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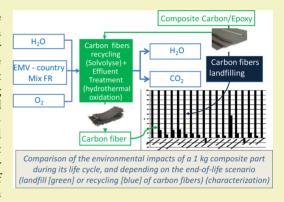




¹ Environmental Feasibility of the Recycling of Carbon Fibers from ² CFRPs by Solvolysis Using Supercritical Water

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ABSTRACT: Originally developed for high-tech applications in the aeronautic and aerospace industry, carbon/epoxy composites have been increasingly used in the automotive, leisure, and sports industries for several years. Nevertheless, the carbon reinforcement is an expensive constituent, and it has been recently shown that it is also the most environmentally impacting in a composite part manufacturing. Recycling these materials (even restricted to the reinforcement recovery) could lead to economic and environmental benefits, while satisfying legislative end-of-life requirements. The solvolysis of the matrix by water under supercritical conditions is an efficient solution to recover the carbon fiber reinforcement with mechanical properties closed to the ones of virgin fibers. This paper aims at demonstrating the environmental feasibility of the recycling of carbon fiber/thermoset matrix composites by solvolysis of the matrix in



supercritical water. This demonstration is based on life cycle assessment that evaluates benefits and environmental challenges of this recycling loop.

KEYWORDS: Life cycle assessment (LCA), Supercritical water, Solvolysis, Recycling, Composites, CFRP

4 INTRODUCTION

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25 Carbon fiber-reinforced plastics (CFRPs), or thermoset matrix 26 composites, were originally developed for high-tech applica27 tions in the aeronautic and aerospace industry. For several years 28 now, these materials have also been increasingly used in the 29 automotive, leisure, and sports industries. In many applications 30 in these sectors, one may seek aesthetic criterions or a simple 31 feeling of high technology, more than highly technical 32 properties. Thus, constituents' characteristics, and specifically 33 reinforcements, are considered as a secondary matter and may 34 be overemphasized regarding the function of the product. This 35 is particularly true for nonstructural decorative parts (e.g., with 36 a carbon look finish), for which the reinforcement is the most 37 expensive constituent, and where glass fibers, much more less 38 expensive, cannot be used. 1,2

Today, there is no, or a limited, deposit (or very few) of carbon fibers from airplanes at the end of life because airplanes integrating such materials are only currently being built and will become waste later. In the future, the expected amount will grow year after year. Therefore, the question is this: Could carbon fibers recycled from airplanes (or from production waste from aircraft and automotive production) substitute mechanically for the majority of carbon fibers currently used in the automotive, leisure, and sports industries, considering that the recycling can be done in a cost-effective way and that the aeronautic industry will not use recycled fibers? Subsequent questions are these: How can carbon fiber-reinforced plastics be

recycled? Is the recycling environmentally more sustainable 51 than the production of virgin carbon fibers?

One of the first uses of the supercritical fluid technology in 53 the field of recycling was applied to polymers. This technique 54 has been developed extensively in Japan since 1995 and has 55 been reviewed many times.³⁻⁵ Beyond plastics recycling, 56 solvolysis in near- and supercritical fluids of thermosetting 57 resins (phenol and epoxy resins) has attracted a great interest 58 among the scientific community to recover materials like 59 carbon fibers with a high added value in the past few years. To 60 date, few studies have been carried out on the chemical 61 recycling of these waste composites with near- and supercritical 62 solvolysis technology. $^{6-14}$ Compared to other recycling 63 processes (mechanical recycling processes, pyrolysis, fluidized 64 bed processes, low temperature solvolysis processes), near- and 65 supercritical solvolysis has the huge advantage that clean carbon 66 fibers are recovered with similar mechanical properties to 67 pristine fibers. Moreover, these undamaged fibers are obtained 68 at relatively low temperature, without using organic solvents or 69 concentrated acids.

Near- and supercritical water and alcohols were mainly 71 processed as solvolysis media. In fact, near- and supercritical 72 water or alcohols play the role of solvent and reagent for the 73

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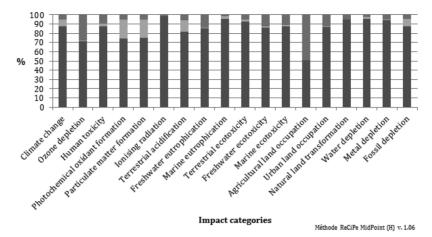


Figure 1. Environmental impacts due to the carbon reinforcement (dark gray), epoxy matrix (light gray), and injection molding process (intermediate gray), while processing a 1 kg carbon/epoxy composite part. The analysis is based on Duflou et al. data. ¹⁸

74 depolymerization of condensation polymers by solvolysis into 75 their monomers in a fast and selective way; it will be a 76 hydrolysis reaction with water and an alcoholysis reaction with 77 alcohols. Condensation polymers are constituted with ether, 78 ester, or acid amide linkages, which can be broken by hydrolysis 79 or alcoholysis. The example of polyethylene terephthalate 80 (PET) bottle recycling is significant in term of quantity but also 81 of development of supercritical fluid-based recycling technol-82 ogies. PET can be hydrolyzed in terephthalic acid (TPA), its 83 monomers, and ethylene glycol in sub- and supercritical 84 water. 15 Composite plastics such as glass and carbon fiber-85 reinforced plastics can be decomposed into monomers and 86 fiber materials. Some years ago, the successful hydrolysis of an 87 isolated epoxy resin in sub- and supercritical water has been 88 already carried out. 16 The solvolysis of composite materials 89 using near- and supercritical fluids, especially water and alcohol, 90 was recently reviewed by our group. 6,17 This way is very 91 efficient in a technological point of view, but what is about 92 sustainability?

In this paper, the results from an initial life cycle assessment of the supercritical fluid technology applied to CFRPs recycling is proposed for the first time in order to position recycled carbon fibers in its market between virgin carbon fibers and glass fibers with regard to sustainability and cost considerations.

98 MATERIALS AND METHODS

99 **Environmental Assessment.** Data for CFRPs Composites 100 Manufacture. Duflou et al. 18 have some life cycle assessment 101 (LCA)-based information on the environmental impacts due to 102 petrochemical manufacturing of composite parts for vehicles as an 103 alternative to steel, for lightening the vehicle, and for reducing life 104 cycle air emissions beyond the benefits of plug-in vehicles. 19 In a 105 conventional car, the use phase has the greatest environmental impact 106 due to high fuel consumption (directly related to the mass of the vehicle). In its lighter alternative version, it is the manufacturing phase that could become predominant.¹⁹ This is due to the carbon fiber 109 manufacturing (see our analysis in Figure 1) based on data from 110 Duflou et al. 18 and recalculated relative to the mass of the chosen 111 product, i.e., 1 kg of carbon fiber. Furthermore, the main source of impact for these carbon fibers is due to the use of fossil fuel that has an important carbon foot print. Hence, it might be of real interest from 114 a sustainability point view to propose recycled fibers as a way forward 115 to limit the environmental impacts of the composite parts of light cars. Due to the fact that the carbon reinforcement is the most impacting 117 constituent in a carbon/epoxy composite's elaboration process (Figure 118 1),18 recycling end-of-life composites (even restricted to the

reinforcement recovery) could lead to reduce some anthropogenic 119 impacts by decreasing the use of first-generation raw materials (mainly 120 petroleum) for their production. Besides, it would help design 121 engineers to balance energy efficiency and cost, by opening new 122 opportunities for developing second-generation composites first 123 dedicated to the manufacture of medium or low loaded parts. Lastly, 124 recycled carbon fabric could widen the range of reinforcements on the 125 marketplace between first-generation carbon and glass fibers.

All this has to be done in line with European directives that already 127 force industries to improve their products' recyclability (e.g., in 128 automotive industry²²). However, making feasible this new recycling 129 sector requires overcoming users' reluctances by ensuring the second- 130 generation semi-product's validity from economic and environmental 131 aspects. Therefore, we carried out a life cycle assessment (LCA) in 132 which the resource efficiency and potential environmental challenges 133 of the carbon/epoxy composites' recycling process are analyzed.

Life Cycle Assessment: Goal and Scope. Every stage of the life 135 cycle of the composite part has to be modeled in the LCA, from its 136 manufacture to its end-of-life treatment, following the usual steps 137 defined by the ISO 14040 standards.²³ These ISO standards define 138 LCA as the following: "Compilation and evaluation of the inputs, 139 outputs and the potential environmental impacts of a product system 140 throughout its life cycle". LCA is the only method that assesses the 141 environmental impacts of a product or activity over its entire life cycle. 142 It is a holistic approach that takes into account the extraction and 143 treatment of raw materials, product manufacturing, transport and 144 distribution, and product use and end-of-life. LCA is structured in the 145 following phases: (a) goal and scope definition, (b) life cycle 146 inventory, (c) life cycle impact assessmen, and (d) interpretation. 147 Life cycle impact assessment assigns life cycle inventory results to 148 impact categories like climate change and ionizing radiation; the 149 environmental profile consisting of the indicator results for the impact 150 categories selected provides information on the environmental issues 151 associated with the inputs and outputs of the product system under 152

As previously mentioned, we focus on carbon/epoxy composites. 154 The resin is an epoxy one. The carbon fibers were furnished by 155 industry partners; therefore, we do not have any information about 156 their precise nature. The deposit of materials to be recycled consists 157 possibly in end-of-life aeronautic parts but, most likely to date, in 158 composite offcuts. The composite part chosen for the LCA is assumed 159 to be processed in Europe with Japanese carbon reinforcement. Its 160 mass is supposed to be 1 kg. Thus, we aim at studying the interest of 161 recycling such materials more generally, such as the environmental 162 feasibility of the recycling process.

Life Cycle Inventory. The following analysis is based on Duflou's 164 data, 18 which assessed the manufacturing of composite semi-structural 165 panels in automotive industry. All of these data have been recalculated 166 relative to the mass of the chosen product (i.e., 1 kg).

In our case study, the use phase is not taken into account. Indeed, to the best of our knowledge, the only input data that can be taken into account concern transport operations. Like so, as rather classically, the present simulation shows that this factor did not contribute much to the overall impacts (less than 5%).

Regarding the product's end-of-life, two scenarios have been modeled: The first one consists of burying the composite part, which is what is currently done, and represents the reality for actual composites at their end-of-life. The second one consists of the recovery of the carbon reinforcement. We focus on the recycling process by solvolysis described in Figure 2). We consider (i) an

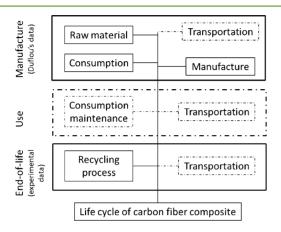


Figure 2. System boundary and life cycle stages. Dashed lines represent life cycle stages that were cut off.

179 aqueous solvolysis of the matrix by water under supercritical 180 conditions (temperature around 400 $^{\circ}$ C and pressure about 25 181 MPa) and (ii) a hydrothermal oxidation of the effluent to clear matrix 182 components from water at the end of the solvolysis process.

This technology allows the fiber to be recovered. Therefore, it is a 184 real (but partial) recycling and not a simple material valorization. 6 185 Lastly, the process uses energy, water, and oxygen, and only emits 186 water and carbon dioxide.

Lastly, the research team from the Mechanics Institute of Bordeaux 188 has developed a prototype for packaging these second-generation 189 fibers in an attractive form for users (i.e., designers). Data matching 190 the remanufacturing stage have not been taken into account yet in this 191 very first LCA. However, this energy input is assumed to be very weak 192 compared to those involved in the first-generation reinforcement 193 process. As a consequence, the life cycle only loops after the 194 manufacturing of the first-generation carbon reinforcement, with no 195 specific additional remanufacturing.

Life Cycle Assessment: Software, Database, and Method. The 197 LCA is carried out with the SimaPro software (v.7), ²⁴ Eco Invent 198 database (v.2), ²⁵ and ReCiPe Midpoint (H) method. ²⁶ As previously 199 mentioned, in the recycling stage, the avoided material is the 200 reinforcement. In other words, the production of a new raw material 201 with nonrenewable resources (i.e., first-generation carbon reinforce-202 ment) is avoided.

203 RESULTS

Recycled Carbon Fibers Obtained by Hydrolysis in Supercritical Water. The hydrolysis of the epoxy resin matrix in supercritical water (p_c = 22.1 MPa, T_c = 374 °C) has been published many times as well as the alcoholysis in supercritical alcohols (methanol, p_c = 8.1 MPa, T_c = 239.3 °C; ethanol, p_c = 209 6.1 MPa, T_c = 240.8 °C, or still isopropanol, p_c = 4.8 MPa, T_c = 210 235.1 °C). For instance, Okajima et al. have studied the hydrolysis of epoxy resin of CFRPs in sub- and supercritical water in the temperature range between 300 and 450 °C and 25 MPa. Water in the reactor was found to inhibit the coking and

enhance the decomposition of the resin compared with the case 214 of pyrolysis. As a result, clean carbon fiber was recovered, and 215 the resin was decomposed and removed from the carbon fiber. 216 It can be pointed out that this solvolysis process is able to treat 217 all types of composites, no matter their surface quality, 218 geometry, size, density, etc. The only constraint is the reactor 219 geometry. 220

Morin et al. have also performed the recycling of carbon 221 fibers from carbon fiber-reinforced composites in a semi- 222 continuous flow reactor. Experiments were carried out at a 223 temperature around the critical temperature of water for a 224 reaction time of about 30 min. The process has been optimized 225 in order to improve the solvolysis rate of the resin without the 226 degradation of the mechanical properties of the fibers. Water or 227 alcohols can be used as the solvolysis medium. They are 228 different in terms of energy consumption because the critical 229 coordinates of alcohols are generally lower than those of water. 230 Therefore, recycling of CFRPs using an alcoholysis process 231 could require less energy, but the hydrolysis process is safer and 232 greener. In this study, water was used as solvent for the 233 recycling of carbon fibers from CFRPs. The epoxy resin was 234 completely decomposed into lower molecular weight organic 235 compounds. Recovered carbon fibers were characterized using 236 thermogravimetric analysis (TGA) to determine the amount of 237 resin removed by the process, scanning electron microscopy to 238 observe the fibers, and single fiber tensile tests to evaluate the 239 mechanical properties of the recycled fibers. Recycled carbon 240 fibers from CFRPs are clean (Figure 3). All the resin was 241 f3

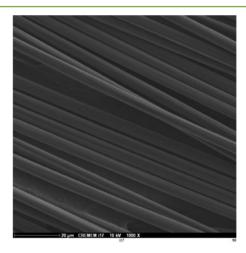


Figure 3. SEM image of recycled fibers after supercritical water treatment at 400 $^{\circ}$ C and 25 MPa at an ICMCB laboratory.

removed according to the TGA results. Furthermore, the 242 recycled carbon fibers present good mechanical properties; a 243 tensile loss close to the one of virgin fibers is obtained.⁶ The 244 final liquid phase is also analyzed by gas chromatography. The 245 monomers of the initial resins have been identified.

Environmental Evaluation. The LCA of a 1 kg composite 247 part that takes into account the recycling of the reinforcement 248 clearly shows the interest of this end-of-life option. Actually, it 249 almost offsets the whole environmental impacts of the 250 composite manufacturing (Figure 4).

By recycling a product mainly sourced with carbon fossil fuel 252 (Figure 1), impacts on climate change or fossil depletion can be 253 almost completely avoided (Figure 4). For marine eutrophica- 254 tion, recycling allowed for a larger avoidance than the impacts 255 of manufacturing. This is due to the use of European electricity 256

Figure 4. Life-cycle impact assessment of the landfill of a 1 kg carbon/epoxy composite part (dark gray) compared with the reinforcement's recycling (light gray). The analysis is based on the ReCiPe Midpoint (H) method.

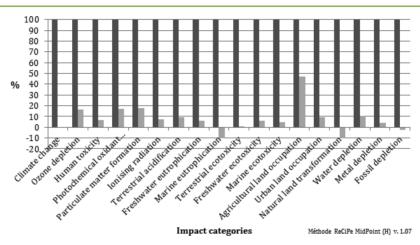


Figure 5. Comparison of the environmental impacts of a 1 kg composite part during its life cycle, depending on the end-of-life scenario of carbon fibers (landfill in dark gray; recycling in light gray).

257 for the injection molding of the matrix, while we use a French 258 mix for recycling process (it impacts systematically onto this 259 indicator).

When comparing the environmental impacts of a 1 kg 261 composite part during its life cycle, depending on the end-of-262 life scenario (landfill or recycling of carbon fibers), and despite 263 electricity consumption in the recycling process, emission of 264 greenhouse gases may be divided by 10 (Figure 5). The 265 environmental gain is on average about 80%, according to the 266 ReCiPe Midpoint (H) method. For the climate change 267 indicator, it is about 100%. This is because of the use of a 268 French electricity country mix, which is mainly sourced by 269 nuclear energy, which is energy that has no impacts on climate 270 change (it does impact principally on the ionizing radiation 271 category).

Negative impacts (for eutrophication and natural land transformation indicators) do not mean that they are "good" for the environment. This only means that it is an avoided impact; to recycle, allows for avoiding some impacts due to the manufacture stage.

Economic Validation. We recently made a market study showing that there will always be relevant uses for recycled reinforcements or for semi-products based on second-geogeneration fiber, whatever their mechanical characteristics are and as long as the price remains reasonable. The integration

of recycled carbon fiber is only interesting if the mechanical 282 performance/price ratio is higher than that of glass fiber. 283 Therefore, in light of excellent second-generation reinforce- 284 ment mechanical properties, ²⁷ this ratio should be much higher 285 than for new carbon fibers. Thus, the feasibility of recycling will 286 be provided if the second-generation semi-products price does 287 not exceed 70–80% of the new ones.

DISCUSSION

In the present context, the use of carbon/epoxy composite is 290 ever increasing. As indicted, these composites can be recycled 291 by solvolysis, 6 keeping good mechanical properties. 27 Anticipat- 292 ing that they may soon be subjected to regulation, it is essential 293 to show it is feasible that a composite recycling network can be 294 set up that is both economically and environmentally favorable. 295

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The recovery of the carbon reinforcement (which is the most 296 environmentally impacting constituent in the composite 297 manufacturing) by an aqueous solvolysis of the composite's 298 matrix leads to an average gain of about 80% for all eco-299 indicators compared to the landfill end-of-life option.

Lastly, the remanufacturing process developed allows for 301 obtaining a semi-product easily usable. Consequently, from an 302 economic point of view, the mechanical performance/price 303 ratio of the second-generation carbon fiber should be higher 304 than that for the virgin carbon fibers or the glass reinforcement. 305

306 The next step in the maturation of this technology is the 307 development of a pilot scale facility for the recycling of carbon 308 fibers from CFRPs using the supercritical fluid technology.

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313 Notes

314 The authors declare no competing financial interest.

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