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1 **Title:** Determination of the volume fraction of precipitates in a nitrated Fe-0.354wt.%C-
2 2.93wt.%Cr model alloy by anomalous small angle X-ray scattering

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24 **Abstract:**

25 Anomalous small angle scattering (ASAXS) is a powerful non-destructive technique that
26 can provide characteristic features of nanoscale precipitates such as the volume fraction,
27 and chemical composition. In this paper, the technique is used for the first time to explore
28 nanoscale MN nitrides (M = Cr,Fe) after nitriding of a model iron alloy (Fe-0.354wt.%C-
29 2.93wt.%Cr).

30

31 **Keywords:**

32 Steel; Nitriding; Nitrides; Synchrotron radiation; Anomalous small angle scattering.

33

34 **1. Introduction**

35 Anomalous small-angle X-ray scattering (ASAXS) is a powerful technique that combines
36 the capability of SAXS to characterize the size distribution of nano-objects embedded in a
37 matrix and the variation in contrast allowed by tunable wavelength of the Synchrotron
38 incident X-ray beam, giving access to information about the composition of scattering
39 nano-objects [1-2].

40 In this study, ASAXS technique is applied to characterize precipitates in a ternary Fe-Cr-C
41 nitrided steel. Nitriding is a surface engineering process applied to enhance surface
42 properties such as corrosion, wear and fatigue resistance [3-4]. Gaseous nitriding consists
43 in the diffusion of nitrogen atoms through the surface of steels from the dissociation of a
44 nitrogen rich atmosphere (gas or plasma) at the atmosphere/solid interface [5]. It results
45 in the formation of an iron nitride layer (Fe_4N and/or Fe_{2-3}N) and a diffusion zone where
46 nitrogen is found as solid solution in the ferritic matrix and combined as MeN (Me = Cr, V,
47 Al...) nitrides with alloying elements having high affinity with nitrogen such as chromium,
48 aluminium or vanadium [6]. The volume fraction of MN nitrides formed in the diffusion

49 layer is of prime importance for requested surface properties. In fact this affects the
50 resulting hardness; moreover the volume change induced by precipitation takes part to
51 the generation of compressive residual stresses [7].

52 In the case of binary Fe-Me (Me=Cr, Al or V) alloy, formed nitrides are close to pure MeN
53 phase having a fcc NaCl-type structure [5]. In the case of C-containing ternary Fe-Cr-C,
54 pre-existing carbides (generally $M_{23}C_6$ or M_7C_3 , M=Fe or Cr) are expected to dissolve due
55 to a lower stability as compared to nitrides [8]. Therefore nitrides are formed either by
56 direct formation by reaction with Cr remaining in solid solution or by transformation of
57 carbides [9]. Carbon is then rejected toward grain boundaries where it forms coarse
58 cementite aggregates [10] or towards the diffusion front where it participates to the
59 coarsening of carbides.

60 This paper aims at using small-angle scattering to characterize MN nitrides in the
61 diffusion layer after gas nitriding of a model ternary Fe-Cr-C alloy (Fe-0.354wt.%C-
62 2.93wt.%Cr). The major goal is the determination of the volume fraction of nitrides that
63 is the key parameter for resulting surface properties. However the volume fraction cannot
64 be determined by SAXS independently of the composition of the nano-precipitates. Using
65 anomalous dispersion effect, ASAXS is an element-selective technique based on the
66 anomalous variation of the scattering factor near the absorption edge of one chosen
67 element, therefore it allows to overcome this difficulty by giving access to the chemical
68 information, allowing the determination of the volume fraction of scattering precipitates
69 [11]. Results are discussed with respect to the literature and thermodynamics
70 calculations performed using the Thermo-Calc software [12].

71

72 **2. Materials and experimental methods**

73 2.1. Materials and microstructural characterization

74 A Fe-0.354wt.%C-2.93wt.%Cr ternary alloy was used in this study. It was oil quenched
75 and annealed at 590 °C. Gas nitriding was performed by Aubert & Duval at 550 °C during
76 100 h for a given nitrogen potential ($K_N = 2.65 \text{ atm}^{-1/2}$). Composition profiles along the
77 nitride layer were carried out by electron probe microanalysis (EPMA) as well as glow
78 discharge optical emission spectrometry (GDOES) [7]. Observations of the case and core
79 microstructure were carried out by optical microscopy as well as scanning and
80 transmission electron microscopy.

81

82 2.2. Anomalous small angle scattering (ASAXS)

83 Small-Angle X-ray Scattering (SAXS) experiments were carried out on the BM02-D2AM, a
84 French CRG beamline at the European Synchrotron Radiation Facilities (ESRF) in
85 Grenoble. Regarding the composition of the system, the most reliable conditions for
86 anomalous measurements are based on the Cr K-absorption edge. Four energies slightly
87 below the Cr K-edge (5.96 keV) as well as one far from the Cr-edge were used for
88 anomalous SAXS measurements. As SAXS experiments are performed in transmission
89 mode, the relatively low energy of the Cr K-edge represented a challenge in the sample
90 preparation since it required the preparation of relatively thin samples, around 30 μm in
91 thickness, to ensure a satisfactory transmission through the thickness of the sample.
92 Moreover in order to investigate the precipitation variations with depth (down to 1 mm),
93 samples were cut along a bevel so that all depths can be studied by a profile measurement
94 adapted to the lateral resolution of the beam ($\sim 300 \mu\text{m}$). A preparation procedure was
95 optimised and consisted in gently polishing $20 \times 10 \text{ mm}^2$ surfaces using a bevel of ~ 3
96 degrees, so that 500 μm steps for profile measurement along samples correspond to 25
97 μm steps within the depth of the nitrided layer.

98 A small-angle set-up was chosen to well characterise nano-precipitates in the 2-50 nm
 99 radius range, i.e. in a q -range ranging from 0.03 nm^{-1} to 0.6 nm^{-1} , where q is the amplitude
 100 of the scattering vector q ($q = \frac{4\pi\sin\theta}{\lambda}$ where θ is the half scattering angle and λ the
 101 wavelength). The SAXS patterns were acquired using a two-dimensional CCD camera.
 102 Data files were corrected from electronic noise, spatial distortion, pixel efficiency, the flat
 103 field of the detector, and background noise. A circular average was taken around the
 104 transmitted beam to obtain the intensity as a function of the scattering vector. The
 105 scattered intensity was finally converted into absolute values, I , using measurement of the
 106 intensity of the direct beam through calibrated filters.
 107 The analysis method of ASAXS data to extract chemical information of nanoparticles is
 108 described in details in [11] and is summarised hereafter. The measured quantity used for
 109 the ASAXS analysis is the integrated intensity Q_0 defined as:

$$110 \quad Q_0(\lambda) = \int_0^{\infty} I(q) q^2 dq = 2\pi^2 |\Delta\rho(\lambda)|^2 f_v (1 - f_v) \quad \text{equation (1)}$$

111 where λ is the wavelength, q is the module of the scattering vector, f_v is the volume
 112 fraction of scattering objects, $\Delta\rho(\lambda)$ is the electronic contrast, defined as the difference in
 113 electronic density between the scattering particle (ρ_{ppt}) and the matrix (ρ_m) in which it is
 114 embedded: $|\Delta\rho| = |\rho_{ppt} - \rho_m|$.

115 The electronic contrast can be varied by changing the energy in a range close to the
 116 absorption edge of one element of the system. In fact, the electronic density of a phase
 117 constituted by N elements can be written:

$$118 \quad \rho(\lambda) = \frac{\sum_{i=1}^N X_i f_i(\lambda)}{V_{at}} \quad \text{equation (2)}$$

119 where X_i in the atomic fraction of element i $\left(\sum_{i=1}^N X_i = 1\right)$, V_{at} is the mean atomic volume
 120 of the particle or the matrix, $f_i(\lambda)$ is the atomic scattering factor of element i , which is
 121 tabulated as a function of the wavelength of the beam.

122 In the vicinity of the absorption edge of the element k , the electronic contrast can be
 123 written:

$$124 \quad \left| \rho_{ppt} - \rho_m \right| = \frac{1}{V_{at,m}} \left| \sum_{\substack{i=1 \\ i \neq k}}^N [f_i(\alpha X_{i,ppt} - X_{i,m})] + f_k(\lambda)(\alpha X_{k,ppt} - X_{k,m}) \right| \quad \text{equation (3)}$$

$$125 \quad \text{with} \quad \alpha = \frac{V_{at,m}}{V_{at,ppt}}.$$

126 Using this formalism, $\sqrt{Q_0}$ is expected to vary linearly with the scattering factor $f_k(\lambda)$:

$$127 \quad \sqrt{Q_0} = \theta + p f_k(\lambda) \quad \text{equation (4)}$$

128 where :

$$129 \quad \theta = \frac{\pi}{V_{at,m}} \sqrt{2f_v(1-f_v)} \delta \left(\sum_{\substack{i=1 \\ i \neq k}}^N f_i(\alpha X_{i,ppt} - X_{i,m}) \right)$$

$$p = \frac{\pi}{V_{at,m}} \sqrt{2f_v(1-f_v)} \delta (\alpha X_{k,ppt} - X_{k,m})$$

$$130 \quad \text{with} \quad \begin{cases} \delta = 1 \text{ if } (\rho_{ppt} - \rho_m) \geq 0 \\ \delta = -1 \text{ if } (\rho_{ppt} - \rho_m) < 0. \end{cases}$$

131 Using the ratio θ/p is a straightforward way for extracting chemical information from
 132 ASAXS measurements (independently of the volume fraction):

$$133 \quad \frac{\theta}{p} = \frac{\sum_{\substack{i=1 \\ i \neq k}}^N f_i(\alpha X_{i,ppt} - X_{i,m})}{(\alpha X_{k,ppt} - X_{k,m})} \quad \text{equation (5)}$$

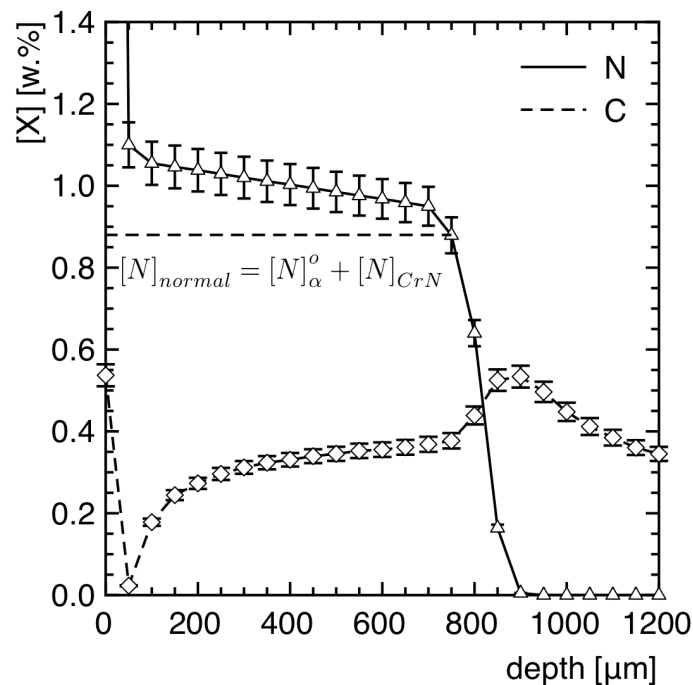
134 In the following, this approach is used to extract the Cr, Fe or N contribution to nitrides or
135 matrix. In a second part the volume fraction of nitrides can be calculated using equation
136 (1).

137

138 3. Results and discussion

139 3.1. Microstructure of the nitrided layer

140 Figure 1 gives in-depth composition profiles of carbon and nitrogen from the Fe-
141 0.354wt.%C-2.93wt.%Cr alloy nitrided 100 h at 550 °C [7].



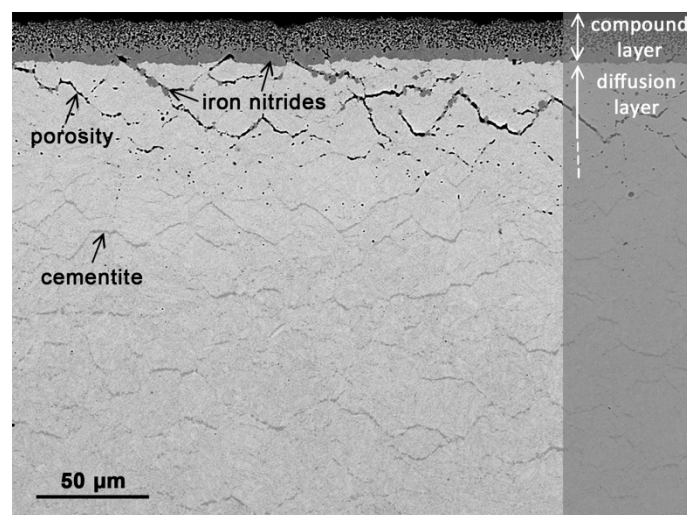
142

143 Figure 1: Nitrogen and carbon in-depth profiles of the Fe-0.354wt.%C-2.93wt.%Cr
144 ternary alloy nitrided at 550 °C for 100 h (EPMA/GDOES analyses) [7]. “Normal” N
145 content refers to the precipitation of all Cr atoms as binary CrN and the equilibrium
146 solubility of nitrogen in the ferritic matrix ($[N]_{\alpha}^{\circ} = 0,05 \text{ wt.}\%$).

147

148 The diffusion layer stretches from 30 to 900 μm . The nitrogen in-depth profile is
149 characterized by a smooth decrease from 1.2 (at 30 μm depth) to 0.95 wt.% (at 700 μm

150 depth) and a drop to the core content (0 wt.%) at a 900 μm depth. By defining a nitrogen
151 limit, called “normal N content” in figure 1, which corresponds to the amount obtained by
152 nitrogen fully reacting with chromium as CrN binary nitrides (considering the extremely
153 low solubility of Cr in ferrite) added to the solubility limit of nitrogen in ferrite ($[\text{N}]^\circ_\alpha =$
154 0,05 wt.%), a nitrogen enrichment is observed through the diffusion layer. This so-called
155 excess nitrogen, already observed in various systems, might be attributed to the
156 substitution of alloying element in MN nitrides, or to an increase of the nitrogen solubility
157 in the surrounding of MN nitrides due to local tensile straining accompanying their
158 precipitation or by nitrogen adsorption at the precipitate/matrix interface. The carbon
159 content profile shows a depletion of carbon close to the nitrogen rich region of the
160 diffusion layer (at 50 μm depth) and an enrichment of carbon in front of the nitrogen
161 diffusion front (at 900 μm depth). The diffusion of carbon backward to the surface or
162 toward the core material during nitriding is ascribed to the transformation of initial
163 carbides (M_7C_3 , $\text{M} = \text{Cr}$ or Fe) into alloying elements nitrides and cementite at grain
164 boundaries [8,13].



165
166 Figure 2: SEM micrograph (back scattering electron mode) from the case of a Fe-
167 0.354wt.%C-2.93wt.%Cr ternary alloy nitrided at 550 $^\circ\text{C}$ for 100 h [14].

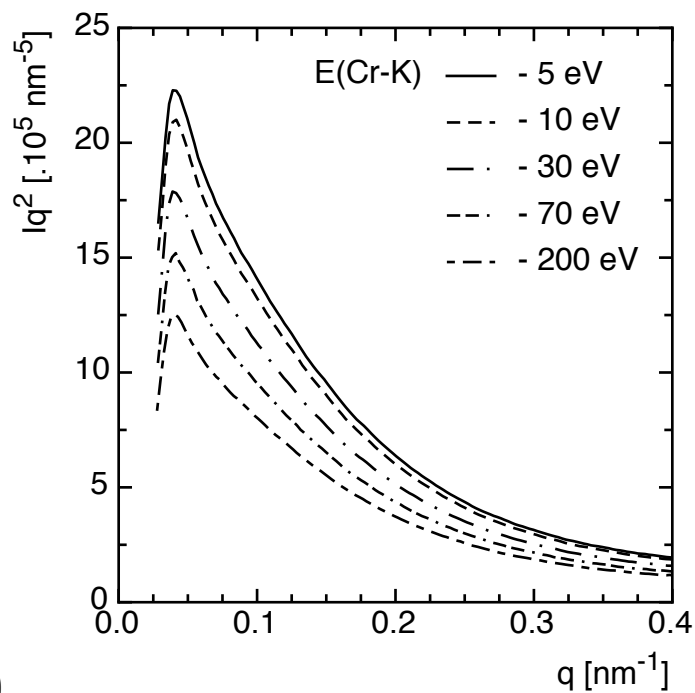
168

169 The microstructure of the first 250 μm of the case is given on Figure 2. It is characterized
170 by a compound layer of nearly 25 μm composed of iron nitrides $\text{Fe}_{2-3}\text{N}/\text{Fe}_4\text{N}$ and porosity
171 plus Fe_4N iron nitride at grain boundaries between 25 and 80 microns below the treated
172 surface. At subsequent depths, polycrystalline cementite of 100-200 nm radius grains is
173 found at grain boundaries nearly parallel to the surface (Figure 2). The case is also
174 characterized by nanometer-scaled nitrides demonstrating the CrN crystallographic
175 structure. No initial carbides (M_7C_3 , $\text{M} = \text{Cr}$ or Fe) from previous tempering or annealing
176 treatments are observed until the depth corresponding to the nitrogen drop-off [7].

177

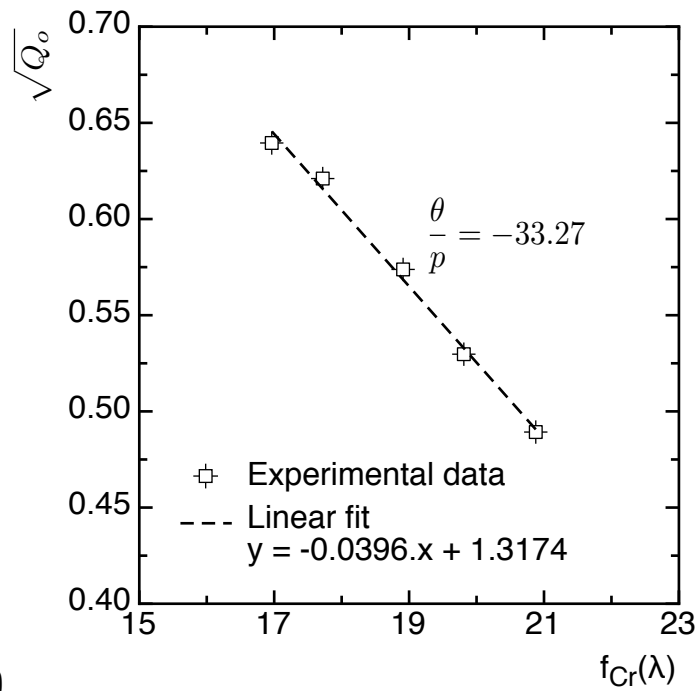
178 3.2. ASAXS characterization

179



180

(a)



181

(b)

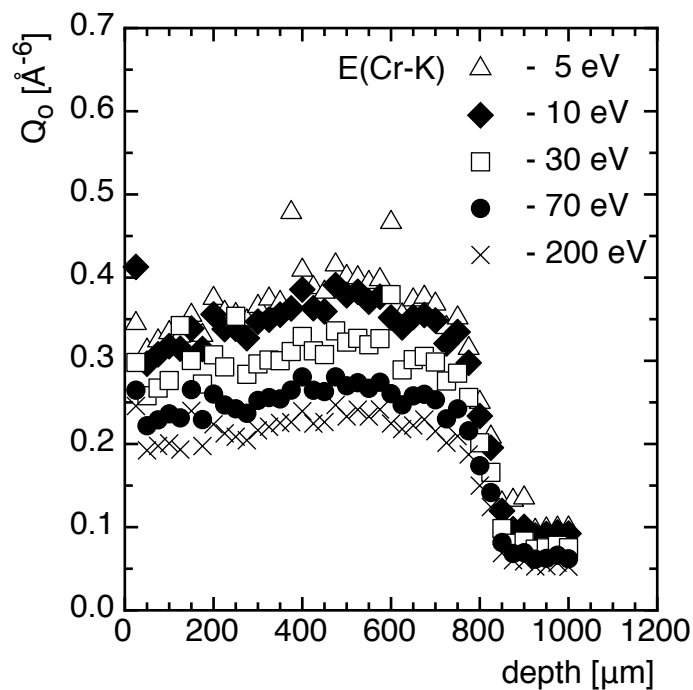
182 Figure 3: Sample nitrided at 550 °C for 100 h at a depth $z = 400 \mu\text{m}$. (a) Evolution of the
 183 scattering signal for the various energies used for anomalous SAXS. (b) Plot of $\sqrt{Q_0}$ vs.
 184 $f_{Cr}(\lambda)$ exhibiting a linear behavior leading to the determination of the θ/p value.

185

186 ASAXS measurements were carried out through the nitrided depth, in particular in the
 187 diffusion layer. Regarding the size of cementite grains (radius of 100-200 nm) compared
 188 to MN nitrides (less than 50 nm), ASAXS conditions were chosen to characterise
 189 precipitates in the 2-50 nm radius range. As a consequence, no influence of carbides is
 190 expected within the diffusion layer.

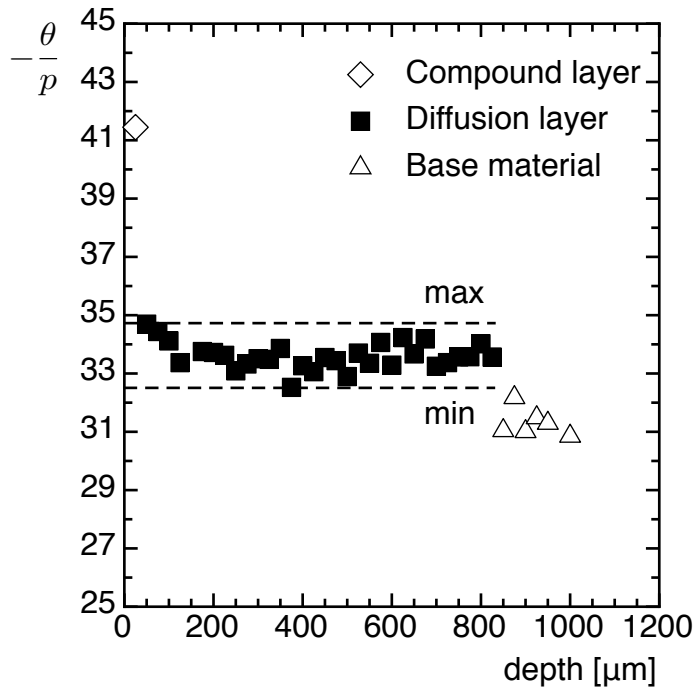
191 Resulting scattering curves are displayed in Figure 3.a in the Iq^2 vs. q plot. It is worth
 192 noting that only one peak can be observed in this plot, corresponding to only one family
 193 of scattering precipitates. This is not surprising since platelets are reported to have
 194 comparable diameter ($\sim 10\text{-}20\text{nm}$) than globular precipitates, mainly differing by their
 195 thickness ($\sim 5\text{nm}$ for globular nitrides, and 2nm for platelets) [9], both sizes being
 196 investigated in the used q -range. As a consequence, different families of nitrides

197 (incoherent globular MN formed by transformation carbides and semi-coherent platelets
198 homogeneously nucleated in the matrix) cannot be distinguished. In the following, ASAXS
199 data interpretation will then be conducted irrespectively of the type of nitrides. It is
200 however important to notice that literature reports that both have the same crystal
201 structure and are of MN-type structure [9]. However the composition may vary between
202 both, semi-coherent are expected to be pure CrN precipitates (as in binary Fe-Cr system)
203 whereas nitrides originated from transformed carbides may contain Fe and C in
204 substitution of Cr and N respectively, at least as a transition state. Substituted carbon in
205 MN has little effect on ASAXS experiments regarding that C has only one less electron than
206 N, resulting in similar scattering factors (7.05 and 6.04 e.atom⁻¹ for N and C respectively
207 at 5.96 keV). On the contrary, Fe may alter significantly the electronic contrast between
208 nitrides and the matrix. In the following, stoichiometric MN (M=Cr or Fe) nitrides will then
209 be considered as the composition of scattering precipitates.
210



211

(a)



212

(b)

213 Figure 4: Sample nitrided at 550 °C for 100 h. (a) Evolution of the integrated intensity for
 214 the various energies used for anomalous SAXS with depth ($z = 0$ corresponds to the
 215 surface). (b) Evolution of the $-\theta/p$ ratio in the different zones of the nitrided layer.

216

217 Regarding the anomalous behaviour, as expected from the increase in the electronic
 218 contrast between the nitride and the matrix $\Delta\rho(\lambda)$ by approaching the K-edge of Cr, the
 219 scattering signal and hence the integrated intensity Q_0 are monotonically varying with the
 220 energy. The data treatment detailed in 2.3. is applied to determine the composition of MN
 221 nitrides. The linear behaviour of $\sqrt{Q_0}$ with $f_{Cr}(\lambda)$ is displayed in Figure 3.b. Such
 222 measurements were performed along the nitrided layer and results are displayed in
 223 Figure 4. The various regions of the nitrided layer can be easily distinguished. The depth
 224 of the diffusion layer is well reproduced and is in agreement with C/N profiles (Figure 1)
 225 and with previous studies on this material [7]. The procedure of preparing the ASAXS
 226 sample from a bevel through the nitrided sample in order to perform in-depth scanning of
 227 the nitrided layer is as a consequence proven. It can be observed on Figure 4 that the

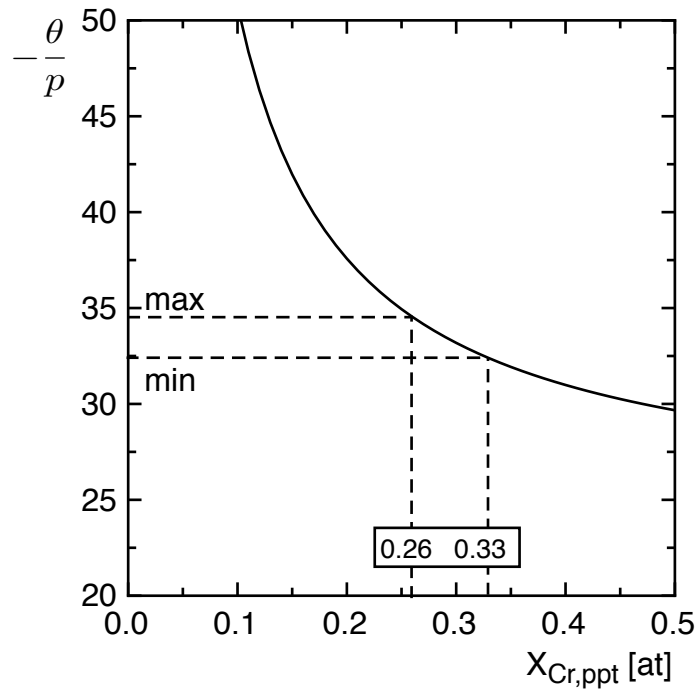
228 integrated intensity Q_0 as well as the θ/p ratio are fairly constant in the diffusion layer,
 229 more precisely in the 200-700 μm range in depth. This means that the composition (θ/p
 230 constant) and the volume fraction (Q_0 and composition constant) of nitrides are almost
 231 homogeneous throughout the nitrided layer (apart from boundaries). In the 0-200 μm
 232 range in depth, larger nitrides were observed leading to a truncated scattering signal in
 233 the small q -range ($q < 0,03 \text{ nm}^{-1}$); as a consequence the integrated intensity Q_0 is
 234 underestimated in this region, leading to underestimated volume fraction of nitrides in
 235 the following.

236 As mentioned previously, data treatment is carried out by considering that scattering
 237 precipitates are mainly MN nitrides ($M=\text{Cr}$ or Fe). It follows that equation (5) can be
 238 written as :

$$239 \quad \frac{\theta}{p} = \frac{\alpha(0.5f_N + f_{Fe}(0.5 - X_{Cr,ppt})) - f_{Fe}}{\alpha X_{Cr,ppt}} \quad \text{equation (8)}$$

240 considering that $X_{N,ppt} = 0.5$ and that the matrix is defined as pure Fe.

241 The evolution of the θ/p ratio as a function of $X_{Cr,ppt}$ is plotted on Figure 5. This leads to
 242 the determination of the Cr contribution in the chemical composition of MN nitrides and
 243 also to the Fe contribution. By considering the whole range of experimental θ/p values,
 244 the resulting composition of MN nitrides can be estimated: $\text{Cr}_{0,6\pm 10\%}\text{Fe}_{0,4\pm 10\%}\text{N}$. The
 245 variation of the composition along the depth of the diffusion layer is displayed on Figure
 246 6. The composition is almost constant for depth between 100 μm and 800 μm ,
 247 corresponding to the diffusion layer.

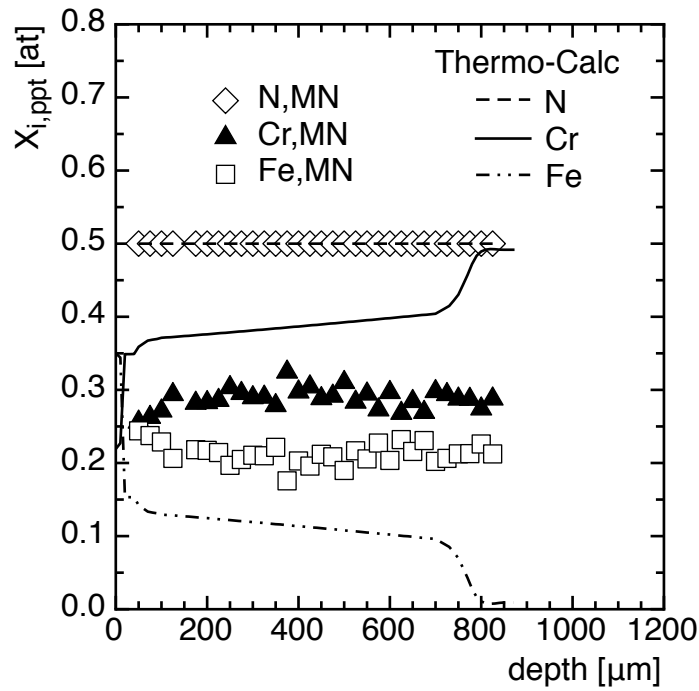


248

249 Figure 5: Calculation of the $-\theta/p$ ratio evolution as a function of Cr content in the
 250 precipitates $X_{Cr,ppt}$ (in atomic fraction) in the case of MN (M = Fe or Cr, $X_{N,ppt} = 0,5$) nitrides.
 251 min and max correspond to the experimental minimum and maximum values of the $-\theta/p$
 252 ratio as referred in Figure 4.

253

254 Once composition of the nitrides determined, the measured Q_0 values can be used to
 255 calculate the volume fraction of nitrides formed in the diffusion layer by using equation
 256 (1). The evolution of the volume fraction of nitrides as a function of depth is displayed in
 257 Figure 7.



258

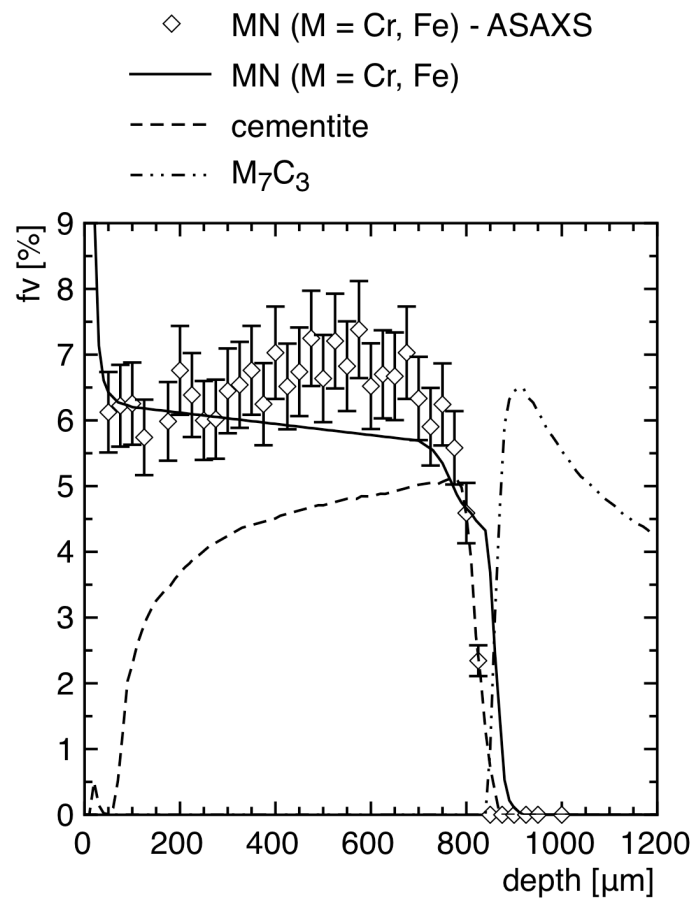
259 Figure 6: Evolution of the nitride composition as a function of depth ($z = 0$ corresponds to
 260 the surface)

261

262 3.3. Thermo-Calc calculations

263 The Thermo-Calc software (version 4.0 [12] and TC-API version 7.0 [15]) was used to
 264 estimate the volume fraction of phases through the case from experimental N/C profiles
 265 (Figure 7). It is based on the CALPHAD method and the lattice solubility concept [16].
 266 Calculations were performed at the nitriding temperature and using the Thermo-
 267 Calc Software TCFE7 Steels/Fe-alloys database version 7 [17]. According to experimental
 268 investigations, some phases were rejected from thermodynamics calculations such as M_6C
 269 or M_5C_2 carbides and N_2 gas was not taken into account. Thermodynamics calculations
 270 were performed by allowing the presence of iron atoms into MN nitrides such as $M = Cr$
 271 and/or Fe. On one hand, when allowing precipitation of iron into MN nitrides, the atomic

272 fraction of iron in nitrides (Figure 6) and volume fraction of nitrides (figure 7) are found
273 close to 12 at.% and 6 vol.% respectively along the nitrified depth.



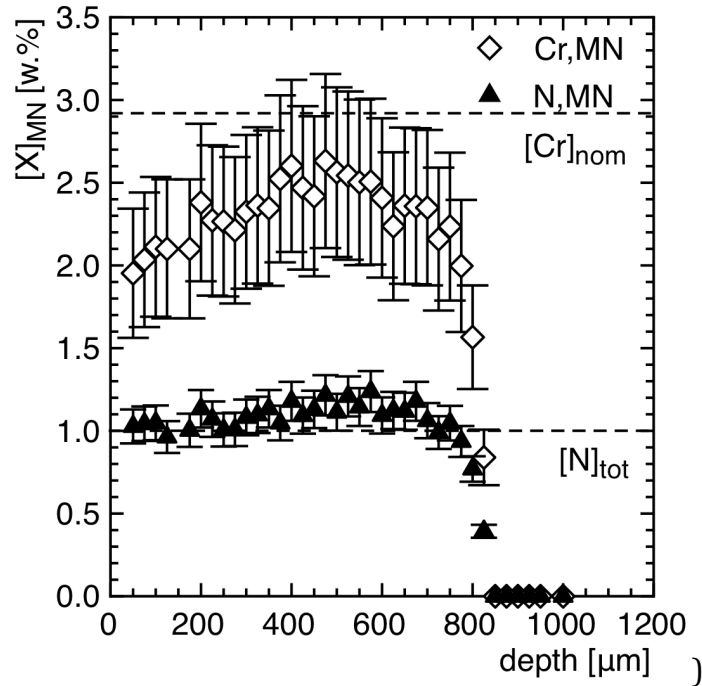
274 Figure 7: Evolution of the volume fraction of phases through the case after nitriding
275 during 100 h at 550 °C a Fe-0.354wt.%C-2.93wt.%Cr ternary alloy. Comparisons between
276 ASAXS experiments and Thermo-Calc calculations from experimental N and C depth
277 profiles (Figure 1).

278

279 3.4. Discussion

280 The fraction of iron, up to 20 at.% (Figure 6), estimated from ASAXS measurements, and
281 the volume fraction of nitrides (Figure 7) are consistent with thermodynamics
282 calculations performed with the Thermo-Calc software (Figure 7) and with experimental
283 data in the literature [18-20]. Based on matter balance, the fraction of chromium and
284 nitrogen taking part into the precipitation of nitrides was also determined and compared

285 to the overall element contents. Given the low solubilities of chromium and nitrogen have
 286 low solubilities in ferrite ($\sim 1.10^{-4}$ wt.%Cr and 0,05 wt.%N at 550°C), matter balance
 287 (Figure 8) gives composition close to the overall content of these elements, supporting
 288 the used assumptions.
 289



290
 291 Figure 8: Amount of N and Cr involved in MN nitrides as a function of depth. For
 292 comparison, the mean total amount of N, $[N]_{tot}$, as measured by GDOES/EPMA is indicated
 293 as well as the nominal Cr composition $[Cr]_{nom}$.

294
 295 4. Conclusion

296 ASAXS measurements are used to determine the volume fraction of chromium nitrides on
 297 a gas nitrided Fe-0.354wt.%C-2.93wt.%Cr ternary alloy. Although complementary
 298 investigation is needed to distinguish different kinds of nitrides, the current study has
 299 proven the potentiality of ASAXS technique to provide quantitative data concerning
 300 precipitates through thickness in the nitrided layer of Fe-Cr-C steels. Such data are key

301 parameters for the evaluation of induced volume change and resulting mechanical
302 properties in nitride steels.

303

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