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# Effect of isothermal aging on Poly(N-isopropylacrylamide): Correlation between chemical structure and phase transition temperature property.

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## Abstract

Poly(N-isopropylacrylamide) (PNIPAm) is a stimuli-responsive polymer with wide applications as a scaffold in biomedical applications. This study presents the PNIPAm's thermoresponsive behavior during storage and its stability in potential pharmaceutical formulations as a drug delivery excipient. Therefore, the turbidity curves of linear PNIPAm aqueous solutions are investigated before and after its thermal oxidation under air at 120°C and 130°C. First, PNIPAm aqueous phase separation diagram is determined for unaged polymer and then compared to thermally aged samples at two distinct points (1-10% (w/w)). After isothermal aging, the transition temperature has increased with a shift up to 4°C. The correlation between PNIPAm's chemical structure and phase transition temperature properties is illustrated in terms of chemical modifications, macromolecular changes as well as the polar/non-polar groups balance. It is observed that the water affinity and glass transition temperature (T<sub>g</sub>) have increased at the macromolecular level. In addition, chains scissions are highlighted and seem to explain the shift of transition temperature. Chemical changes have revealed the presence of supplementary polar groups induced by aging. This multiscale study suggests a possible mechanism for the partial thermal oxidation based on all the observations.

## Keywords

Poly(N-Isopropylacrylamide) (PNIPAm), Isothermal aging, Phase transition, Lower Critical Solution Temperature (LCST), Chain scissions.

## 1. Introduction

The increasing occurrence of chronic diseases has boosted the biologics market. An increase in R&D investments and technological advancements forecast an average of 5.9% annual growth rate of the global biologics market drug administration [1]. Several approaches to drug delivery through modifications (of the drug, its environment and delivery systems) have been developed to improve the efficiency and release of active pharmaceutical ingredients (API) while minimizing its accumulation outside target [2].

Poly(N-isopropylacrylamide) (PNIPAm) is a widely described model polymer for its thermoresponsive properties as a smart polymer. In 1968, Heskins and Guillet have described the PNIPAm's unique properties in aqueous solution, specific phase diagram and Lower Critical Solution Temperature (LCST) around 25-35°C [3]. Aqueous solutions of linear PNIPAm are commonly studied as water-soluble polymers [4]. Changes in LCST can be related to physicochemical as well as thermodynamic factors explaining the PNIPAm's wide applications in biomaterials [5]. In water, the thermoresponsive behavior is related to the equilibrium between hydrophobic (isopropyl) and hydrophilic (amide) groups associations [6]. At temperatures lower than LCST, amide groups are linked to water molecules through hydrogen (H)-bonds. Upon heating, H-bonds are broken, and hydrophobic interactions dominate, resulting in the collapse of polymeric chains, PNIPAm aqueous solutions showed a

51 coil-to-globule transition [3] [7]. For many years, the mechanism of phase separation and  
52 physical crosslinking has been successfully investigated [4].

53 As PNIPAm's LCST is close to the body's temperature, in biomedical applications, this  
54 polymer is considered as "smart" stimuli-sensitive excipient for drug delivery systems, as well  
55 as an innovative biomaterial in tissue engineering and stem cell therapy [8].

56 According to the International Council for Harmonisation (ICH) Topic Q 1 A (R2) [9], the  
57 thermal stability testing of drug substances should be examined. Thermal stability studies  
58 should take into consideration storage and shipment thermal conditions limitations.

59 There have been a few reports describing PNIPAm's thermoresponsive behavior during storage  
60 under different stress conditions at the solid state (oxygen, temperature, water). Gómez-Galván  
61 and H. Mercado-Uribe have studied soft aging on PNIPAm (1% (w/w)) solution both at 24°C  
62 and 37°C and showed a slight shift (only 1°C) of phase separation temperature.[10]. The  
63 hypothesis drafted suggests that such polymer could establish more H-bonds with an aging at  
64 24°C and form increased polymer-polymer bonds at 37°C [10].

65 The purpose of this study is to explore the correlation between PNIPAm's chemical stability  
66 under thermal and longtime aging within accelerated aging temperature (120 and 130°C chosen  
67 to provide a sufficient acceleration of aging) to confirm the potential of PNIPAm's scaffolds in  
68 drug delivery. We have studied the modification of phase separation followed by a turbidity  
69 method as well as the attempt to better understand the possible mechanisms underlying the  
70 observed alterations. In addition, to better understand the possible changes due to polymer-  
71 water interaction, we have conducted a multiscale study of aging based on macromolecular  
72 analyses including chemical analysis, average molar mass by Gel Permeation Chromatography  
73 (GPC), glass transition temperature by Differential scanning calorimetry (DSC), and study of  
74 the water's uptake in polymer by Dynamic Vapor Sorption (DVS). We investigated and  
75 compared analysis of thermal aging between PNIPAm and P (N-isopropylacrylamide-co-  
76 Acrylic Acid (P (NIPAm-co-AAc)) [11], a random copolymer containing acrylic acid  
77 comonomers randomly distributed. P(NIPAm-co-AAc) has been used as a very first model  
78 system oxidized PNIPAm, and a more hydrophilic derivative with known applications in drug  
79 release where the possible formation of carboxylic acids is later discussed.

80

## 81 **2. Materials and methods**

82

### 83 **2.1 Chemicals and reagents.**

84 PNIPAm (ref 535311, Sigma Aldrich, Merck KGaA, Darmstadt, Germany) and P(NIPAm-co-  
85 AAc), with an acrylic content equal to 15 mol% (ref 741930-5G, Sigma Aldrich, Merck KGaA,  
86 Darmstadt, Germany) are used as received.

87 Water used in this work is purified with an Elix<sup>®</sup> Essential system.

88

### 89 **2.2 Aging conditions of PNIPAm.**

90 PNIPAm is ground to fine powder before storage in pillboxes. Unsealed samples are thermally  
91 aged at 120°C and 130°C, until 90 and 120 days, respectively in a ventilated oven (AP60, SCS,  
92 Froilabo, Collégien, France).

93

### 94 **2.3 PNIPAm and P(NIPAm-co-AAc) characterizations.**

#### 95 *2.3.1 UV-absorbance and transmittance of light (UV-Vis) analysis.*

96 The changes of the PNIPAm's physical state reflected in the demixing curve and the cloud point  
97 temperature ( $T_{cp}$ ) of solutions (with weight fractions ranging from 0.1% (w/w) to 40% (w/w))  
98 are determined based on turbidity analysis with a UV-Spectrometer (UV-Vis (water) Lambda  
99 35, Perkin Elmer, Waltham, MA, USA) equipped with a heating device. The utilized  
100 wavelength is 651 nm [12], and the heating rate is 0.3°C.min<sup>-1</sup>. The blank used in all

101 measurements is the purified water using Elix<sup>®</sup> Essential system. All samples are corrected by  
 102 UV Winlab Software (2012, PerkinElmer Inc., Waltham, MA, USA) and Origin Software  
 103 (2022, academic version, ©OriginLab Corporation).

104 Additionally, the same procedure is conducted on different aged solutions at 1% (w/w) in water  
 105 at room temperature ( $20 \pm 2$  °C), at 1 nm intervals in the wavelength range from 200 to 600 nm.  
 106 The sample denominations (aging conditions and concentration solution for UV analysis) are  
 107 detailed in [Table 1](#) and complementary experimental precisions are provided in [Table S1](#).  
 108

109 **Table 1. PNIPAm's sample denominations with aging conditions and concentration**  
 110 **solution for UV analysis.**

Samples	Weight Fraction (wt %)	Aging Temperature (°C)	Aging Time (days)
S1	1	-	0
S2	10	-	
A1	1	120	30
A2			60
A3			90
A4			120
A5	10		30
A6			60
A7			90
A8			120
A9	1	130	10
A10			30
A11			90
A12			10
A13	10		30
A14			90

111  
 112 **2.3.2 Attenuated total reflectance -Fourier Transform Infrared (ATR-FTIR) spectroscopy**  
 113 **analysis.**

114 Spectra of solid polymer samples are collected on a FTIR Frontier (Perkin Elmer, Waltham,  
 115 MA, USA). All the spectra are recorded in a dry atmosphere at room temperature ( $20 \pm 2$ °C)  
 116 within a range of  $4000 - 650$   $\text{cm}^{-1}$  by averaging 32 scans, with a wavenumber resolution of  
 117  $4$   $\text{cm}^{-1}$ . The ATR effect, as well as the atmospheric contributions from carbon dioxide and water  
 118 vapor, are corrected using the Origin Software (2022, academic version, ©OriginLab  
 119 Corporation).  
 120

121 **2.3.3 Gel Permeation Chromatography (GPC) analysis.**

122 Weight average molecular weight (or molar mass) ( $M_w$ ) and number average molecular weight  
 123 (or molar mass) ( $M_n$ ) estimation are determined by GPC (GPC, Malvern Corporation, Viscotek  
 124 T3000 - T6000, Worcestershire, United Kingdom) using  $2$   $\text{mg.mL}^{-1}$  in tetrahydrofuran (THF) at  
 125 a flow rate of  $1$   $\text{mL.min}^{-1}$  and at  $35$ °C. 5 poly(methyl methacrylate) (PMMA) standard samples  
 126 ( $M_w = 4.7.10^3 - 137.10^3$   $\text{g.mol}^{-1}$ ) are used for calibration. OMNISEC Software (2022, Malvern  
 127 Panalytical Ltd, Cambridge, United Kingdom) is used for  $M_w$  determination.  
 128

129 **2.3.4 Differential Scanning Calorimetry (DSC) macromolecular analysis.**

130 DSC analysis is extensively performed on polymer/water solutions (from 5% (w/w) up to 50%  
 131 (w/w)) and solid polymer's powder. Samples are analyzed with a Q10 (DSC, TA Instruments -

132 Waters, Milford, MA, USA) equipped with a Refrigerated Cooling System (RCS) driven by  
133 QSeries Explorer. Results are investigated using TA Instrument Universal Analysis.  
134  $T_{cp}$  (°C) (measured at the peak's onset) and enthalpy of transition  $\Delta H_{transition}$  (J per g of solution,  
135  $J.g^{-1}$ ) are studied on 10 - 15 mg of PNIPAm/water solutions at different concentrations. The  
136 system is cooled at 20°C, for 5 minutes and then heated until 45°C at 1°C.min<sup>-1</sup> scanning rate.  
137 Several cycles are performed on each sample to investigate the reversibility of phase transition.  
138 Glass transition temperature  $T_g$  (°C) is studied on 5 mg aged and unaged solid powder polymer  
139 from 25°C to 200°C under nitrogen atmosphere (50 mL.min<sup>-1</sup>). Two cooling and heating cycles  
140 are conducted with a scanning rate of 10°C.min<sup>-1</sup>.

141

142 2.3.5 *Dynamic Vapor Sorption (DVS) isothermal analysis.*

143 Polymer-water interaction is investigated by DVS (IGAsorp, Hiden Isochema Corporation,  
144 Warrington, United Kingdom) driven with HISorp (v4, 2017) software. Samples are analyzed at  
145 a constant temperature of 40°C. Samples are obtained by a deposit of polymer solutions using  
146 ethanol in a jar (Fig. S1). The relative humidity (RH) is increased from 0% to 90% (in 5% steps).  
147 The stage time between the different steps is 3 hours. No desorption is studied.

148

### 149 3. Results and Discussion

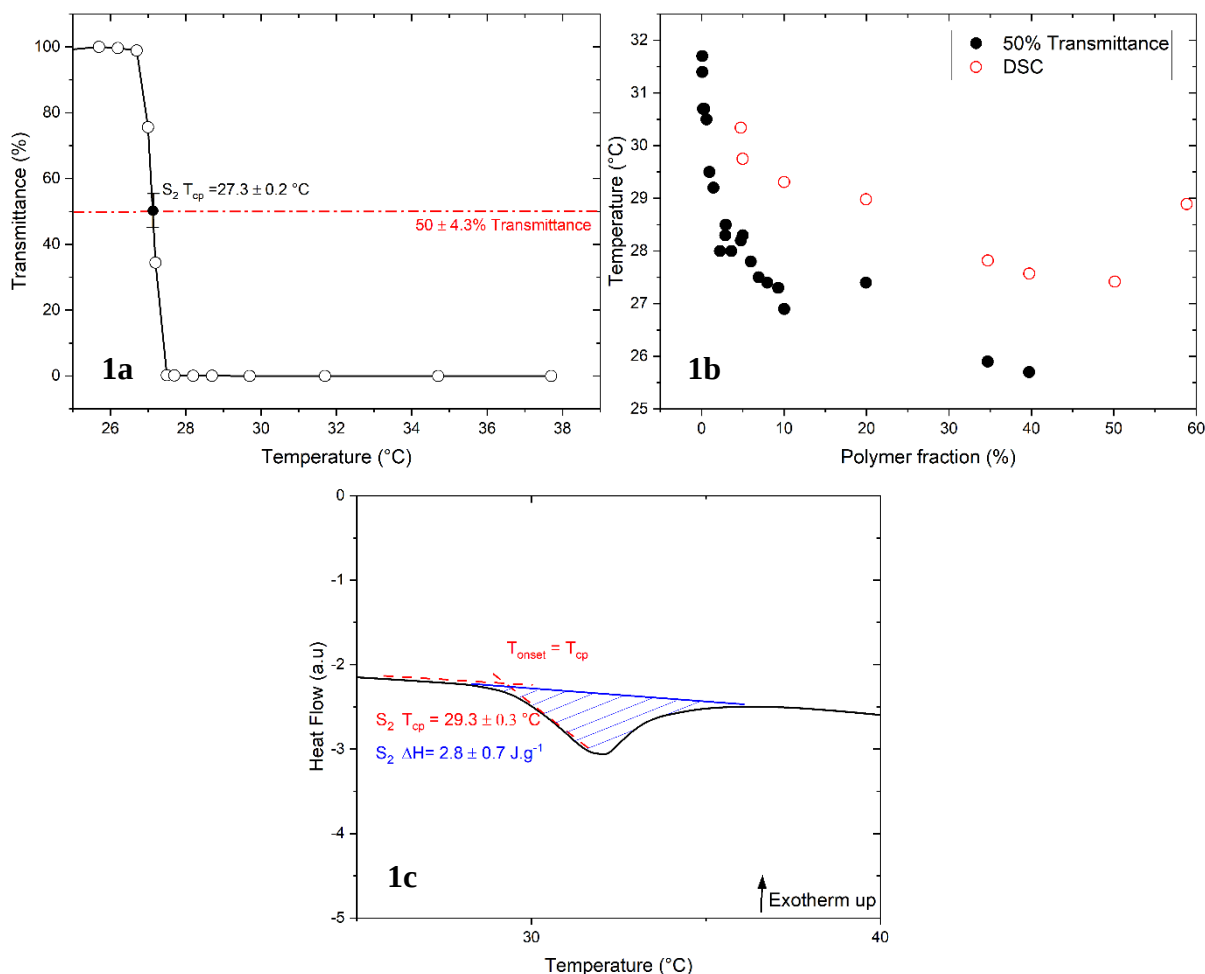
150

#### 151 3.1 Phase separation of the linear PNIPAm.

152 Fig. 1a displays the typical change of transmittance of a PNIPAm solution as a function of  
153 temperature. The curve shows two distinct regions at low and high temperature. At low  
154 temperature, PNIPAm is fully soluble in water which corresponds to 100% transmittance,  
155 whereas at high temperature, H- bonds are activated resulting in the precipitation of PNIPAm  
156 chains with a subsequent drop in transmittance.

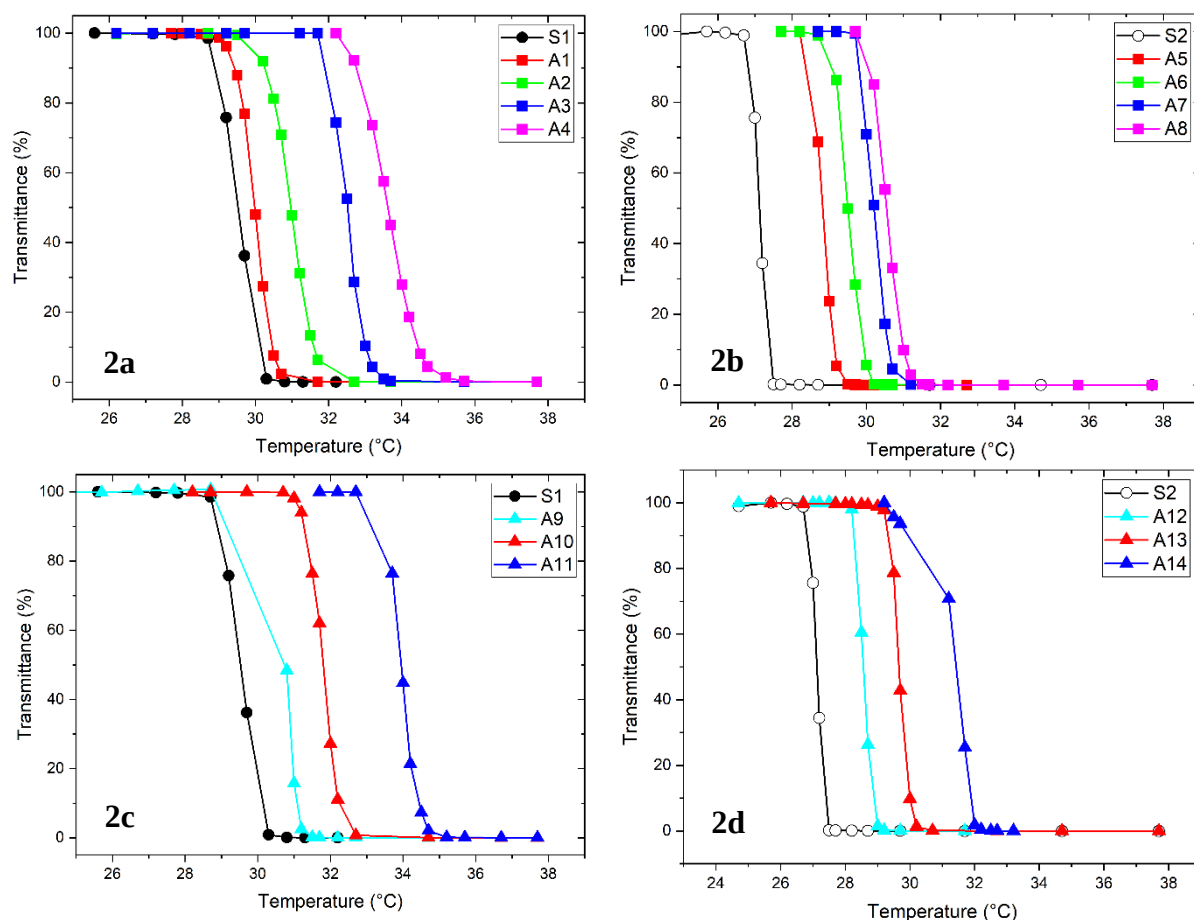
157 The frontier between both regions is a clear transition temperature designated as  $T_{cp}$  (the  
158 decrease of 50% in normalized transmittance). For example, the fraction S2 of 10% (w/w) in  
159 PNIPAm (Fig. 1a) presents a  $T_{cp}$  of  $27.3 \pm 0.2^\circ\text{C}$ .

160 The demixing curve (Fig. 1b) is plotted with  $T_{cp}$  against the polymer fraction. At low PNIPAm  
161 concentration, cloud points sharply decrease with increased concentration and seem to reach the  
162 LCST for 50% (w/w) in PNIPAm at 25°C in accordance with the described values in the  
163 literature [4]. Below that specific temperature, no concentration dependence is observed, and  
164 solutions are all miscible. UV-Vis method shows that the analysis at high weight concentration  
165 in PNIPAm is difficult to perform and is not discussed in the present work. PNIPAm solutions  
166 are prepared in closed pillbox to avoid any water loss during solubilization and homogenization  
167 within 48 hours at room temperature (20°C). To further study the phase separation, DSC  
168 measurements are conducted between 5% and 60% (w/w) in PNIPAm. Indeed, phase transition  
169 phenomena comes with an endothermic peak corresponding to the transition enthalpy  $\Delta H_{transition}$ .  
170 For example, at 10% (w/w) in PNIPAm S2 has a  $T_{cp}$  of  $29.3 \pm 0.3^\circ\text{C}$  (Fig. 1c). For the solution at  
171 50% (w/w) in PNIPAm,  $T_{cp}$  is equal to 27.4°C. It is observed that DSC measurements show  
172 higher  $T_{cp}$  values, around 2.6°C compared to UV-Vis measurements. This difference is already  
173 explained in the literature by Halperin *et. al.* exposing the inhomogeneous transition of  
174 PNIPAm, and the metastable region correlated with the thermodynamic phase transition [4]. In  
175 a further section, DSC analysis is used as well to explain the interactions between water and  
176 polymeric chains.



177 **Fig. 1.** Comparison of  $T_{cp}$  value of unaged PNIPAM with, (a) 50% transmittance analysis of  
 178 10% (w/w) solution, (b) Demixing curves, (c) DSC measurement of a 10% (w/w) solution.  
 179

180 In addition to UV-Vis and DSC analysis, the impact of aging on phase transition is described  
 181 with turbidity curves plotted with two distinct concentrations chosen at 1% (w/w) in PNIPAM  
 182 (in the decreasing zone of the demixing curve) and 10% (w/w) in PNIPAM (in a more stable  
 183 zone of the demixing curve) (Fig. 2a, 2b, 2c and 2d). For both concentrations, it is observed that  
 184 turbidity curves have shifted with aging to higher  $T_{cp}$  up to  $4.4 \pm 0.2 \text{ } ^\circ\text{C}$  for A11 (1% (w/w) in  
 185 PNIPAM at  $130 \text{ } ^\circ\text{C}$  within 90 days). Overall,  $T_{cp}$  increases with aging time (Fig. S2-S3).  
 186 Therefore, based on UV-Vis analysis, increased PNIPAM aging (temperature and time) is  
 187 correlated with a higher turbidity curve shift.



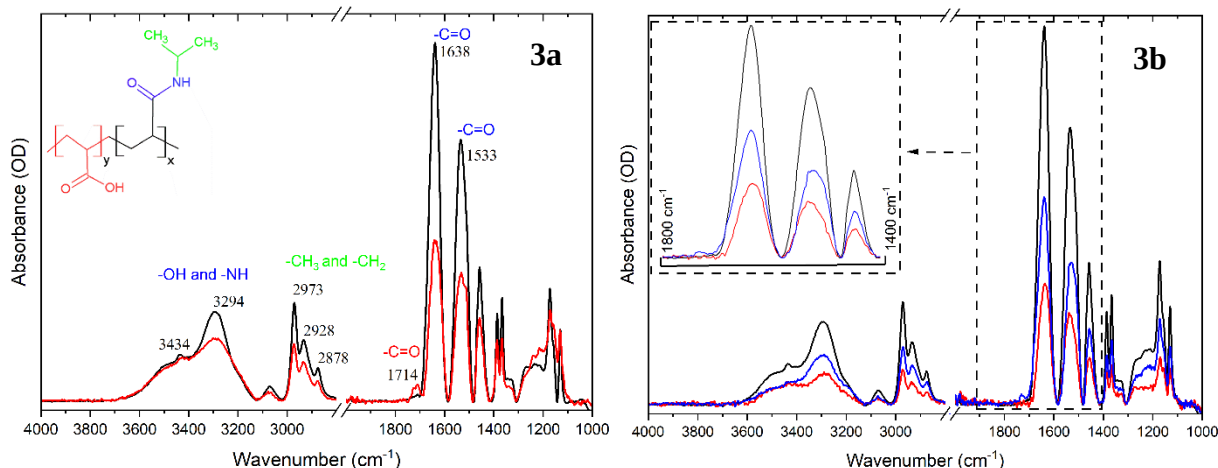
188 **Fig. 2.** Turbidity curves comparing unaged vs. aged samples, (a) 1% (w/w) solutions: S1  
 189 without aging; A1 30-days, A2 60-days, A3 90-days and A4 120-days aging at 120°C, (b) 10%  
 190 (w/w) solutions: S2 without aging; A5 30-days, A6 60-days, A7 90-days, and A8 120-days  
 191 aging at 120°C, (c) 1% (w/w) solutions: A9 10-days, A10 30-days, A11 90-days aging at 130°C,  
 192 (d) 10% (w/w) solutions: A12 10-days, A13 30-days, A14 90-days aging at 130° (Table 1).

193

### 194 3.2 Chemical structure analysis of PNIPAm and P(NIPAm-co-AAc).

195

196 Fig. 3a shows the main absorption peaks of an unaged PNIPAm. Broad bands around  $3434\text{ cm}^{-1}$   
 197 and  $3294\text{ cm}^{-1}$  correspond to -NH and -OH stretching vibrations. The designation of the  
 198 different amide groups is previously reported [13] where the band assigned to -C=O stretching  
 199 vibration in combination with -C-N bond is observed at  $1638\text{ cm}^{-1}$ . Moreover, the combination  
 200 of both N-H bending and -C-N stretching vibrations are observed at  $1533\text{ cm}^{-1}$ . The asymmetric  
 201 stretching vibration bands due to the isopropyl CH groups are observed at the range  $2800 - 3000$   
 202  $\text{cm}^{-1}$  as well as  $1350 - 1460\text{ cm}^{-1}$  corresponding to  $\text{CH}_2$  and  $\text{CH}_3$  bending vibrations, respectively.  
 203 A specific peak appears on the P(NIPAm-co-AAc) spectra at  $1714\text{ cm}^{-1}$  corresponding to the  
 204 carboxylic acid (-COOH) stretching vibration [14]. All the results match well with the structure  
 205 of the polymers as described in the literature. After PNIPAm's soft aging, 90 days (at  $120^\circ\text{C}$ )  
 206 and 10 days (at  $130^\circ\text{C}$ ) no structural or chemical modifications are observed (Fig. S4).  
 207 Interestingly after 90-days-aging at  $130^\circ\text{C}$ , a slight peak around  $1727\text{ cm}^{-1}$  appears which could  
 208 be a product of the partial thermal oxidation and corresponding to the C=O function of a  
 209 carboxylic acid or ketone stretching vibration peak (Fig. 3b). In summary, chemical analysis  
 210 with FT-IR spectra shows the emergence of a new peak at  $1727\text{ cm}^{-1}$  that could correspond to  
 211 C=O vibrations related to carboxylic acid or ketone functions, as potential products of partial  
 212 oxidative degradation.

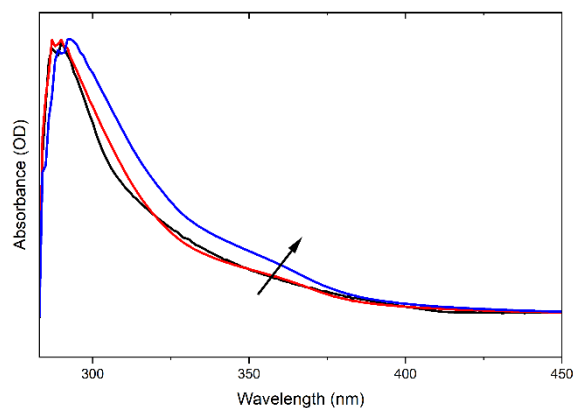


214 **Fig. 3.** (a) FT-IR Spectra of unaged PNIPAm (black) and P(NIPAm-co-AAC): PNIPAm with  
 215 15% mol AAc contents (red), (b) FT-IR Spectra of unaged PNIPAm (black), after an aging at  
 216 130°C: 10-days (red) and 90-days (blue).

217

218 UV-Vis absorbance solution analysis is another way to explore the chemical modifications due  
 219 to aging. Fig. 4 shows results for solutions aged at 130°C until 90 days. Two differences are  
 220 observed in aged samples. The first is a broadening of the 290 nm peak and shift to higher  
 221 wavelengths. The second observation is the appearance of a peak shoulder at around 360 nm.  
 222 These two observed differences with aged samples indicate a possible formation of new  
 223 byproducts due to partial thermal oxidation having a lower charge conjugation.

224



225 **Fig. 4.** UV-Vis Spectra of PNIPAm 1% (w/w) solutions: Without aging (black); A10 30-days  
 226 (red) and A11 90-days (blue) aging both at 130°C.

227

228 For 120°C-aged samples (Fig. S4), the FTIR analysis does not show the two main differences as  
 229 clearly as described by UV Spectra of PNIPAm 1% (w/w) solutions for 130°C-aged samples  
 230 (Fig. 4).

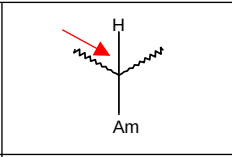
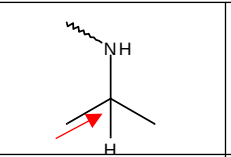
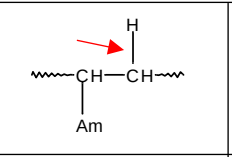
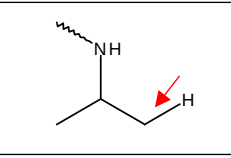
231 Bond dissociation energy (BDE) is defined as the standard-state enthalpy change involved in  
 232 the homolytic scission of a covalent bond ( $\text{kcal}\cdot\text{mol}^{-1}$ ) for the reaction at a specified temperature  
 233 and can be estimated according to a machine learning (ML) based approach [15]. For instance,  
 234 in the case of PNIPAm, it takes 88.2 kcal of energy to break 1 mol of C–H bonds (Table 2).

235

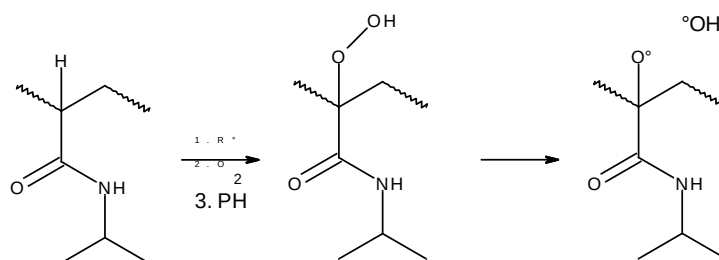
236



237 **Table 2. Bond dissociation enthalpies (BDE) values of the main aged PNIPAm bonds (The**  
 238 **corresponding C-H bond is specified with a red arrow).**

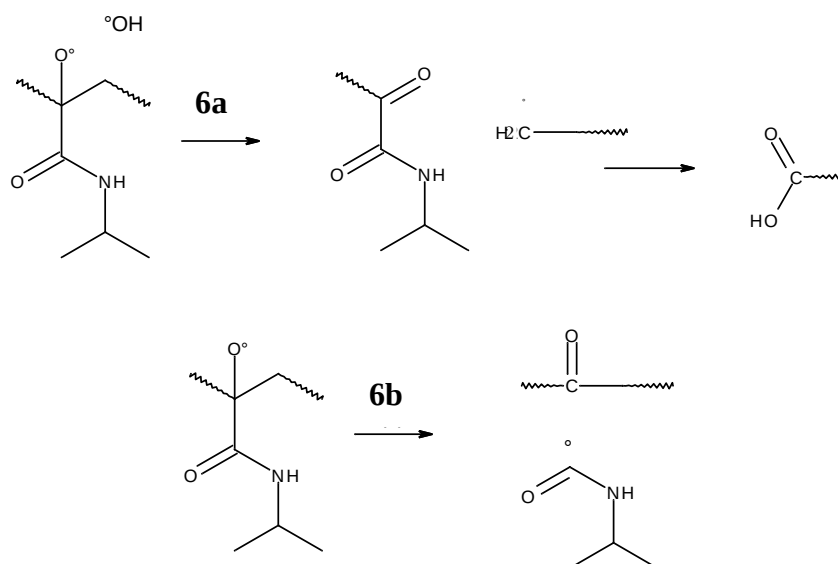
Chemical group				
BDE (kcal.mol <sup>-1</sup> )	88.2	92.6	96.3	100.9

240 In terms of oxidation mechanism, Newhouse & Baran described the effect of hyperconjugation  
 241 that influenced the course of C–H oxidation [16]. An easily selective oxidation by small  
 242 reagents could occur at the most electron rich tertiary positions. Therefore, the bond energy of a  
 243 C–H bond at smaller values of BDE could suggest that the transfer of H(C) atom to free radicals  
 244 would be more favored and is influenced by the neighboring substituents. Electron-donating  
 245 group (EDG) such as amide groups (Am) and alkyl groups could increase the C-H oxidation in  
 246 the case of PNIPAm (Table 2). As hypothesized in Fig. 5, the tertiary hydrogen at the vicinity of  
 247 amide (BDE = 88.2 Kcal.mol<sup>-1</sup>) is expected to be more easily abstractable, comparatively to the  
 248 C-H held on the polymer's principal chain (BDE = 96.3 Kcal.mol<sup>-1</sup>) [15]. Under the assumption  
 249 that radical attack is favored on this side, the mechanism proposed in Fig. 5 could occur.  
 250



251 **Fig. 5.** Scheme for possible mechanism of radical attack oxidation for PNIPAm (PH=Polymer-  
 252 H which represents a non-oxidized polymer unit).

253  
 254 Two possible pathways for decomposition of the resulting PNIPAm's hydroperoxide derivative  
 255 (Fig. 5) could be suggested in Fig.6 as follows:  
 256 - in Fig. 6a, a chain scission is observed, together with the formation of a primary alkyl radical.  
 257 The oxidation of this latter will give an aldehyde easily oxidized into carboxylic acid.  
 258 - In Fig. 6b, a ketone is formed, together with the release of an isopropyl acrylamide radical  
 259 (that can possibly decompose into ketone + isopropylamide) [17].



260 **Fig. 6.** Two possible pathways for PNIPAm's decomposition after oxidation, (a) Formation of  
 261 carboxylic acid, (b) Formation of ketone with isopropylamide.  
 262

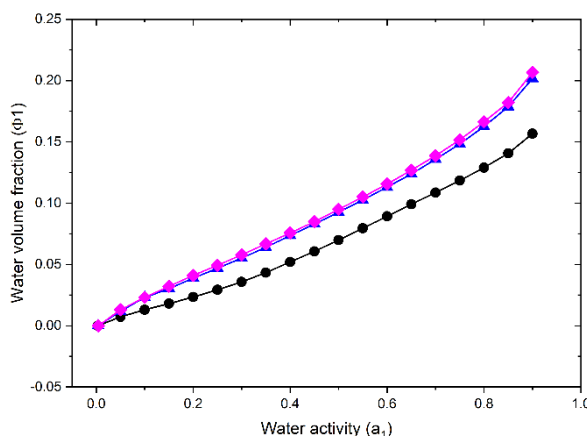
263 A first argument in favor of the mechanism in Fig. 6a is correlated with the shape of an amide  
 264 band observed by UV-Vis analysis that should remain unchanged. Meanwhile, a shoulder  
 265 corresponding to the carboxylic acid function should appear in aged sample. This seems  
 266 consistent with Fig. 3 observations where UV-Vis spectra demonstrate a peak shoulder around  
 267 360 nm that could be linked to a new specie with a lower charge conjugation.

268 Apart from the difference in stable products formed by both mechanisms given in Fig. 6,  
 269 changes in polarity (and later hydrophobicity) and macromolecular architecture are expected.  
 270 The mechanism in Fig. 6a induced chain scissions and the appearance of carboxylic acids, on  
 271 the contrary to Fig. 6b where the polymer's architecture seems to be preserved. The  
 272 consequence could explain the changes in transition temperature as further discussed with  
 273 complementary analysis in the following sections.  
 274

### 275 3.3 PNIPAm's water uptake study in correlation with hydrophilic/hydrophobic affinity.

276

277 To better understand the aging effect on linear PNIPAm and water molecules, a study of vapor  
 278 sorption is conducted. Sorption isotherms are presented in Fig. 7.  
 279



280 **Fig. 7.** Sorption isotherms at 40°C of linear PNIPAm comparing unaged (black) vs. 90-days  
 281 (blue) and 120-days (purple) aged samples at 120°C.

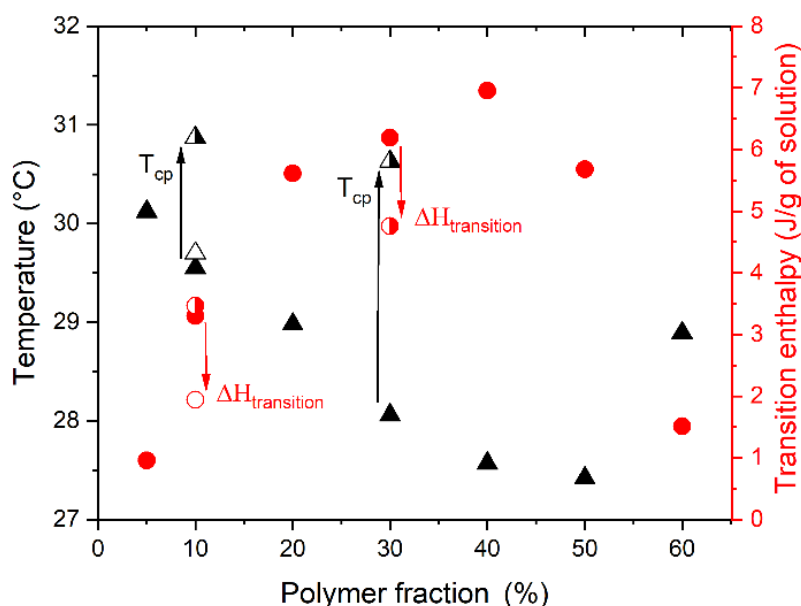
282 Unaged PNIPAm samples present a small concavity with a maximum at 15.8% water volume  
 283 fraction. In comparison, both aged samples present higher concavity with a maximum at 20.2%  
 284 water volume fraction for 90-days aged samples at 120°C and 20.7% water volume fraction for  
 285 120-days aged samples at 120°C. Fig. 7. shows that aged samples seem more hydrophilic than  
 286 unaged ones.

287 The major well-known theories in polymer sorption are Henry's law [18], Flory-Huggins [19],  
 288 and Zimm-Lundberg clustering [20] theory explanations (Fig. S5-6). Henry's Law is  
 289 immediately excluded because sorption curves are not linear. The Mean Cluster Size (MCS)  
 290 calculated on unaged PNIPAm are lower than 1.0 ( $MCS < 1.0$ ) until 0.25 in water activity and  
 291 then the MCS increases until 1.6 at 0.9 water activity. There is no water clustering under 0.25  
 292 water activity and small formation of clusters above it. For a 120-days aged samples at 120°C,  
 293 the formation of clusters decreases to 0.6 water activity and then rapidly increases until 1.8 at  
 294 0.9 water activity (Fig. S5). When MCS's values are smaller than 2, water clustering is limited.  
 295 Therefore, clusters exist but can be considered as negligible here during sorption. Moreover, a  
 296 fitting with Flory-Huggins theory [19] is attempted with a unique interaction parameter  
 297 PNIPAm/water ( $\chi_{12}$ ) calculated on a range of water activity without any cluster (Fig. S6). A  
 298 deviation is observed at high water activity (Fig. S6) maybe due to the very low presence of  
 299 clusters [20] previously discussed as well as a  $\chi_{12}$  variable during water sorption measurements  
 300 (Table S4). Despite the uncertainty about the exact model for polymer-water interaction,  
 301 PNIPAm-water affinity seems to increase at least at 40°C which means that  $\chi_{12}$  slightly  
 302 decreases.

303

### 304 3.4 PNIPAm and P(NIPAm-co-AAc) thermodynamic analysis to correlate water and 305 polymeric chains interactions. 306

307 To better characterize the polymer-water affinity and complete the analysis, DSC measurements  
 308 is used. Based on thermodynamic analysis (Fig. 1c), the energy balance (intra and  
 309 intermolecular forces) is described with mixing enthalpy ( $\Delta H_m$  unit in J) (Equation 1) which  
 310 could be an interesting way to understand the polymer/water interaction parameter  $\chi_{12}$ .  
 311



312 **Fig. 8.** Enthalpy (red) and  $T_{cp}$  (black) variations: unaged PNIPAm (▲ and ●), PNIPAm A13  
 313 30-days aged at 130°C (▲ and ●) and unaged P(NIPAm-co-AAc) (Δ and ○).

314 Fig. 8 shows the endothermic peak during heating at  $1^{\circ}\text{C}\cdot\text{min}^{-1}$  with the mean value of the 3  
 315 analyzed samples (Table S5).  $T_{\text{cp}}$  and transition enthalpy ( $\Delta H_{\text{transition}}$ ) (J per g of solution) are  
 316 plotted against weight polymer fraction. For instance, regarding unaged PNIPAM  
 317 homopolymer, the changes of  $\Delta H_{\text{transition}}$  with polymer ratio follow the same trend as described  
 318 previously [21] [22]. The results in Fig. 8 show the conducted comparisons between unaged  
 319 PNIPAM and P(NIPAM-co-AAc) which contains 15% acrylic acid comonomers randomly  
 320 distributed. The  $T_{\text{cp}}$  value of unaged P(NIPAM-co-AAc) is slightly higher comparatively to the  
 321  $T_{\text{cp}}$  value of unaged PNIPAM's homopolymer. This value is consistent with Graillot *et al.* who  
 322 described that LCST increases when comparing a homopolymer to a hydrophilic comonomer  
 323 due to a stronger interaction with water [21].

324 Similarly, the next analysis reports on the aged PNIPAM samples.  $T_{\text{cp}}$  variations, as previously  
 325 presented, increase with aging (Fig. 2). Therefore, regarding aged PNIPAM, the  $\Delta H_{\text{transition}}$   
 326 decreases during aging. According to our interpretation, the  $\Delta H_{\text{transition}}$  given in Fig. 8 is the  
 327 opposite of the  $\Delta H_{\text{m}}$  as given by Equation 1:  
 328

$$\Delta H_{\text{m}} = RT \chi_{12} n_1 \Phi_2 = -\Delta H_{\text{transition}} \quad (1)$$

329 Where R is the ideal gas constant ( $8.314 \text{ J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$ ),  $n_1$  the number of molecules in a specific  
 330 mesh and  $\Phi_2$  the volumetric fraction of polymer.  $\chi_{12}$  is the PNIPAM-water interaction  
 331 parameter. In our opinion, the decrease in the  $\Delta H_{\text{transition}}$  (as for  $\Delta H_{\text{m}}$ ) is correlated with the  $\chi_{12}$   
 332 changes. In the very simple case of mixing, where interactions are dominated by Van der  
 333 Waals forces,  $\chi_{12}$  follows the Equation 2:  
 334  
 335

$$\chi_{12} = \frac{z}{kT} \left[ \epsilon_{12} - \frac{\epsilon_{11} + \epsilon_{22}}{2} \right] \quad (2)$$

336 Where  $\epsilon_{ij}$  represents the interaction energy between individual molecules of species  $i$  and  $j$ ,  
 337 while  $z$  represents the coordination number of the species  $j$  around the species  $i$ . Namely  $\epsilon_{11}$ ,  
 338  $\epsilon_{12}$ ,  $\epsilon_{22}$  correspond to water-water pair, water-polymer pair, and polymer-polymer respectively.  
 339 For instance, much more complex models are specifically designed for the case of PNIPAM in  
 340 presence of water, where H-bonds play a key role, nevertheless their use goes out of the frame  
 341 of the present work [22].  
 342

343 Interestingly, it seems clear that the  $\Delta H_{\text{transition}}$  of unaged PNIPAM is higher than the  $\Delta H_{\text{transition}}$   
 344 of aged PNIPAM and both  $\Delta H_{\text{transition}}$  are positive ( $\Delta H_{\text{transition}}$  of unaged PNIPAM is higher than  
 345  $\Delta H_{\text{transition}}$  of aged PNIPAM both  $> 0$ ). At this point, if expressed in terms of mixing, the  
 346 PNIPAM-water interaction parameter  $\chi_{12}$  for unaged PNIPAM is less than the  $\chi_{12}$  for aged  
 347 PNIPAM, and both are negative ( $\chi_{12}$  of the unaged PNIPAM  $< \chi_{12}$  of the aged PNIPAM  $< 0$ ). A  
 348 possible explanation comes from the relative changes of the interaction energies when  $\epsilon_{12}$  is  
 349 compared to  $\epsilon_{22}$ .

350 Schematically, despite the increase in the polymer hydrophilily ( $\epsilon_{12}$  decrease, in line with  
 351 DVS), would be compensated by  $\epsilon_{22}$  decrease (in line with  $T_g$  increase). The whole reasoning  
 352 would require modifying the model as proposed by Zhi *et al.* [23] by adding the contribution  
 353 of oxidized PNIPAM sites, a fascinating challenge still to be investigated.

354 A first experimental validation of these reasoning results comes from the analysis of  
 355 P(NIPAM-co-AAc) samples. This copolymer holds carboxylic groups responsible of a better  
 356 interaction with water. Its DSC analysis reveals indeed a lower  $\Delta H_{\text{transition}}$ .

357 The aging effect will be further explained in the following section by means of molar mass  
 358 changes.  
 359

### 360 3.5 Thermal aging impact on PNIPAm's molar mass $M_w$ .

361

362 Along with aging, based on GPC measurements, retention times have shifted to higher values  
 363 (Fig. 9a and 9b), which is consistent with chain scissions, and decrease in  $M_w$ . These  
 364 observations, coupled with the analysis according to the Saito theory (Equations 3 and 4) (S:  
 365 chain scission and X: cross-linking) [24], could demonstrate that aging has generated chain  
 366 scissions:

367

$$\frac{1}{M_n} - \frac{1}{M_{n0}} = S - X \quad (3)$$

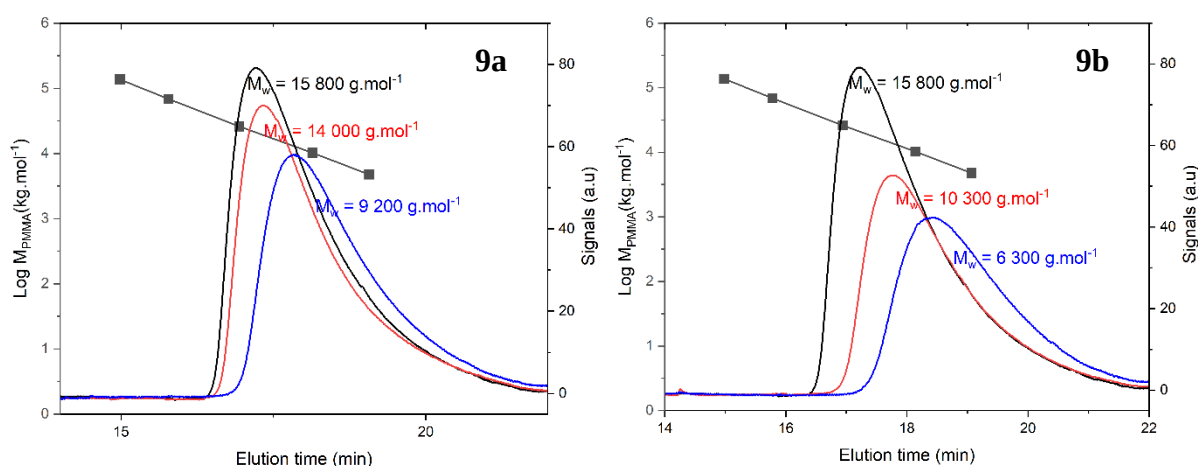
$$\frac{1}{M_w} - \frac{1}{M_{w0}} = \frac{S}{2} - 2X \quad (4)$$

368

369 Where  $M_{n0}$  and  $M_{w0}$  are respectively the initial number and weight average molar mass (kg  
 370  $\text{mol}^{-1}$ ).

371 For instance, after 30 days at  $120^\circ\text{C}$ , the  $M_w$  decreases by 19.9%. A1 and A5 samples show an  
 372 increase in phase transition of  $0.3 \pm 0.2^\circ\text{C}$  and  $1.9 \pm 0.2^\circ\text{C}$ , respectively. The estimation with  
 373 Saito theory [24] gives an approximation of  $S = 2.51 \cdot 10^{-5} \text{ mol.kg}^{-1}$  S against  $X = 2.28 \cdot 10^{-6}$   
 374  $\text{mol.kg}^{-1}$  for this aging. In other words, chain scissions clearly predominate (Fig. S7).  
 375 Consistently, Furyk *et al.* show that turbidity curves are overly sensitive to the  $M_w$  and the group  
 376 interactions with water [25]. Shorter chains polymers are formed during aging which could  
 377 explain the increase in  $T_{cp}$  reported in Fig. 2. Interestingly, the extensive review by Halperin *et*  
 378 *al.* shows that the  $T_{cp}$  of 10% (w/w) solution shifted to an increase of  $+5 \pm 0.2^\circ\text{C}$   $^\circ\text{C}$  when  $M_w$   
 379 decreases from 19 to 9  $\text{kg.mol}^{-1}$  [4]. These observations align with the current experimental data.  
 380 In other words, the  $T_{cp}$  shift presented in Fig. 2 results from molar mass changes (induced by  
 381 chain scissions) rather than from polarity induced by oxidized groups (formation of carbonyl  
 382 groups) even if both phenomena have the same molecular origin.

383



384 **Fig. 9.** GPC chromatograms of PNIPAm without aging (black) and aged at: (a)  $120^\circ\text{C}$  during  
 385 30-days (red) and during 90-days (blue), (b) at  $130^\circ\text{C}$  30-days (red) and during 90-days (blue)  
 386 (■PMMA calibration).

387

### 388 3.6 Thermal aging effect on linear PNIPAm's glass transition temperature ( $T_g$ ).

389

390 The impact of thermal aging on linear polymers with chain scissions goes along with lower  $T_g$ .  
 391 A link between  $M_n$  and  $T_g$  is described by the Fox-Flory relationship (Equation 5) [26]:

$$T_{g=\infty} = T_{g_\infty} - \frac{k_{FF}}{M_n} \quad (5)$$

392  
393 Where  $k_{FF}$  ( $^{\circ}\text{C} \cdot \text{kg} \cdot \text{mol}^{-1}$ ) is the Fox-Flory constant correlated with stiffness of polymer chain,  
394  $M_n$  the number average molar mass ( $\text{kg} \cdot \text{mol}^{-1}$ ) and  $T_{g_\infty}$  ( $^{\circ}\text{C}$ ) the glass transition temperature for a  
395 polymer with an infinite molar mass.

396  
397

**Table 3. Variation of the PNIPAm  $T_g$  with aging.**

Aging Temperature ( $^{\circ}\text{C}$ )	Aging Time (days)	$T_g$ ( $^{\circ}\text{C}$ )
-	0	$137.8 \pm 0.4$
120	30	$140.2 \pm 0.3$
	90	$142.8 \pm 0.2$
130	30	$140.9 \pm 0.3$
	90	$147.5 \pm 0.3$

398  
399 In the current research,  $T_g$  gradually increases along with aging (Table 3 and Fig. S8) while  $M_n$   
400 unambiguously decreases. Indeed, both parameters of Fox-Flory relationship ( $T_{g_\infty}$  and  $k_{FF}$ ) are  
401 tightly correlated [27]. The formation of acrylic acid groups with aging would increase the chain  
402 rigidity ( $k_{FF}$ ), promote more intra and inter bonding between groups and increase  $T_{g_\infty}$ . **In other**  
403 **words, if the increase in  $T_{g_\infty}$  is the predominant effect, the  $T_g$  value will increase despite the**  
404 **decrease in  $M_n$ .**

405 To confirm this hypothesis, a P(NIPAm-co-AAc) copolymer is investigated as a model  
406 representing an aged sample. The P(NIPAm-co-AAc)'s glass transition ( $T_g$ ) is approximately  
407  $10^{\circ}\text{C}$  higher than the unaged PNIPAm's  $T_g$ .

408 This increase is consistent with the theory related to a partial oxidation induced by aging and  
409 observable in the P(NIPAm-co-AAc) presenting 15% acrylic acid groups.

410

#### 411 **4. Conclusion**

412

413 This research is the first phase of exploration of PNIPAm's stability under accelerated  
414 isothermal aging conditions at  $120^{\circ}\text{C}$  and  $130^{\circ}\text{C}$ . After accelerated aging and data analysis, two  
415 possible oxidative mechanisms are proposed, with more favorable paths of decomposition and  
416 formation of carboxylic acid. In all cases, the measurements for aged PNIPAm samples are  
417 compared with a P(NIPAm-co-AAc) showing similarities to aged PNIPAm. The formation of  
418 shorter chains after chain scissions during aging can be the main explanation for the  $T_{cp}$  shift to  
419 higher values. The formation of carboxylic acid species may have also contributed in the  $T_{cp}$  and  
420  $T_g$  increase with better interactions between shorter groups. At lower aging temperature,  
421 oxidative mechanisms are slow and PNIPAm seems stable with almost no  $T_{cp}$ ,  $T_g$  shifts (less  
422 than  $1 \pm 0.2^{\circ}\text{C}$  and  $2 \pm 0.2^{\circ}\text{C}$  respectively) and no visible structural modifications.

423 To conclude, this multiscale study is based on the accelerated aging of PNIPAm a  
424 biocompatible [28], thermosensitive polymer with unique and versatile properties. The final  
425 purpose is to suggest mechanisms of oxidation and confirm that PNIPAm's model would be  
426 stable for the pharmaceutical formulation as a drug delivery excipient. The undergoing next step  
427 is the study of the drug delivery based on PNIPAm absorption/release of active pharmaceutical  
428 ingredients (API).

429

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431

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