopelvic and hip alignment and mobility impact postoperative outcomes after total hip arthroplasty (THA)<sup>1-5</sup>.

Pelvic incidence (PI) is a constant morphological parameter. It is defined as the angle between the line orthogonal to the sacral plate and the line that connects the middle of the sacral plate to the acetabular axis (the P-line)<sup>6</sup>. PI is a radiographic measurement that represents the biomechanical relationship between the lumbar spine and the pelvis in patients with differing pelvic anatomy, which may lead to different patterns of spinopelvic alignment and compensation during daily activities performed by

**Disclosure:** No external funding was received for this work. The **Disclosure of Potential Conflicts of Interest** forms are provided with the online version of the article

patients with physiological and pathological conditions such as hip osteoarthritis<sup>7-9</sup>. Recent studies have demonstrated a relationship between PI and postoperative compensation and dislocation following THA<sup>8,10-13</sup>. However, conflicting results were found for sagittal pelvic morphology<sup>14</sup>. Furthermore, the role of PI in a patient's risk of instability following THA is not well understood.

A prior study demonstrated a correlation between PI and pelvic tilt (PT =  $-7 + 0.37 \times PI$ ; R = 0.66)<sup>15</sup>. However, the relationship between PI and PFA has not been well investigated despite its potential importance, both before and after THA, in the kinematics of the femur relative to the pelvis when moving between standing and sitting positions. Furthermore, it is not yet clear whether and how PI is associated with normal spinopelvic and hip alignment and mobility during functional activities.

The aim of the present study was to evaluate the influence of PI on spinopelvic and hip alignment in functional positions involving standing and sitting, and on spinopelvic and hip mobility in moving between those positions, with use of radiographic spinopelvic and hip parameters in a cohort of asymptomatic volunteers. We hypothesized that spinopelvic and hip parameters, including the PFA, would be affected by the PI.

#### **Materials and Methods**

#### Cohort

This was a single-center, prospective, cross-sectional study. We recruited 151 volunteers between March 2022 and August 2022. We included 136 healthy volunteers in the study, comprising 94 (69%) women and 42 (31%) men, with a mean age and standard deviation (SD) of  $38 \pm 11$  years (range, 23 to 64 years). The mean body mass index (BMI) was  $22 \pm 3$  kg/m² (range, 17 to 31 kg/m²; Table I). All subjects were hospital health-care workers. Subjects were excluded on the basis of 6 criteria: (1) the presence of abnormalities in either of the hip joints, including joint-space narrowing and the presence of osteophytes (Tönnis grade of  $\geq 2$ ), as seen on anteroposterior hip radiographs; (2) moderate-to-severe lumbar abnormalities, such as multiple disc degeneration (Kellgren-Lawrence grade of

≥3), spondylolisthesis (Meyerding grade of ≥2), or scoliosis (>30°), as seen on anterior and lateral lumbar radiographs; (3) hip symptoms, as indicated by an Oxford Hip Score of <45 points (range, 0 [worst] to 48 [best]); (4) low-back pain, as indicated by an Oswestry Disability Index of >20 (range, 0 [no disability] to 100 [maximum disability]); (5) a history of hip or spinal surgery; and (6) an age of <20 years. Subjects with mild lumbar abnormalities were included if the abnormalities were considered asymptomatic. Eight volunteers were excluded because of hip or lumbar degeneration, and 7 volunteers were excluded because of inadequate radiographs. The study was approved by the institutional review board of the Kyoto City Hospital (authorization 621) and was conducted per the 2008 Declaration of Helsinki.

Using the values for PI classifications described by Thelen et al. <sup>16</sup>, we divided all subjects into 3 subgroups on the basis of their PI: PI <  $45^{\circ}$  (low PI),  $45^{\circ} \le PI \le 60^{\circ}$  (medium PI), and PI >  $60^{\circ}$  (high PI) <sup>16</sup>, as shown in Table I.

#### Data Collection and Radiographic Analysis

We made full-body lateral radiographs in free-standing, standing with extension, relaxed-seated, and flexed-seated positions (Fig. 1). For the extension radiograph, the study volunteers were asked to hold onto a horizontal bar slightly higher than shoulder level and to extend their pelvis and spine as much as possible<sup>17</sup>. The relaxed-seated position was defined as a 90° sitting position on a height-adjustable chair with the femora parallel to the floor<sup>18</sup>. In the flexed-seated position, the femora were parallel to the floor with the trunk leaning maximally forward<sup>19</sup>.

We measured the sacral slope (SS), PT, PI, L1-S1 lumbar lordosis (LL), and PFA on all of the radiographs (Fig. 1)<sup>2,20,21</sup>. PT is defined as the angle between a vertical line and the P-line. The P-line is defined as the line connecting the center of the acetabulum to the center of the sacral end plate. According to Vialle et al. 15, the theoretical normal value of PT (tPT) depends on the PI of the subject and can be estimated with use of the equation  $tPT = -7 + 0.37 \times PI$ , as previously described 22,23.

A new line, the H-line, was defined from the center of the acetabulum at a positive-angle tPT from the P-line (Fig. 1).

			Subgroup		
	Whole Cohort	Low PI (PI < 45°)	Medium PI (45° ≤ PI ≤ 60°)	High PI (PI > 60°)	P Value
No. of subjects	136	37 (27%)	70 (52%)	29 (21%)	<0.001
Sex (no. [%] of subjects)					NS
Female	94 (69%)	23	47	24	
Male	42 (31%)	14	23	5	
Age† (yr)	38 ± 11 (23-64)	36	37	40	NS
BMI† (kg/m²)	22 ± 3 (17-31)	21	22	22	NS

\*NS = not significant. †Values are given as the mean  $\pm$  SD, with the range in parentheses.

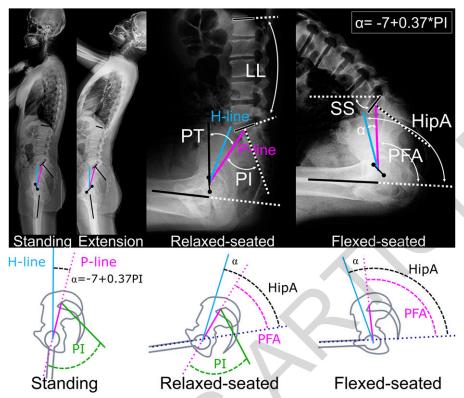


Fig. 1 Lateral radiographs and diagrams in the free-standing (i.e., standing), standing with extension (i.e., extension), relaxed-seated, and flexed-seated positions. The main radiographic parameters are depicted, including the sacral slope (SS), pelvic tilt (PT), pelvic incidence (PI), lumbar lordosis (LL), pelvic-femoral angle (PFA), and hip angle (HipA = PFA +  $\alpha$ ).  $\alpha$  is the theoretical normal value of PT (tPT =  $-7 + 0.37 \times PI$ ). The P-line is defined as the line connecting the center of the acetabulum to the center of the sacral end plate. The H-line is defined as the line extending from the center of the acetabulum at a positive-angle tPT from the P-line.

When the PT of the patient coincides with the tPT, the H-line will be vertical while standing, regardless of the PI. The hip angle (HipA) was defined as the angle between the femur and the H-line. This can be calculated with use of the following equation: HipA = PFA + tPT = PFA -  $7 + 0.37 \times PI$ . HipA is a femoral flexion angle relative to the anatomical reference of the pelvis that provides a quantitative assessment of the hip flexion angle, similar to a physical examination with the patient in a supine position. The H-line can be considered an anatomical reference of the pelvis as it does not depend on pelvic position.

Two experienced operators (1 hip surgeon [Y.K.] and 1 physiotherapist [H.T.]) performed the radiographic measurements. Measurements in 4 positions were repeated, in a blinded fashion, for 20% of all subjects, who were selected at random. Intraobserver repeatability and interobserver reproducibility were assessed via the intraclass correlation coefficient, which showed an excellent agreement of 0.850 to 0.978 for interobserver reproducibility (see Appendix Table I).

Spinopelvic and hip mobilities were calculated as the change between the standing (i.e., standing or extension) and sitting (i.e., relaxed-seated or flexed-seated) positions, indicated as  $\Delta X_{\text{standing/sitting}} = X_{\text{sitting}} - X_{\text{standing}}$ .

We divided the dynamic spine-pelvis-hip motion into pelvic, hip, and lumbar mobilities¹. Pelvic mobility was defined as the difference in SS between the standing and sitting positions ( $\Delta SS_{standing/sitting}$ ) and was classified as stiff ( $\Delta SS_{standing/relaxed-seated} \geq -10^{\circ}$ ), normal ( $-10^{\circ} > \Delta SS_{standing/relaxed-seated} > -30^{\circ}$ ), or hypermobile ( $\Delta SS_{standing/relaxed-seated} \leq -30^{\circ}$ )²4. We defined hip mobility as the difference in PFA between the standing and sitting positions ( $\Delta PFA_{standing/sitting}$ ). Lumbar mobility was defined as the difference in LL between the standing and sitting positions ( $\Delta LL_{standing/sitting}$ ) and was classified as stiff ( $\Delta LL_{standing/flexed-seated} > -20^{\circ}$ ), flexible ( $-20^{\circ} \geq \Delta LL_{standing/flexed-seated} > -40^{\circ}$ ), or hypermobile ( $\Delta LL_{standing/flexed-seated} \leq -40^{\circ}$ )¹.²5.

#### Statistical Analysis

After describing the cohort in terms of baseline demographics and radiographic alignments, we conducted comparisons of the radiographic measurements between positions with use of the paired t test and chi-square test. We made demographic and radiographic-parameter comparisons across the PI subgroups (i.e., low, medium, and high PI) with use of either analysis of variance or the Kruskal-Wallis test, according to the normality of the data, which was checked with use of the Shapiro-Wilk

test. We applied a Tukey-Kramer correction to multiple comparisons. The level of significance was set at p < 0.05. We utilized MATLAB 2020b (MathWorks) for the calculations. We performed a correlation analysis with use of Microsoft Excel (version 16.0) to determine the Pearson correlation coefficient (r) between the parameters. Correlation was defined as strong ( $r \ge 0.7$ ), moderate (0.4 < r < 0.7), or weak ( $r \le 0.4$ ).

#### **Results**

Demographic data of the asymptomatic volunteers are presented in Table I. There were no significant differences in demographic data between the 3 subgroups. However, more subjects (p < 0.001) presented with a medium PI (52%) than a low PI (27%) or a high PI (21%), which was expected.

#### Spinopelvic and Hip Alignment

There were significant differences between some of the 3 subgroups (low, medium, and high PI) with respect to the LL, SS, PT, and PFA in each of the 4 positions (extension, standing, relaxed-seated, and flexed-seated; Table II). No significant differences were found between the subgroups with respect to the HipA, nor was any difference found in the mean HipA between women  $(3.1^{\circ} \pm 6.6^{\circ})$  and men  $(5.2^{\circ} \pm 4.7^{\circ}; p = 0.07)$  in the standing position.

#### Lumbar, Pelvic, and Hip Mobilities

No significant differences in  $\Delta$ LL,  $\Delta$ SS, or  $\Delta$ PFA were found between the 3 groups when moving from a standing to a relaxed-seated position or from an extension to a flexed-seated position (Table III). A stiff pelvis was demonstrated in 51% of the cohort, and a hypermobile pelvis was demonstrated in 1%, with a normal pelvis demonstrated in the remaining subjects (Table IV). All subjects demonstrated a hypermobile lumbar spine.

## Relationship of PI with PFA and Lumbar, Pelvic, and Hip Mobilities

PI was moderately correlated with PFA $_{\rm extension}$  (R = -0.48; p < 0.0001) and PFA $_{\rm standing}$  (R = -0.53; p < 0.0001; Fig. 2). Similarly, PI was weakly correlated with PFA $_{\rm relaxed-seated}$  (R = -0.37; p < 0.0001) and moderately correlated with PFA $_{\rm flexed-seated}$  (R = -0.47; p < 0.0001; Fig. 2). However, there was no significant

				Subgroup				
Parameter	Position	Whole Cohort	Low PI	Medium PI	High PI	L Versus M	L Versus H	M Versus I
PI (deg)	Standing	52 ± 11	39 ± 4	52 ± 4	68 ± 5	<0.001	<0.001	<0.001
LL (deg)	Extension	$62 \pm 11$	55 ± 10	63 ± 10	$68 \pm 10$	< 0.05	<0.001	NS
	Standing	53 ± 11	46 ± 10	$54 \pm 10$	$60 \pm 8$	<0.001	<0.001	< 0.05
	Relaxed-seated	$34 \pm 14$	27 ± 14	$34 \pm 14$	$40 \pm 10$	< 0.05	<0.001	NS
	Flexed-seated	$-9 \pm 11$	$-16 \pm 9$	$-8 \pm 11$	$-2\pm10$	<0.001	<0.001	< 0.05
PI-LL (deg)	Standing	-1 ± 10	$-6 \pm 9$	$-2 \pm 8$	7 ± 11	< 0.05	<0.001	<0.001
SS (deg)	Extension	35 ± 9	30 ± 8	36 ± 8	42 ± 6	<0.001	<0.001	<0.001
	Standing	39 ± 8	$32 \pm 6$	39 ± 6	46 ± 5	<0.001	<0.001	<0.001
	Relaxed-seated	28 ± 10	21 ± 9	$29 \pm 10$	36 ± 8	<0.001	<0.001	< 0.05
	Flexed-seated	62 ± 14	$56 \pm 11$	62 ± 16	$68 \pm 10$	< 0.05	<0.001	NS
PT (deg)	Extension	16 ± 9	10 ± 8	15 ± 7	$24\pm8$	< 0.05	<0.001	<0.001
	Standing	13 ± 7	8 ± 5	13 ± 5	$21\pm6$	<0.001	<0.001	<0.001
	Relaxed-seated	24 ± 11	$20 \pm 10$	$24 \pm 10$	31 ± 9	NS	<0.001	< 0.05
	Flexed-seated	$-9 \pm 13$	$-15\pm10$	$-10 \pm 12$	$-1 \pm 11$	NS	<0.001	<0.001
PFA (deg)	Extension	$-13 \pm 9$	$-8\pm8$	$-13 \pm 8$	$-20 \pm 9$	< 0.05	<0.001	<0.001
	Standing	$-8 \pm 7$	$-4 \pm 7$	$-8 \pm 6$	$-15\pm6$	< 0.05	<0.001	<0.001
	Relaxed-seated	69 ± 12	$74 \pm 13$	69 ± 11	63 ± 11	NS	<0.001	< 0.05
	Flexed-seated	103 ± 12	109 ± 11	103 ± 10	94 ± 12	< 0.05	<0.001	< 0.05
HipA (deg)	Extension	$-1 \pm 8$	$-1\pm8$	$-1\pm8$	$-2\pm8$	NS	NS	NS
. =-	Standing	4 ± 6	4 ± 7	4 ± 6	3 ± 5	NS	NS	NS
	Relaxed-seated	81 ± 11	81 ± 13	81 ± 11	81 ± 10	NS	NS	NS
	Flexed-seated	115 ± 10	117 ± 11	115 ± 10	112 ± 11	NS	NS	NS

<sup>\*</sup>Values are given as the mean  $\pm$  SD, and p values are reported for significant differences between the subgroups. L = low PI, M = medium PI, H = high PI, NS = not significant.

TABLE III Changes in Spinopelvic and Hip Parameters from the Standing to Relaxed-Seated Position and the Extension to Flexed-Seated Position\*

				Subgroup		
Parameter	Position	Whole Cohort	Low PI	Medium PI	High PI	P Value
$\Delta$ LL (deg)	Standing to relaxed-seated	$-19 \pm 13$	$-18 \pm 14$	$-20 \pm 14$	-20 ± 11	NS
	Extension to flexed-seated	$-71 \pm 12$	$-72\pm9$	$-70 \pm 14$	$-70 \pm 12$	NS
$\Delta$ SS (deg)	Standing to relaxed-seated	$-10 \pm 9$	$-10 \pm 9$	$-10 \pm 9$	$-10 \pm 8$	NS
	Extension to flexed-seated	$26 \pm 13$	$27\pm12$	$27\pm15$	26 ± 11	NS
$\Delta$ PFA (deg)	Standing to relaxed-seated	$77 \pm 10$	$78 \pm 11$	$77 \pm 10$	78 ± 10	NS
	Extension to flexed-seated	116 ± 11	117 ± 12	116 ± 10	114 ± 11	NS

<sup>\*</sup>Values are given as the mean  $\pm$  SD. NS = not significant.

correlation between PI and  $\Delta$ PFA<sub>standing/relaxed-seated</sub> (R = -0.062; p = 0.48) or  $\Delta$ PFA<sub>extension/flexed-seated</sub> (R = -0.12; p = 0.18; Fig. 3). Similarly, there was no significant correlation between PI and  $\Delta$ LL or  $\Delta$ SS in either pair of positions.

#### **Discussion**

his study is among the first to describe the classification of **L** spinopelvic and hip alignment and mobility based on the PI of asymptomatic volunteers in 4 positions (free-standing, extension, relaxed-seated, and flexed-seated). Spinopelvic and hip alignment (LL, SS, PT, and PFA) varied significantly according to the PI of the subject (low, medium, or high) in each position, with many of the differences between the subgroups being significant, whereas there were no significant differences in lumbar, pelvic, or hip mobility ( $\Delta$ LL,  $\Delta$ SS, and  $\Delta$ PFA) between the low, medium, and high-PI subgroups. These results support our hypothesis that PI influences spinopelvic and hip parameters, including the PFA. The factors affecting spinopelvic and hip alignment and mobility in patients before and after THA are of increasing interest. It is important for surgeons to understand spinopelvic kinematics in order to identify patients who are at a high risk for dislocation and impingement before and after THA<sup>3,26</sup>.

The role of PI is also an important consideration in the preoperative evaluation of a patient for spinal realignment surgery because PI directly influences spinopelvic alignment, including PT, SS, LL, and overall sagittal spinal balance<sup>27</sup>. Previous studies have shown that PI is strongly correlated with SS (r = 0.80) and LL (r = 0.60) in the standing position<sup>6,15,28</sup>. This finding is consistent with the results of the present study, which also examined different positions. Furthermore, we found that high PI was associated with a lower PFA (more extension of the femur relative to the P-line) and low PI was associated with a higher PFA (more flexion of the femur relative to the P-line) in the standing and sitting positions (Fig. 4). This relationship between PI and the PFA may lead to compensatory changes in spinopelvic alignment. Similarly, Ike et al. reported that, for patients with low-PI hips who were undergoing THA, more femoral flexion was required for sitting because the PFA in such patients was high<sup>29</sup> (note that the PFA measured by these authors was the complement of the definition utilized in the present study).

However, the differences in PFA between individuals with low PI and those with high PI depend on the PI and not on the difference in femoral flexion angle. Nevertheless, the

Mobility Type	Whole Cohort	Low PI	Medium PI	High PI	P Value
Pelvic mobility					
Stiff ( $\Delta$ SS $\geq -10^{\circ}$ )	51%	54%	51%	46%	NS
Normal $(-10^{\circ} > \Delta SS > -30^{\circ})$	48%	43%	48%	54%	NS
Hypermobile ( $\Delta SS \leq -30^{\circ}$ )	1%	3%	1%	0%	NS
Lumbar mobility					
Stiff ( $\Delta LL > -20^{\circ}$ )	0%	0%	0%	0%	NS
Flexible $(-20^{\circ} \ge \Delta LL > -40^{\circ})$	0%	0%	0%	0%	NS
Hypermobile ( $\Delta LL \leq -40^{\circ}$ )	100%	100%	100%	100%	NS

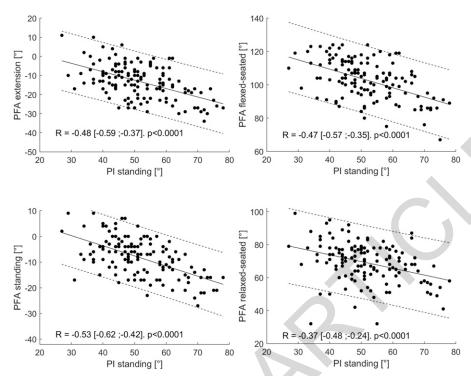
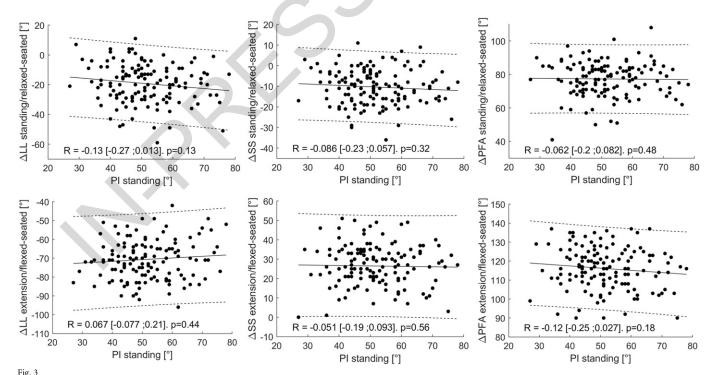


Fig. 2
Graphs showing the correlation between PI and PFA in each position. The solid line represents the linear regression between the parameters, and the dashed lines represent the bounds of the 95% prediction interval.



Graphs showing the correlation of PI with  $\Delta$ LL,  $\Delta$ SS, and  $\Delta$ PFA from the standing to relaxed-seated position and the extension to flexed-seated position. The solid line represents the linear regression between the parameters, and the dashed lines represent the bounds of the 95% prediction interval.

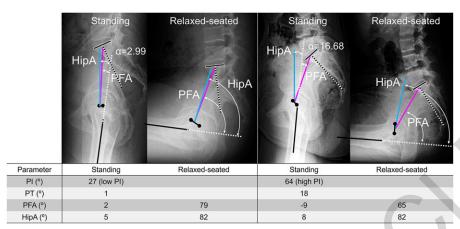


Fig. 4
Descriptions and diagrams of the spinopelvic and hip parameters in the standing and relaxed-seated positions in a subject with low PI and a subject with high PI. The solid blue line represents the H-line, the solid pink line denotes the P-line, and the striped white and black line represents the line orthogonal to the sacral plate.  $\alpha = -7 + 0.37 \times PI$ .

correlation between PFA and PI was only weak or moderate in all 4 positions (Fig. 2), suggesting that other variables influence this relationship. The posture of the subject in each of the 4 positions may affect the variability in the PFA that is not attributable to the PI. The differences in PFA should be considered during the preoperative and postoperative evaluation of patients undergoing THA (Figs. 5 and 6).

We hypothesized that the PFA is directly influenced by the PI, since the PFA is the angle of the femur relative to the pelvis<sup>17,29</sup>. HipA is a new angle that allows for the quantification of hip mobility while accounting for the PI. This was confirmed by the fact that the HipA did not vary with the PI, whereas the PFA did (Table II). Therefore, the HipA is independent of the PI and can be evaluated on its own, whereas the PFA should be considered according to the PI of the patient and may have a different interpretation in different patients. Surgeons should consider the newly developed radiographic femoral flexion angle (HipA) relative to the new calculated reference line (the

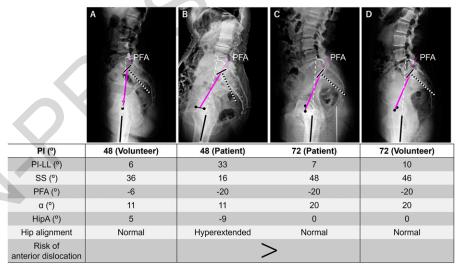


Fig. 5
Clinical application in the standing position. The lateral spinopelvic-hip radiographs of 2 study volunteers (**Figs. 5-A and 5-D**) and 2 patients with hip arthrosis (**Figs. 5-B and 5-C**) in the standing position illustrate the study measurements, with the solid pink line representing the P-line. The patient radiographs were made preoperatively. The patients had the same PFA ( $-20^\circ$ ) with different PIs ( $48^\circ$  versus  $72^\circ$ ). The calculated α angle ( $-7 + 0.37 \times PI$ ) was  $11^\circ$  in **Fig. 5-B** but  $9^\circ$  higher,  $20^\circ$ , in **Fig. 5-C**. The calculated HipA (PFA  $-7 + 0.37 \times PI$ ) was  $-9^\circ$  in **Fig. 5-B** but  $0^\circ$  in **Fig. 5-C**. The hip alignment in the standing position was normal in both the patient with high PI (**Fig. 5-C**) and the volunteer with the same PI (**Fig. 5-D**). However, the patient with low PI (**Fig. 5-B**) had hyperextended hip alignment due to compensation for lumbar kyphosis ( $PI - LL = 33^\circ$ ), whereas the volunteer with the same PI had normal hip alignment (**Fig. 5-A**). Although the 2 patients had the same preoperative PFA, the patient with low PI had a higher risk of anterior dislocation after THA as a result of the extension of the femur relative to the pelvis.

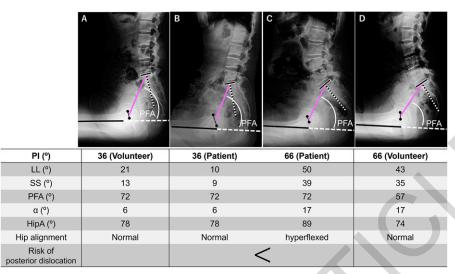


Fig. 6 Clinical application in the relaxed-seated position. The lateral spinopelvic-hip radiographs of 2 study volunteers (**Figs. 6-A and 6-D**) and 2 patients with hip arthrosis (**Figs. 6-B and 6-C**) in the relaxed-seated position illustrate the study measurements, with the solid pink line representing the P-line. The patient radiographs were made preoperatively. The patients had the same PFA ( $72^{\circ}$ ) with different PIs ( $36^{\circ}$  versus  $66^{\circ}$ ). The calculated  $\alpha$  angle ( $-7 + 0.37 \times PI$ ) was  $6^{\circ}$  in **Fig. 6-B** but  $11^{\circ}$  higher,  $17^{\circ}$ , in **Fig. 6-C**. The calculated HipA (PFA  $-7 + 0.37 \times PI$ ) was  $78^{\circ}$  in **Fig. 6-B** but  $89^{\circ}$  in **Fig. 6-C**. The hip alignment in the relaxed-seated position was normal in both the patient with low PI (**Fig. 6-B**) and the volunteer with the same PI (**Fig. 6-A**). However, the patient with high PI (**Fig. 6-C**) had hyperflexed hip alignment due to hip hypermobility, whereas the volunteer with the same PI had normal hip alignment (**Fig. 6-D**). Although the 2 patients had the same preoperative PFA, the patient with high PI had a higher risk of posterior dislocation after THA as a result of the flexion of the femur relative to the pelvis.

H-line), which is not affected by the PI of the individual before or after THA.

A recent study demonstrated that PI did not show any significant correlation with lumbar or pelvic mobility in patients undergoing THA<sup>26</sup>. However, that study preoperatively evaluated patients with osteoarthritis and hip contracture, which may affect lumbar and pelvic mobility<sup>1</sup>, and did not evaluate hip mobility. The present study is the first, to our knowledge, to evaluate the spinopelvic and hip measurements of asymptomatic volunteers in order to clarify the role of PI in lumbar, pelvic, and hip mobilities. Our results confirmed that there were no significant differences in lumbar, pelvic, or hip mobility between the low, medium, and high-PI subgroups. This finding suggests that the functional hip's mobility cone for daily living activities and the risk of prosthetic impingement and edge loading are constant, regardless of the PI.

Interestingly, 51% of the volunteers in our study had a stiff pelvis. Patients with spinopelvic stiffness when moving from a standing to a sitting position are at a high risk for hip dislocation after THA<sup>14,30</sup>. Spinopelvic stiffness is a well-established parameter that can be measured with use of standing and sitting lateral radiographs<sup>30</sup>. However, a recent study found that a  $\Delta SS_{standing/relaxed-seated}$  of  $\geq -10^{\circ}$  was not correlated with a stiff spine and overpredicted its presence<sup>31</sup>. Furthermore, we previously reported that improvements in hip mobility were associated with decreased postoperative lumbar and pelvic mobility<sup>1</sup>. The findings of these studies suggest that, in the present study, the presence of a stiff pelvis

in half of a normal population without hip disease was the result of good hip flexion. Classifications of pelvic mobility warrant future studies.

Preoperative identification of abnormalities in spinopelvic and hip alignment and mobility can lead to patientspecific alterations in the position of the component to insure against impingement and mechanical instability following THA<sup>3</sup>. Furthermore, postoperative evaluation of these abnormalities in patients with impingement and late dislocation following THA can clarify the optimal surgical treatment<sup>2,32</sup>. Hip hypermobility is a risk factor for impingement and dislocation after THA<sup>3,5,32</sup>, but previous studies, such as the one by Bodner et al.3, have defined extension and flexion with use of the PFA. The results of the present study are supported by the findings of previous studies<sup>3,33</sup>, including the findings reported by Ike et al.29, who demonstrated that the combined sagittal index (CSI; i.e., the anteinclination of the cup + the PFA) was stratified by PI into 10°-stepped ranges for the standing and sitting positions. The present study showed that the difference in the PFA due to the PI should be considered when evaluating the spine-pelvis-hip alignment preoperatively.

The present study has several limitations. First, it included only volunteers and did not include any patient data, which was beyond the scope of this work. Future studies should include patients with spinal and hip disorders, especially because degenerative disease of the hip and lower back is a common comorbidity. Second, 69% of the volunteers

were female, which might have resulted in a sex distribution bias. The impact of sex on spinopelvic and hip alignment and mobility requires future study. Third, the study volunteers had a low BMI relative to the general population, even if 77% of the volunteers were of a healthy weight between 18.5 and 24.9. Fourth, uncertainty regarding the angles of interest was evaluated by repeating the measurements on the same set of radiographs. Although the subjects were positioned correctly, repeated radiographs could have confirmed that. However, the reproducibility of the radiographs was not addressed because doing so would have increased the radiation exposure of the subjects. Fifth, although the subjects were placed orthogonal to the x-ray plane, the results showed some degree of oblique projection, which affected the measurements. Three-dimensional measurements of biplanar radiographs would address this issue and would allow for the assessment of uncertainty introduced by subject misalignment.

#### Conclusions

This study found that lumbar ( $\Delta$ LL), pelvic ( $\Delta$ SS), and hip ( $\Delta$ PFA) mobilities were constant regardless of the PI in each functional position. However, spinopelvic and hip parameters, including the LL, SS, and PFA, were affected by PI and should be corrected according to the PI in a functional position. On the basis of these results, we suggest 3 specific recommendations for surgeons: (1) PI should be considered in preoperative planning and postoperative evaluation of spinopelvic and hip alignment in functional positions

because of the difference in normal values between individuals with low and high PI; (2) the PFA should be considered key to determining the optimal cup orientation and CSI preoperatively<sup>32</sup>; and (3) the PFA should be corrected according to the PI of the patient, which determines the patient's specific functional safe zone. The optimal cup position relative to the PI of the individual should be addressed in future research.

#### **Appendix**

eA Supporting material provided by the authors is posted with the online version of this article as a data supplement at jbjs.org (http://links.lww.com/JBJS/I36).

Note: The authors thank Dr. Aidin Eslam Pour (Yale University) for English-language editing.

Youngwoo Kim, MD, PhD<sup>1</sup> Claudio Vergari, PhD<sup>2</sup> Hiroyuki Tokuyasu, RPT<sup>3</sup> Yu Shimizu, MD, PhD<sup>1</sup> Mitsuru Takemoto, MD, PhD<sup>1</sup>

<sup>1</sup>Department of Orthopaedic Surgery, Kyoto City Hospital, Kyoto, Japan

<sup>2</sup>Institut de Biomécanique Humaine Georges Charpak, Arts et Métiers Institute of Technology, Université Sorbonne Paris Nord, Paris, France

<sup>3</sup>Department of Rehabilitation, Kyoto City Hospital, Kyoto, Japan

Email for corresponding author: woochan76@hotmail.co.jp

#### References

- 1. Kim Y, Vergari C, Shimizu Y, Tokuyasu H, Takemoto M. The Impact of Hip Mobility on Lumbar and Pelvic Mobility before and after Total Hip Arthroplasty. J Clin Med. 2022 Dec 31;12(1):331.
- 2. Heckmann N, McKnight B, Stefl M, Trasolini NA, Ike H, Dorr LD. Late dislocation following total hip arthroplasty: Spinopelvic imbalance as a causative factor. J Bone Joint Surg Am. 2018 Nov 7;100(21):1845-53.
- 3. Bodner RJ, Tezuka T, Heckmann N, Chung B, Jadidi S. The Dorr Classification for Spinopelvic Functional Safe Component Positioning in Total Hip Replacement: A Primer for All. Journal of Orthopaedic Experience & Innovation. 2022 Nov 18.
- Vigdorchik JM, Sharma AK, Madurawe CS, Pierrepont JW, Dennis DA, Shimmin AJ. Prevalence of Risk Factors for Adverse Spinopelvic Mobility Among Patients Undergoing Total Hip Arthroplasty. J Arthroplasty. 2021 Jul; 36(7):2371-8.
- **5.** Tezuka T, Heckmann ND, Bodner RJ, Dorr LD. Functional Safe Zone Is Superior to the Lewinnek Safe Zone for Total Hip Arthroplasty: Why the Lewinnek Safe Zone Is Not Always Predictive of Stability. J Arthroplasty. 2019 Jan;34(1):3-8.
- **6.** Legaye J, Duval-Beaupère G, Hecquet J, Marty C. Pelvic incidence: a fundamental pelvic parameter for three-dimensional regulation of spinal sagittal curves. Eur Spine J. 1998;7(2):99-103.
- 7. Boulay C, Tardieu C, Hecquet J, Benaim C, Mouilleseaux B, Marty C, Prat-Pradal D, Legaye J, Duval-Beaupère G, Pélissier J. Sagittal alignment of spine and pelvis regulated by pelvic incidence: standard values and prediction of lordosis. Eur Spine J. 2006 Apr;15(4):415-22.
- **8.** Kim Y, Pour AE, Lazennec JY. How do global sagittal alignment and posture change after total hip arthroplasty? Int Orthop. 2020 Feb;44(2):267-73.
- 9. Roussouly P, Pinheiro-Franco JL. Sagittal parameters of the spine: biomechanical approach. Eur Spine J. 2011 Sep;20(Suppl 5)(Suppl 5):578-85.
- 10. DelSole EM, Vigdorchik JM, Schwarzkopf R, Errico TJ, Buckland AJ. Total Hip Arthroplasty in the Spinal Deformity Population: Does Degree of Sagittal Deformity Affect Rates of Safe Zone Placement, Instability, or Revision? J Arthroplasty. 2017 Jun;32(6):1910-7.

- **11.** Dagneaux L, Marouby S, Maillot C, Canovas F, Rivière C. Dual mobility device reduces the risk of prosthetic hip instability for patients with degenerated spine: A case-control study. Orthop Traumatol Surg Res. 2019 May;105(3):461-6.
- 12. Snijders TE, Schlösser TPC, Heckmann ND, Tezuka T, Castelein RM, Stevenson RP, Weinans H, de Gast A, Dorr LD. The Effect of Functional Pelvic Tilt on the Three-Dimensional Acetabular Cup Orientation in Total Hip Arthroplasty Dislocations. J Arthroplasty. 2021 Jun;36(6):2184-2188.e1.
- 13. Furuhashi H, Yamato Y, Hoshino H, Shimizu Y, Hasegawa T, Yoshida G, Banno T, Arima H, Oe S, Ushirozako H, Matsuyama Y. Dislocation rate and its risk factors in total hip arthroplasty with concurrent extensive spinal corrective fusion with pelvic fixation for adult spinal deformity. Eur J Orthop Surg Traumatol. 2021 Feb;31(2): 283.00
- **14.** van der Gronde BATD, Schlösser TPC, van Erp JHJ, Snijders TE, Castelein RM, Weinans H, de Gast A. Current Evidence for Spinopelvic Characteristics Influencing Total Hip Arthroplasty Dislocation Risk. JBJS Rev. 2022 Aug 23;10(8).
- **15.** Vialle R, Levassor N, Rillardon L, Templier A, Skalli W, Guigui P. Radiographic analysis of the sagittal alignment and balance of the spine in asymptomatic subjects. J Bone Joint Surg Am. 2005 Feb;87(2):260-7.
- **16.** Thelen T, Thelen P, Demezon H, Aunoble S, Le Huec JC. Normative 3D acetabular orientation measurements by the low-dose EOS imaging system in 102 asymptomatic subjects in standing position: Analyses by side, gender, pelvic incidence and reproducibility. Orthop Traumatol Surg Res. 2017 Apr;103(2):209-15.
- **17.** Vergari C, Kim Y, Takemoto M, Shimizu Y, Tanaka C, Fukae S. Sagittal alignment in patients with flexion contracture of the hip before and after total hip arthroplasty. Arch Orthop Trauma Surg. 2023 Jun;143(6):3587-96.
- **18.** Lazennec JY, Clark IC, Folinais D, Tahar IN, Pour AE. What is the Impact of a Spinal Fusion on Acetabular Implant Orientation in Functional Standing and Sitting Positions? J Arthroplasty. 2017 Oct;32(10):3184-90.
- 19. Innmann MM, Merle C, Phan P, Beaulé PE, Grammatopoulos G. Differences in Spinopelvic Characteristics Between Hip Osteoarthritis Patients and Controls. J Arthroplasty. 2021 Aug;36(8):2808-16.

- **20.** Esposito CI, Miller TT, Kim HJ, Barlow BT, Wright TM, Padgett DE, Jerabek SA, Mayman DJ. Does Degenerative Lumbar Spine Disease Influence Femoroacetabular Flexion in Patients Undergoing Total Hip Arthroplasty? Clin Orthop Relat Res. 2016 Aug;474(8):1788-97.
- **21.** Boulay C, Bollini G, Legaye J, Tardieu C, Prat-Pradal D, Chabrol B, Jouve JL, Duval-Beaupère G, Pélissier J. Pelvic incidence: a predictive factor for three-dimensional acetabular orientation-a preliminary study. Anat Res Int. 2014; 2014(594650):594650.
- **22.** Liu C, Hu F, Li Z, Wang Y, Zhang X. Anterior Pelvic Plane: A Potentially Useful Pelvic Anatomical Reference Plane in Assessing the Patients' Ideal Pelvic Parameters Without the Influence of Spinal Sagittal Deformity. Global Spine J. 2022 May; 12(4):567-79
- **23.** Aurouer N, Obeid I, Gille O, Pointillart V, Vital JM. Computerized preoperative planning for correction of sagittal deformity of the spine. Surg Radiol Anat. 2009 Dec;31(10):781-92.
- **24.** Stefl M, Lundergan W, Heckmann N, McKnight B, Ike H, Murgai R, Dorr LD. Spinopelvic mobility and acetabular component position for total hip arthroplasty. Bone Joint J. 2017 Jan;99-B(1)(Supple A):37-45.
- **25.** Vigdorchik JM, Sharma AK, Dennis DA, Walter LR, Pierrepont JW, Shimmin AJ. The Majority of Total Hip Arthroplasty Patients With a Stiff Spine Do Not Have an Instrumented Fusion. J Arthroplasty. 2020 Jun;35(6S):S252-4.
- **26.** Kleeman-Forsthuber L, Vigdorchik JM, Pierrepont JW, Dennis DA. Pelvic incidence significance relative to spinopelvic risk factors for total hip arthroplasty instability. Bone Joint J. 2022 Mar;104-B(3):352-8.

- **27.** Mehta VA, Amin A, Omeis I, Gokaslan ZL, Gottfried ON. Implications of spinopelvic alignment for the spine surgeon. Neurosurgery. 2012 Mar;70(3): 707-21.
- **28.** Roussouly P, Gollogly S, Berthonnaud E, Labelle H, Weidenbaum M. Sagittal alignment of the spine and pelvis in the presence of L5-S1 isthmic lysis and low-grade spondylolisthesis. Spine (Phila Pa 1976). 2006 Oct 1;31(21): 2484-90
- **29.** Ike H, Bodner RJ, Lundergan W, Saigusa Y, Dorr LD. The Effects of Pelvic Incidence in the Functional Anatomy of the Hip Joint. J Bone Joint Surg Am. 2020 Jun 3; 102(11):991-9.
- ${\bf 30.}$  lke H, Dorr LD, Trasolini N, Stefl M, McKnight B, Heckmann N. Spine-Pelvis-Hip Relationship in the Functioning of a Total Hip Replacement. J Bone Joint Surg Am. 2018 Sep 19;100(18):1606-15.
- **31.** Sharma AK, Grammatopoulos G, Pierrepont JW, Madurawe CS, Innmann MM, Vigdorchik JM, Shimmin AJ. Sacral Slope Change From Standing to Relaxed-Seated Grossly Overpredicts the Presence of a Stiff Spine. J Arthroplasty. 2023 Apr;38(4): 713-718 e1
- **32.** Grammatopoulos G, Falsetto A, Sanders E, Weishorn J, Gill HS, Beaulé PE, Innmann MM, Merle C. Integrating the Combined Sagittal Index Reduces the Risk of Dislocation Following Total Hip Replacement. J Bone Joint Surg Am. 2022 Mar 2; 104(5):397-411.
- **33.** Kanawade V, Dorr LD, Wan Z. Predictability of Acetabular Component Angular Change with Postural Shift from Standing to Sitting Position. J Bone Joint Surg Am. 2014 Jun 18;96(12):978-86.