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- **Title**: Determination of the volume fraction of precipitates in a nitrided Fe-0.354wt.%C-
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24 **Abstract**:

Anomalous small angle scattering (ASAXS) is a powerful non-destructive technique that can provide characteristic features of nanoscale precipitates such as the volume fraction, and chemical composition. In this paper, the technique is used for the first time to explore nanoscale MN nitrides (M = Cr,Fe) after nitriding of a model iron alloy (Fe-0.354wt.%C-2.93wt.%Cr).

30

31 Keywords:

32 Steel; Nitriding; Nitrides; Synchrotron radiation; Anomalous small angle scattering.

33

34 **1. Introduction**

Anomalous small-angle X-ray scattering (ASAXS) is a powerful technique that combines the capability of SAXS to characterize the size distribution of nano-objects embedded in a matrix and the variation in contrast allowed by tunable wavelength of the Synchrotron incident X-ray beam, giving access to information about the composition of scattering nano-objects [1-2].

40 In this study, ASAXS technique is applied to characterize precipitates in a ternary Fe-Cr-C 41 nitrided steel. Nitriding is a surface engineering process applied to enhance surface 42 properties such as corrosion, wear and fatigue resistance [3-4]. Gaseous nitriding consists 43 in the diffusion of nitrogen atoms through the surface of steels from the dissociation of a 44 nitrogen rich atmosphere (gas or plasma) at the atmosphere/solid interface [5]. It results 45 in the formation of an iron nitride layer (Fe₄N and/or Fe₂₋₃N) and a diffusion zone where 46 nitrogen is found as solid solution in the ferritic matrix and combined as MeN (Me = Cr, V, 47 Al...) nitrides with alloying elements having high affinity with nitrogen such as chromium, 48 aluminium or vanadium [6]. The volume fraction of MN nitrides formed in the diffusion layer is of prime importance for requested surface properties. In fact this affects the
resulting hardness; moreover the volume change induced by precipitation takes part to
the generation of compressive residual stresses [7].

52 In the case of binary Fe-Me (Me=Cr, Al or V) alloy, formed nitrides are close to pure MeN 53 phase having a fcc NaCl-type structure [5]. In the case of C-containing ternary Fe-Cr-C, 54 pre-existing carbides (generally M₂₃C₆ or M₇C₃, M=Fe or Cr) are expected to dissolve due 55 to a lower stability as compared to nitrides [8]. Therefore nitrides are formed either by 56 direct formation by reaction with Cr remaining in solid solution or by transformation of 57 carbides [9]. Carbon is then rejected toward grain boundaries where it forms coarse 58 cementite aggregates [10] or towards the diffusion front where it participates to the coarsening of carbides. 59

60 This paper aims at using small-angle scattering to characterize MN nitrides in the diffusion layer after gas nitriding of a model ternary Fe-Cr-C alloy (Fe-0.354wt.%C-61 62 2.93wt.%Cr). The major goal is the determination of the volume fraction of nitrides that 63 is the key parameter for resulting surface properties. However the volume fraction cannot 64 be determined by SAXS independently of the composition of the nano-precipitates. Using 65 anomalous dispersion effect, ASAXS is an element-selective technique based on the 66 anomalous variation of the scattering factor near the absorption edge of one chosen 67 element, therefore it allows to overcome this difficulty by giving access to the chemical information, allowing the determination of the volume fraction of scattering precipitates 68 [11]. Results are discussed with respect to the literature and thermodynamics 69 70 calculations performed using the Thermo-Calc software [12].

71

72 **2. Materials and experimental methods**

73 2.1. Materials and microstructural characterization

A Fe-0.354wt.%C-2.93wt.%Cr ternary alloy was used in this study. It was oil quenched and annealed at 590 °C. Gas nitriding was performed by Aubert & Duval at 550 °C during 100 h for a given nitrogen potential ($K_N = 2.65 \text{ atm}^{-1/2}$). Composition profiles along the nitride layer were carried out by electron probe microanalysis (EPMA) as well as glow discharge optical emission spectrometry (GDOES) [7]. Observations of the case and core microstructure were carried out by optical microscopy as well as scanning and transmission electron microscopy.

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2.2. Anomalous small angle scattering (ASAXS)

Small-Angle X-ray Scattering (SAXS) experiments were carried out on the BM02-D2AM, a 83 French CRG beamline at the European Synchrotron Radiation Facilities (ESRF) in 84 85 Grenoble. Regarding the composition of the system, the most reliable conditions for 86 anomalous measurements are based on the Cr K-absorption edge. Four energies slightly 87 below the Cr K-edge (5.96 keV) as well as one far from the Cr-edge were used for anomalous SAXS measurements. As SAXS experiments are performed in transmission 88 89 mode, the relatively low energy of the Cr K-edge represented a challenge in the sample 90 preparation since it required the preparation of relatively thin samples, around 30 µm in 91 thickness, to ensure a satisfactory transmission through the thickness of the sample. 92 Moreover in order to investigate the precipitation variations with depth (down to 1 mm), 93 samples were cut along a bevel so that all depths can be studied by a profile measurement 94 adapted to the lateral resolution of the beam (\sim 300 µm). A preparation procedure was 95 optimised and consisted in gently polishing $20 \times 10 \text{ mm}^2$ surfaces using a bevel of ~ 3 degrees, so that 500 µm steps for profile measurement along samples correspond to 25 96 μm steps within the depth of the nitrided layer. 97

98 A small-angle set-up was chosen to well characterise nano-precipitates in the 2-50 nm 99 radius range, i.e. in a q-range ranging from 0.03 nm⁻¹ to 0.6 nm⁻¹, where q is the amplitude of the scattering vector q $\left(q = \frac{4\pi sin\theta}{\lambda}\right)$ where θ is the half scattering angle and λ the 100 101 wavelength). The SAXS patterns were acquired using a two-dimensional CCD camera. 102 Data files were corrected from electronic noise, spatial distortion, pixel efficiency, the flat 103 field of the detector, and background noise. A circular average was taken around the 104 transmitted beam to obtain the intensity as a function of the scattering vector. The 105 scattered intensity was finally converted into absolute values, *I*, using measurement of the 106 intensity of the direct beam through calibrated filters.

107 The analysis method of ASAXS data to extract chemical information of nanoparticles is 108 described in details in [11] and is summarised hereafter. The measured quantity used for 109 the ASAXS analysis is the integrated intensity Q_0 defined as:

110
$$Q_0(\lambda) = \int_0^\infty I(q) q^2 dq = 2\pi^2 |\Delta \rho(\lambda)|^2 f_v(1 - f_v)$$
 equation (1)

111 where λ is the wavelength, q is the module of the scattering vector, f_v is the volume 112 fraction of scattering objects, $\Delta \rho(\lambda)$ is the electronic contrast, defined as the difference in 113 electronic density between the scattering particle (ρ_{ppt}) and the matrix (ρ_m) in which it is 114 embedded: $|\Delta \rho| = |\rho_{ppt} - \rho_m|$.

The electronic contrast can be varied by changing the energy in a range close to the absorption edge of one element of the system. In fact, the electronic density of a phase constituted by *N* elements can be written:

118
$$\rho(\lambda) = \frac{\sum_{i=1}^{N} X_i f_i(\lambda)}{V_{at}}$$
 equation (2)

119 where X_i in the atomic fraction of element $i \left(\sum_{i=1}^{N} X_i = 1 \right)$, V_{at} is the mean atomic volume

120 of the particle or the matrix, $f_i(\lambda)$ is the atomic scattering factor of element *i*, which is 121 tabulated as a function of the wavelength of the beam.

122 In the vicinity of the absorption edge of the element *k*, the electronic contrast can be123 written:

124
$$|\rho_{ppt} - \rho_m| = \frac{1}{V_{at,m}} \left| \sum_{\substack{i=1\\i \neq k}}^{N} \left[f_i (\alpha X_{i,ppt} - X_{i,m}) \right] + f_k (\lambda) (\alpha X_{k,ppt} - X_{k,m}) \right|$$
 equation (3)

125 with $\alpha = \frac{V_{at,m}}{V_{at,ppt}}$.

126 Using this formalism, $\sqrt{Q_0}$ is expected to vary linearly with the scattering factor $f_k(\lambda)$:

127 $\sqrt{Q_o} = \theta + pf_k(\lambda)$ equation (4)

128 where :

$$\theta = \frac{\pi}{V_{at,m}} \sqrt{2f_v(1-f_v)} \,\delta\left(\sum_{\substack{i=1\\i\neq k}}^N f_i\left(\alpha X_{i,ppt} - X_{i,m}\right)\right)$$
$$p = \frac{\pi}{V_{at,m}} \sqrt{2f_v(1-f_v)} \,\delta\left(\alpha X_{k,ppt} - X_{k,m}\right)$$

129

130 with
$$\delta = 1 \text{ if } (\rho_{ppt} - \rho_m) \ge 0$$
$$\delta = -1 \text{ if } (\rho_{ppt} - \rho_m) < 0$$

131 Using the ratio θ/p is a straightforward way for extracting chemical information from

132 ASAXS measurements (independently of the volume fraction):

133
$$\frac{\theta}{p} = \frac{\sum_{\substack{i=1\\i\neq k}}^{N} f_i \left(\alpha X_{i,ppt} - X_{i,m} \right)}{\left(\alpha X_{k,ppt} - X_{k,m} \right)}$$
equation (5)

134 In the following, this approach is used to extract the Cr, Fe or N contribution to nitrides or

135 matrix. In a second part the volume fraction of nitrides can be calculated using equation

136 (1).

137

138 **3. Results and discussion**

139 3.1. Microstructure of the nitrided layer

Figure 1 gives in-depth composition profiles of carbon and nitrogen from the Fe0.354wt.%C-2.93wt.%Cr alloy nitrided 100 h at 550 °C [7].



142

143 Figure 1: Nitrogen and carbon in-depth profiles of the Fe-0.354wt.%C-2.93wt.%Cr 144 ternary alloy nitrided at 550 °C for 100 h (EPMA/GDOES analyses) [7]. "Normal" N 145 content refers to the precipitation of all Cr atoms as binary CrN and the equilibrium 146 solubility of nitrogen in the ferritic matrix ([N]° $_{\alpha}$ = 0,05 wt.%).

147

148 The diffusion layer stretches from 30 to 900 μ m. The nitrogen in-depth profile is 149 characterized by a smooth decrease from 1.2 (at 30 μ m depth) to 0.95 wt.% (at 700 μ m 150 depth) and a drop to the core content (0 wt.%) at a 900 µm depth. By defining a nitrogen 151 limit, called "normal N content" in figure 1, which corresponds to the amount obtained by 152 nitrogen fully reacting with chromium as CrN binary nitrides (considering the extremely low solubility of Cr in ferrite) added to the solubility limit of nitrogen in ferrite ($[N]^{\circ}_{\alpha}$ = 153 154 0,05 wt.%), a nitrogen enrichment is observed through the diffusion layer. This so-called 155 excess nitrogen, already observed in various systems, might be attributed to the 156 substitution of alloying element in MN nitrides, or to an increase of the nitrogen solubility 157 in the surrounding of MN nitrides due to local tensile straining accompanying their 158 precipitation or by nitrogen adsorption at the precipitate/matrix interface. The carbon 159 content profile shows a depletion of carbon close to the nitrogen rich region of the 160 diffusion layer (at 50 µm depth) and an enrichment of carbon in front of the nitrogen 161 diffusion front (at 900 µm depth). The diffusion of carbon backward to the surface or 162 toward the core material during nitriding is ascribed to the transformation of initial 163 carbides (M_7C_3 , M = Cr or Fe) into alloying elements nitrides and cementite at grain 164 boundaries [8,13].



165

Figure 2: SEM micrograph (back scattering electron mode) from the case of a Fe0.354wt.%C-2.93wt.%Cr ternary alloy nitrided at 550 °C for 100 h [14].

169 The microstructure of the first 250 µm of the case is given on Figure 2. It is characterized 170 by a compound layer of nearly 25 µm composed of iron nitrides Fe₂₋₃N/Fe₄N and porosity 171 plus Fe₄N iron nitride at grain boundaries between 25 and 80 microns below the treated 172 surface. At subsequent depths, polycrystalline cementite of 100-200 nm radius grains is 173 found at grain boundaries nearly parallel to the surface (Figure 2). The case is also 174 characterized by nanometer-scaled nitrides demonstrating the CrN crystallographic 175 structure. No initial carbides (M₇C₃, M = Cr or Fe) from previous tempering or annealing 176 treatments are observed until the depth corresponding to the nitrogen drop-off [7].

- 177
- 178
- 3.2. ASAXS characterization
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Figure 3: Sample nitrided at 550 °C for 100 h at a depth $z = 400 \ \mu\text{m}$. (a) Evolution of the scattering signal for the various energies used for anomalous SAXS. (b) Plot of $\sqrt{Q_0} vs$. $f_{Cr}(\lambda)$ exhibiting a linear behavior leading to the determination of the θ/p value.

181

ASAXS measurements were carried out through the nitrided depth, in particular in the diffusion layer. Regarding the size of cementite grains (radius of 100-200 nm) compared to MN nitrides (less than 50 nm), ASAXS conditions were chosen to characterise precipitates in the 2-50 nm radius range. As a consequence, no influence of carbides is expected within the diffusion layer.

191 Resulting scattering curves are displayed in Figure 3.a in the $lq^2 vs. q$ plot. It is worth 192 noting that only one peak can be observed in this plot, corresponding to only one family 193 of scattering precipitates. This is not surprising since platelets are reported to have 194 comparable diameter (~10-20nm) than globular precipitates, mainly differing by their 195 thickness (~5nm for globular nitrides, and 2nm for platelets) [9], both sizes being 196 investigated in the used q-range. As a consequence, different families of nitrides 197 (incoherent globular MN formed by transformation carbides and semi-coherent platelets 198 homogeneously nucleated in the matrix) cannot be distinguished. In the following, ASAXS 199 data interpretation will then be conducted irrespectively of the type of nitrides. It is 200 however important to notice that literature reports that both have the same crystal 201 structure and are of MN-type structure [9]. However the composition may vary between 202 both, semi-coherent are expected to be pure CrN precipitates (as in binary Fe-Cr system) 203 whereas nitrides originated from transformed carbides may contain Fe and C in 204 substitution of Cr and N respectively, at least as a transition state. Substituted carbon in 205 MN has little effect on ASAXS experiments regarding that C has only one less electron than 206 N, resulting in similar scattering factors (7.05 and 6.04 e.atom⁻¹ for N and C respectively 207 at 5.96 keV). On the contrary, Fe may alter significantly the electronic contrast between 208 nitrides and the matrix. In the following, stoichiometric MN (M=Cr or Fe) nitrides will then 209 be considered as the composition of scattering precipitates.

210





Figure 4: Sample nitrided at 550 °C for 100 h. (a) Evolution of the integrated intensity for the various energies used for anomalous SAXS with depth (z = 0 corresponds to the surface). (b) Evolution of the $-\theta/p$ ratio in the different zones of the nitrided layer.

212

217 Regarding the anomalous behaviour, as expected from the increase in the electronic contrast between the nitride and the matrix $\Delta \rho(\lambda)$ by approaching the K-edge of Cr, the 218 219 scattering signal and hence the integrated intensity Q_0 are monotonically varying with the 220 energy. The data treatment detailed in 2.3. is applied to determine the composition of MN nitrides. The linear behaviour of $\sqrt{Q_0}$ with $f_{Cr}(\lambda)$ is displayed in Figure 3.b. Such 221 222 measuremnts were performed along the nitrided layer and results are displayed in 223 Figure 4. The various regions of the nitrided layer can be easily distinguished. The depth 224 of the diffusion layer is well reproduced and is in agreement with C/N profiles (Figure 1) 225 and with previous studies on this material [7]. The procedure of preparing the ASAXS 226 sample from a bevel through the nitrided sample in order to perfom in-depth scanning of the nitrided layer is as a consequence proven. It can be observed on Figure 4 that the 227

228 integrated intensity Q_0 as well as the θ/p ratio are fairly constant in the diffusion layer, 229 more precisely in the 200-700 μ m range in depth. This means that the composition (θ/p 230 constant) and the volume fraction (Q_0 and composition constant) of nitrides are almost 231 homogeneous throughout the nitrided layer (apart from boundaries). In the 0-200 µm 232 range in depth, larger nitrides were observed leading to a truncated scattering signal in the small q-range (q < 0.03 nm⁻¹); as a consequence the integrated intensity Q_0 is 233 234 underestimated in this region, leading to underestimated volume fraction of nitrides in 235 the following.

As mentioned previously, data treatment is carried out by considering that scattering precipitates are mainly MN nitrides (M=Cr or Fe). It follows that equation (5) can be written as :

$$\frac{\theta}{p} = \frac{\alpha \left(0.5f_N + f_{Fe} \left(0.5 - X_{Cr,ppt}\right)\right) - f_{Fe}}{\alpha X_{Cr,ppt}}$$
equation (8)

considering that $X_{N,ppt}$ = 0.5 and that the matrix is defined as pure Fe.

The evolution of the θ/p ratio as a function of $X_{Cr,ppt}$ is plotted on Figure 5. This leads to the determination of the Cr contribution in the chemical composition of MN nitrides and also to the Fe contribution. By considering the whole range of experimental θ/p values, the resulting composition of MN nitrides can be estimated: $Cr_{0,6\pm10\%}Fe_{0,4\pm10\%}N$. The variation of the composition along the depth of the diffusion layer is displayed on Figure 6. The composition is almost constant for depth between 100 µm and 800 µm, corresponding to the diffusion layer.



Figure 5: Calculation of the $-\theta/p$ ratio evolution as a function of Cr content in the precipitates $X_{Cr,ppt}$ (in atomic fraction) in the case of MN (M = Fe or Cr, $X_{N,ppt} = 0,5$) nitrides. min and max correspond to the experimental minimum and maximum values of the $-\theta/p$ ratio as referred in Figure 4.

253

Once composition of the nitrides determined, the measured Q_0 values can be used to calculate the volume fraction of nitrides formed in the diffusion layer by using equation (1). The evolution of the volume fraction of nitrides as a function of depth is displayed in Figure 7.



Figure 6: Evolution of the nitride composition as a function of depth (*z* = 0 corresponds tothe surface)

261

262 3.3. Thermo-Calc calculations

263 The Thermo-Calc software (version 4.0 [12] and TC-API version 7.0 [15]) was used to 264 estimate the volume fraction of phases through the case from experimental N/C profiles 265 (Figure 7). It is based on the CALPHAD method and the lattice solubility concept [16]. 266 Calculations were performed at the nitriding temperature and using the Thermo-267 Calc Software TCFE7 Steels/Fe-alloys database version 7 [17]. According to experimental 268 investigations, some phases were rejected from thermodynamics calculations such as M₆C 269 or M₅C₂ carbides and N₂ gas was not taken into account. Thermodynamics calculations 270 were performed by allowing the presence of iron atoms into MN nitrides such as M = Cr 271 and/or Fe. On one hand, when allowing precipitation of iron into MN nitrides, the atomic fraction of iron in nitrides (Figure 6) and volume fraction of nitrides (figure 7) are found





Figure 7: Evolution of the volume fraction of phases through the case after nitriding
during 100 h at 550 °C a Fe-0.354wt.%C-2.93wt.%Cr ternary alloy. Comparisons between
ASAXS experiments and Thermo-Calc calculations from experimental N and C depth
profiles (Figure 1).



The fraction of iron, up to 20 at.% (Figure 6), estimated from ASAXS measurements, and the volume fraction of nitrides (Figure 7) are consistent with thermodynamics calculations performed with the Thermo-Calc software (Figure 7) and with experimental data in the literature [18-20]. Based on matter balance, the fraction of chromium and nitrogen taking part into the precipitation of nitrides was also determined and compared

to the overall element contents. Given the low solubilities of chromium and nitrogen have
low solubilities in ferrite (~1.10⁻⁴ wt.%Cr and 0,05 wt.%N at 550°C), matter balance
(Figure 8) gives composition close to the overall content of these elements, supporting
the used assumptions.

289



290

Figure 8: Amount of N and Cr involved in MN nitrides as a function of depth. For comparison, the mean total amount of N, $[N]_{tot}$, as measured by GDOES/EPMA is indicated as well as the nominal Cr composition $[Cr]_{nom}$.

294

295 4. Conclusion

ASAXS measurements are used to determine the volume fraction of chromium nitrides on a gas nitrided Fe-0.354wt.%C-2.93wt.%Cr ternary alloy. Although complementary investigation is needed to distinguish different kinds of nitrides, the current study has proven the potentiality of ASAXS technique to provide quantitative data concerning precipitates through thickness in the nitrided layer of Fe-Cr-C steels. Such data are key 301 parameters for the evaluation of induced volume change and resulting mechanical302 properties in nitride steels.

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